



Overview: Deep learning based calorimeter clustering and PFA

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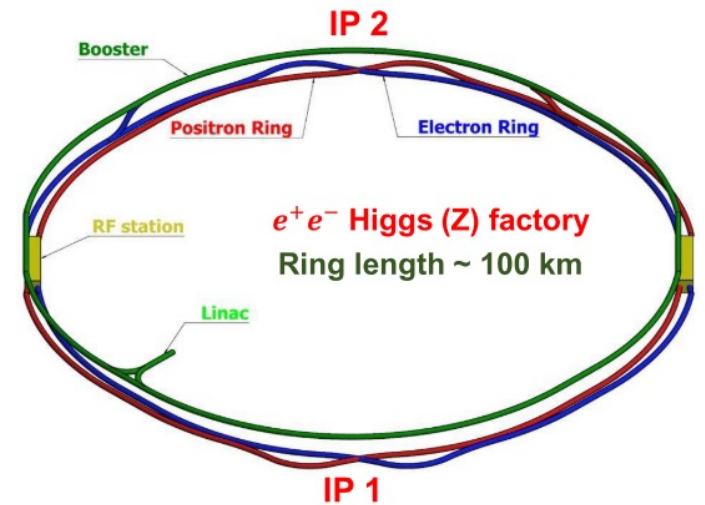
中国科学院高能物理研究所

Institute of High Energy Physics Chinese Academy of Sciences

Introduction

- **CEPC: future lepton collision experiment**

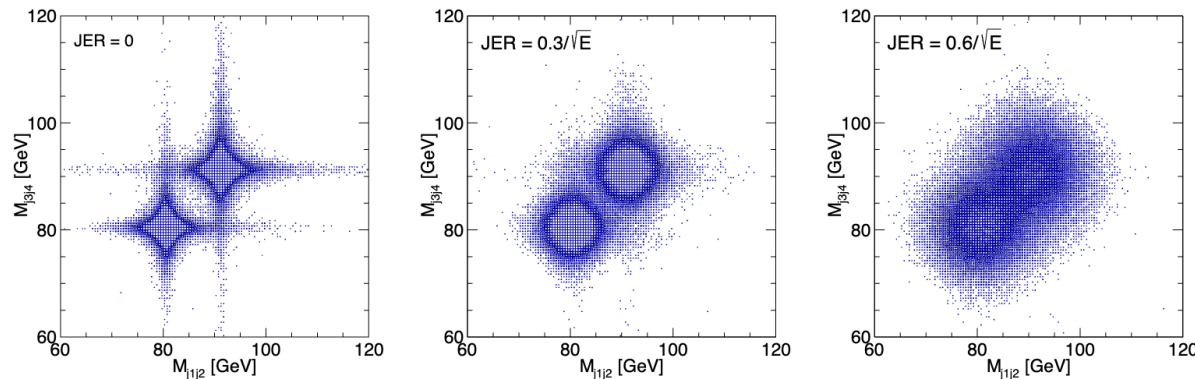
- e^+e^- collider, $\sqrt{s} = 91 \sim 360$ GeV within 100 km tunnel.
- Physics target:
 - Z mode: EW, flavor factory for b, c, τ , QCD.
 - W threshold: EW, W mass ...
 - Higgs mode: Higgs precise measurement, new physics ...
 - top mode: $t\bar{t}$ physics e.g. top mass, $\alpha_S(m_{top})$...



- Detector requirement:

- Jet energy resolution $\sigma/E < 30\%/\sqrt{E}$ (For separation of $W/Z \rightarrow q\bar{q}$ process.)

➡ Particle flow approach.



Jet E res.	W/Z sep
perfect	3.1 σ
2%	2.9 σ
3%	2.6 σ
4%	2.3 σ
5%	2.0 σ
10%	1.1 σ

Introduction

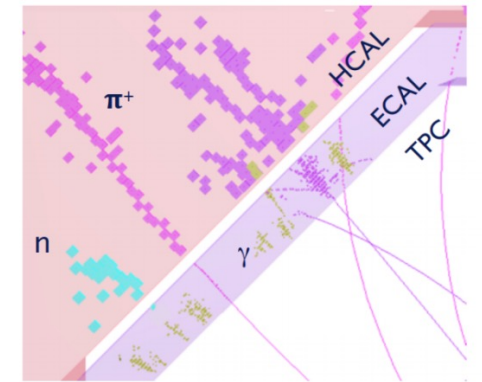
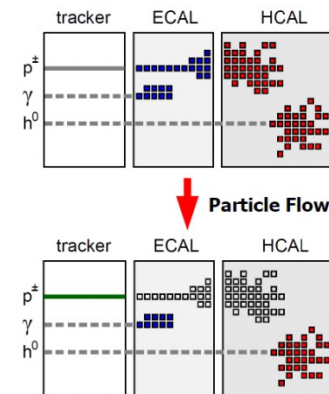
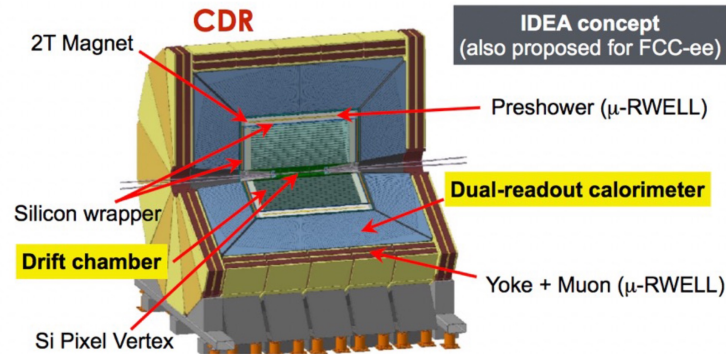
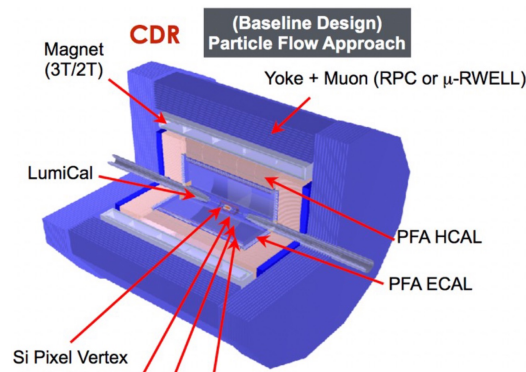
• Particle flow approach: principle

