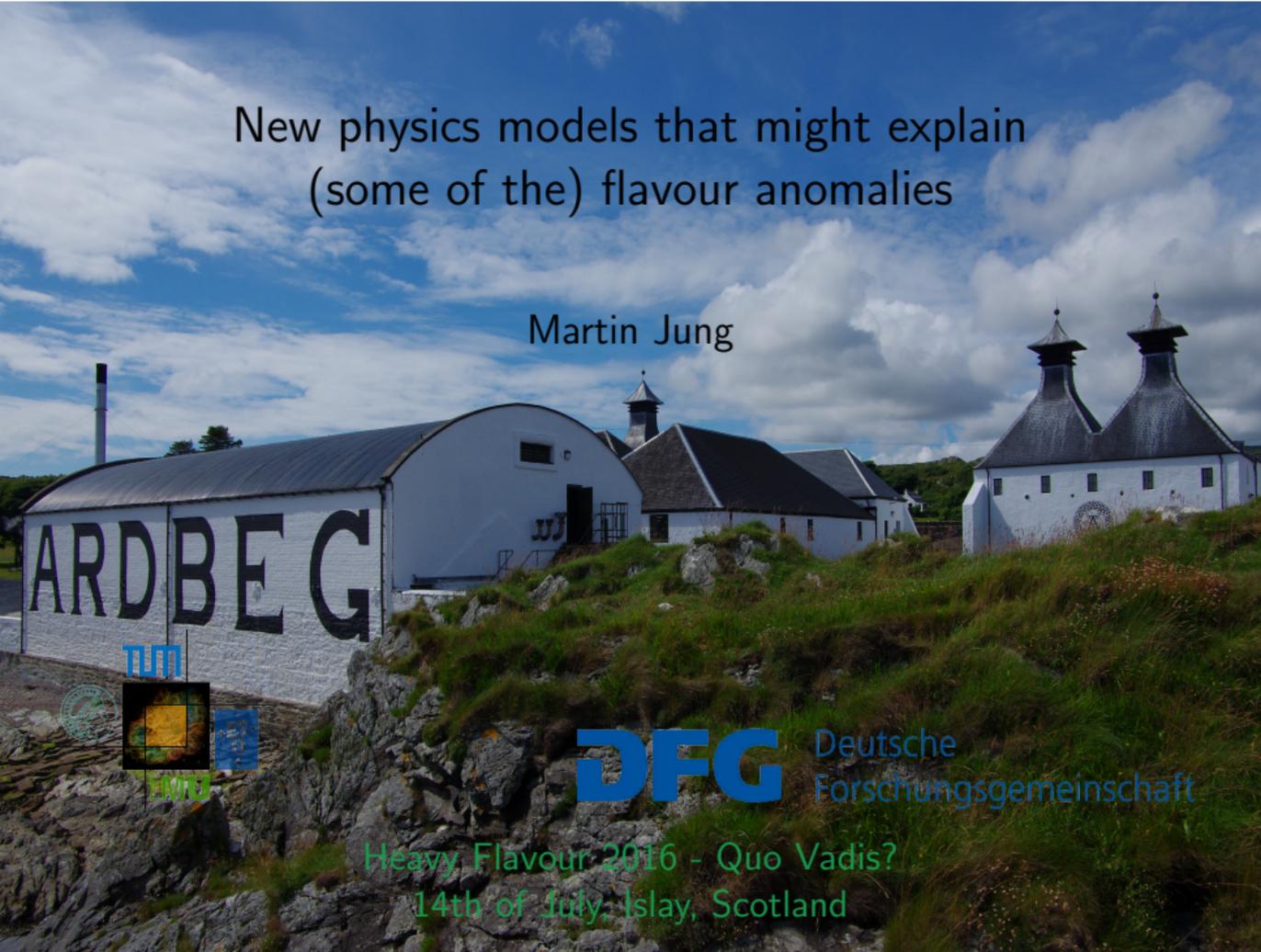


# New physics models that might explain (some of the) flavour anomalies

Martin Jung



Heavy Flavour 2016 - Quo Vadis?  
14th of July, Islay, Scotland

## Anomalies in $B$ decays

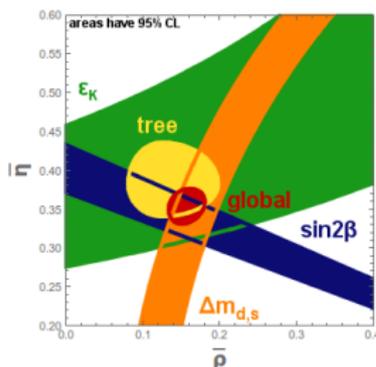
$b \rightarrow c\tau\nu$  [ $R(D^{(*)})$ ] &  $b \rightarrow sll$  [ $B \rightarrow K^*ll, R_K, \dots$ ] both at  $\gtrsim 4\sigma$ !

Tensions not discussed here:

- $V_{ub,cb}$  inclusive vs. exclusive [see Thomas' talk]
- $g - 2$  (but addressed by some of the models)
- $\epsilon'/\epsilon$  (?)

Things I do not consider anomalous:

- Diphoton resonance @ 750 GeV [but see Dario's talk]
- $h \rightarrow \mu\tau$
- $B_{d,s} \rightarrow \mu^+\mu^-$ ,  $\Delta m_{d,s}$

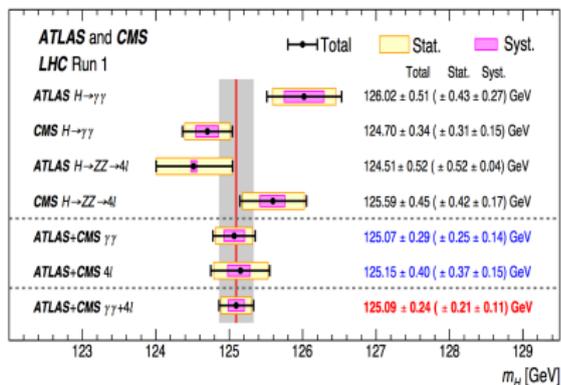
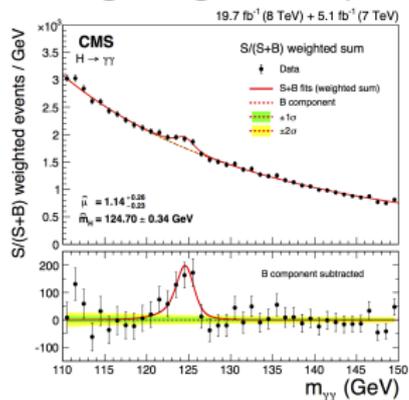


Generic models (templates) discussed here:

- Additional scalars ( $\rightarrow$  2HDMs)
- Additional gauge bosons ( $\rightarrow U(1')$  models)
- Leptoquarks ( $\rightarrow$  unified models)

# A hierarchy of scales

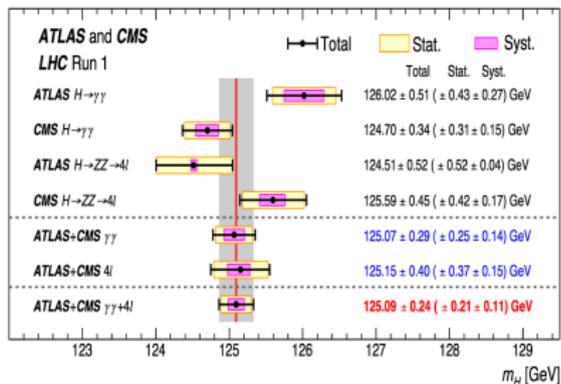
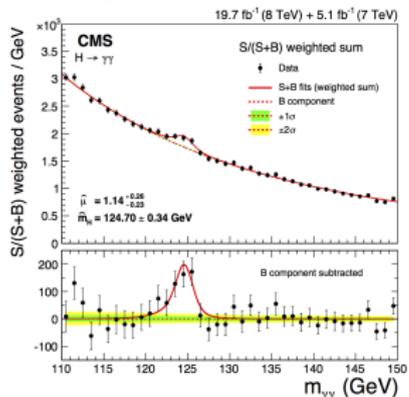
A long-sought new particle. . .



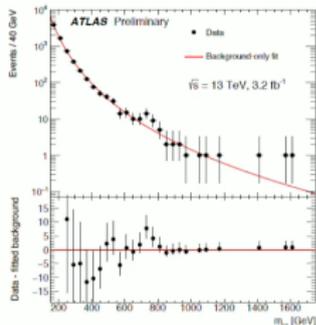


# A hierarchy of scales

A long-sought new particle. . .



. . . but again everything looks like the Standard Model! (almost)

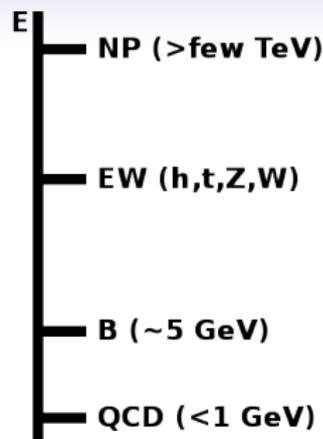


➡ Apparently hierarchy between the electroweak and NP scales!

# Higgs EFT(s)

EFT approach at the electroweak scale:

- ✓ SM particle content
- ✓ SM gauge group
- ? Embedding of  $h$
- ? Power-counting
- ➔ Formulate NLO



Linear embedding of  $h$ :

- $h$  part of doublet  $H$
- Appropriate for weakly-coupled NP
- Power-counting: dimensions
- ➔ Finite powers of fields

Non-linear embedding of  $h$ :

- $h$  singlet,  $U$  Goldstones
- Appropriate for strongly-coupled NP
- Power-counting: loops ( $\sim \chi$ PT)
- ➔ Arbitrary powers of  $h/v, \phi$

Non-linear EFT **generalizes** linear EFT, LO  $\kappa$  framework

# Flavour EFTs for semi-leptonic decays

At scales  $\mu \ll v, M_W$ :

Construct EFT from  $\psi_f, F_{\mu\nu}, G_{\mu\nu}$ ,  
gauge group  $SU(3)_C \times U(1)_{em}$

Generically:

1. All coefficients independent
2. Coefficients for other processes unrelated (e.g.  $\tau \leftrightarrow e, \mu$ )

Implications of HEFT for the flavour-EFTs? [Cata/MJ'15]

Differences between linear and non-linear realization?

