

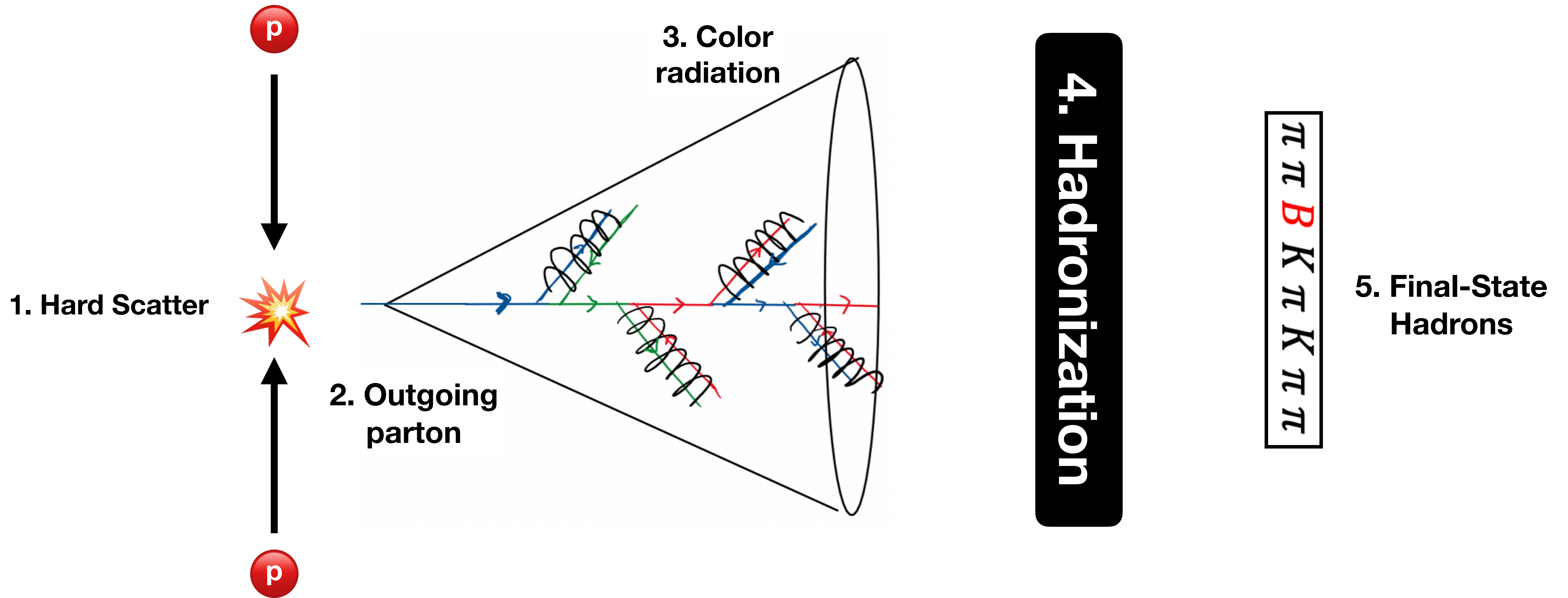
# Heavy Flavor Fragmentation and Lund Jet Plane Measurements at LHCb

Heavy Flavours at High  $p_T$  2023  
Nov 29 - Dec 01, 2023

Ibrahim Chahrour, on behalf of the LHCb collaboration.  
PhD Candidate, University of Michigan, Ann Arbor