- Measure the jet by its components: 60% charged particles, 30% photons, 10% neutral hadrons.

- Final resolution:
$$\sigma_{Jet} = \sqrt{\sigma_{track}^2 + \sigma_{EM}^2 + \sigma_{Had}^2 + \sigma_{confusion}^2}$$

- Requirement: Hardware + software

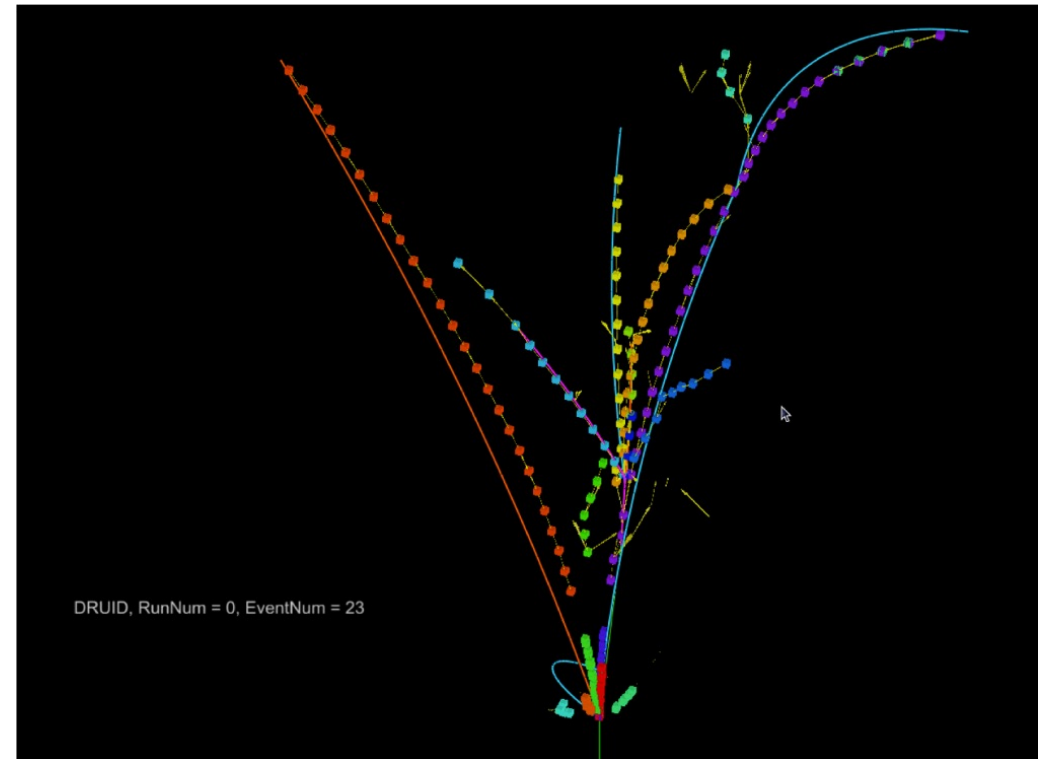
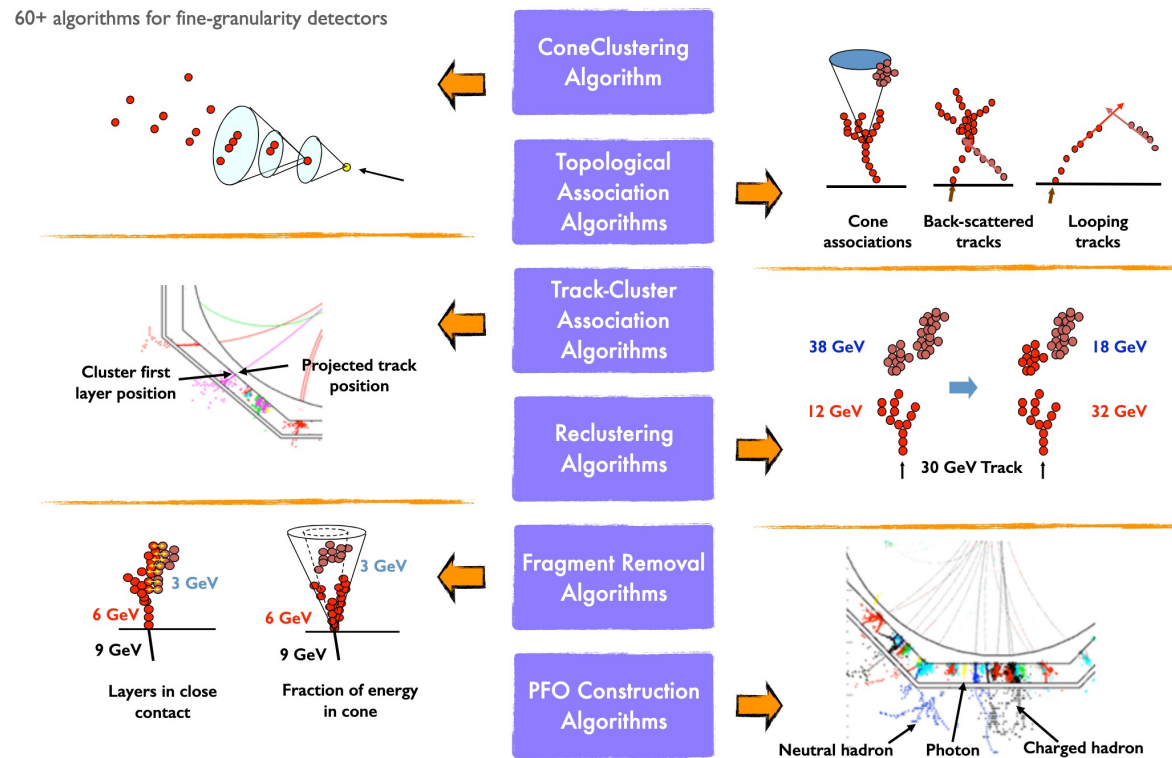
- Distinguish showers in calorimeter \Rightarrow high granularity ECAL/HCAL.
- Minimize transverse spread of EM shower \Rightarrow small Moliere radius $R_M \Rightarrow$ SiW sampling ECAL in ILD.
- Separate EM and Hadronic showers longitudinally \Rightarrow large λ_I/X_0 ratio.
- Software: a novel pattern recognition algorithm.