➡ Separate operators specific for non-linear HEFT

Previous work (linear EFT) e.g. [D'Ambrosio+'02, Cirigliano+'09, Alonso+'14]

A word of caution: flavour hierarchies have to be considered!

➡ Mostly relevant when SM is highly suppressed, e.g. for EDMs

## Implications of the Higgs EFT for flavour [Cata/MJ'15]

$q \rightarrow q'\ell\ell$  :

- Tensor operators absent in linear EFT for  $d \rightarrow d'\ell\ell$  [Alonso+'14]
  - ➡ Present in general! (already in linear EFT for  $u \rightarrow u'\ell\ell$ )
- Scalar operators: linear EFT  $C_S^{(d)} = -C_P^{(d)}$ ,  $C_S^{\prime(d)} = C_P^{\prime(d)}$  [Alonso+'14]
  - ➡ Analogous for  $u \rightarrow u'\ell\ell$ , but no relations in general!

$q \rightarrow q'\ell\nu$  :

- All operators are independently present already in the linear EFT
- However: Relations between **different** transitions:
  - $C_{V_R}$  is **lepton-flavour universal** [see also Cirigliano+'09]
  - Relations between charged- and neutral-current processes, e.g.
 
$$\sum_{U=u,c,t} \lambda_{Us} C_{S_R}^{(U)} = -\frac{e^2}{8\pi^2} \lambda_{ts} C_S^{(d)}$$
 [see also Cirigliano+'12, Alonso+'15]
- These relations are again absent in the non-linear EFT

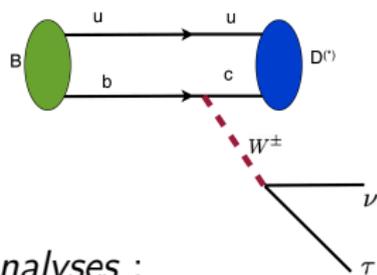
Flavour physics sensitive to Higgs embedding!

- ➡ Surprising, since no Higgs is involved
- ➡ Difficult differently [e.g. Barr+, Azatov+'15]

# Experimental Situation for $b \rightarrow c\tau\nu$ 2016

Importance of semi-leptonic decays:

- SM: Determination of  $|V_{ij}|$  (7/9)
  - ↳ Minimal hadronic input, improvable!
- NP: Relative to tree,  $\tau$  least constrained

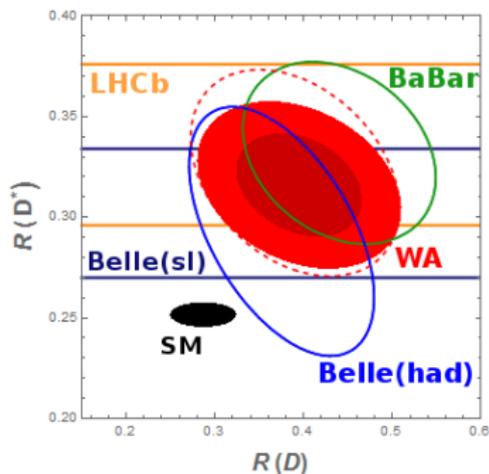


3 recent  $R(D^{(*)})$  analyses :

- $R(D^*)$  from LHCb [1506.08614]
- Belle update + new measurement (had./sl tag) [1507.03233,1603.06711]
- ↳  $4.0\sigma$  tension [HFAG]

Further  $b \rightarrow c\tau\nu$  inputs:

- Differential rates from Belle, BaBar
- Total width of  $B_c$
- $b \rightarrow X_c\tau\nu$  by LEP



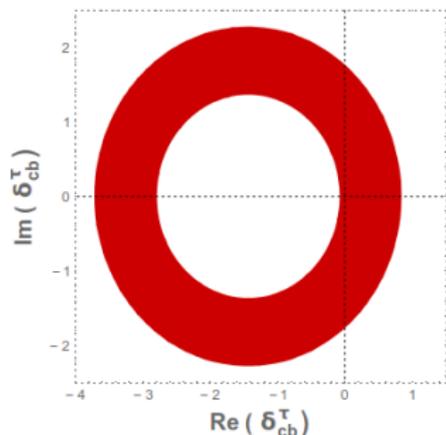
Tension  $R(D^*)$  vs.  $R(X_c)$ : no space for  $B \rightarrow D^{**}\tau\nu$  [Ligeti+'15]

## Charged scalars in $b \rightarrow c\tau\nu$

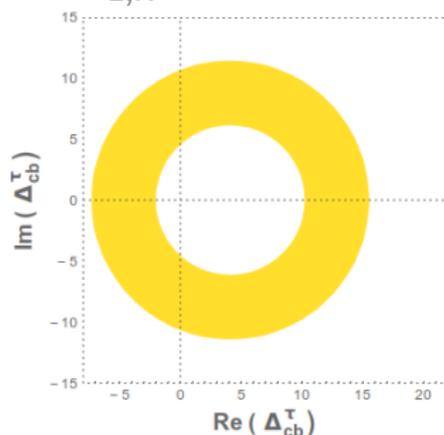
A charged scalar generally results in ( $g_{L,R}^{quqd^l}$  **complex**)

$$\mathcal{L}_H^{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{quqd} \left[ \bar{q}_u \left( g_L^{quqd^l} \mathcal{P}_L + g_R^{quqd^l} \mathcal{P}_R \right) q_d \right] [IP_L \nu_l]$$

➔ Model-independent subclass as long as  $g_{L,R}^{quqd^l}$  general



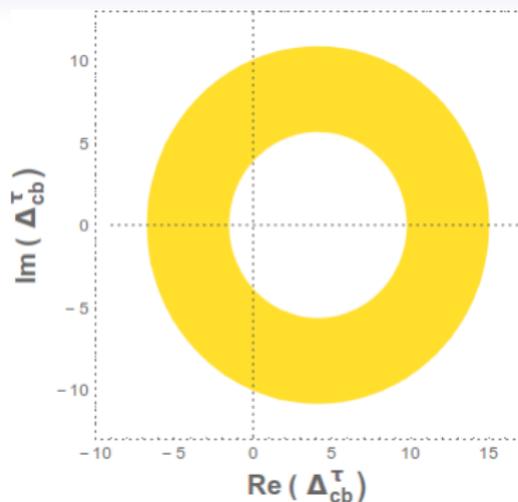
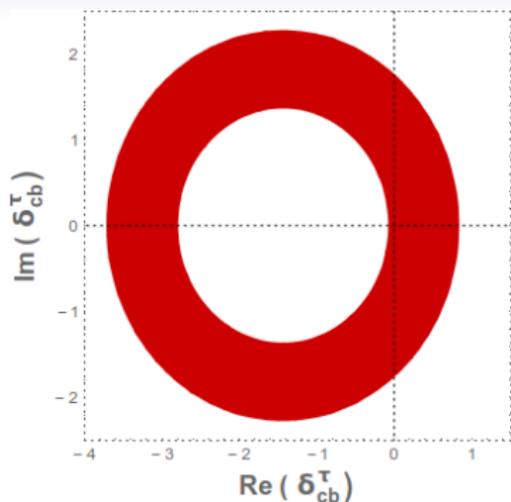
$$\delta^{cbl} \equiv \frac{(g_L^{cbl} + g_R^{cbl})(m_B - m_D)^2}{m_l(\bar{m}_b - \bar{m}_c)}$$



$$\Delta^{cbl} \equiv \frac{(g_L^{cbl} - g_R^{cbl})m_B^2}{m_l(\bar{m}_b + \bar{m}_c)}$$

Can trivially explain  $R(D^{(*)})$ ! Exclusion possible with specific flavour structure or more  $b \rightarrow c\tau\nu$  observables!

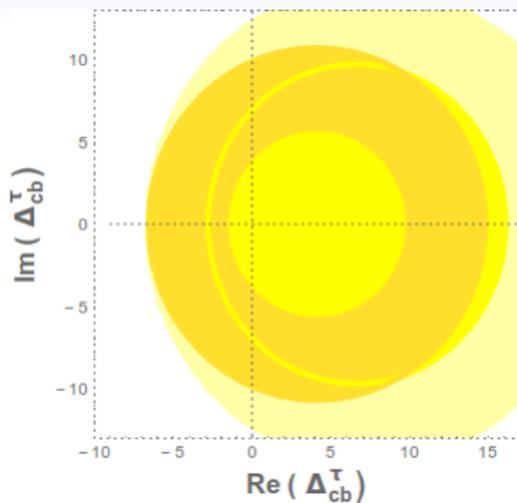
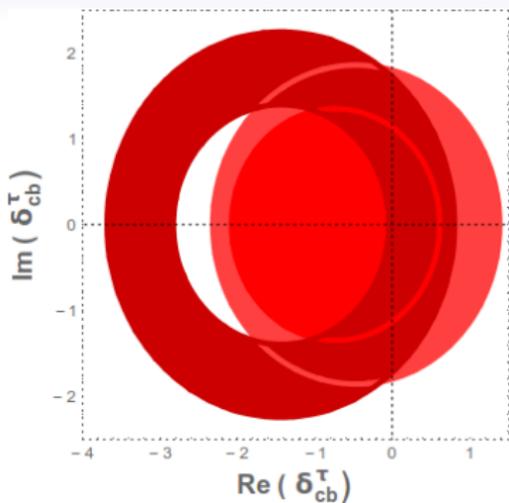
# $b \rightarrow c\tau\nu$ data and scalar NP



$R(D), R(D^*)$ :

