# DD- $\alpha$ AMG on QPACE 2 : A case study 

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arXiv:1412.2629, 1512.04506, 1601.03184, Lattice 2016 (work done by Peter Georg, Simon Heybrock, Daniel Richtmann)

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- objective: use an iterative method to solve Dirac equation

$$
D u=f
$$

- condition number of $D$ increases like $(a m)^{-1}$
$\rightarrow$ use a preconditioner $M$ with $M^{-1} \approx D^{-1}$
- using

$$
D M^{-1} M u=D M^{-1} v=f
$$

we solve for $v$ with preconditioned matrix $D M^{-1}$ (smaller condition number) and obtain $u=M^{-1} f$

- error $e_{n}=u^{*}-u_{n}$ can formally be written as a linear combination of the eigenmodes of $D$
$\rightarrow$ find a preconditioner that reduces the contributions of these eigenmodes to the error
- adaptive multigrid appears to be the method of choice to precondition $D$ for $a, m \rightarrow 0 \quad$ Brannick et al. 0707.4018, Babich et al. 1005.3043
- we use the Wuppertal version (DD- $\alpha$ AMG)

```
Frommer et al. 1303.1377, github.com/DDalphaAMG
```

- domain-decomposition (DD) based smoother reduces contributions of high modes to error
- coarse-grid correction (CGC) reduces contributions of low modes
- relation to inexact deflation (Lüscher 0706.2298) understood
- optimized implementation of Wuppertal code on QPACE 2
- work done mostly by Simon Heybrock, Daniel Richtmann, Peter Georg

with support from Matthias Rottmann
- currently only 2-level MG is optimized (sufficient for our current lattices)
- multi-level MG will be optimized in near future (needed for future lattices)
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- communication
- within node via PCle (8 GB/s between each KNC and PCle switch)
- out of node via Infiniband ( $13.5 \mathrm{~GB} / \mathrm{s}$ per node)


## QPACE 2: System design

rack design:

- standard 19" rack (height 42U)
- 64 bricks ( 256 KNCs) in 24U
- rest for PSUs, switches (4x IB and 3x GigE), management/login server
- 310 TFlop/s DP peak per rack (KNCs only) at $\sim 75 \mathrm{~kW}$


- QPACE 2 uses version 7120X: 61 cores @ 1.238 GHz
- 512-bit wide SIMD units (one per core)
- 16 GB memory ( $170 \mathrm{~GB} / \mathrm{s}$ sustained)
- 512 kB private L2 cache per core (unified with distributed tag directory)
- peak DP performance 1.2 TFlop/s
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- Dirac operator is Wilson clover
- lattice volume $V$ is divided into $N_{\text {block }}$ blocks with $V_{\text {block }}=V / N_{\text {block }}$
- each block consists of two aggregates that contain the left- and right-handed spinor components, respectively
- outer solver: FGMRES with deflated restarts Frommer et al. 1204.5463
- MG method consists of two parts
- setup
- solve (application of MG preconditioner in every FGMRES iteration)

Algorithm 1: MG preconditioner (V-cycle)
Input: right-hand side $y$
Output: approximate solution $x$ of $D x=y$
1 apply coarse-grid correction to $y$ (Alg. 2)
2 apply smoother to $y$, with result from coarse-grid correction as starting guess (Alg. 3)
3 set $x$ to result of smoother


## Algorithm 2: Coarse-grid correction

Input: right-hand side $y$
Output: approximate solution $x$ of $D x=y$
1 restrict vector $y$ from fine to coarse grid:

$$
y_{c}=R y
$$

2 Coarse-grid solve to low precision using FGMRES with even/odd preconditioning:

$$
x_{c} \approx D_{c}^{-1} y_{c}
$$

3 prolongate solution vector from coarse to fine grid:

$$
x=P x_{c} \quad \text { with } \quad P=R^{\dagger}
$$

- $x$ should approximate low-mode content of true solution
- this is accomplished by a suitable prolongation operator $P$ (computed in setup phase), which also determines $D_{c}=R D P$


## Algorithm 3: Smoother (DD)

Input: right-hand side $y$, starting guess $x^{(0)}$
Output: approximate solution $x^{(v)}$ of $D x=y$
1 split lattice into blocks
2 write $D=B+Z$ with $B=$ couplings within blocks and $Z=$ couplings between blocks
3 for $n=1$ to $v$ do
4

$$
x^{(n)}=x^{(n-1)}+B^{-1}\left(y-D x^{(n-1)}\right) \quad / / \text { simplified; in practice SAP is used }
$$

- output should approximate high-mode content of true solution
- inversion of $B$ done by minimal residual (MR)
- choose block size so that block solve runs from cache
- even/odd preconditioning on blocks
- empirical observation: the $O(V)$ low Dirac eigenmodes are locally coherent
- aim of MG setup: construct a subspace (of dimension $N_{\text {tv }}$ ) that approximates the "near-null space"
- define a set of test vectors $\left\{v_{j}\right\}\left(j=1, \ldots, N_{\mathrm{tv}}\right)$
- start with random vectors and apply an iterative process through which high-mode components are successively damped
- setup is expensive and can dominate execution time if only few solves are done
- important to optimize setup
- for case of few solves, could make setup less optimal
$\rightarrow$ setup cheaper, solve more expensive, faster overall Osborn 1011.2775

source: Matthias Rottmann


## Algorithm 4: MG setup

Input: none
Output: restriction operator $R$ and coarse-grid operator $D_{c}$
// Initial setup:
1 set $N_{\text {tv }}$ test vectors to random starting vectors
2 for $k=1$ to 3 do
update each test vector by applying smoother with $v=k$, with starting guess 0
4 setup of restriction and coarse-grid operator (Alg. 5)
5 normalize the test vectors
// Iterative refinement:
6 for $i=1$ to $N_{\text {setup }}$ do
7 update each test vector by applying V-cycle (Alg. 1) setup of restriction and coarse-grid operator (Alg. 5)

## Algorithm 5: Setup of restriction and coarse-grid operator

Input: test vectors $\left\{v_{j}\right\}$
Output: restriction operator $R$ and coarse-grid operator $D_{c}$
// Setup of restriction operator:
1 for $i=1$ to $N_{\text {block }}$ do
foreach $h=\ell, r$ do
set $R_{i}^{h}$ to $N_{\mathrm{tv}} \times 6 V_{\text {block }}$ matrix having in its rows the vectors $v_{j}^{\dagger}$ restricted to aggregate $A_{i}^{h}$
run Gram-Schmidt on the rows of $R_{i}^{h}$
// Setup of coarse-grid operator:
5 compute