Introduction



- **Particle flow approach: PandoraPFA and ArborPFA**
 - PandoraPFA: hand-tuned algorithms for clustering. **Artificial recognition.**
 - ArborPFA: arbor-structure of shower development.

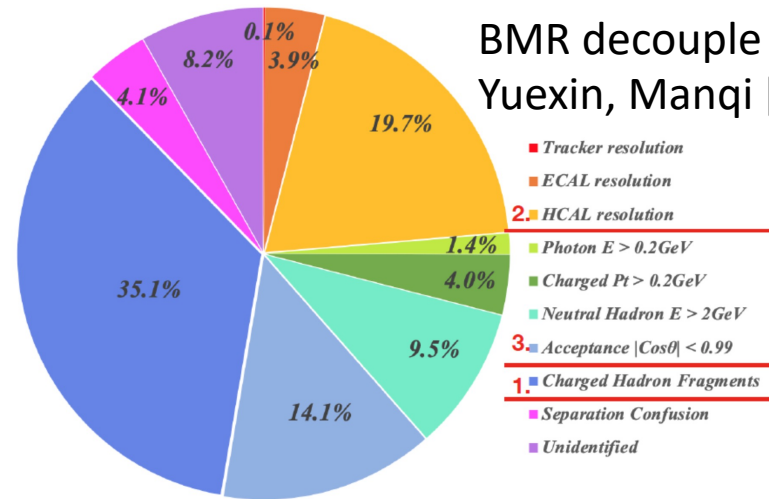
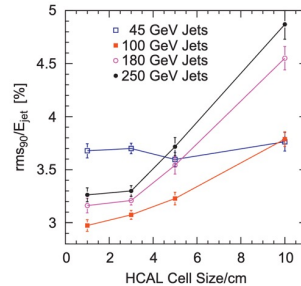
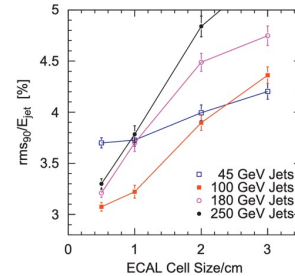
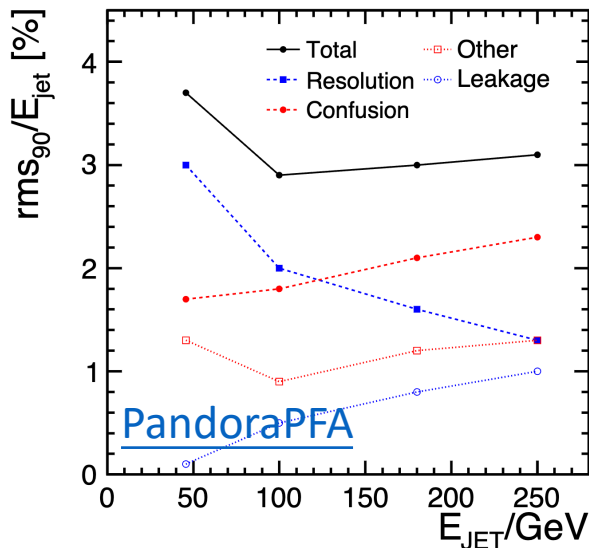
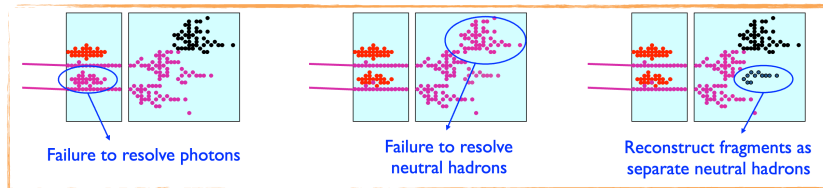