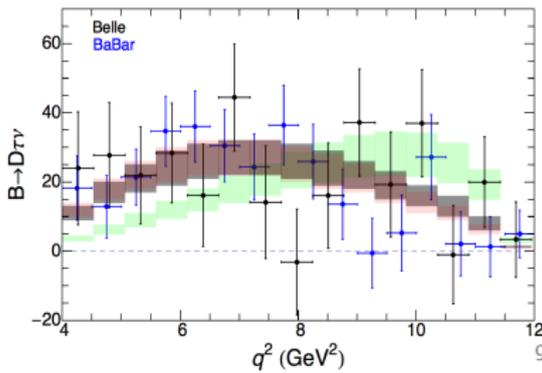
- $R(D)$  compatible with SM at  $\sim 2\sigma$
- Preferred scalar couplings from  $R(D^*)$  huge

# $b \rightarrow c\tau\nu$ data and scalar NP

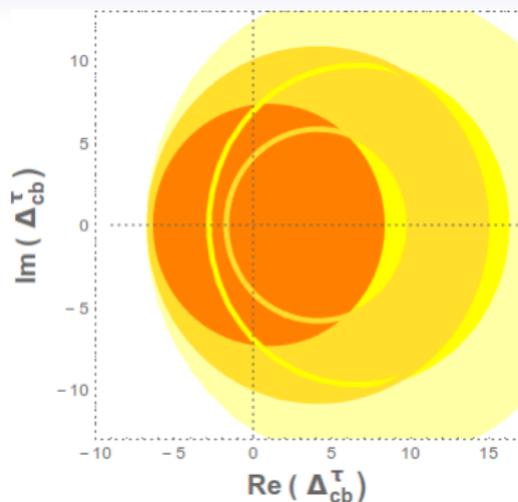
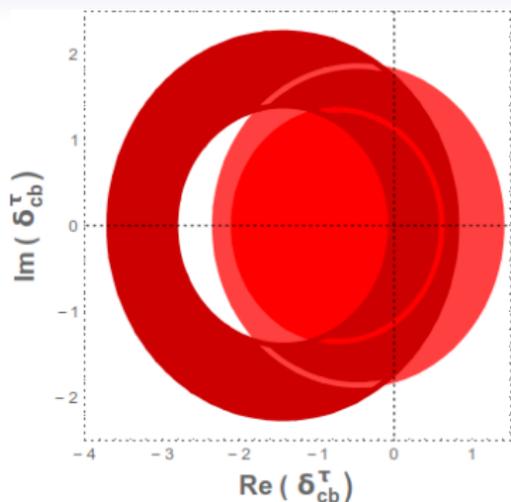


## Differential rates:

- compatible with SM and NP
- already now constraining, especially in  $B \rightarrow D\tau\nu$
- exclude 2nd real solution in  $\delta_{cb}^T$



# $b \rightarrow c\tau\nu$ data and scalar NP



## Total width of $B_c$ :

- $B_c \rightarrow \tau\nu$  is an obvious  $b \rightarrow c\tau\nu$  transition
  - ➡ not measurable in foreseeable future
  - ➡ can oversaturate total width of  $B_c$ ! [X.Li+'16]
- Excludes second real solution in  $\Delta_{cb}^\tau$  plane

Consistent explanation in 2HDMs possible, flavour structure?

## Generic features and issues in 2HDMs

Charged Higgs possible as explanation of  $b \rightarrow c\tau\nu$  data. . .

However, generically  $\Delta R(D^*) < \Delta R(D)$

Generic feature: Relative influence larger in leptonic decays!

- No problem in  $b \rightarrow c\tau\nu$  since  $B_c \rightarrow \tau\nu$  won't be measured
- Large charm coupling required for  $R(D^*)$
- ➡ Embedding  $b \rightarrow c\tau\nu$  into a viable model complicated!
- ➡  $D_{d,s} \rightarrow \tau, \mu\nu$  kill typical flavour structures with  $g \sim m$
- ➡ Only fine-tuned models survive all (semi-)leptonic constraints

$b \rightarrow s\ell\ell$  very complicated to explain with scalar NP:

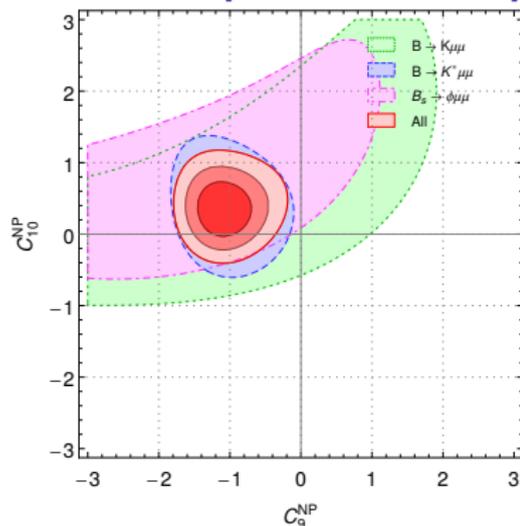
- Potential FCNC neutral Higgs coupling doesn't give  $C_9$
- Large muon coupling very difficult to do
- ➡ 2HDM alone tends to predict  $b \rightarrow s\ell\ell$  to be QCD-related

# $B \rightarrow K^* l^+ l^-$ and related modes [see talks by Quim & Costas]

Anomaly in  $b \rightarrow sl^+l^-$  modes of  $\sim 4.5\sigma$ !

- Global fits necessary!  
[Descotes-Genon+, Beaujean+, Ghosh+, Altmannshofer+, Hurth+, Sinha+, Ciuchini+]  
➡ different decays + observables
- QCD under control? [Camalich/ Jäger'15, Lyon/Zwicky'14, Ciuchini+'15]  
➡ not the issue for  $R_K$
- Agreed:  $C_9^\mu \sim -1$  improves fits  
➡ leptonic vector current

[Descotes-Genon+'15]



- ➡ not expected, but many models now! [e.g. Altmannshofer+, Buras+, Crivellin+]  
[Gauld+, Descotes-Genon+, Sierra+, Becirevic+, Bhattacharya+, Gripaios+, Hiller+, Niehoff+]
- $Z'$  models:  $b \rightarrow sll$  ✓,  $R(D, D^*)$  ✗, additional bounds ✓
- LQ models:  $b \rightarrow sll$  (✓),  $R(D, D^*)$  ✓, additional bounds ?

# $U(1)'$ models: some model building

We require:

1. Sizable contributions to  $b \rightarrow sl^+l^-$ 
  - ➔ specifically to  $C_9$ , i.e. vector coupling
2. Lepton non-universal couplings
3. Limited contributions to established constraints:
  - EW precision constraints
  - Unitarity triangle constraints
  - ...



➔  $U(1)'$  models good candidates (leptoquarks later)

[e.g. Altmannshofer+,Buras+,Crivellin+,Gauld+,Descotes-Genon+,Sierra+]

Wish list:

- Minimal particle content (no new fermions)
- Predictivity for up-, down-, lepton-FCNCs

# Incarnations of $U(1)'$

$Z'$  models have been popular for a long time [review e.g. Langacker'08]

Starting a new construction:

1. SM particle content:  $L_\alpha - L_\beta$  only option [2×He+'91]

➡ No coupling to quarks, mostly used in  $\nu$  sector  $\rightarrow L_\mu - L_\tau$

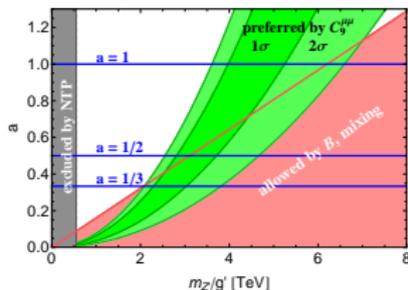
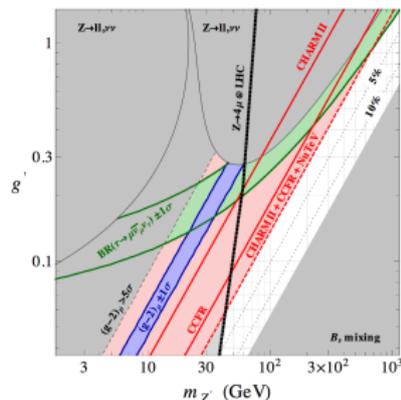
2. Adding vector-like quarks (+scalars)  $\rightarrow$  effective  $Z' \bar{q}q'$ -coupling [Altmannshofer+'14]

➡ LHCb anomalies ✓, independent  $C_9^{\mu(l)}$   
 ➡ no  $Z' ee$ -coupling  $\rightarrow$  avoid LEP bounds  
 ➡  $\Delta m_s \rightarrow u, d$  couplings small

3. Gauging  $L_\mu - L_\tau - a(B_1 + B_2 - 2B_3)$

$\rightarrow$  new scalars suffice [Crivellin+'15]

➡ LHCb anomalies ✓,  $|C_9^\mu| \gg |C_9^{\mu'}|$   
 ➡  $L$  and  $B$  separately anomaly-free  
 ➡ down-FCNCs approximately  $\sim V_{ti} V_{tj}^*$   
 ➡ arbitrary up-FCNCs



# Flavour violation in 2HDMs

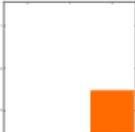
Generic 2HDMs: huge flavour violation  
 ➔ solution to this a main characteristic

Option 1: Avoid tree-level FCNCs  $\rightarrow$  NFC, MFV, Alignment, ...