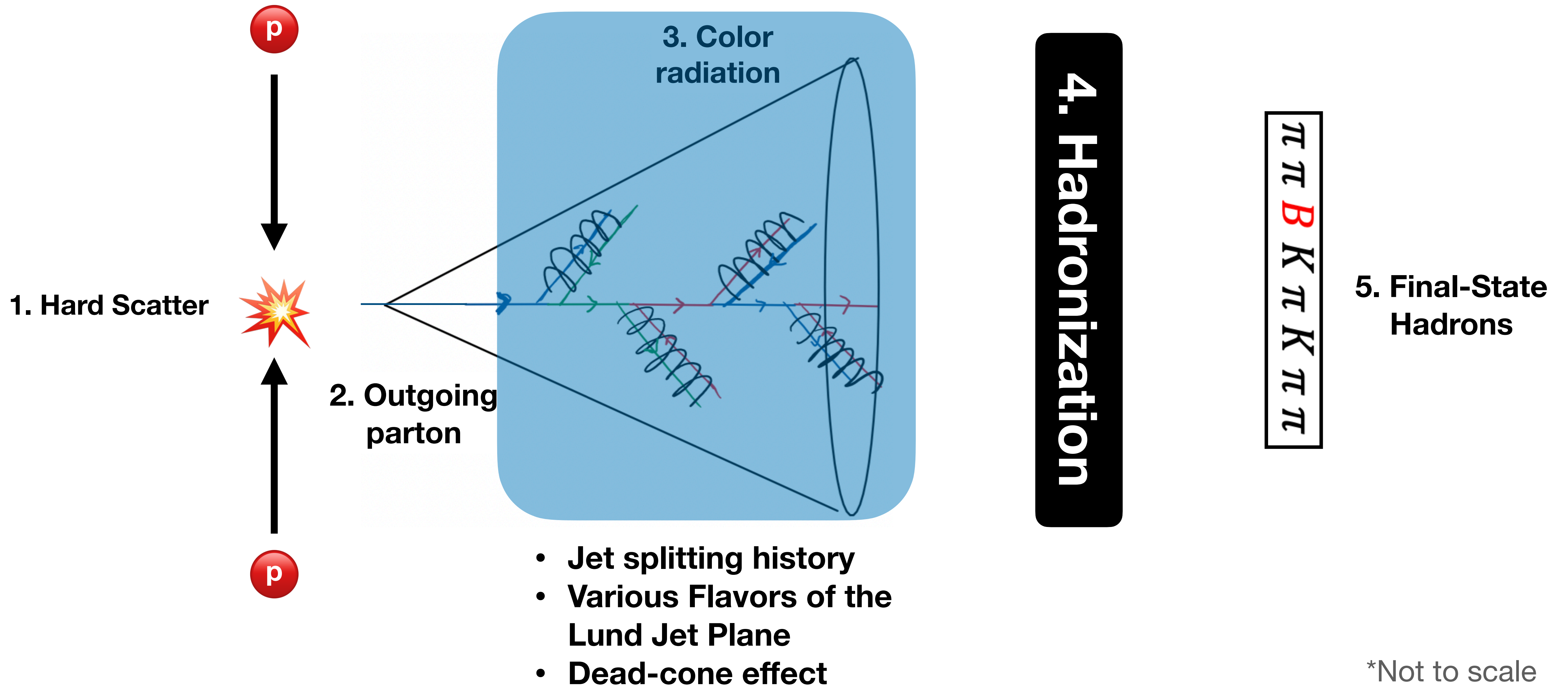


# A typical hard-scattering event at the LHC



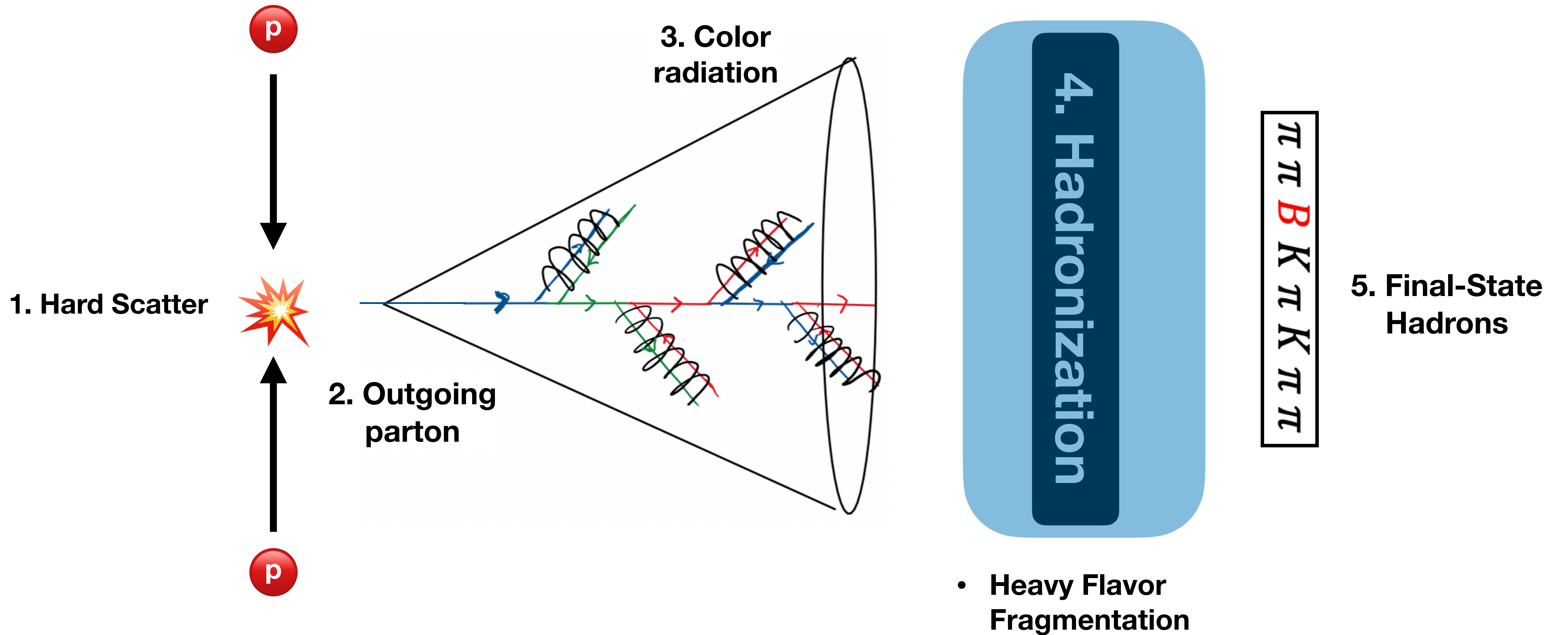
\*Not to scale