Introduction

- Particle flow approach: PandoraPFA and ArborPFA

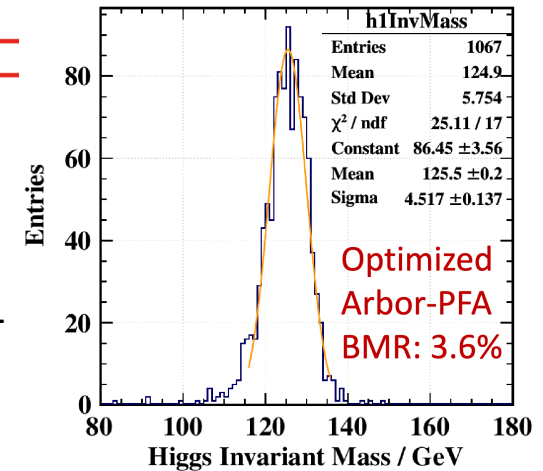
- PandoraPFA and ArborPFA are trying to demonstrate the dominant contribution to σ_{jet} ;
- And optimize the performance w.r.t. the detector.

Three basic types of confusion:



BMR decouple for ArborPFA, Yuexin, Manqi [[CEPCWS 2019](#)]

Optimized Arbor for crystal ECAL Baohua et.al [[ICHEP 2022](#)]

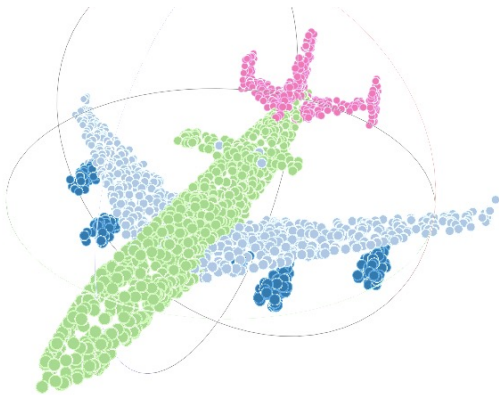


Introduction

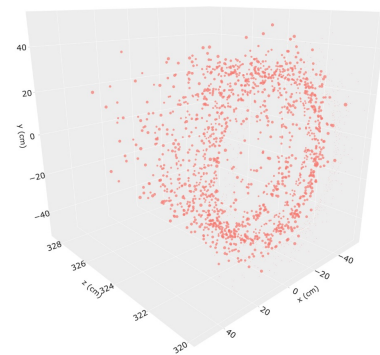


- **Particle flow for the future collider:**

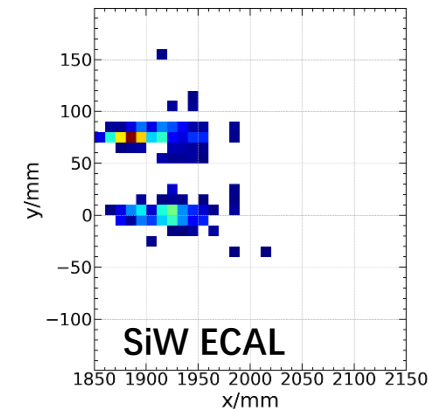
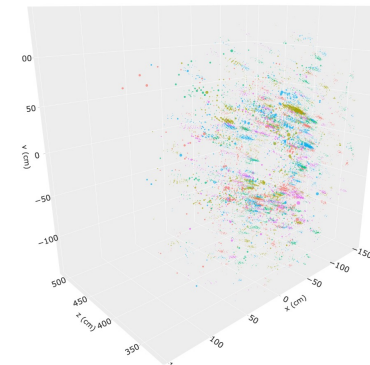
- 2020s is the AI era: **point cloud**
 - HG calorimeter hits are naturally point clouds: spatial + features (energy + time).
 - Several mature models can be used: [PointNet](#), [DGCNN](#), [GravNet](#), etc.
 - Facing the challenge of large dataset: $O(10\text{ k})$ hits / event.
- What we want from AI:
 - **A general PFA**: lower confusion, better performance.
 - **Easy migrated model**: fast reconstruction for detector design & optimization. (e.g. CEPC 4th crystal ECAL)