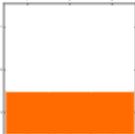
Option 2: Allow for controlled FCNCs

- Cheng-Sher ansatz/Type III  $\rightarrow$  little predictivity
- **Branco-Grimus-Lavoura (BGL) models** [BGL'96]
  - Use flavour symmetry to relate **all** flavour-change to CKM  
 ➔ Unique pattern in 2HDMs! [Ferreira/Silva'11, Serôdio'13]
  - Choice: top quark only couples to  $\phi_2 \rightarrow$  FCNCs in down-sector

Up Yukawas:  $\Delta_1^{\text{BGL}} =$  

$\Delta_2^{\text{BGL}} =$  

Down Yukawas:  $\Gamma_1^{\text{BGL}} =$  

$\Gamma_2^{\text{BGL}} =$  

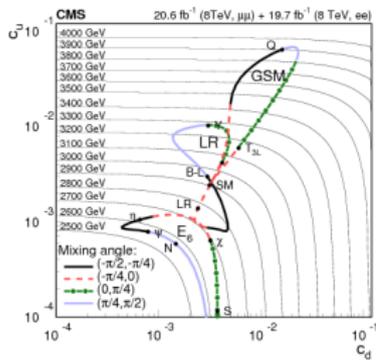
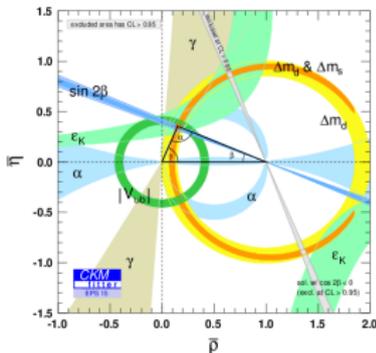
# $U(1)'_{\text{BGL}}$ – Overview

**ATTENTION:  
ADVERTISEMENT**

Gauging the BGL symmetry yields  $U(1)'_{\text{BGL}}$  model:

- Controlled tree-level down-FCNCs, determined by CKM
  - left-handed,  $C_{9,10}^{e,\mu}$ ,  $|C_{10}^\ell| < (\ll) |C_9^\ell|$
- No FCNCs in the up-quark sector
- Symmetry yields lepton-flavour non-universality without lepton-flavour violation
- Higgs sector phenomenologically viable, no large effects
- $Z'$  extremely predictive: 2 parameters (plus one charge)

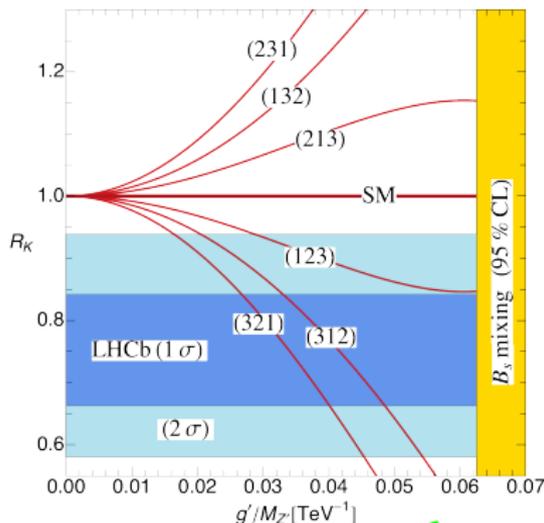
Let's check the available constraints. . .



# Phenomenological consequences

Most observables are unaffected! ( $M_W^2/M_{Z'}^2 \lesssim 0.1\%$ )

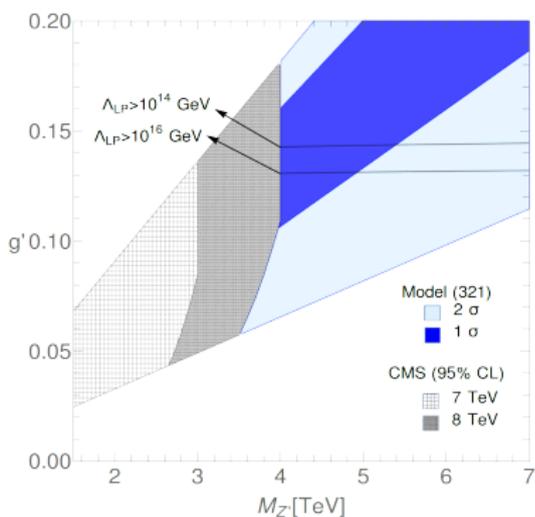
➡ Effects only for SM suppression *in addition to*  $G_F + \text{CKM}$   
EW penguin decays, mixing, CP violation, leptonic decays, ...



Fits  $B \rightarrow K^* \mu^+ \mu^-$  ✓

Furthermore:  $\hat{R}_M \equiv \frac{R_M}{R_K} = 1$

➡ "Easily" verifiable



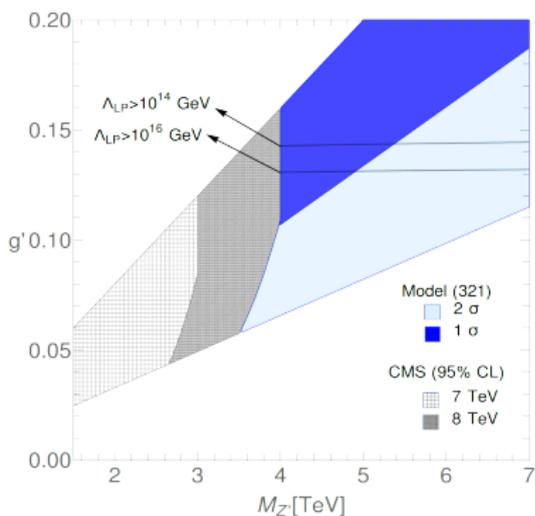
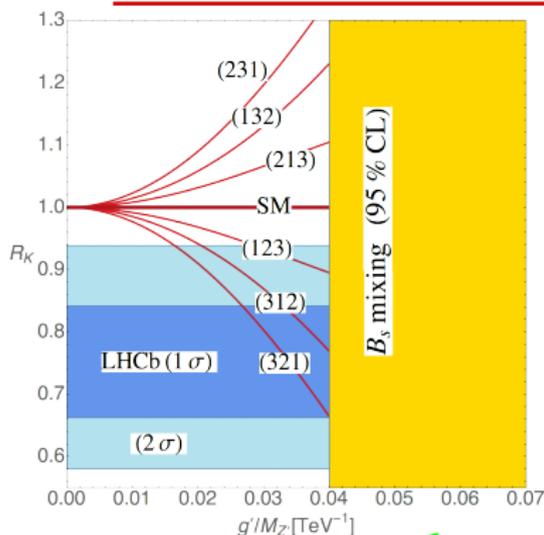
Direct bound from  $pp \rightarrow Z'(\rightarrow f\bar{f})X$   
Differentiability from LFNU vs. FCNC  
 $+\mu_{ff'} = \sigma(Z' \rightarrow f\bar{f})/\sigma(Z' \rightarrow f'\bar{f}')$

# Phenomenological consequences

Most observables are unaffected! ( $M_W^2/M_{Z'}^2 \lesssim 0.1\%$ )

➡ Effects only for SM suppression *in addition to*  $G_F + \text{CKM}$

New  $B$ -mixing matrix elements [Aida's talk]



Fits  $B \rightarrow K^* \mu^+ \mu^-$  ✓

Furthermore:  $\hat{R}_M \equiv \frac{R_M}{R_K} = 1$

➡ "Easily" verifiable

Direct bound from  $pp \rightarrow Z'(\rightarrow f\bar{f})X$   
 Differentiability from LFNU vs. FCNC  
 $+\mu_{ff'} = \sigma(Z' \rightarrow f\bar{f})/\sigma(Z' \rightarrow f'\bar{f}')$

## Leptoquark models

$\mathcal{O}_9$  also generated from coloured exchange particles  $\Rightarrow$  leptoquarks

$\rightarrow$  e.g. [Barbieri+,Bauer+,Becirevic+,Fajfer+,Freytsis+,Gripaios+,Hiller+,Sahoo+]

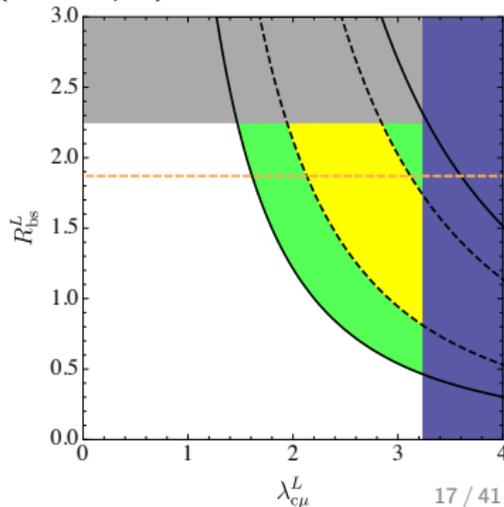
Some models can explain  $b \rightarrow sll$  and  $b \rightarrow c\tau\nu$  data!

$\rightarrow$  correlated with  $b \rightarrow s\bar{\nu}\nu$ !

Example 1: [Bauer+'15]

Scalar LQ  $\phi$  with quantum numbers  $S_1 \sim (\bar{\mathbf{3}}, \mathbf{1}, 1/3)$

- $\mathcal{L} \supset \bar{Q}_L^C \phi L, \bar{u}_R^C \phi^* d_R$
- Explains  $b \rightarrow c\tau\nu$  on tree-level,  
 $b \rightarrow sll$  on loop-level  
 $\rightarrow$  natural hierarchies, also  $g - 2$
- Grey:  $B \rightarrow K^{(*)}\bar{\nu}\nu$ , Blue:  $Z \rightarrow \mu^+\mu^-$   
 $\rightarrow$  Specific assumptions on flavour structure nevertheless necessary

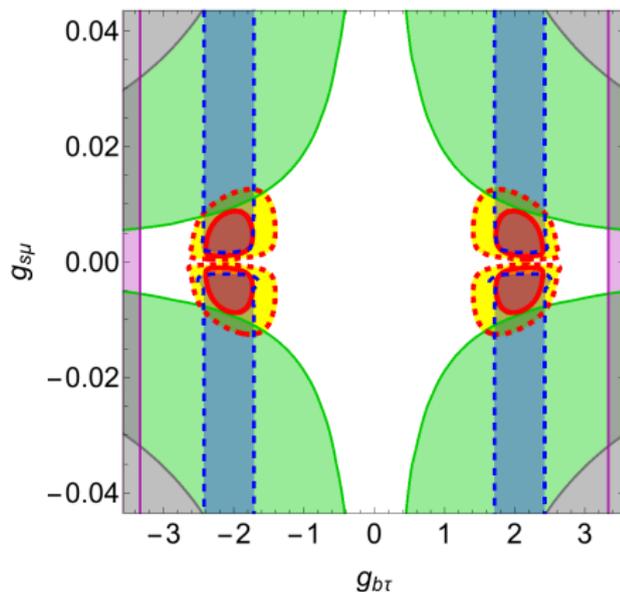


## Leptoquark models II

Example 2: [Fajfer/Kosnik'16]

Vector LQ  $V$  with quantum numbers  $U_1 \sim (\bar{\mathbf{3}}, \mathbf{3}, 1/3)$

- $b \rightarrow s\ell\ell$  and  $b \rightarrow c\tau\nu$  both on tree-level
- $\rightarrow$  Hierarchy in couplings necessary
- Proton decay not problematic
- $B \rightarrow K\bar{\nu}\nu$  main constraint (green excluded)



## Further general features and issues [see also talk by Gudrun]

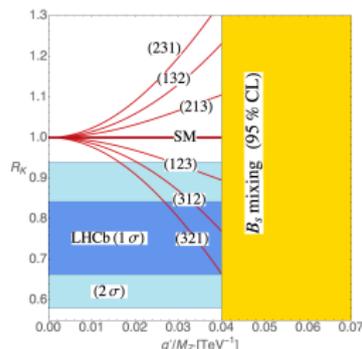
LFV possibly related to LFNU  $\Rightarrow$  NP typically *not* in mass basis

➔ Rotation to mass basis induces LFV [Glashow+,Bhattacharya+'14,...]