# A typical hard-scattering event at the LHC





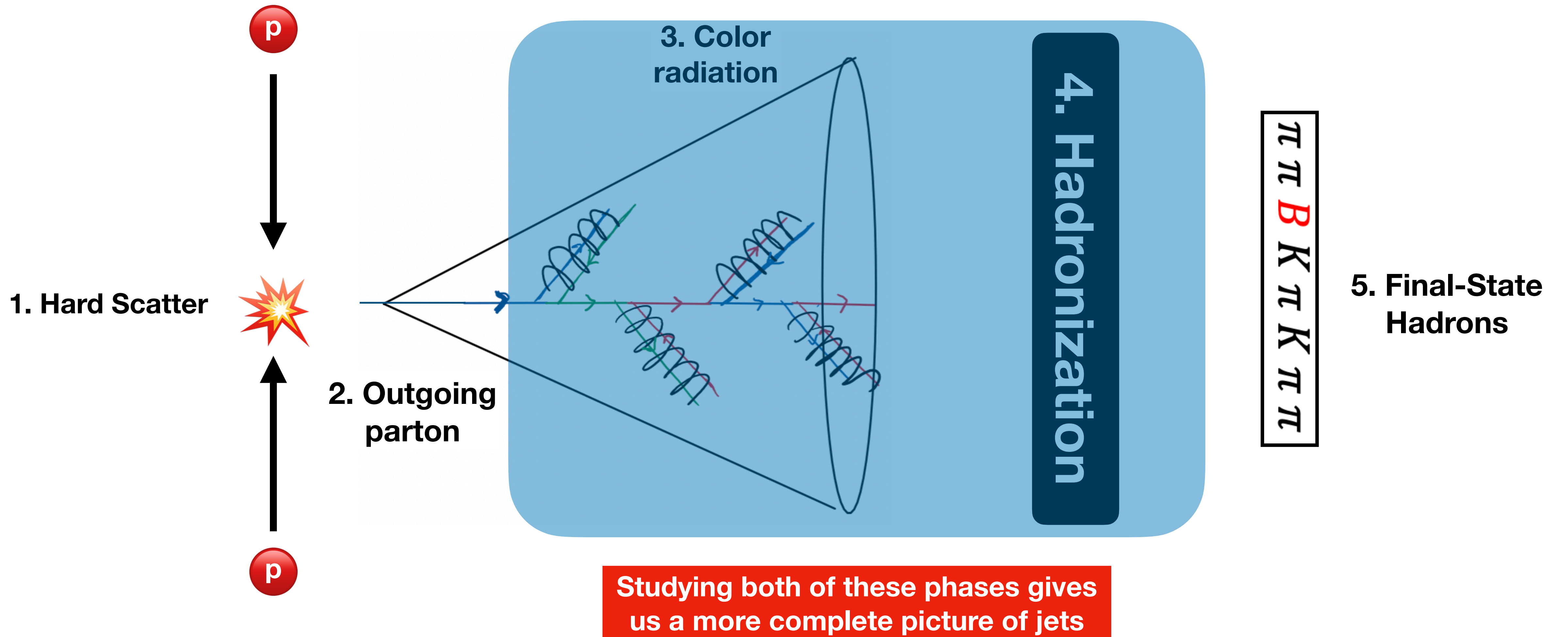
# A typical hard-scattering event at the LHC