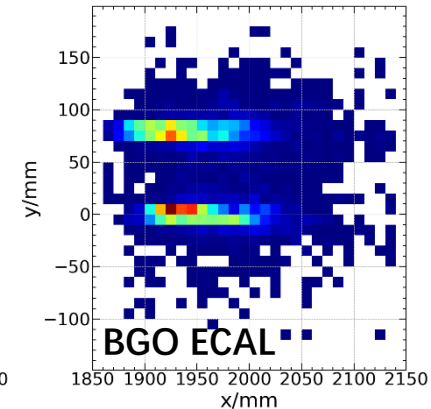
Point cloud segmentation in DGCNN



CMS HGCal clustering with GravNet



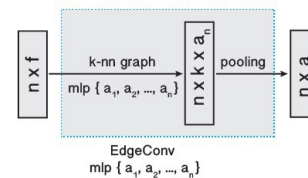
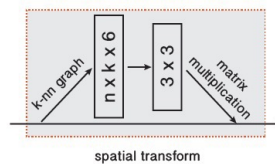
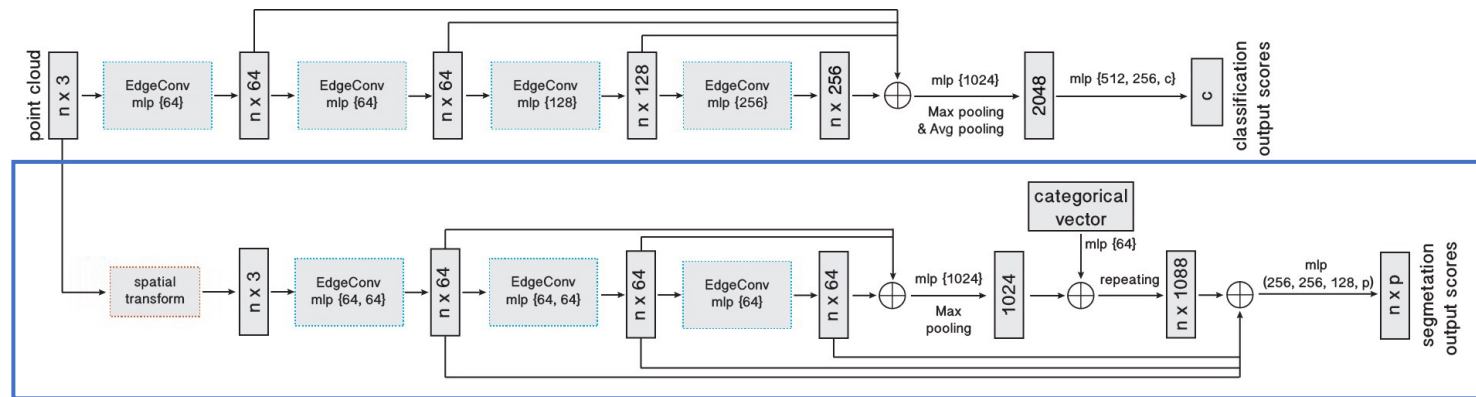
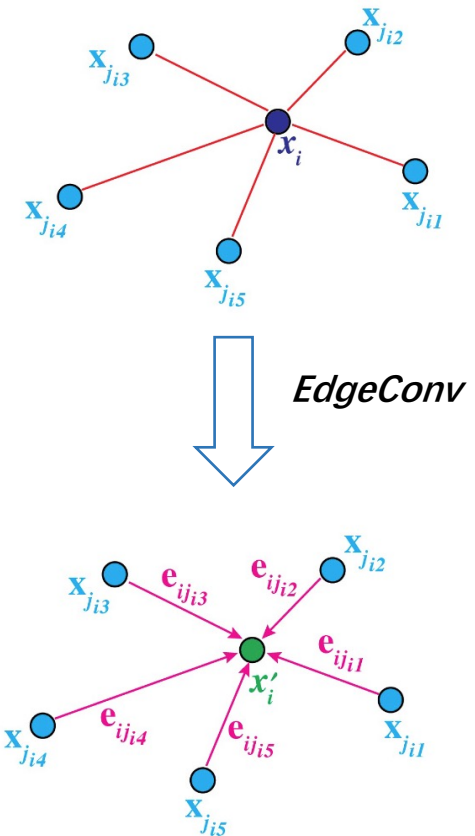
EM showers in SiW and crystal ECAL



Deep learning clustering

- Model: Dynamic graphic CNN (**DGCNN**)