$$
D_{c}=R D R^{\dagger}
$$

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- select most suitable algorithm for given hardware
- adapt data layout to make optimal use of hardware (cache and vector units) and to minimize data movement (memory and network)
- identify main contributors to wall-clock time and optimize them
- vectorization
- cache management and prefetching
- intra-core threading
- inter-core parallelization
- multi-node implementation
- some extra tricks
- half-precision storage (for some objects)
- multiple RHS
- communication latency hiding
- software prefetching
- SIMD implementation
- originally based on intrinsics for Intel compiler
- now same API for intrinsics and auto-vectorization by compiler
- real and imaginary parts are not mixed in same register
- threading
- lattice is decomposed into pieces that are assigned to individual threads
- done with OpenMP
- we use persistent threads with synchronization points (better performance than fork-join)
- multi-node communication
- originally based on Intel MPI
- now being replaced by high-performance comms library pMR (Peter Georg)
- before working on DD- $\alpha$ AMG, Simon Heybrock developed optimized DD-based solver for 512-bit SIMD/KNC
- used as smoother on fine grid in DD- $\alpha$ AMG
- vectorization by site-fusing (combine several lattice sites in SIMD unit)
- SOA data layout (domain boundary data also in AOS)
- https://rqcd.ur.de:8443/hes10653/mic-qcd-solver
- remaining parts of DD- $\alpha \mathrm{AMG}$ are easier to vectorize:
- number of components that can be treated on same footing contains factor of $N_{\mathrm{tv}}$ (on fine grid) or $2 N_{\mathrm{tv}}$ (on coarse grid)
- choose this factor to be an integer multiple of SIMD length $N_{\text {SIMD }}$ $\rightarrow$ perfect use of SIMD unit
- if not an integer multiple, part of SIMD unit is wasted in last iteration
- when multiple RHS are present: better to vectorize over RHS (requires change in data layout)
- 2-level DD- $\alpha$ AMG fully vectorized
- most optimizations completed
- multiple RHS implemented in iterative setup phase (coarse grid)
- several improvements in comms
- threading of copying from/to comm buffers
- MRHS implementation leads to fewer and larger messages
- MPI replaced by pMR for halo exchange on coarse grid
- DD-preconditioning of FGMRES on coarse levels
- multiple RHS for smoother in setup phase
- vectorization over test vectors
- multiple RHS for smoother on fine grid (for analysis)
- vectorization over RHS
- optimized DD-based smoother on coarse levels (for multi-level algorithm)
- SRHS: vectorization over test vectors
- MRHS: vectorization over RHS
- further optimization of comms
- pMR also for global sums
- replace all performance-relevant MPI calls by pMR calls (MPI could be still be used as a provider within pMR)
- How to optimally map coarse grid(s) to machine partition?


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- main idea:

Schwarz (1870), Lüscher hep-lat/0310048

- subdivide lattice into domains and reorder indices $\rightarrow$ block-diagonal + rest
- inversion only on domains (no communication required, ideally from cache)
- rest (application of $R$ ) needs comms but does not occur frequently
$\rightarrow$ less communication, better latency tolerance, more cache reuse
- goals:
- avoid loading cache lines that are only partially needed
- use all SIMD elements
- avoid instruction overhead due to permutations (for complex arithmetic)
- our solution:
- structure-of-array (SOA) format, i.e., all 24 floating-point components of a spinor are stored in 24 separate registers and cache lines
- this leads to "site fusing": 16 lattice sites in one 512-bit register (for SP) in our case: $4 \times 4$ sites per register in $x$ and $y$ direction
- computation of hopping terms:
- straightforward in $z$ and $t$ direction
- in $x$ and $y$, use permute/mask $\rightarrow$ wastes $12.5 \%(25 \%)$ of SIMD units in $x(y)$
- in site-fused dimensions, hopping terms between domains would give large overhead:
- need to load cache line with neighbor's boundary data
- but this cache line contains extra data that are not needed
$\rightarrow$ additionally store boundary data in array-of-structure (AOS) format
- permuting/masking:

- repacking of boundary data:

- one domain per core since L2 is not shared
- cache size ( $512 \mathrm{kB} /$ core) restricts domain size to $8 \times 4^{3}$ (in SP)
- KNC can do up/down-conversion between half/single on load/store
$\rightarrow$ store (some) domain data in half precision
$\rightarrow$ reduced working set and reduced bandwidth requirements
- to ensure stability, spinors are kept in single precision
- gauge links and clover matrices in half precision
- no noticeable impact on iteration count of outer solver
- prefetching:
- no L1 hardware prefetcher
- L2 hardware prefetcher only for streaming access
- compiler-generated software prefetches often not good enough
- manual L1 and L2 prefetches essential (using intrinsics)
- fine-tuning of prefetches rather time-consuming
- need at least two threads per core for full pipeline utilization
- we assign threads to alternating time slices within domain
- we see no significant differences between two or four threads per core:
- two threads: more stalls due to latency of L1 or L2 misses
- four threads: working set exhausts L1 size
$\rightarrow$ threads evict each other's data more frequently
- using OpenMP
- recall: one domain per core
- synchronization between cores only necessary after MR block solve (MR = inversion on domains)
$\rightarrow$ cost of barrier has no significant impact
- load-balancing issues with standard lattice sizes $\left(2^{n}\right)$ on 60 cores (some cores would be unused)
- simple issue but significant impact on performance
- possible workarounds:
- use prime factors of 3 and 5 in lattice sizes (for new lattices)
- non-uniform partitioning of the lattice example: processors with 6 cores each, $4 \times 16$ lattice

- could have each thread issue its own MPI calls, but:
- typically high overhead for MPI calls from several threads
- message sizes too small for efficient network utilization
- better:
- combine surface data of all domains and communicate them using a single thread
- needs explicit on-chip synchronization
- hiding communication behind computation is important (even for DD)
- standard method (divide local volume into interior and surface) does not work for us since most domains would be on the surface
- instead, send boundary data when half of them are ready
- boxes represent domains, numbers represent order of execution, small letters represent order of communication
- bad:

good:

- linear representation:

- theoretical performance model predicts $56 \%$ of peak $=20$ GFlop/s/core
- actual MR performance $\sim 12$ GFlop/s on single core main culprit (VTune): stalls due to outstanding L1 prefetches
- optimal number of MR iterations (for minimal time-to-solution) is only $4 \sim 5$
$\rightarrow$ other parts of Schwarz method contribute significantly
$\rightarrow \sim 8$ GFlop/s/core
- single-core performance in GFlop/s:

|  | MR iteration |  | DD method |  |
| :--- | :---: | ---: | :---: | :---: |
|  | single | half | single | half |
| no software prefetching | 5.4 | 7.9 | 4.1 | 5.9 |
| L1 prefetches | 9.2 | 11.8 | 5.8 | 7.7 |
| L1+L2 prefetches | 9.1 | 11.8 | 6.3 | 8.4 |



- almost perfect scaling (except for load imbalance):
- cores can work independently during MR inversion
- almost no competition for memory access since MR runs from cache

- $m_{\pi}=290 \mathrm{MeV}, 150 \mathrm{MeV}$ (QCDSF), SU(3) point ( $\sim 800 \mathrm{MeV}$ ) (USQCD)
- results normalized to minimum time-to-solution for non-DD solver (BiCGstab)
- DD strong-scales to more nodes (also better for equal number of nodes)
- performance drop for large number of nodes:
- overlapping communication with computation becomes harder/impossible
- message sizes are smaller $\rightarrow$ less efficient network communication
- max. number of nodes is determined by local volume:
- if domains too small $\rightarrow$ DD less efficient
- we don't split domains over cores (no shared L2)


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- compute $y_{c}=R y$ with $R=\operatorname{diag}\left(R_{1}^{\ell}, R_{1}^{r}, \ldots, R_{N_{\text {block }}}^{\ell}, R_{N_{\text {block }}}^{r}\right)$
- $\operatorname{dim}\left(R_{i}^{\ell, r}\right)=N_{\mathrm{tv}} \times 6 V_{\text {block }} \rightarrow$ vectorize over row index:

Algorithm 6: SIMD implementation of $R y$
1 for $i=1$ to $N_{\text {block }}$ do
$2 \quad$ foreach $h=\ell, r$ do
set $\left(y_{c}\right)_{i}^{h}=0$ in SIMD vectors (real and imaginary part) $/ / \operatorname{dim}\left(y_{c}\right)_{i}^{h}=N_{\mathrm{tv}}$ for $n=1$ to $6 V_{\text {block }}$ do
// work on aggregate $A_{i}^{h}$
load real and imaginary part of column $n$ of $R_{i}^{h}$ into SIMD vectors broadcast real and imaginary part of corresponding element of $y$ into SIMD vectors increase $\left(y_{c}\right)_{i}^{h}$ by complex fused multiply-add (corresponding to 4 real SIMD fmadds)
write $\left(y_{c}\right)_{i}^{h}$ to memory

- row index of $R_{i}^{h}$ runs in SIMD vector (latter contains column of $R_{i}^{h}$ if $N_{\text {tv }}=N_{\text {SIMD }}$ )

$$
\left(\left(y_{c}\right)_{i}^{h}\right)_{m}=\sum_{n}\left(R_{i}^{h}\right)_{m n}\left(y_{i}^{h}\right)_{n} \quad\left\{\begin{array}{l}
h=\ell, r \\
i=1, \ldots, N_{\text {block }} \\
m=1, \ldots, N_{\text {tv }} \\
n=1, \ldots, 6 V_{\text {block }}
\end{array}\right.
$$

## SIMD FMA:

| $\left(\left(y_{c}\right)_{i}^{h}\right)_{1}$ |  |
| :---: | :---: | :---: |
| $\left.\left(y_{c}\right)_{i}^{h}\right)_{2}$ |  |
| $\left.\left(y_{c}\right)_{i}^{h}\right)_{3}$ |  |
|  | $\left.+=\begin{array}{\|c\|c\|}\left(R_{i}^{h}\right)_{1 n} \\ \hline & \left(R_{i}^{h}\right)_{2 n} \\ \hline & \left(R_{i}^{h}\right)_{3 n} \\ \hline & \\ \hline & \\ \hline & \\ \hline\end{array} y_{i}^{h}\right)_{n}$ |

- similar to restriction but with $R \rightarrow P=R^{\dagger}$
- aspect ratio of rectangular matrix is reversed $\rightarrow$ now column index of $P_{i}^{\ell / r}$ (= row index of $R$ ) runs in SIMD vector
- at the end, require additional sum over elements in SIMD vector $\rightarrow$ prolongation somewhat less efficient than restriction
- $D_{c}=R D P$ in detail:

$$
\left(\begin{array}{ll}
D_{c}^{\ell \ell} & D_{c}^{\ell r} \\
D_{c}^{r \ell} & D_{c}^{r r}
\end{array}\right)_{i j}=\left(\begin{array}{cc}
R_{i}^{\ell} & 0 \\
0 & R_{i}^{r}
\end{array}\right)\left(\begin{array}{cc}
D_{i j}^{\ell \ell} & D_{i j}^{\ell r} \\
D_{i j}^{r \ell} & D_{i j}^{r r}
\end{array}\right)\left(\begin{array}{cc}
P_{j}^{\ell} & 0 \\
0 & P_{j}^{r}
\end{array}\right)
$$

- $i$ and $j$ are equal or nearest neighbors, and run from 1 to $N_{\text {block }}$
- $\left(D_{c}\right)_{i j}$ computed for $i=j$ and forward neighbors
- for backward neighbors use $\left(D_{c}\right)_{j i}^{h h}=\left(D_{c}\right)_{i j}^{h h \dagger}$ and $\left(D_{c}\right)_{j i}^{h h^{\prime}}=-\left(D_{c}\right)_{i j}^{h^{\prime} h \dagger}\left(h \neq h^{\prime}\right)$
- $D_{c}$ is stored in half precision (reduces memory capacity and bandwidth requirements, no impact on algorithmic performance)
- we also store $\left(D_{c}\right)_{j i}$ since transpose is expensive in SIMD
- first compute $D_{i j}^{h h^{\prime}} P_{j}^{h^{\prime}}$, i.e., sparse matrix applied to multiple vectors (the $N_{\mathrm{tv}}$ columns of $P_{j}^{h^{\prime}}$ ) $\rightarrow$ vectorize over column index (Alg. 7)
- application of $R$ to result $=$ restriction with multiple RHS $\left(\# \mathrm{RHS}=N_{\mathrm{tv}}\right)$ $\rightarrow$ vectorize over RHS

Algorithm 7: SIMD implementation of $D_{i j}^{h h^{\prime}} P_{j}^{h^{\prime}}$
1 for $x \in$ block $i$ do
set output $=0$ in SIMD vectors (real and imaginary parts)
foreach $\mu \in\{ \pm 1, \pm 2, \pm 3, \pm 4\}$ do
if $x+\hat{\mu} \in$ block $j$ then
load real and imag. parts of the 6 rows of $P_{j}^{h^{\prime}}$ corresponding to $x+\hat{\mu}$ into SIMD vectors broadcast real and imag. parts of the 9 elements of $\operatorname{SU}(3)$ link $U_{\mu}(x)$ into SIMD vectors increase output by complex fmadd $\left(1+\gamma_{\mu}\right)^{h h^{\prime}} U_{\mu}(x)^{\dagger} P_{j}^{h^{\prime}}(x+\hat{\mu})$
if $i=j$ and $h=h^{\prime}$ then
load real and imaginary parts of the 6 rows of $P_{i}^{h}$ corresponding to $x$ into SIMD vectors
broadcast real and imaginary parts of the clover matrix elements $C^{h h}(x)$ into SIMD vectors increase output by complex fmadd $C^{h h}(x) P_{i}^{h}(x)$

$$
\left(\left(y_{c}\right)_{i}^{h}\right)_{m}^{(j)}=\sum_{n}\left(R_{i}^{h}\right)_{m n}\left(y_{i}^{h}\right)_{n}^{(j)} \quad\left\{\begin{array}{l}
h=\ell, r \\
i=1, \ldots, N_{\text {block }} \\
m=1, \ldots, N_{\text {tv }} \\
n=1, \ldots, 6 V_{\text {block }} \\
j=1, \ldots, \text { RHS }
\end{array}\right.
$$

## SIMD FMA:



- $\left(D_{c}\right)_{i j} \neq 0$ only if $i$ and $j$ are equal or nearest neighbors then $\left(D_{c}\right)_{i j}$ is dense and stored in memory
- $\operatorname{dim}\left(D_{C}\right)_{i j}=2 N_{\text {tv }}$
- vectorization can be done as in the restriction (but different approach/ data layout depending on whether we have SRHS or MRHS)
- needed to orthonormalize rows of $R_{i}^{h}$
- we do not use modified Gram-Schmidt:
- classical Gram-Schmidt easier to vectorize, and needs fewer globals sums
- stability of Gram-Schmidt process not an issue in preconditioner
- we use block Gram-Schmidt method
- obtains better cache reuse and thus saves memory bandwidth
- vectorization done as before: merge same components of the $N_{\mathrm{tv}}$ test vectors in the SIMD vectors
- disadvantage: axpy operations and dot products waste parts (on average one half) of the SIMD vectors
- needed for FGMRES on coarse grid
- SRHS:
- data layout change on coarse grid would be needed to utilize SIMD unit
- this change would propagate to other parts of code
$\rightarrow$ not done since impact on performance is not dominant
- temporary workaround: de-interleave real and imaginary parts on the fly to do SIMD computation
- MRHS: no such issues
- speedup factor w.r.t. original Wuppertal code:

| MG component | Restrict. | Prolong. | $D_{c}$ setup | $\left(D_{c}\right)_{i \neq j}$ | $\left(D_{c}\right)_{i i}$ | GS on aggr. |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| SIMD speedup | 14.1 | 8.6 | 19.7 | 20.2 | 19.5 | 10.8 |