\*Not to scale



# A typical hard-scattering event at the LHC



\*Not to scale

# Heavy Flavor Lund Jet Plane



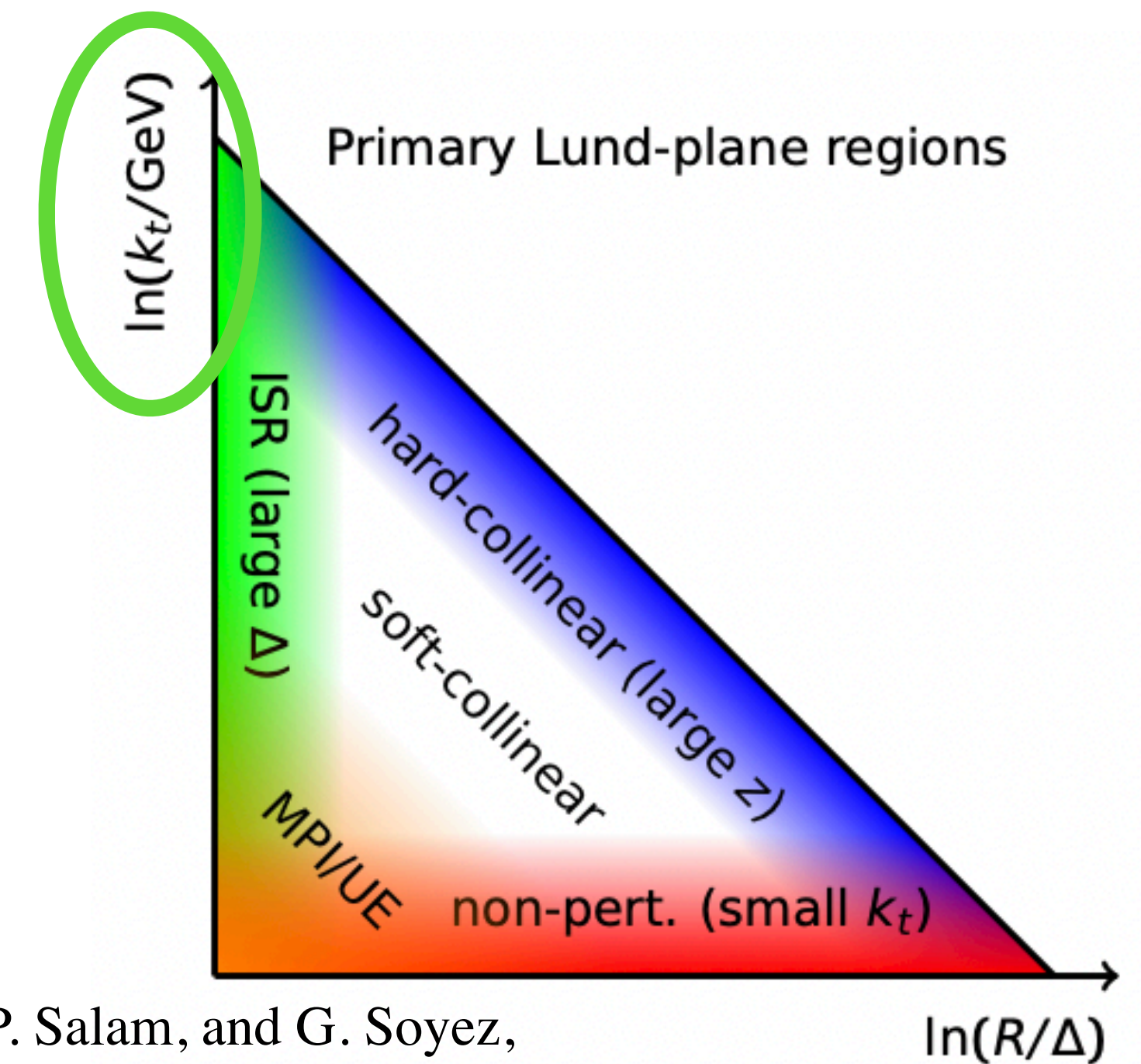
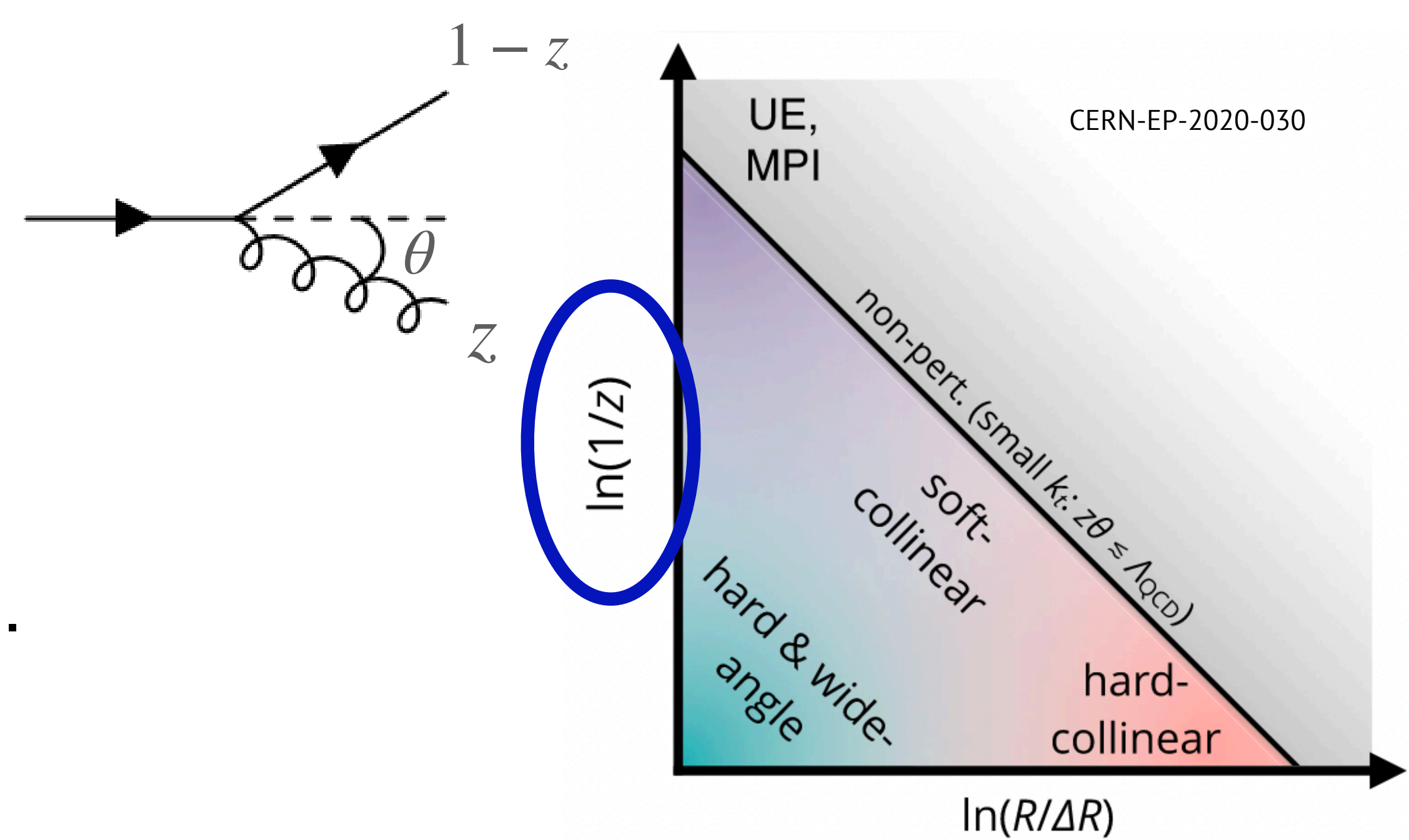
# The Lund jet plane

- The Lund jet plane (LJP) is a 2D “image” of parton emissions in jets
- Different representations of the LJP are possible, e.g.  $[\ln(1/z), \ln(R/\Delta R)]$  or  $[\ln(k_t), \ln(R/\Delta R)]$
- The LJP separates various types of emissions into different regions
- The plane is populated uniformly for soft and collinear emissions

$$dP_{i \rightarrow ig} = \frac{\alpha_s C_i}{\pi} \frac{d\theta^2}{\theta^2} \frac{dz}{z}$$

$$k_T = p_T^{\text{soft}} \sin(\Delta R),$$

$$z = \frac{p_T^{\text{soft}}}{p_T^{\text{hard}} + p_T^{\text{soft}}}$$



CERN-EP-2020-030



# The Dead Cone Effect

## Suppression of collinear radiation off of massive quarks

- The relativistic and massless splitting probability in pQCD is given by

$$dP_{i \rightarrow ig} = \frac{\alpha_s C_i}{\pi} \frac{d\theta^2}{\theta^2} \frac{dz}{z}$$