- LFV  $B$  decays additionally motivated!
- Strong constraints from LFV processes

However...

- “typically” does not mean “necessarily”
  - ➔ diagonal mass matrix possible
- Examples: [Altmannshofer+'14,Celis+'15 $\Rightarrow$ ]



LQ models ok as templates, but UV-embedding complicated ( $p \rightarrow X$ )

- ➔ light LQ very complicated with simple groups [e.g. Doršner+'16]
- ➔ more complicated groups can work, but many more d.o.f.!

Models with  $(\bar{Q}\gamma^\mu t^A Q)(\bar{L}\gamma^\mu t^A L)$  create  $\tau \rightarrow \mu\bar{\nu}\nu$  on 1-loop!

- ➔ violates generically  $\Gamma(\tau \rightarrow \mu\bar{\nu}\nu)/\Gamma(\mu \rightarrow e\bar{\nu}\nu)$ -bound! [Feruglio+'16]
- ➔ Issue for LQ models, models with a  $W'$  [e.g. Isidori+'15]

## Conclusions

Exciting anomalies in  $b \rightarrow s\ell\ell$  and  $b \rightarrow c\tau\nu$  at  $\gtrsim 4\sigma$ :

- intriguing + unexpected results, but not beyond doubt
- imply testable deviations in other modes

Scale-hierarchies allow for model-independent EFT analyses:

- SMEFT yields relations between flavour-coefficients
-  allows to distinguish between Higgs-realizations!

2HDMs (scalar NP):

- can explain  $b \rightarrow c\tau\nu$  data,  $b \rightarrow s\ell\ell$  very difficult
- model difficult, (semi-)leptonic data implies fine-tuning

$U(1)'$  models:

- explain elegantly  $b \rightarrow s\ell\ell$  + absence of other signals
- LFNU possible without LFV!
- testable e.g. directly, in  $\hat{R}_{\phi, K^*, \dots}$  &  $B$  mixing

Leptoquark models:

- can explain  $b \rightarrow s\ell\ell$  **and**  $b \rightarrow c\tau\nu$
- are difficult to embed into e.g. a unified model
- potentially violate  $\tau \rightarrow \mu\nu\nu / \mu \rightarrow e\nu\nu$  bound



## Implications of the Higgs EFT for Flavour: $q \rightarrow q' \ell \ell$

$$\mathcal{L}_{\text{eff}}^{b \rightarrow s \ell \ell} = \frac{4G_F}{\sqrt{2}} \lambda_{ts} \frac{e^2}{(4\pi)^2} \sum_i^{12} C_i^{(d)} \mathcal{O}_i^{(d)}, \quad \lambda_{ts} = V_{tb} V_{ts}^*, \quad \text{with}$$

$$\mathcal{O}_7^{(l)} = \frac{m_b}{e} (\bar{s} \sigma^{\mu\nu} P_{R(L)} b) F_{\mu\nu},$$

$$\mathcal{O}_9^{(l)} = (\bar{s} \gamma_\mu P_{L(R)} b) \bar{l} \gamma^\mu l, \quad \mathcal{O}_{10}^{(l)} = (\bar{s} \gamma_\mu P_{L(R)} b) \bar{l} \gamma^\mu \gamma_5 l,$$

$$\mathcal{O}_S^{(l)} = (\bar{s} P_{R(L)} b) \bar{l} l, \quad \mathcal{O}_P^{(l)} = (\bar{s} P_{R(L)} b) \bar{l} \gamma_5 l,$$

$$\mathcal{O}_T = (\bar{s} \sigma_{\mu\nu} b) \bar{l} \sigma^{\mu\nu} l, \quad \mathcal{O}_{T5} = (\bar{s} \sigma_{\mu\nu} b) \bar{l} \sigma^{\mu\nu} \gamma_5 l.$$

Generalized matching from HEFT yields:

- No changes for photon penguin, insensitive to EWSB
- Additional contributions in  $C_{9,10}^{(l)}$  (but linear EFT already general)
- Tensor operators absent in linear EFT for  $d \rightarrow d' \ell \ell$  [Alonso+'14]
  - ➡ Present in general! (already in linear EFT for  $u \rightarrow u' \ell \ell$ )
- Scalar operators: linear EFT  $C_S^{(d)} = -C_P^{(d)}$ ,  $C_S^{(d')} = C_P^{(d')}$  [Alonso+'14]
  - ➡ Analogous for  $u \rightarrow u' \ell \ell$ , but no relations in general!

# Implications of the Higgs EFT for Flavour: $q \rightarrow q' l \nu$

$b \rightarrow c T \nu$  transitions (SM:  $C_{V_L} = 1, C_{i \neq V_L} = 0$ ):

$$\mathcal{L}_{\text{eff}}^{b \rightarrow c T \nu} = -\frac{4G_F}{\sqrt{2}} V_{cb} \sum_j^5 C_j \mathcal{O}_j, \quad \text{with}$$

$$\mathcal{O}_{V_{L,R}} = (\bar{c} \gamma^\mu P_{L,R} b) \bar{\tau} \gamma_\mu \nu, \quad \mathcal{O}_{S_{L,R}} = (\bar{c} P_{L,R} b) \bar{\tau} \nu,$$

$$\mathcal{O}_T = (\bar{c} \sigma^{\mu\nu} P_L b) \bar{\tau} \sigma_{\mu\nu} \nu.$$

- All operators are independently present already in the linear EFT
- However: Relations between **different** transitions:  
 $C_{V_R}$  is **lepton-flavour universal** [see also Cirigliano+'09]  
Relations between charged- and neutral-current processes, e.g.  
 $\sum_{U=u,c,t} \lambda_{Us} C_{S_R}^{(U)} = -\frac{e^2}{8\pi^2} \lambda_{ts} C_S^{(d)}$  [see also Cirigliano+'12, Alonso+'15]
- These relations are again absent in the non-linear EFT

# Interpretation

## Lessons:

- When assuming a linear EFT:  
Simplifications of model-independent analyses
- However: Relations do *not* hold model-independently
  - ↳  $SU(2)_L \times U(1)_Y$  **together** with linear embedding

Flavour physics can help to distinguish between embeddings!

- ↳ Surprising, since no Higgs is involved
- ↳ Difficult differently [e.g. Barr+, Azatov+'15]

- Key operators  $\mathcal{O}_{Y_i}$ : 4f-operators with Goldstone fields
  - ↳ Hypercharges of fermions alone do not sum to 0
  - ↳ Appear in linear EFT at dimension 8

## Operator basis

$$\hat{\tau}_3 = U\tau_3U^\dagger, \quad \hat{\tau}_\pm = U\frac{1}{2}(\tau_1 \pm i\tau_2)U^\dagger, \quad L_\mu \equiv iUD_\mu U^\dagger.$$

$$\mathcal{O}_{X1,2} = g'\bar{q}\sigma^{\mu\nu}UP_\pm rB_{\mu\nu}, \quad \mathcal{O}_{X3,4} = g\bar{q}\sigma^{\mu\nu}UP_\pm r\langle\hat{\tau}_3W_{\mu\nu}\rangle,$$

$$\mathcal{O}'_{X1,2} = g'\bar{r}P_\pm U^\dagger\sigma^{\mu\nu}qB_{\mu\nu}, \quad \mathcal{O}'_{X3,4} = g\bar{r}P_\pm U^\dagger\sigma^{\mu\nu}q\langle\hat{\tau}_3W_{\mu\nu}\rangle,$$

$$\mathcal{O}_{V1} = \bar{q}\gamma^\mu q\langle\hat{\tau}_3L_\mu\rangle, \quad \mathcal{O}_{V2} = \bar{q}\gamma^\mu\hat{\tau}_3q\langle\hat{\tau}_3L_\mu\rangle,$$

$$\mathcal{O}_{V3} = \bar{u}\gamma^\mu u\langle\hat{\tau}_3L_\mu\rangle, \quad \mathcal{O}_{V4} = \bar{d}\gamma^\mu d\langle\hat{\tau}_3L_\mu\rangle,$$

$$\mathcal{O}_{V5} = \bar{q}\gamma^\mu\hat{\tau}_+q\langle\hat{\tau}_-L_\mu\rangle, \quad \mathcal{O}_{V6} = \bar{u}\gamma^\mu d\langle\hat{\tau}_-L_\mu\rangle,$$

$$\mathcal{O}_{V7} = \bar{l}\gamma^\mu\hat{\tau}_-l\langle\hat{\tau}_+L_\mu\rangle,$$

$$\mathcal{O}_{LL1} = \bar{q}\gamma^\mu q\bar{l}\gamma_\mu l, \quad \mathcal{O}_{LL2} = \bar{q}\gamma^\mu\tau^j q\bar{l}\gamma_\mu\tau^j l,$$

$$\hat{\mathcal{O}}_{LL3} = \bar{q}\gamma^\mu\hat{\tau}_3q\bar{l}\gamma_\mu l, \quad \hat{\mathcal{O}}_{LL4} = \bar{q}\gamma^\mu q\bar{l}\gamma_\mu\hat{\tau}_3 l,$$

$$\hat{\mathcal{O}}_{LL5} = \bar{q}\gamma^\mu\hat{\tau}_3q\bar{l}\gamma_\mu\hat{\tau}_3 l, \quad \hat{\mathcal{O}}_{LL6} = \bar{q}\gamma^\mu\hat{\tau}_3 l\bar{l}\gamma_\mu\hat{\tau}_3 q,$$

$$\hat{\mathcal{O}}_{LL7} = \bar{q}\gamma^\mu\hat{\tau}_3 l\bar{l}\gamma_\mu q,$$