- single core on a single KNC, lattice size $=8^{4}$ (does not fit in cache)

Strong scaling of DD- $\alpha$ AMG (single RHS implementation)


- CLS lattice: $48^{3} \times 96, \beta=3.4, m_{\pi}=220 \mathrm{MeV}, a=0.086 \mathrm{fm}$ (small lattice size chosen intentionally to see breakdown of strong scaling)
- after optimizations, off-chip communication now dominant


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- small message sizes imply inefficient network utilization $\rightarrow$ alleviated by multiple RHS (fewer and larger messages)
- so far: V-cycle applied to test vectors in setup sequentially (SRHS)
$\rightarrow$ message size on coarse grid:

$$
S_{\mu}=\prod_{v=0, v \neq \mu}^{3} \frac{(\text { local lattice })_{v}}{(\text { domain size })_{v}} \cdot \frac{2 N_{\mathrm{tv}}}{2} \cdot 8 \text { Byte }
$$

- now: apply V-cycle to $N_{\text {SIMD }}$ test vectors simultaneously (MRHS)
$\rightarrow$ message size increases by factor of $N_{\text {SIMD }}=16$

network bandwidth between two KNCs in QPACE 2 via FDR InfiniBand
- change in data layout:

- yields more natural mapping to SIMD and performance gains
- BLAS-like linear algebra (e.g., vector adds) vectorized trivially
- de-interleaving overhead eliminated
- no data dependencies of individual entries in registers
$\rightarrow$ reduction operations over elements in register no longer needed
- arithmetic intensity of dense complex matrix-vector multiplication
- SRHS: $\sim 32$ Byte/cycle per core $\rightarrow 2377$ GB/s on KNC
- MRHS: $\sim 2$ Byte/cycle per core $\rightarrow 149$ GB/s on KNC

KNC memory bandwidth is about $170 \mathrm{~GB} / \mathrm{s}$
$\rightarrow$ MRHS no longer memory-bandwidth bound

- fewer calls to barriers $\rightarrow$ less synchronization overhead

| projection operators | 2.9 x |
| :--- | ---: |
| coarse-grid computation | 2.4 x |
| on-chip synchronization | 2.7 x |
| halo exchange | 4.7 x |
| global sums | 10.3 x |
| coarse-grid total | 2.9 x |

- so far, MHRS implemented in coarse-grid solve and projection operators
- MRHS implementation of smoother in progress
- results on 64 KNCs (with parameters tuned for SRHS setup):

- here, copy operations to/from comm. buffers were not threaded yet

- switch from MPI to high-performance communications library pMR for performance-relevant parts
- for details see poster
- persistent, one-sided communication (RDMA)
- written in C++11
- separate code for supported network providers (IB verbs, Linux CMA)
- supports exotic network topologies
- so far only implemented for halo exchange on coarse grid
- check out now from https://rqcd.ur.de:8443/gep21271/pmr will be put on github for contributions


4d halo exchange on 224 KNCs

- red: pMR
- blue: Intel MPI (DAPL, port 1)
- cyan: Intel MPI (DAPL, port 2)
- green: Intel MPI (OFA, one port)
- yellow: Intel MPI (OFA, two ports)

global sum
- red: pMR
- blue: Intel MPI

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- Communications and multiple RHS
(6) From KNC to KNL
(7) Conclusions
- porting has started very recently, so no real results yet (only synthetic benchmarks)
- KNL supports different memory and cluster modes
- MCDRAM: flat, cache, hybrid
- cluster: quadrant, SNC-4 (and others)
- two vector units per core (instead of one)
- memory bandwidth went up by about $2.5 x$
- but only 16GB fast memory (MCDRAM)
- barriers still slow: $\mathrm{O}(10,000)$ cycles for 64 cores with two threads each
- cores can now do hardware prefetching
$\rightarrow$ software prefetching efforts should be eliminated (or reduced?)
- half precision:
- Xeon Phi does not have HP arithmetic instructions, but storing some objects in HP is still beneficial (memory capacity/bandwidth)
- KNC ISA has up/down conversion on load/store, no longer present on KNL
- instead, use combination of AVX-512 intrinsics
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(2) Xeon Phi and QPACE 2
(3) Main elements of DD- $\alpha$ AMG

4. Overview of implementation and optimizations
(5) Details and benchmarks

- DD-based smoother on fine grid
- Coarse-grid correction
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(6) From KNC to KNL
(7) Conclusions
- DD- $\alpha$ AMG is a good target for SIMD architectures
- optimized 2-level implementation on QPACE 2/KNC mostly completed
- most important missing piece: MRHS for smoother
- high-performance comms library pMR
- TBD:
- optimize multi-level implementation
- port to QPACE 3/KNL
- optimal mapping of coarse level(s) to machine partitions


## Induced QCD with two bosonic flavors

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QCDNA 2016, Edinburgh
arXiv:1411.3350, arXiv:1511.08374

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## Strong-coupling expansion

- action of lattice QCD (sum over colors and flavors implied):

$$
\begin{aligned}
S & =S_{\mathrm{YM}}+S_{f} \\
& =-\frac{\beta}{N_{c}} \sum_{p} \operatorname{Retr} U_{p}+\sum_{x}\left[\bar{\psi}_{x} \psi_{x}-\kappa \sum_{ \pm \mu} \bar{\psi}_{x+\mu}\left(1+\gamma_{\mu}\right) U_{x \mu} \psi_{x}\right]
\end{aligned}
$$

with $\beta=2 N_{c} / g^{2}$ and $\kappa=1 /(2 m+8)$

- lattice perturbation theory is an expansion about the $g=0$ limit
- there is another limit about which perturbation theory can be set up:
$g=\infty$ or, equivalently, $\beta=0$


## $\rightarrow$ strong-coupling expansion

- can be done to almost arbitrary orders by computing group integrals (doable since at $\beta=0$ gauge fields appear only linearly, while $U_{p} \sim U^{4}$ )
- leads to novel simulation algorithms
- known since the 1970s
- still of interest in cases where standard Monte Carlo simulations are not possible (e.g., sign problem at $\mu \neq 0$ )


## Induced QCD

- to use the strong-coupling techniques also away from $\beta=0$, one should linearize the plaquette action
- this can be done, e.g., by rewriting the plaquette term as an integral over suitable auxiliary fields to which $U$ couples linearly ("induced QCD")
- several ways to do this have been proposed in the 1980s and 1990s:
- Bander 1983 (auxiliary scalar fields)
- Hamber 1983 (auxiliary Wilson fermions)
- Hasenfratz-Hasenfratz 1992 (like Hamber + 4-fermion interaction)
- Kazakov-Migdal 1993 (adjoint scalars, no YM limit but useful for large $N_{c}$ )
- in the cases that reproduce YM theory in the continuum limit, this requires taking the combined limit $N_{f} \rightarrow \infty$ and $\kappa \rightarrow 0$ such that $N_{f} \kappa^{4}=$ const $\propto \beta$ (with $N_{f}=$ number of auxiliary flavors)
$\rightarrow$ inconvenient (need many auxiliary flavors and extrapolation)
- new idea by Budczies-Zirnbauer (2003) requires only small number of auxiliary bosons and no extrapolation