$z$  : Energy Fraction

$\theta$  : Splitting angle

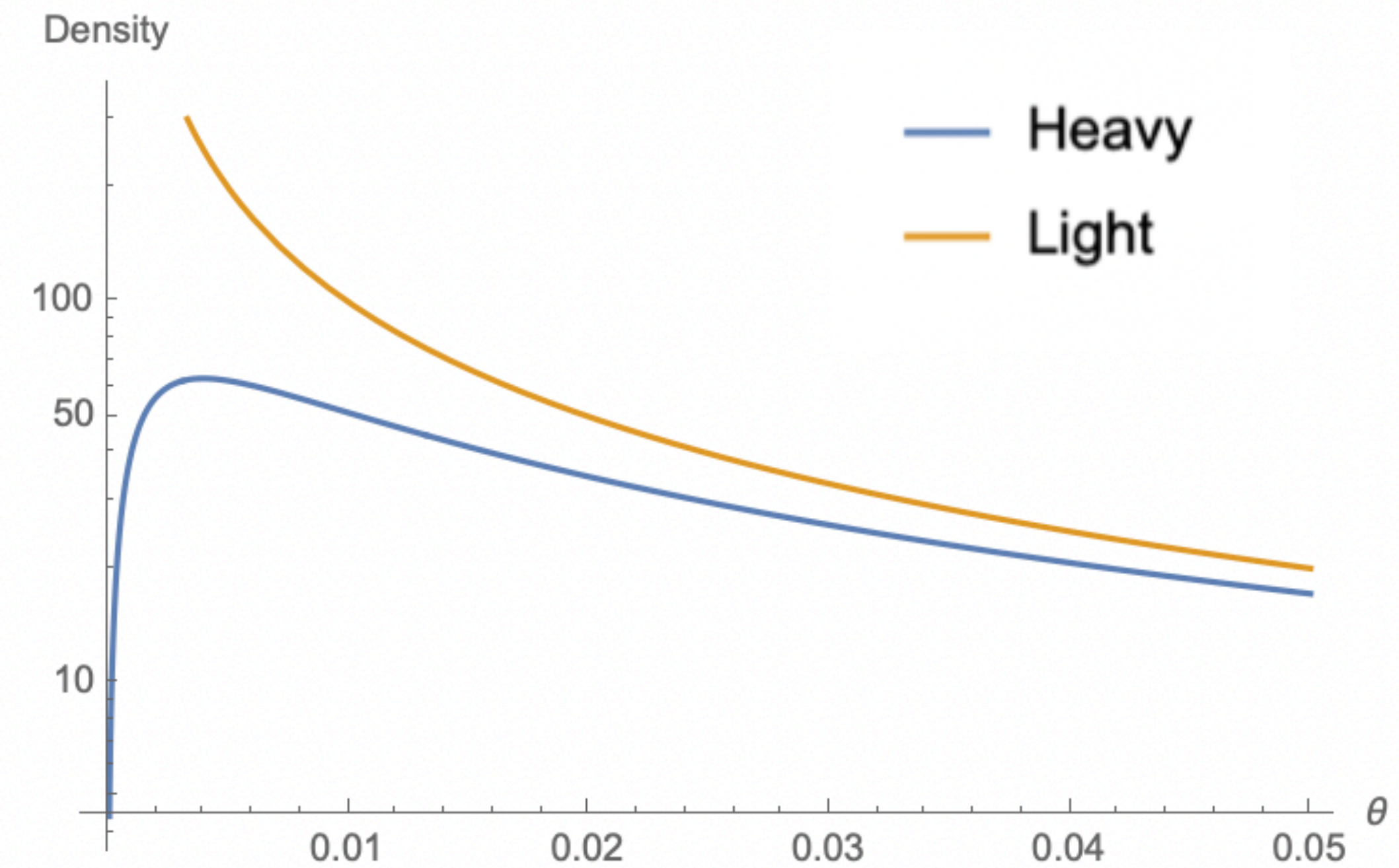
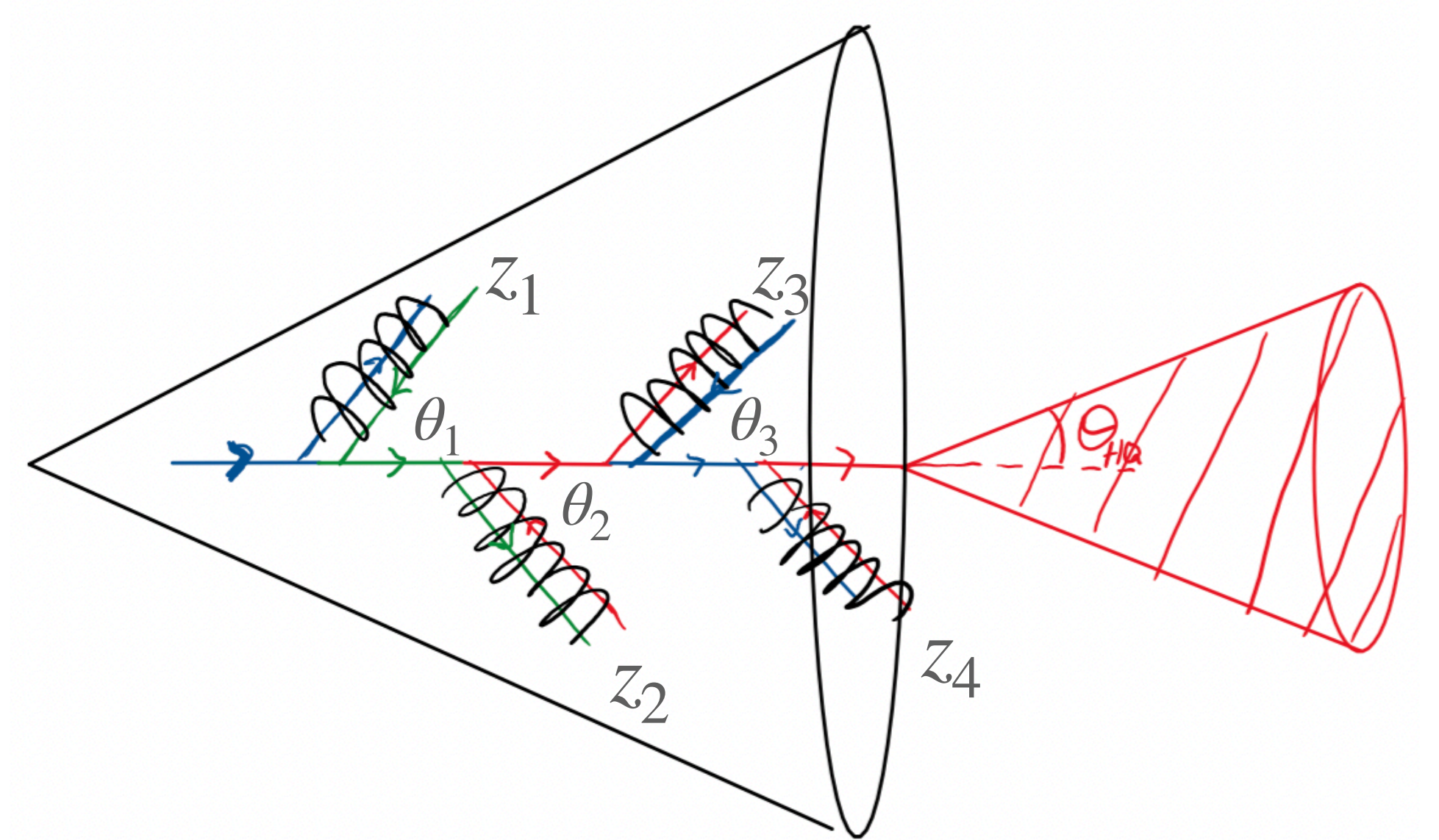
$C_i$  : Color factor