- CNN-based model for graphic dataset.
- Proposed the **EdgeConv** to handle the graph structure.
- Is able to handle classification and **segmentation** tasks.
- Application: [ParticleNet for c-tagging in CMS](#).

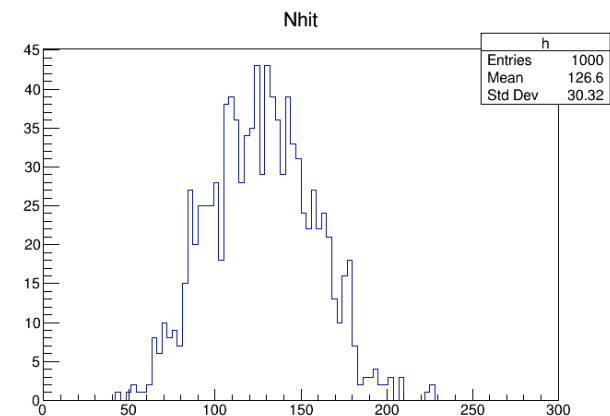
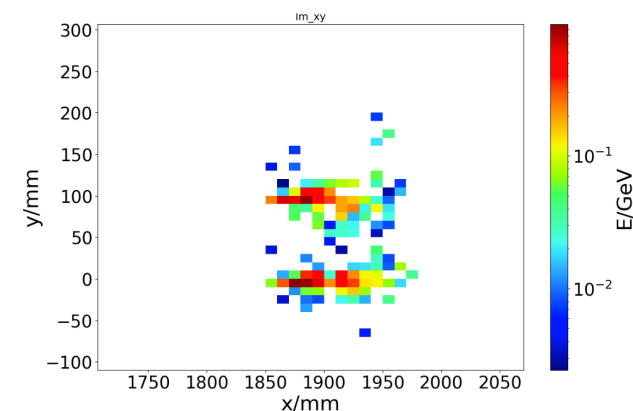
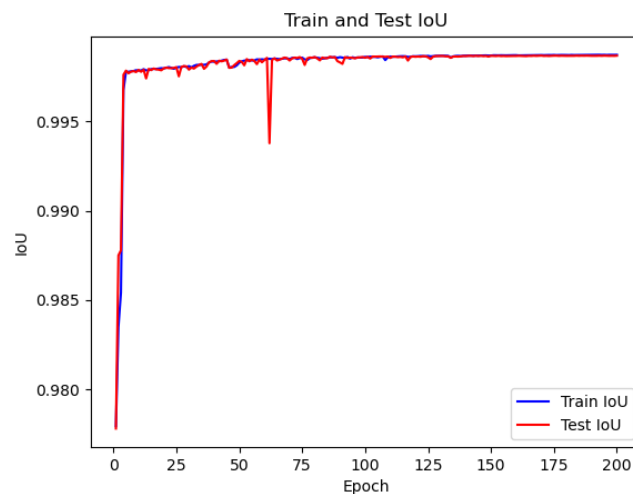
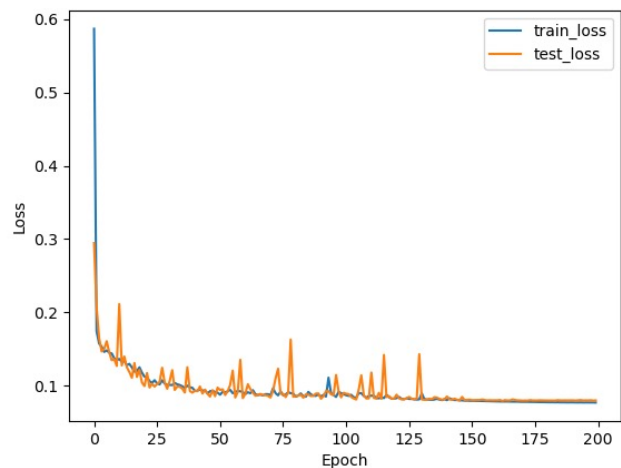