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## Budczies-Zirnbauer action

- Budczies-Zirnbauer (math-ph/0305058) propose a "designer action"

$$
\begin{aligned}
S_{\mathrm{BZ}}[\varphi, \bar{\varphi}, U]=\sum_{b=1}^{N_{b}} \sum_{ \pm \mathbf{p}} \sum_{j=1}^{4} & {\left[\begin{array}{l}
\mathrm{BZ} \bar{\varphi}_{b, \mathbf{p}}\left(x_{j}^{\mathrm{p}}\right) \varphi_{b, \mathbf{p}}\left(x_{j}^{\mathbf{p}}\right) \\
\\
\left.-\bar{\varphi}_{b, \mathbf{p}}\left(x_{j+1}^{\mathrm{p}}\right) U\left(x_{j+1}^{\mathbf{p}}, x_{j}^{\mathbf{p}}\right) \varphi_{b, \mathbf{p}}\left(x_{j}^{\mathbf{p}}\right)\right]
\end{array} ~\right.}
\end{aligned}
$$

- the $\varphi$ are auxiliary boson fields and carry an (oriented) plaquette index
- $j$ labels the points of the plaquette
- the second term corresponds to the hopping of the $\varphi$ around the plaquette

- integrating out the $\varphi$ yields the weight factor

$$
\omega_{\mathrm{BZ}}[U]=\prod_{p}\left|\operatorname{det}\left(m_{\mathrm{BZ}}^{4}-U_{p}\right)\right|^{-2 N_{b}}
$$

- product is over unoriented plaquettes
- $U_{p} \sim U^{4}$ is the usual product of the four links around the plaquette


## Conventional pure gauge limit

- write weight factor as

$$
\omega_{\mathrm{BZ}}[U] \sim \exp \left\{-2 N_{b} \operatorname{Re} \sum_{p} \operatorname{tr} \log \left(1-\alpha_{\mathrm{BZ}} U_{p}\right)\right\}
$$

with $\alpha_{\mathrm{BZ}}=m_{\mathrm{BZ}}^{-4}$ (allowed range is $m_{\mathrm{BZ}}>1$ and thus $0<\alpha_{\mathrm{BZ}}<1$ )

- expand in small $\alpha_{B Z}$ :

$$
S_{\mathrm{BZ}}^{\mathrm{eff}}[U]=-2 N_{b} \alpha_{\mathrm{BZ}} \sum_{p} \operatorname{Re} \operatorname{tr} U_{p}+O\left(\alpha_{\mathrm{BZ}}^{2}\right)
$$

- this gives the usual Wilson plaquette action if

$$
\alpha_{\mathrm{BZ}} \rightarrow 0, N_{b} \rightarrow \infty \text { such that } \beta=2 N_{c} N_{b} \alpha_{\mathrm{BZ}} \text { fixed }
$$

- however, they can do better


## Nontrivial pure gauge limit for $\mathrm{U}\left(N_{c}\right)$

- first consider $d=2$ and gauge group $U\left(N_{c}\right)$
- then one can prove that in the limit

$$
\alpha_{\mathrm{BZ}} \rightarrow 1
$$

the $B Z$ theory has a continuum limit that coincides with $Y M$ theory, provided that $N_{b}>N_{c}$

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## Proof of continumm limit

- for $N_{b} \geq N_{c}$, weight factor approaches $\delta$-function for $\alpha_{\mathrm{BZ}} \rightarrow 1$

$$
\lim _{\alpha_{\mathrm{BZ}} \rightarrow 1} \frac{\langle f\rangle}{\langle 1\rangle}=f(\mathbb{1}), \quad\langle f\rangle=\int_{G} d U_{p} f\left(U_{p}\right)\left|\operatorname{det}\left(1-\alpha_{\mathrm{BZ}} U_{p}\right)\right|^{-2 N_{b}}
$$

proof by group theory (character expansion) and some algebra

- thus fluctuations from unity are strongly suppressed
$\rightarrow$ diverging correlation length
$\rightarrow$ continuum limit (same reasoning as for Wilson action)
- the key was the minus sign in the exponent (resulting from bosons)
- BZ say that the bound $N_{b} \geq N_{c}$ is optimal:
- $\delta$-function is obtained if all irreps $r$ of the group occur in the character expansion with coefficient $c_{r}=d_{r}$ (Peter-Weyl theorem)
- for $N_{b}<N_{c}$ BZ say some irreps are missing $\rightarrow$ no $\delta$-function
- we will later relax this bound for non-integer $N_{b}$ (we find that irreps are not missing but $c_{r} \neq d_{r}$ )


## Equivalence of continuum limit with YM

- proof by character expansion of the weight function (a class function):

$$
\omega(U)=\sum_{r} c_{r} \chi_{r}(U) \text { with } \quad c_{r}=\int d U \omega(U) \chi_{r}\left(U^{-1}\right)
$$

- for $N_{b} \geq N_{c}+1$, the expansion coefficients have a Taylor expansion in $\left(1-\alpha_{B Z}\right)^{2}$
- in the limit $\alpha_{\mathrm{BZ}} \rightarrow 1$, first nontrivial term leads to boundary-value partition function for a 2-dim. area

$$
\Gamma(\mathcal{U})=\sum_{r} d_{r} \chi_{r}(\mathcal{U}) \exp \left\{-\frac{\mu}{2}\left[\operatorname{Cas}_{2}(r)+\frac{B_{1}}{B_{2}} q(r)^{2}\right]\right\}
$$

with $\mu \sim\left(1-\alpha_{\mathrm{BZ}}\right)^{2}$

- this was the starting point of Witten's combinatorial treatment of 2d YM $\rightarrow$ equivalence established
- $N_{b}=N_{c}$ is a special case (non-renormalizable theory with Cauchy distribution), which does not persist for $d>2$


## From $d=2$ to $d>2$

- proof becomes a conjecture
- increasing the number of dimensions also increases the collective behavior of the link variables (more transverse gluons)
- this enhances the universal properties of the microscopic theory
- thus, if we start with a microscopic theory in the YM universality class for $d=2$, this theory should remain in the YM universality class for $d>2$


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## Spurious sign problem

- the weight factor $\prod_{p}\left|\operatorname{det}\left(m_{\mathrm{BZ}}^{4}-U_{p}\right)\right|^{-2 N_{b}}$ has no sign problem
- however, the action with auxiliary bosons does: $S_{\mathrm{BZ}}$ is generically complex since in

$$
\sum_{b=1}^{N_{b}} \sum_{ \pm \mathbf{p}} \sum_{j=1}^{4} \bar{\varphi}_{b, \mathbf{p}}\left(x_{j+1}^{\mathbf{p}}\right) U\left(x_{j+1}^{\mathbf{p}}, x_{j}^{\mathbf{p}}\right) \varphi_{b, \mathbf{p}}\left(x_{j}^{\mathbf{p}}\right)
$$

the imaginary parts of the terms containing the positively and negatively oriented links do not cancel per configuration, but only after integration