## Operator basis II

$$\mathcal{O}_{LR1} = \bar{q}\gamma^\mu q \bar{e}\gamma_\mu e,$$

$$\mathcal{O}_{LR2} = \bar{u}\gamma^\mu u \bar{l}\gamma_\mu l,$$

$$\mathcal{O}_{LR3} = \bar{d}\gamma^\mu d \bar{l}\gamma_\mu l,$$

$$\hat{\mathcal{O}}_{LR5} = \bar{q}\gamma^\mu \hat{\tau}_3 q \bar{e}\gamma_\mu e,$$

$$\hat{\mathcal{O}}_{LR6} = \bar{u}\gamma^\mu u \bar{l}\gamma_\mu \hat{\tau}_3 l,$$

$$\hat{\mathcal{O}}_{LR7} = \bar{d}\gamma^\mu d \bar{l}\gamma_\mu \hat{\tau}_3 l,$$

$$\mathcal{O}_{RR1} = \bar{u}\gamma^\mu u \bar{e}\gamma_\mu e,$$

$$\mathcal{O}_{RR2} = \bar{d}\gamma^\mu d \bar{e}\gamma_\mu e.$$

$$\mathcal{O}_{LR4} = \bar{q}\gamma^\mu l \bar{e}\gamma_\mu d,$$

$$\hat{\mathcal{O}}_{LR8} = \bar{q}\gamma^\mu \hat{\tau}_3 l \bar{e}\gamma_\mu d,$$

$$\mathcal{O}_{S1} = \epsilon_{ij} \bar{l}^i e \bar{q}^j u,$$

$$\mathcal{O}_{S2} = \epsilon_{ij} \bar{l}^i \sigma^{\mu\nu} e \bar{q}^j \sigma_{\mu\nu} u,$$

$$\hat{\mathcal{O}}_{S3} = \bar{q} U P_+ r \bar{l} U P_- \eta,$$

$$\hat{\mathcal{O}}_{S4} = \bar{q} \sigma_{\mu\nu} U P_+ r \bar{l} \sigma^{\mu\nu} U P_- \eta,$$

$$\hat{\mathcal{O}}_{S5} = \bar{q} \hat{\tau}_- U r \bar{l} \hat{\tau}_+ U \eta,$$

$$\hat{\mathcal{O}}_{S6} = \bar{q} \sigma_{\mu\nu} \hat{\tau}_- U r \bar{l} \sigma^{\mu\nu} \hat{\tau}_+ U \eta,$$

$$\hat{\mathcal{O}}_{Y1} = \bar{q} U P_- r \bar{l} U P_- \eta,$$

$$\hat{\mathcal{O}}_{Y2} = \bar{q} \sigma_{\mu\nu} U P_- r \bar{l} \sigma^{\mu\nu} U P_- \eta,$$

$$\hat{\mathcal{O}}_{Y3} = \bar{l} U P_- \eta r P_+ U^\dagger q,$$

$$\hat{\mathcal{O}}_{Y4} = \bar{l} U P_- r r P_+ U^\dagger l.$$

Flavor family indices have been omitted.

## Matching for $b \rightarrow sll$ transitions

$$\mathcal{N}_{\text{NC}}^{(d)} = \frac{4\pi^2}{e^2 \lambda_{ts}} \frac{v^2}{\Lambda^2}$$

$$\delta C_{7(d)}^{(\prime)} = \frac{8\pi^2}{m_b \lambda_{ts}} \frac{v^2}{\Lambda^2} \left[ c_{X2}^{(\prime)} + c_{X4}^{(\prime)} \right],$$

$$\delta C_{7(u)}^{(\prime)} = \frac{8\pi^2}{m_c \lambda_{bu}} \frac{v^2}{\Lambda^2} \left[ c_{X1}^{(\prime)} + c_{X3}^{(\prime)} \right],$$

$$\delta C_{9,10}^{(q)} = \mathcal{N}_{\text{NC}}^{(q)} \left[ (C_{LR}^{(q)} \pm C_{LL}^{(q)}) \pm 4g_{V,A} \frac{\Lambda^2}{v^2} C_{VL}^{(q)} \right],$$

$$C_{9,10}^{\prime(q)} = \mathcal{N}_{\text{NC}}^{(q)} \left[ (C_{RR}^{(q)} \pm C_{RL}^{(q)}) \pm 4g_{V,A} \frac{\Lambda^2}{v^2} C_{VR}^{(q)} \right].$$

$$C_{LL}^{(d)} = c_{LL1} + c_{LL2} - \hat{c}_{LL3} - \hat{c}_{LL4} + \hat{c}_{LL5} + \hat{c}_{LL6} - \hat{c}_{LL7},$$

$$C_{RR}^{(d)} = c_{RR2}, \quad C_{LR}^{(d)} = c_{LR1} - \hat{c}_{LR5}, \quad C_{RL}^{(d)} = c_{LR3} - \hat{c}_{LR7},$$

$$C_{VL}^{(d)} = c_{V1} - c_{V2}, \quad C_{VR}^{(d)} = c_{V4}.$$

## $b \rightarrow sll$ matching continued

$$C_{S,P}^{(d)} = \mathcal{N}_{\text{NC}}^{(d)} \left[ \pm c_S^{(d)} + \hat{c}_{Y1} \right], \quad C'_{S,P}{}^{(d)} = \mathcal{N}_{\text{NC}}^{(d)} \left[ c_S'^{(d)} \pm \hat{c}'_{Y1} \right],$$
$$C_T^{(d)} = \mathcal{N}_{\text{NC}}^{(d)} \left[ \hat{c}_{Y2} + \hat{c}'_{Y2} \right], \quad C_{T5}^{(d)} = \mathcal{N}_{\text{NC}}^{(d)} \left[ \hat{c}_{Y2} - \hat{c}'_{Y2} \right],$$

where  $c_S^{(\prime)(d)} = 2(\hat{c}_{LR8}^{(\prime)} - c_{LR4}^{(\prime)})$ .

## Matching for $b \rightarrow c\ell\nu$ transitions

$$C_{V_L} = -\mathcal{N}_{CC} \left[ C_L + \frac{2}{v^2} c_{V5} + \frac{2V_{cb}}{v^2} c_{V7} \right],$$

$$C_{V_R} = -\mathcal{N}_{CC} \left[ \hat{C}_R + \frac{2}{v^2} c_{V6} \right],$$

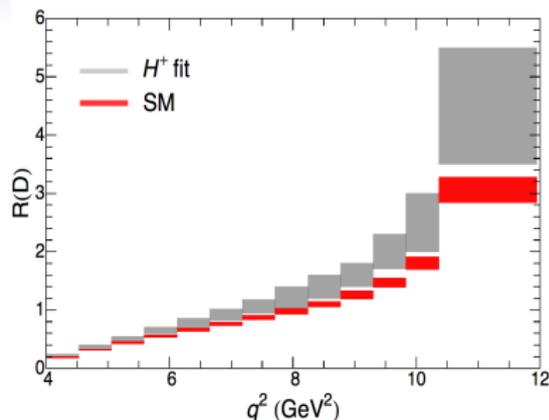
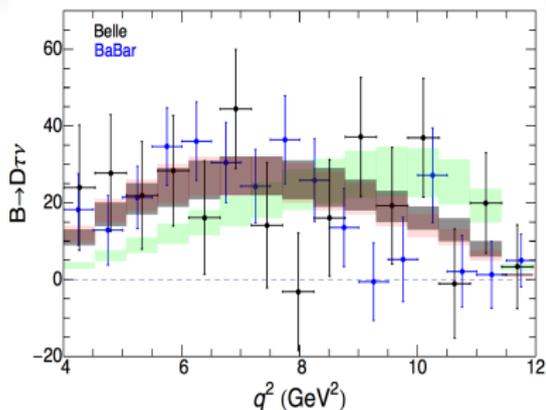
$$C_{S_L} = -\mathcal{N}_{CC} (c'_{S1} + \hat{c}'_{S5}),$$

$$C_{S_R} = 2\mathcal{N}_{CC} (c_{LR4} + \hat{c}_{LR8}),$$

$$C_T = -\mathcal{N}_{CC} (c'_{S2} + \hat{c}'_{S6}),$$

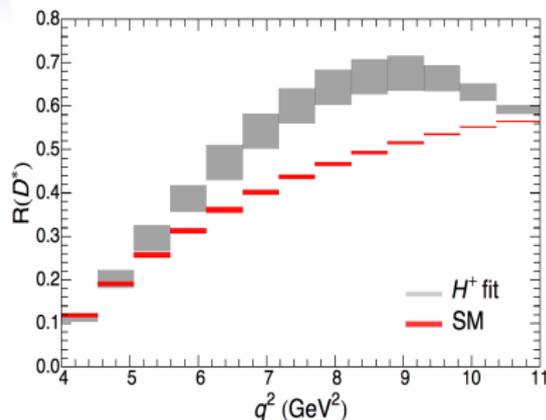
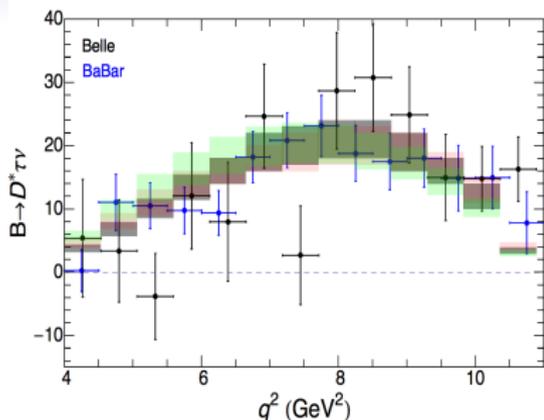
where  $\mathcal{N}_{CC} = \frac{1}{2V_{cb}} \frac{v^2}{\Lambda^2}$ ,  $C_L = 2c_{LL2} - \hat{c}_{LL6} + \hat{c}_{LL7}$  and  $\hat{C}_R = -\frac{1}{2}\hat{c}_{Y4}$ .