Deep learning clustering



• Application: from simplest case

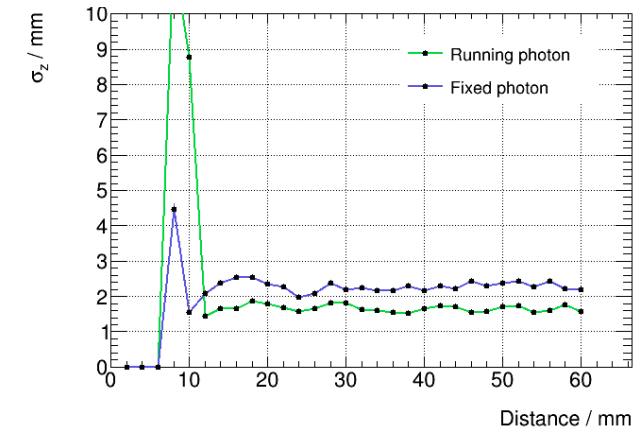
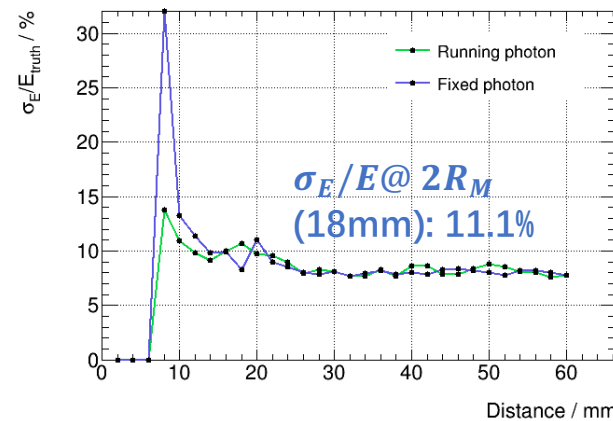
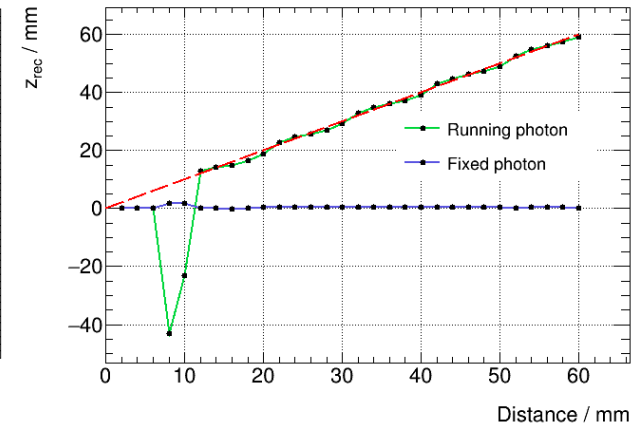
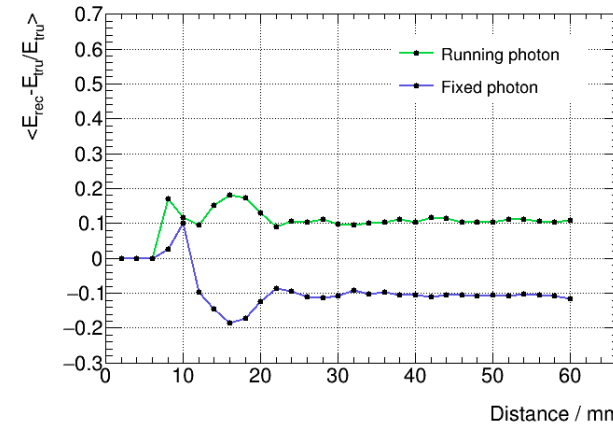
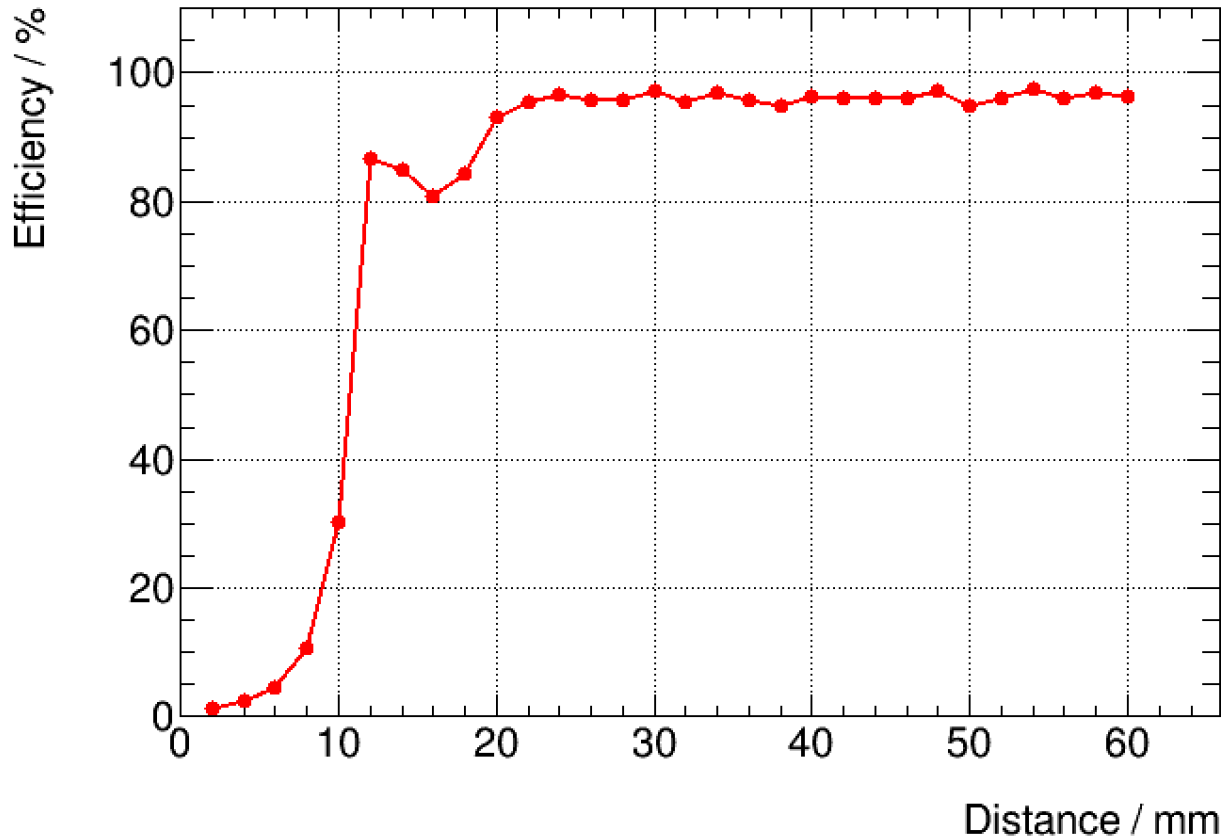
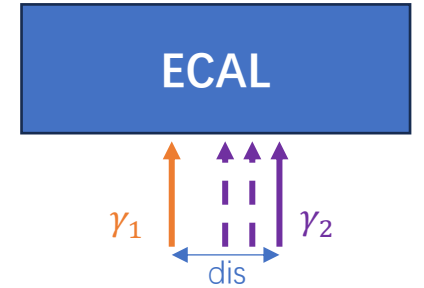
- Diphoton separation:
 - Event simulated within CEPC-v4 (SiW ECAL) geometry for training
 - γ_1 : $E_\gamma \in [3, 7]$ GeV, $\theta_\gamma \in [88^\circ, 90^\circ]$, $\phi \in [0^\circ, 3^\circ]$
 - γ_2 : $E_\gamma \in [3, 7]$ GeV, $\theta_\gamma \in [90^\circ, 92^\circ]$, $\phi \in [0^\circ, 3^\circ]$
 - ~ 200 hits / event.
 - Input feature: spatial coordinates only.
 - Loss function: cross entropy.



Deep learning clustering



- Preliminary performance: separation efficiency with distance.
 - Applied into a set of di-photon events