- this can be fixed easily by noting

$$
\begin{aligned}
\left|\operatorname{det}\left(m_{\mathrm{BZ}}^{4}-U_{p}\right)\right|^{2} & =\operatorname{det}\left(m_{\mathrm{BZ}}^{4}-U_{p}\right)\left(m_{\mathrm{BZ}}^{4}-U_{p}^{\dagger}\right) \\
& \sim \operatorname{det}\left(\bar{m}-\left(U_{p}+U_{p}^{\dagger}\right)\right)
\end{aligned}
$$

with $\bar{m}=m_{\mathrm{BZ}}^{4}+m_{\mathrm{BZ}}^{-4}$

## Modified designer action

- a modified action without sign problem is thus

$$
\begin{gathered}
S_{B}[\varphi, \bar{\varphi}, U]=\sum_{b=1}^{N_{b}} \sum_{p} \sum_{j=1}^{4}\left[m \bar{\varphi}_{b, p}\left(x_{j}^{p}\right) \varphi_{b, p}\left(x_{j}^{p}\right)-\bar{\varphi}_{b, p}\left(x_{j+1}^{p}\right) U\left(x_{j+1}^{p}, x_{j}^{p}\right) \varphi_{b, p}\left(x_{j}^{p}\right)\right. \\
\left.-\bar{\varphi}_{b, p}\left(x_{j}^{p}\right) U\left(x_{j}^{p}, x_{j+1}^{p}\right) \varphi_{b, p}\left(x_{j+1}^{p}\right)\right]
\end{gathered}
$$

with $\bar{m}=m^{4}-4 m^{2}+2$

- now $p$ labels unoriented plaquettes, and we have only half the number of bosons compared to BZ
- the resulting weight function is

$$
\omega[U]=\prod_{p}\left[\operatorname{det}\left(1-\frac{\alpha}{2}\left(U_{p}+U_{p}^{\dagger}\right)\right)\right]^{-N_{b}}
$$

with $\alpha=2 / \bar{m}$ (allowed range is $0<\alpha<1$ )

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## Bounds on $N_{b}$ : $\delta$-function property

- in the formulation with auxiliary boson fields, $N_{b}$ is integer
- however, in the weight function $\operatorname{det}\left[1-\frac{\alpha}{2}\left(U_{p}+U_{p}^{\dagger}\right)\right]^{-N_{b}}$ $N_{b}$ can be non-integer
- we find that the weight function goes to a $\delta$-function for $\alpha \rightarrow 1$ if

$$
\begin{array}{ll}
N_{b} \geq N_{c}-\frac{1}{2} & \text { for } U\left(N_{c}\right) \\
N_{b} \geq N_{c}-\frac{5}{4} & \text { for } \operatorname{SU}\left(N_{c}\right)
\end{array}
$$

- proof by character expansion: recall

$$
\omega(U)=\sum_{r} c_{r} \chi_{r}(U) \quad \text { with } \quad c_{r}=\int d U \omega(U) \chi_{r}\left(U^{-1}\right)
$$

- $\delta$-function is obtained if $c_{r}=d_{r}$ for all $r$
- to compute the integrals for the expansion coefficients, we use an exponential parameterization $U=e^{i \sqrt{\gamma} H}$ with $\gamma=2(1-\alpha) / \alpha$
- details are lengthy (essentially, one needs to compute the LO terms in $\gamma$ )
- we find $c_{r} \neq d_{r}$ if $N_{b}$ is below the bound


## Numerical checks

- for historical reasons, the following is for the BZ action (for which the refined bounds are the same)
- to check the $\delta$-function property, consider an expectation value

$$
\langle f\rangle=\frac{1}{Z} \int d U \operatorname{det}\left|1-\alpha_{\mathrm{BZ}} U\right|^{-2 N_{b}} f(U)
$$

for some test function $f(U)$

- for $\alpha_{\mathrm{BZ}} \rightarrow 1$ we should have $\langle f\rangle \rightarrow f(\mathbb{1})$


## One-link expectation value for $\operatorname{SU}(2)$

One-link expectation value SU2

theoretical bound on $N_{b}$ is $N_{c}-\frac{5}{4}=\frac{3}{4}$

## Zoom in on $\alpha_{B Z} \rightarrow 1$

One-link expectation value SU2

lines are analytical results for small $1-\alpha_{B Z}$ from character expansion

## One-link expectation value for SU(3)

One-link expectation value SU3

theoretical bound on $N_{b}$ is $N_{c}-\frac{5}{4}=\frac{7}{4}=1.75$

## One-link expectation value for SU(4)

One-link expectation value SU 4

theoretical bound on $N_{b}$ is $N_{c}-\frac{5}{4}=\frac{11}{4}=2.75$

## Other test functions

- e.g., $F(U)=\operatorname{tr}\left(U+6 U^{2}-1.5 U^{3}\right)$


## F expectation value SU 2


theoretical bound on $N_{b}$ is $N_{c}-\frac{5}{4}=\frac{3}{4}$

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## Bounds on $N_{b}$ : Nature of continuum limit

- again use character expansion of the weight function
- now need to compute NLO terms in $\gamma \rightarrow 0$ expansion of $c_{r}$
- we get the correct boundary-value partition function $\Gamma(\mathcal{U})$ if

$$
\begin{array}{ll}
N_{b} \geq N_{c}+\frac{1}{2} & \text { for } \mathrm{U}\left(N_{c}\right) \\
N_{b} \geq N_{c}-\frac{3}{4} & \text { for } \operatorname{SU}\left(N_{c}\right)
\end{array}
$$

i.e., with these bounds the continuum limit of the induced theory is equivalent to YM (in $d=2$ )

- for $d>2$ numerical evidence suggests that these bounds can be relaxed (but cannot be weaker than those for the $\delta$-function)


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## Perturbation theory

- goal: connect parameters of standard theory ( $\beta$ ) and induced theory ( $\alpha$ )
- must be done numerically (i.e., non-perturbatively), but close to the continuum limit we should get some idea from perturbation theory
- normally one would expand in powers of $(1-\alpha)$ at fixed $N_{b}$, but there are two problems with the action

$$
S_{\text {eff }}=-N_{b} \sum_{p} \operatorname{tr} \log \left(1-\frac{\alpha}{2}\left(U_{p}+U_{p}^{\dagger}\right)\right)
$$

- expansion about $U_{p}=\mathbb{1}$ for $\alpha \rightarrow 1$ has zero radius of convergence (expansion of log about 0)
- even if we ignore this convergence issue, formal expansion does not allow for saddle-point analysis


## Large $-N_{b}$ perturbation theory

instead, we approach the cont. limit by large $-N_{b}$ pert. theory for fixed $\alpha$

- write $\log \left(1-\frac{\alpha}{2}\left(U_{p}+U_{p}^{\dagger}\right)\right)=\log \left(1-\frac{\alpha}{2(1-\alpha)}\left(U_{p}+U_{p}^{\dagger}-2\right)\right)+$ const leading-order expansion then gives definition of coupling in induced theory:

$$
\left.\frac{1}{g_{I}^{2}}=\frac{N_{b} \alpha}{2(1-\alpha)} \quad \text { (i.e., large } N_{b} \leftrightarrow \text { small } g_{I}\right)
$$