# The differential distributions $d\Gamma(B \rightarrow D^{(*)}\tau\nu)/dq^2$



- Data stat. uncertainties only, BaBar rescaled
- Bands 68% CL (bins highly correlated):
  - Grey: NP fit including  $R(D)$
  - Red: SM fit (distributions only)
  - Green: Allowed by  $R(D)$ , excluded by distribution
- Need better experimental precision, ideally  $dR(D)/dq^2$
- Parts of NP parameter space clearly excluded

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- Need better experimental precision, ideally  $dR(D^*)/dq^2$
- Not very restrictive at the moment

## Gauging BGL models

- BGL via discrete symmetries yields accidental  $U(1)$
- Scalars disfavoured as solution for  $b \rightarrow s$  anomalies
- ➡ Idea: Gauge BGL models! [[Celis/Fuentes-Martín/MJ/Serôdio](#)]

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Require  $U(1)_{\text{BGL}}$  to be anomaly-free: **5 non-linear conditions**

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Most general charges: arbitrary  $X_{\ell L,R}$  with  $\ell = e, \mu, \tau$

- ➔ Highly non-trivial system to solve, only **one** class of solutions!
- ➔ 1 physical free charge  $\rightarrow X_{\phi_2} \equiv 0$ , 6 permutations

Patterns in quark sector **imply** (independent of charge choice):

1. Lepton-flavour non-universality
2. Lepton-flavour conservation

## Scalar sector of the $U(1)'_{\text{BGL}}$ model

Higgs sector has 2 doublets  $\Phi_i$  and 1 complex singlet  $S$ :

- vev for  $S$  ( $v_S$ ) yields  $U(1)'$  breaking
  - ➡  $v_S/v \gg 1 \Rightarrow$  characterizes scalar sector
- Parameters: 10 dof  $\Rightarrow$  6 scalars, 4 massive Goldstone bosons
- Spectrum:  $H_{1,2,3}, H^\pm, A, M_{H_1} \sim v, M_{H^\pm, H_{2,3}, A} \sim v_S$
- Potential CP-invariant because of  $U(1)'$
- Spontaneous CP violation is also absent
- $H_3$  couplings additionally suppressed by  $v/v_S$

Phenomenology:

- BGL structure in 2HDMs viable for  $M \sim \text{few} \times 100 \text{ GeV}$   
[Botella+'14, Batthacharya+'14]
- Here scalars mostly decoupling  $\Rightarrow$  Higgs measurements fine
- Basically one constraint from flavour:  $B_{d,s} \rightarrow \mu^+ \mu^-$ 
  - ➡ Uncorrelated to  $Z'$  constraints

## Gauging BGL models - including leptons

Most general charges: arbitrary  $X_{\ell L,R}$  with  $\ell = e, \mu, \tau$

Anomaly conditions from 5 combinations:

- Linear:  $U(1)'[SU(2)_L]^2$ ,  $U(1)'[U(1)_Y]^2$ ,  $U(1)'[(\text{gravity})]^2$
- Quadratic:  $[U(1)']^2 U(1)_Y$
- Cubic:  $[U(1)']^3$
- ➡ Highly non-trivial system to solve, only **one** class of solutions!
- ➡ Involves one free charge (physical choice) with 6 permutations
- ➡ Here:  $X_{\phi_2} \equiv 0 \Rightarrow Z - Z'$  mixing suppressed ( $\tan \beta \gg 1$ )

Patterns in quark sector **imply** (independent of charge choice):

1. Lepton-flavour non-universality
2. Lepton-flavour conservation

## Anomaly-free top-BGL implementation [Slide from J. Fuentes-Martín]

$$\psi^0 \rightarrow e^{i\mathcal{X}^\psi} \psi^0$$

Only one class of models (with  $X_{\phi_2}$  and  $X_{dR}$  free parameters)

$$\mathcal{X}_L^q = \text{diag} \left( -\frac{5}{4}, -\frac{5}{4}, 1 \right) \quad \mathcal{X}_R^u = \text{diag} \left( -\frac{7}{2}, -\frac{7}{2}, 1 \right)$$

$$\mathcal{X}_R^d = \mathbb{1}$$

$$\mathcal{X}_L^\ell = \text{diag} \left( \frac{9}{4}, \frac{21}{4}, -3 \right) \quad \mathcal{X}_R^e = \text{diag} \left( \frac{9}{2}, \frac{15}{2}, -3 \right)$$

$$\mathcal{X}^\Phi = \text{diag} \left( -\frac{9}{4}, 0 \right)$$

- $X_{dR} = 1$ , unphysical normalization. But it also normalizes  $g'$ !
- $X_{\phi_2} = 0$  to avoid large  $Z - Z'$  mass mixing (for large  $t_\beta$ )
- Six possible model variations  $(e, \mu, \tau) = (i, j, k)$

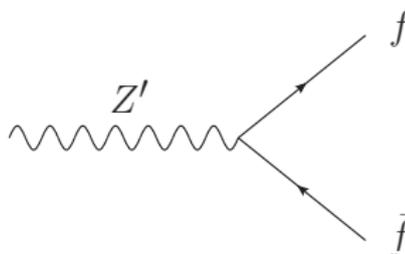
## $Z'$ couplings of the $U(1)'_{\text{BGL}}$ model

Mass eigenbasis:

- Couplings to  $u_L, u_R, d_R$ : diagonal and 2-family universal (1,2)
- Couplings to  $\ell_L, e_R$ : diagonal and family-non-universal
- Couplings to  $d_L$ :

$$\tilde{\chi}_L^d = -\frac{5}{4}\mathbb{1} + \frac{9}{4} \begin{pmatrix} |V_{td}|^2 & V_{ts}V_{td}^* & V_{tb}V_{td}^* \\ V_{td}V_{ts}^* & |V_{ts}|^2 & V_{tb}V_{ts}^* \\ V_{td}V_{tb}^* & V_{ts}V_{tb}^* & |V_{tb}|^2 \end{pmatrix}$$

Controlled  $Z'$ -mediated FCNCs:



The diagram shows a wavy line labeled  $Z'$  on the left, which splits into two straight lines on the right. The upper straight line is labeled  $f$  and has an arrow pointing to the right. The lower straight line is labeled  $\bar{f}$  and has an arrow pointing to the left.

$$= g' \gamma^\mu \left( \tilde{\chi}_L^f P_L + \tilde{\chi}_R^f P_R \right)$$

## Phenomenological consequences - Generalities

What can we say without a detailed analysis?

- Strong direct limits  $\Rightarrow$  potential  $Z'$  is very heavy  
 $M_{W'}^2/M_{Z'}^2 \lesssim 0.1\%$
- $\Rightarrow$  Most observables are **unaffected!**
- $\Rightarrow$  Effects only for SM suppression *in addition to*  $G_F + CKM$   
EW penguin decays, mixing, CP violation, leptonic decays, ...
- $Z'$  gives the dominant NP effect almost everywhere

A bit more detail:

- UT analysis basically unaffected (exceptions  $\epsilon_K$  and  $\Delta m_{d,s}$ ,  
but  $\Delta m_d/\Delta m_s = \Delta m_d/\Delta m_s|_{SM}$ )
- $\Delta m_d, \Delta m_s, \epsilon_K$  give similar bounds.

From  $\Delta m_s$  :  $M_{Z'}/g' \geq 16 \text{ TeV}$  (95% CL)

Improvement here just depends on LQCD!

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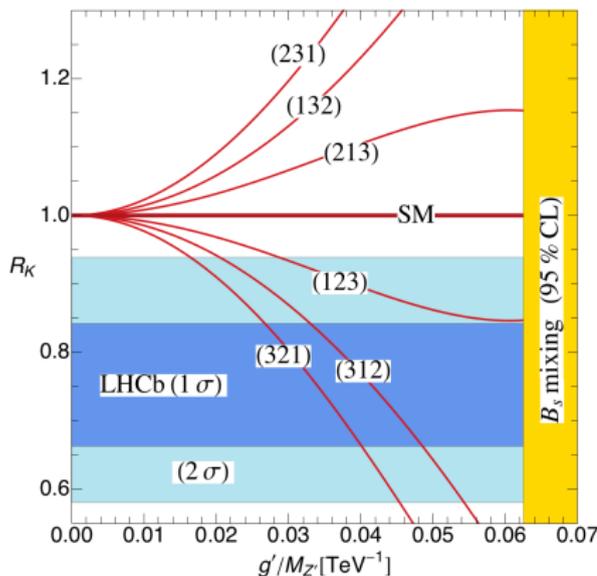
From  $\Delta m_s$  :  $M_{Z'}/g' \geq 25 \text{ TeV}$  (95% CL)

Improvement here **thanks to** LQCD! [Bazazov+'16, see Aida's talk]

## $R_K$ and its sisters

$$R_M^q \equiv \frac{\text{Br}(B_q \rightarrow \bar{M}\mu^+\mu^-)}{\text{Br}(B_q \rightarrow \bar{M}e^+e^-)} \quad M \in \{K, K^*, X_s, \phi, \dots\}, \quad q = u, d, s$$