- For heavy quarks (HQ), a characteristic angle appears in the equation

$$dP_{i \rightarrow ig} = \frac{\alpha_s C_i}{\pi} \frac{\theta^2 d\theta^2}{(\theta^2 + \theta_{\text{HQ}}^2)^2} \frac{dz}{z}$$

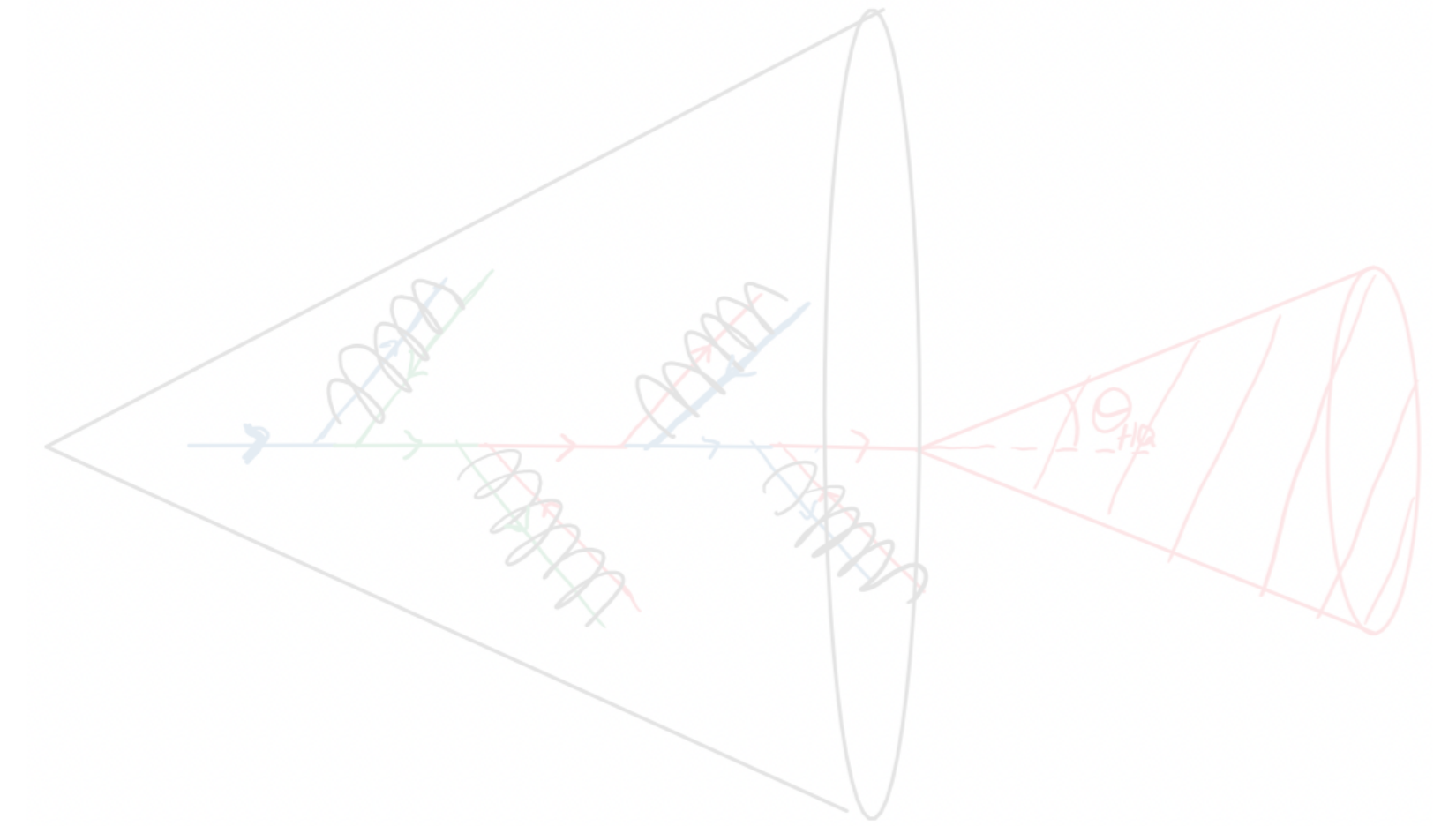
$$\theta_{\text{HQ}} = \frac{m_{\text{HQ}}}{E}$$