- an $n$-loop calculation yields

$$
\begin{equation*}
\frac{1}{g_{W}^{2}}=\frac{1}{g_{I}^{2}}\left[1+c_{1}(\alpha) g_{I}+c_{2}(\alpha) g_{I}^{2}+\ldots\right] \tag{*}
\end{equation*}
$$

- however: expansion of the log converges only for $\alpha \leq \frac{1}{3}$, thus ( $*$ ) does not apply directly to continuum limit $\alpha \rightarrow 1$ with $N_{b}$ fixed
- if we ignore this problem, $(*)$ can be rewritten as

$$
\beta=\frac{b_{-1}}{1-\alpha}+b_{0}+b_{1}(1-\alpha)+\ldots
$$

with coefficients $b_{n}$ that depend on $N_{b}$ (and on $N_{c}$ and the dimension $d$ )

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## Basic setup

- consider first the cheapest nontrivial case: $\operatorname{SU}(2)$ in $d=3$
- do simulations in standard Wilson pure gauge theory (WPG) and in the induced pure gauge theory (IPG)
- use one observable to match the couplings $\beta$ and $\alpha$
- then compare other observables in both theories
- observables for our first tests:
- $T=0$ : quantities connected with the $\bar{q} q$ potential
- $T \neq 0$ : transition temperature and order of the transition
- simulation details:
- WPG: standard mixture of heatbath and overrelaxation updates
- IPG: local Metropolis with links evolving in $\varepsilon$-ball
- computation of $\bar{q} q$ potential: Lüscher-Weisz algorithm

JHEP 0109 (2001) 010

- scale setting: Sommer parameter $r_{0}$

First step: matching of $\alpha$ and $\beta$

- matching via Sommer scale $r_{0}$ : for each $\alpha$, find $\beta(\alpha)$ such that $r_{0}(\alpha)_{\mathrm{IPG}}=r_{0}(\beta)_{\mathrm{WPG}}$
- then fit coefficients in

$$
\beta(\alpha)=\frac{b_{-1}}{1-\alpha}+b_{0}+b_{1}(1-\alpha)+\ldots
$$

- numerical results:

| $N_{b}$ | $b_{-1}$ | $b_{0}$ | $b_{1}$ |
| :---: | :--- | :--- | :---: |
| 1 | $0.623(4)$ | $-1.78(11)$ | $3.59(69)$ |
| 2 | $2.453(14)$ | $-2.76(38)$ | $0.99(5)$ |
| 3 | $4.399(29)$ | $-4.43(16)$ | $-0.17(21)$ |
| 4 | $6.286(52)$ | $-6.01(23)$ | $-0.52(25)$ |
| 5 | $8.54(11)$ | $-8.99(41)$ | $0.45(38)$ |

Comparison to large- $N_{b}$ perturbation theory

- 2-loop result for $N_{c}=2$ in $d=3$ :

$$
\frac{b_{-1}\left(N_{b}\right)}{N_{c} N_{b}}=1-\frac{5}{6 N_{b}}+\frac{0.0908283}{N_{b}^{2}}+O\left(N_{b}^{-3}\right)
$$


so $(*)$ seems to hold even outside its formal range of applicability

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## Second step: static potential at similar lattice spacings

- compare to high-precision results obtained with Wilson action Brandt, PoS EPS-HEP (2013)
- at large distances $R$, the energy levels of the $\bar{q} q$ bound state are well described by an effective string theory
Nambu, PLB 80 (1979) 372, Lüscher-Symanzik-Weisz, NPB 173 (1980) 365,
Polyakov, NPB 164 (1980) 171
- potential in effective string theory for the flux tube $(d=3)$ :

Aharony et al. JHEP 0906 (2009), JHEP 1012 (2010), JHEP 1101 (2011), JHEP 1305 (2013)

$$
V(R)=\sigma R \sqrt{1-\frac{\pi}{12 \sigma R^{2}}}-\bar{b}_{2} \frac{\pi^{3}}{60 \sqrt{\sigma^{3}} R^{4}}
$$

two non-universal parameters: $\sigma$ and $\bar{b}_{2}$ (boundary coefficient)

- $\sqrt{\sigma} r_{0}$ is the same in both theories (by construction)
- agreement of $\bar{b}_{2}$ means that the potential is identical up to $4-5$ significant digits


## Results for $\bar{b}_{2}$


excellent agreement

## Outline

(1) Introduction
(2) Budczies-Zirnbauer proposal

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- $\delta$-function property
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- Perturbation theory


## 4. Numerical tests of the BZ conjecture

- Setup and matching of parameters
- $T=0$
- $T>0$
(5) Dual representation

6 Summary and outlook

## Finite- $T$ properties

- will look at finite- $T$ transition
- $\operatorname{SU}(2)$ in $d=3$ : second-order phase transition in the 2d Ising universality class
- first tests at $N_{t}=4$ (and some results at $N_{t}=6$ )
- scale setting via $r_{0}$ and the matching between $\alpha$ and $\beta$ obtained at $T=0$


## Phase transition at $N_{t}=4$

## Polyakov loop expectation value:



## Phase transition at $N_{t}=4$

## Polyakov loop expectation value:



## Phase transition at $N_{t}=4$

## Polyakov loop expectation value:



## Phase transition at $N_{t}=4$

## Polyakov loop expectation value:



## Phase transition at $N_{t}=4$

Polyakov loop susceptibility $\left.\left.\chi_{L} \sim\langle | L\right|^{2}\right\rangle-\langle | L| \rangle^{2}$ :


## Phase transition at $N_{t}=4$

## Polyakov loop susceptibility:



## Phase transition at $N_{t}=4$

## Polyakov loop susceptibility:



## Phase transition at $N_{t}=4$

## Polyakov loop susceptibility:



## Critical exponents at $N_{t}=4$

fit: $\ln \chi_{L}=C+\frac{\gamma}{v} \ln N_{s}$ (valid only at phase transition, deviations otherwise) phase transition identified by smallest $\chi^{2} /$ dof

black point (WPG): $\gamma / v=1.70(4)$
Engels et al. NPPS 53 (1997)
for IPG we obtain $\gamma / v=1.69(4)$ at $T_{c} r_{0}=1.34(2)$

## Phase transition at $N_{t}=6$

## Polyakov loop expectation value:



## Phase transition at $N_{t}=6$

## Polyakov loop expectation value:



## Phase transition at $N_{t}=6$

## Polyakov loop expectation value:



## Phase transition at $N_{t}=6$

Polyakov loop susceptibility:


## Phase transition at $N_{t}=6$

Polyakov loop susceptibility:


## Phase transition at $N_{t}=6$

## Polyakov loop susceptibility:



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## Dual representation

- we have seen, by a combination of analytical and numerical arguments, that the induced pure gauge theory has the same continuum limit as the Wilson pure gauge theory
- now: instead of integrating out the auxiliary bosons, integrate out the gauge fields to arrive at a theory involving only auxiliary bosons (and later also fermions)
- goal: construction of new simulation algorithms, possibly solving some sign problems
- start from

$$
\begin{gathered}
S_{B}[\varphi, \bar{\varphi}, U]=\sum_{b=1}^{N_{b}} \sum_{p} \sum_{j=1}^{4}\left[m \bar{\varphi}_{b, p}\left(x_{j}^{p}\right) \varphi_{b, p}\left(x_{j}^{p}\right)-\bar{\varphi}_{b, p}\left(x_{j+1}^{p}\right) U\left(x_{j+1}^{p}, x_{j}^{p}\right) \varphi_{b, p}\left(x_{j}^{p}\right)\right. \\
\left.-\bar{\varphi}_{b, p}\left(x_{j}^{p}\right) U\left(x_{j}^{p}, x_{j+1}^{p}\right) \varphi_{b, p}\left(x_{j+1}^{p}\right)\right]
\end{gathered}
$$