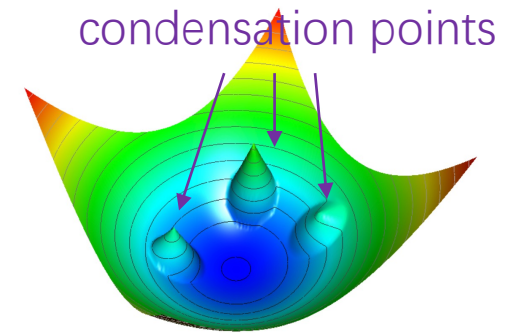


Discussion

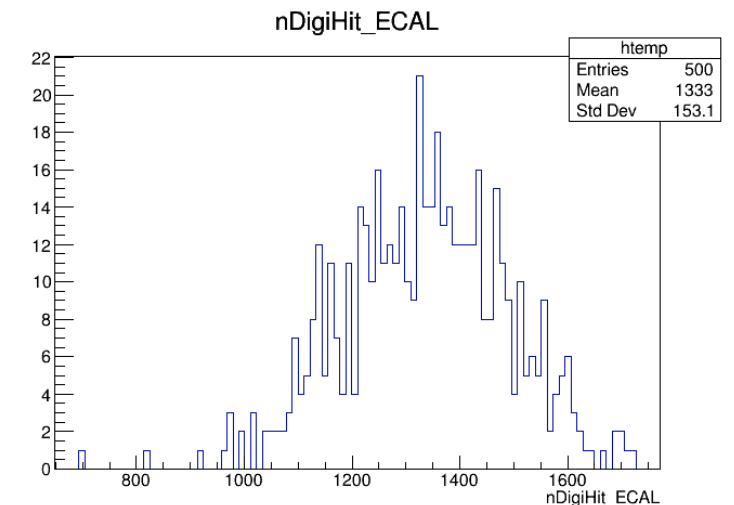


• Facing challenges:

- Loss function: cross entropy can not handle the clustering problem.
 - Condensation loss: $L = L_V + L_\beta + L_P$ [[2002.03605](#)]
 - L_V : potential loss, make hits closer to the condensation points.
 - L_β : condensation score, $\beta \rightarrow 1$ is the cluster center.
 - L_P : features can be trained in the model: e.g. $E_{cluster}$.
 - Can deal with multiple objects, but need to design for overlapping.
- Hit size: $O(10)$ more in crystal ECAL than SiW ECAL.
 - Harder to converge.
 - Overlapping issue would be more critical.



(Expected) potential from [2002.03605](#)



Discussion



• Other models:

- [GravNet](#): distance weighted graph network architectures.
 - Commonly used in CMS machine learning studies: [MLPF](#), [End-to-End reconstruction](#), etc.

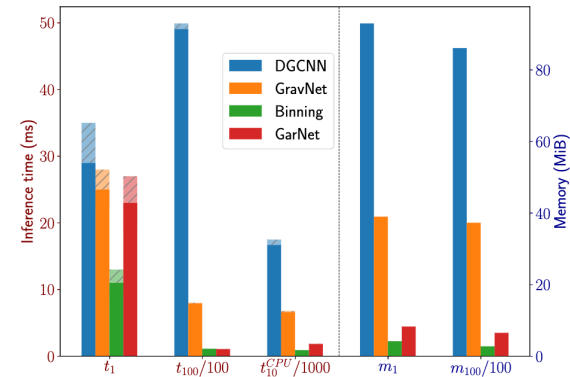
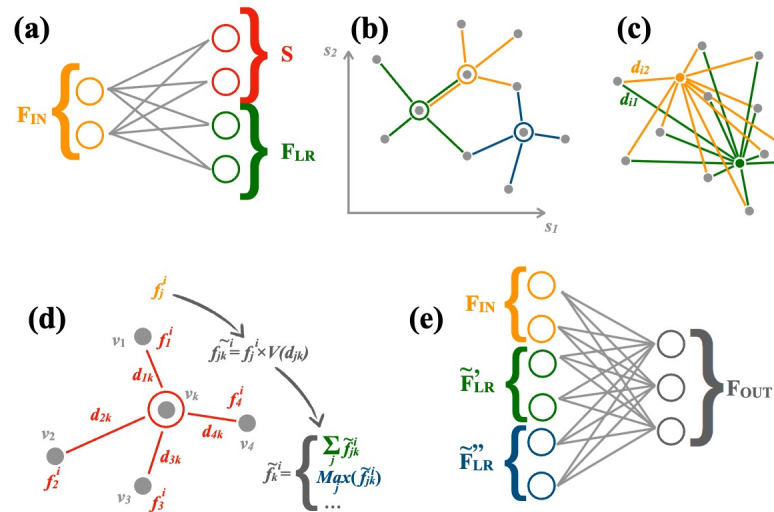
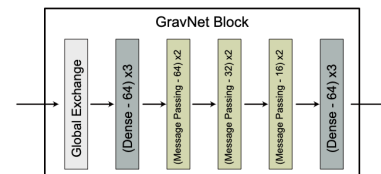
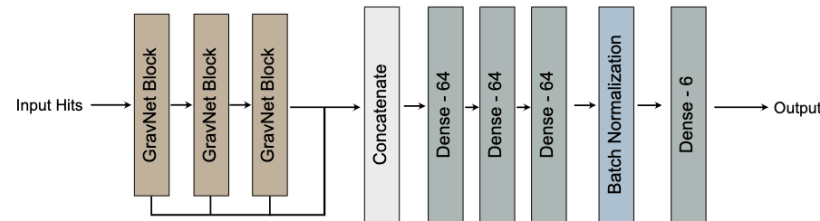


Fig. 5: Comparison of inference time for the network architectures described in the text, evaluated on CPUs and GPUs with different choices of batch size. The shaded area represents the $+1\sigma$ statistical uncertainty band.



Model architecture in MLPF

Summary and outlook



- **Calorimeter clustering with deep-learning:**
 - Showed the attempt of 2 clusters with DGCNN segmentation task.
 - Is a promising way to the detector reconstruction in AI's era.

- **Next step:**
 - Tune the models and loss functions.
 - Add features (energy, time) into the model.
 - Solve the data size issue in crystal ECAL.

- **Future:**
 - Add track info and pre-trained shower shape info as bias.
 - Final target: a general deep learning based PFA.