Dokshitzer, Y.L., Khoze, V.A. and Troyan, S.I., 1991.  
*Journal of Physics G: Nuclear and Particle Physics*, 17(10), p.1602.



# The Dead Cone Effect

## Bremsstrahlung off moving charges



- The relativistic and massless splitting probability in pQCD is given by

$$dP_{i \rightarrow ig} = \alpha_s C_i d\theta^2 dz$$

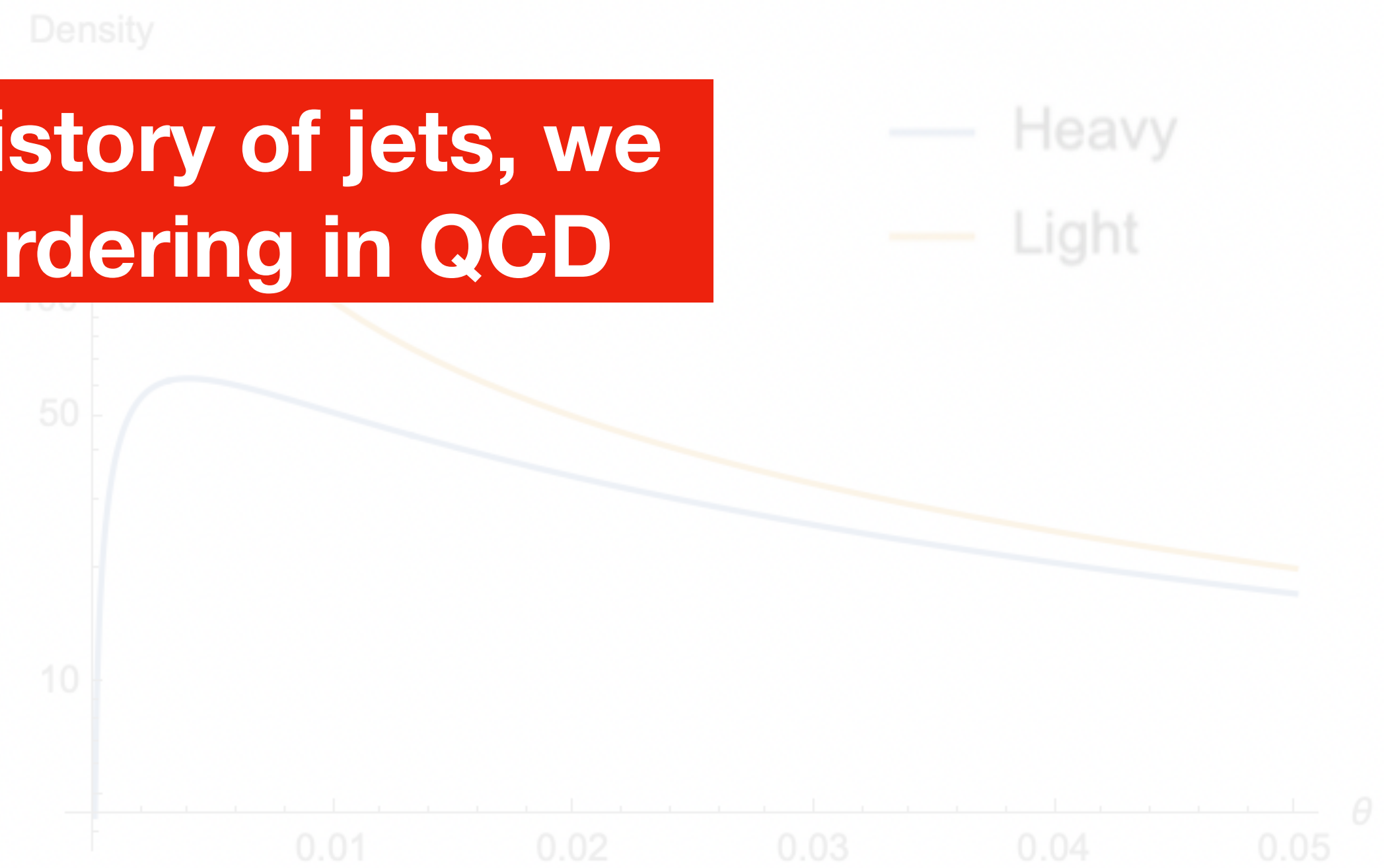
$z$  : Energy Fraction

**To reconstruct the splitting history of jets, we take advantage of angular ordering in QCD**

- For heavy quarks (HQ), a characteristic angle appears in the equation

$$dP_{i \rightarrow ig} = \frac{\alpha_s C_i}{\pi} \frac{\theta^2 d\theta^2}{(\theta^2 + \theta_{\text{HQ}}^2)^2} \frac{dz}{z}$$