## Integration over gauge fields

- write partition function as a product of integrals

$$
\begin{aligned}
Z & =\int D \varphi D \bar{\varphi} \mathcal{F}[\varphi, \bar{\varphi}] \prod_{x, \mu} \int d U_{\mu}(x) e^{\frac{1}{2} \operatorname{tr}\left\{U_{\mu}(x) A_{\mu}(x)[\varphi, \bar{\varphi}]+U_{\mu}^{\dagger}(x) A_{\mu}^{\dagger}(x)[\varphi, \bar{\varphi}]\right\}} \\
& =\int D \varphi D \bar{\varphi} \mathcal{F}[\varphi, \bar{\varphi}] \prod_{x, \mu} \mathcal{I}_{x, \mu}[\varphi, \bar{\varphi}]
\end{aligned}
$$

with

$$
\begin{aligned}
\mathcal{F}[\varphi, \bar{\varphi}]= & \exp \left\{-\sum_{b=1}^{N_{b}} \sum_{p} \sum_{j=1}^{4} \bar{m} \bar{\varphi}_{b, p}\left(x_{j}\right) \varphi_{b, p}\left(x_{j}\right)\right\} \\
A_{\mu}(x)[\varphi, \bar{\varphi}]=2 \sum_{b=1}^{N_{b}} \sum_{\mu \neq \nu}[ & \varphi_{b, \bar{p}(x, \mu, \nu)}\left(x_{\bar{j}(\mu, \nu, 0,1)}\right) \bar{\varphi}_{b, \bar{p}(x, \mu, \nu)}\left(x_{\bar{j}(\mu, v, 0,0)}\right) \\
& \left.\quad+\varphi_{b, \bar{p}(x-\hat{v}, \mu, \nu)}\left(x_{\bar{j}(\mu, v, 1,1)}\right) \bar{\varphi}_{b, \bar{p}(x-\hat{v}, \mu, \nu)}\left(x_{\bar{j}(\mu, v, 1,0)}\right)\right]
\end{aligned}
$$

## Integration over gauge fields

- need to solve integral $\mathcal{I}=\int d U e^{\operatorname{tr}\left(U A+U^{\dagger} A^{\dagger}\right)}$
- some results known in literature for $\mathrm{U}\left(N_{c}\right)$ and $\operatorname{SU}\left(N_{c}\right)$ (sometimes restricted to special cases like small $N_{c}$ or $A \propto \mathbb{1}$ )
e.g., Brower-Rossi-Tan, PRD 23 (1981), Lenaghan-Wilke, Nucl.Phys. B 624 (2002)
- result for $\operatorname{SU}\left(N_{c}\right)$ :

$$
\mathcal{I} \sim \sum_{v=0}^{\infty} \varepsilon_{v} \cos (v \theta) \frac{\operatorname{det}\left[\lambda_{i}^{j-1} I_{v+j-1}\left(\lambda_{i}\right)\right]}{\Delta\left(\lambda^{2}\right)}
$$

- $\varepsilon_{\nu}$ : Neumann's factor $\left(\varepsilon_{\nu=0}=1\right.$ and $\left.\varepsilon_{v>0}=2\right)$
- $\exp (i \theta)$ : complex phase of $\operatorname{det}(A)$
- $\lambda_{i}^{2}$ : eigenvalues of the $N_{c} \times N_{c}$ matrix $A A^{\dagger}$
- $\Delta\left(\lambda^{2}\right)$ : Vandermonde determinant
- $I$ : Bessel function of the first kind
- looks difficult, but sum over $v$ converges very rapidly


## Full QCD

now add fermionic fields, e.g., with a staggered-type action:

$$
S_{f}=\sum_{x} m_{q} \bar{\psi}(x) \psi(x)+\sum_{x, \mu}\left[\bar{\psi}(x) \alpha_{\mu}(x) U_{\mu}(x) \psi(x+\hat{\mu})+\bar{\psi}(x+\hat{\mu}) \tilde{\alpha}_{\mu}(x) U_{\mu}^{\dagger}(x) \psi(x)\right]
$$

and perform the following steps (first two as in Karsch-Mütter, NPB 313 (1989)):

- expand weight factor in the Grassmann variables
- integrate out Grassmann variables
$\rightarrow$ constraints on the "dual variables" (occupation numbers)
- integrate out gauge fields
- possible since they still appear linearly in the exponent
- pre-exponential factors obtained as derivatives w.r.t. components of $A$


## Full QCD

- result:

$$
Z=\sum_{\left\{n, k, \ell_{b}, \ell_{q}\right\}} \prod_{x} \omega_{x} \prod_{b} \omega_{b} \prod_{\ell_{b}} \omega_{\ell_{b}} \int D \bar{\varphi} D \varphi \prod_{\ell_{q}} \omega_{\ell_{q}}[\varphi, \bar{\varphi}] \mathcal{F}[\varphi, \bar{\varphi}] \prod_{b} \mathcal{I}_{b}[\varphi, \bar{\varphi}]
$$

- monomer terms: $\omega_{x}=\frac{N_{c}!}{n_{x}!}\left(2 a m_{q}\right)^{n_{x}}$ with $n_{x} \in\left\{0, \ldots, N_{c}\right\}$
- dimer terms: $\omega_{b}=\frac{\left(N_{c}-k_{b}\right)!}{N_{c}!k_{b}!}$ with $k_{b} \in\left\{0, \ldots, N_{c}\right\}$
- baryon loops $\ell_{b}: \omega_{\ell_{b}}$ depends on loop geometry
- quark loops $\ell_{q}: \omega_{\ell_{q}}[\bar{\varphi}, \varphi]$ depends on loop geometry NEW
- $\omega_{\ell_{b}}$ and $\omega_{\ell_{q}}$ are not positive definite $\rightarrow$ sign problem (to be solved)
- future work: find a smarter way to do this (Howe duality à la BZ?)
- currently we would generate many configurations whose contribution to gauge-invariant quantities is zero after averaging over gauge fields
- should generate only configurations with nonzero contributions after averaging


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## Summary and outlook

- promising (and economical) new approach to induced QCD
- sign problem in original BZ proposal can be eliminated easily
- correct continuum limit (YM) can be shown analytically in $d=2$
- bounds on $N_{b}$ vs $N_{c}$ refined, also for $\operatorname{SU}\left(N_{c}\right)$
- perturbation theory to connect parameters of WPG and IPG
- numerical tests for $\operatorname{SU}(2)$ in $d=3$ show good agreement with Wilson gauge action for both $T=0$ and $T \neq 0$
- same conclusions from preliminary results for $\operatorname{SU}(3)$ in $d=4$
- dual representation via integration over gauge fields
- fermions can also be integrated out
- resulting theory contains only auxiliary boson fields
- partition function can be written in terms of monomers, dimers, baryon loops and quark loops
- dual representation currently has a sign problem (solvable?)
- if solvable: new simulation algorithms possible