Note:  $R(X_s) = 0.42 \pm 0.25$  (Belle)  $0.58 \pm 0.19$  (BaBar)  
 (but not a consistent picture [cf. Hiller/Schmaltz'15])



Model	$C_9^{\text{NP}\mu}(1\sigma)$	$C_9^{\text{NP}\mu}(2\sigma)$
(1,2,3)	–	$[-2.92, -0.61]$
(3,1,2)	$[-0.93, -0.43]$	$[-1.16, -0.17]$
(3,2,1)	$[-1.20, -0.53]$	$[-1.54, -0.20]$

Fits  $B \rightarrow K^* \mu^+ \mu^-$  ✓

Furthermore:

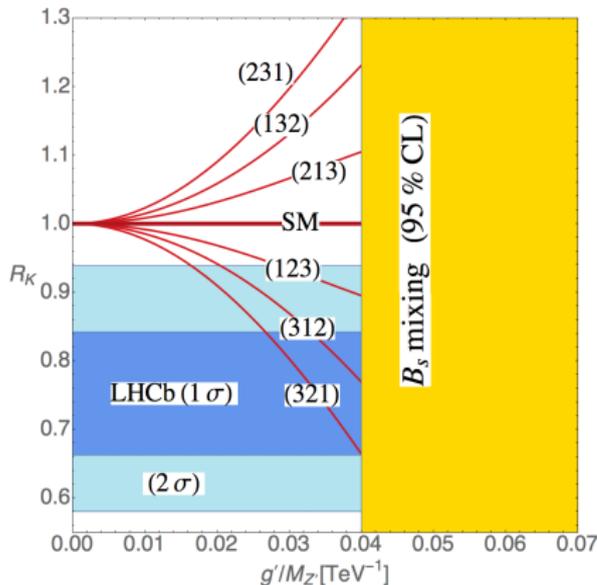
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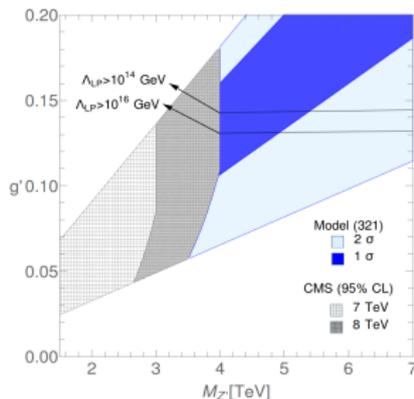
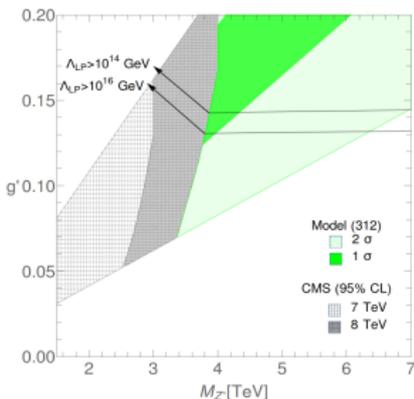
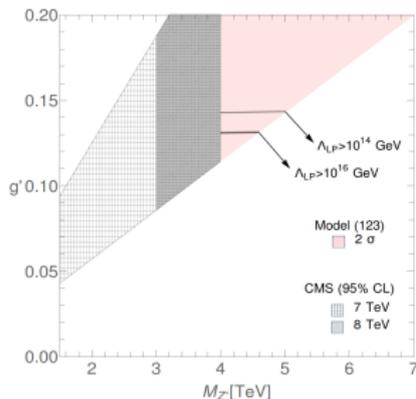
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# Combination with direct searches and perturbativity

Obvious way to search for  $Z'$ :  $\sigma(pp \rightarrow Z'(\rightarrow f\bar{f})X)$

Strong semi-model-independent limits from ATLAS and CMS:

[Carena+'04,Accomando+'11,ATLAS'12,'14,CMS'12,'15]



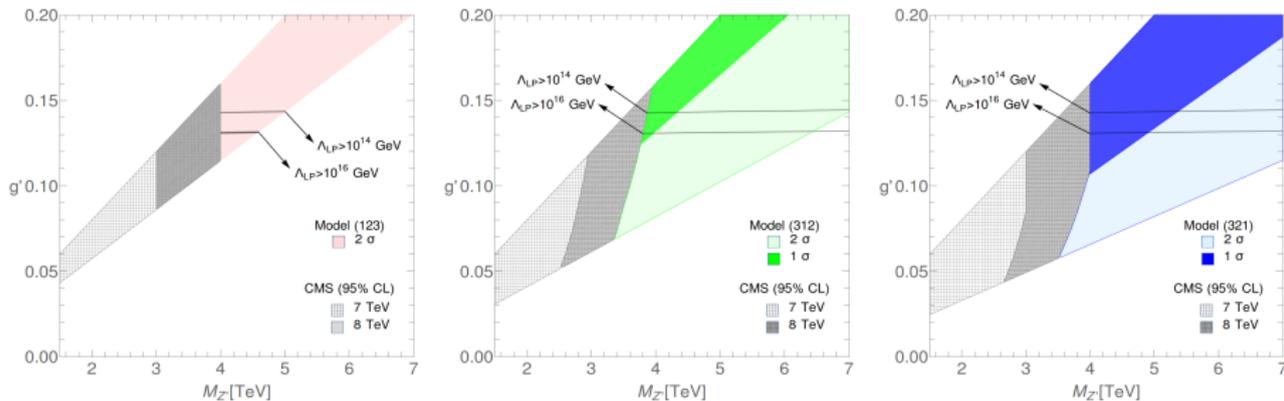
- 2.5 models survive all constraints,  $M_{Z'} \geq 3 - 4$  TeV
- Strong upper bound on one model from perturbativity
- Differentiable from each other and different models:
  - (i) Flavour (LNU vs. FCNC)
  - (ii)  $\mu_{ff'} = \sigma(Z' \rightarrow f\bar{f})/\sigma(Z' \rightarrow f'\bar{f}')$

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  - (i) Flavour (LNU vs. FCNC)
  - (ii)  $\mu_{ff'}$  =  $\sigma(Z' \rightarrow f\bar{f})/\sigma(Z' \rightarrow f'\bar{f}')$

## Details on direct searches

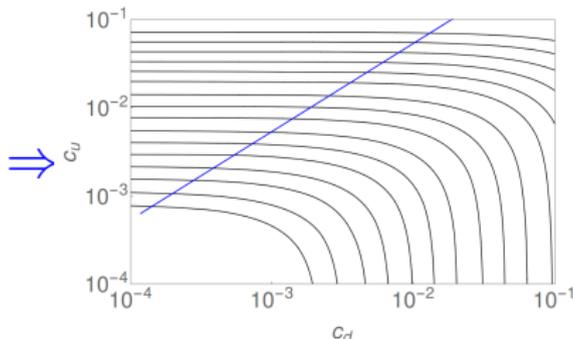
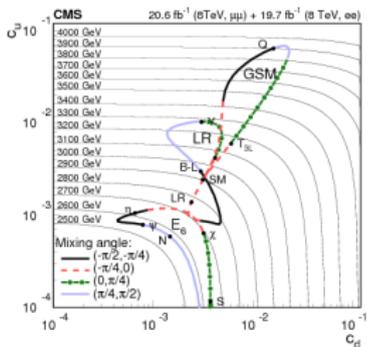
Approximation for NWA, negligible SM interference and flavour-universal quark couplings:

$$\sigma = \frac{\pi}{48s} \left[ c_u^f w_u(s, M_{Z'}^2) + c_d^f w_d(s, M_{Z'}^2) \right]$$

$$c_{u,d}^f \simeq g'^2 \left( X_{qL}^2 + X_{(u,d)R}^2 \right) \text{Br}(Z' \rightarrow f\bar{f})$$

Applicable for  $g' \leq 0.2!$

➡ First two generations dominate and couple universally  
 CMS model-independent bounds: [CMS-EXO-12-061]



# Correlations among the effective operators $\mathcal{O}_{9,10}^{\ell}$

Model	$C_{10}^{\text{NP}\mu} / C_9^{\text{NP}\mu}$	$C_9^{\text{NP}e} / C_9^{\text{NP}\mu}$	$C_{10}^{\text{NP}e} / C_9^{\text{NP}\mu}$
(1,2,3)	3/17	9/17	3/17
(1,3,2)	0	-9/8	-3/8
(2,1,3)	1/3	17/9	1/3
(2,3,1)	0	-17/8	-3/8
(3,1,2)	1/3	-8/9	0
(3,2,1)	3/17	-8/17	0

## BR measurements and isospin violation

Isospin asymmetries test NP with  $\Delta I = 1, 3/2$  (e.g.  $b \rightarrow s\bar{u}u$ )

Again: relevant due to high precision and small NP

Branching ratio measurements require normalization. . .

- $B$  factories: depends on  $\Upsilon \rightarrow B^+B^-$  vs.  $B^0\bar{B}^0$
- LHCb: normalization mode, usually obtained from  $B$  factories

Assumptions entering this normalization:

- PDG: assumes  $r_{+0} \equiv \Gamma(\Upsilon \rightarrow B^+B^-)/\Gamma(\Upsilon \rightarrow B^0\bar{B}^0) \equiv 1$
- LHCb: assumes  $f_u \equiv f_d$ , uses  $r_{+0}^{\text{HFAG}} = 1.058 \pm 0.024$

Both approaches problematic: [MJ'16 [1510.03423]]

- Potential large isospin violation in  $\Upsilon \rightarrow BB$  [Atwood/Marcano'90]
- Measurements in  $r_{+0}^{\text{HFAG}}$  assume isospin in exclusive decays

➡ This is one thing we want to test!

➡ Avoiding this assumption yields  $r_{+0} = 1.027 \pm 0.037$

➡ Isospin asymmetry  $B \rightarrow J/\psi K$ :  $A_I = -0.009 \pm 0.024$

Improvement necessary for high-precision BRs

➡  $B \rightarrow J/\psi K$  can be used to determine  $f_u/f_d$ !