$$\theta_{\text{HQ}} = \frac{m_{\text{HQ}}}{E}$$





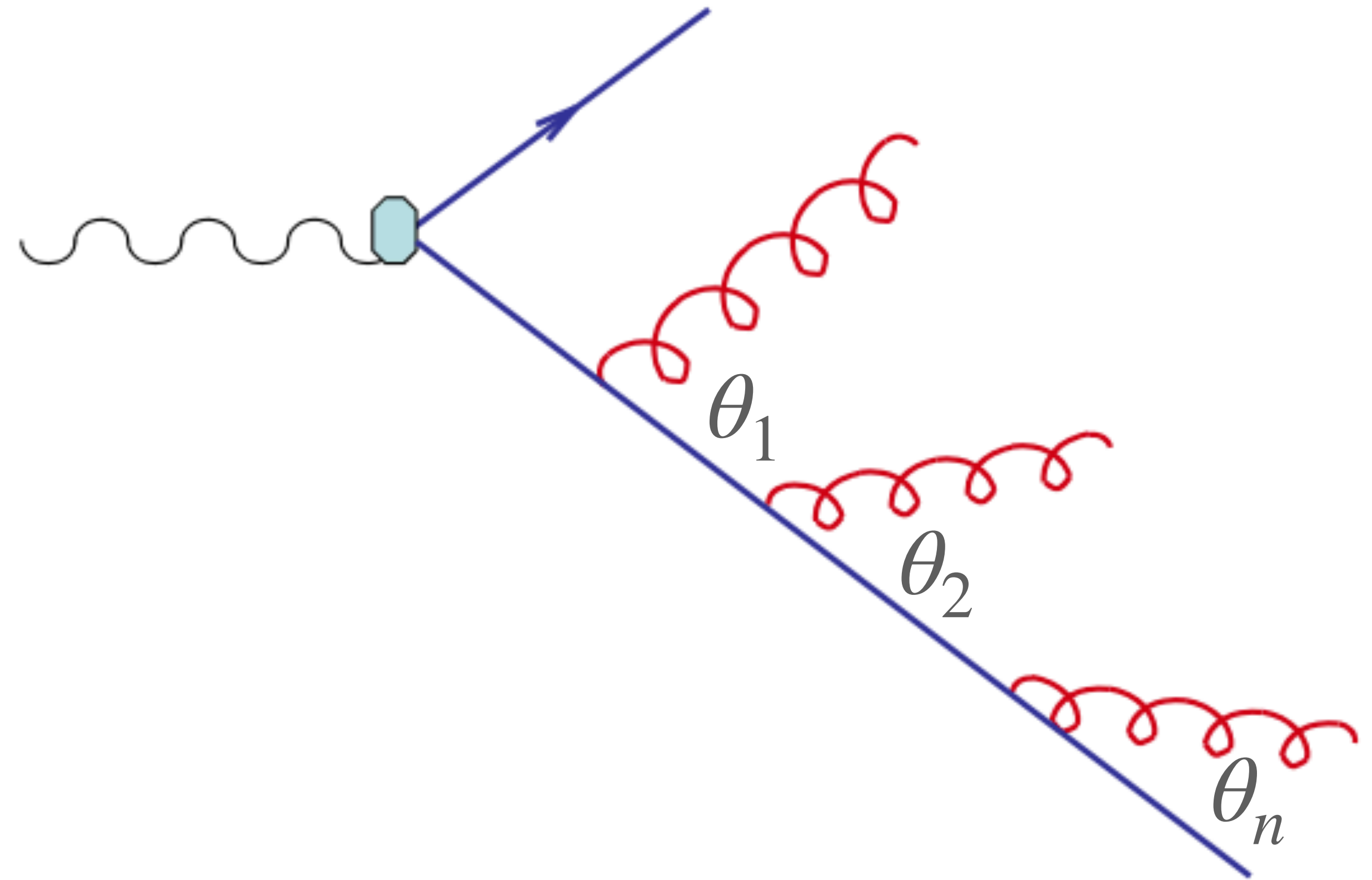
# Angular Ordering

## Accessing the splitting history

- Gluon radiation is ordered from larger to smaller angles throughout the showering

$$\theta_1 > \theta_2 > \dots > \theta_n$$

- The Cambridge/Aachen (C/A) algorithm clusters jets based on smallest angles first = respects angular ordering!

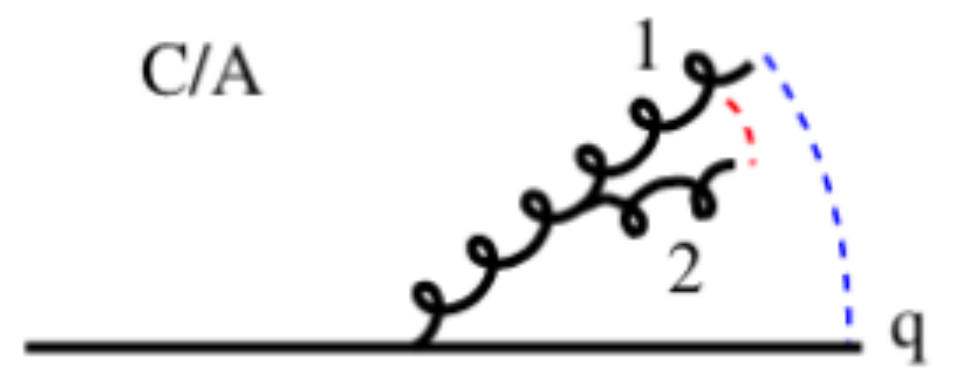


**C/A gives us access to the splitting history of the jet**



# Iterative Declustering

Cunqueiro, Leticia, and Mateusz Płoskoń. *Physical Review D* 99.7 (2019): 074027



Recluster = combine most collinear particles according to C/A

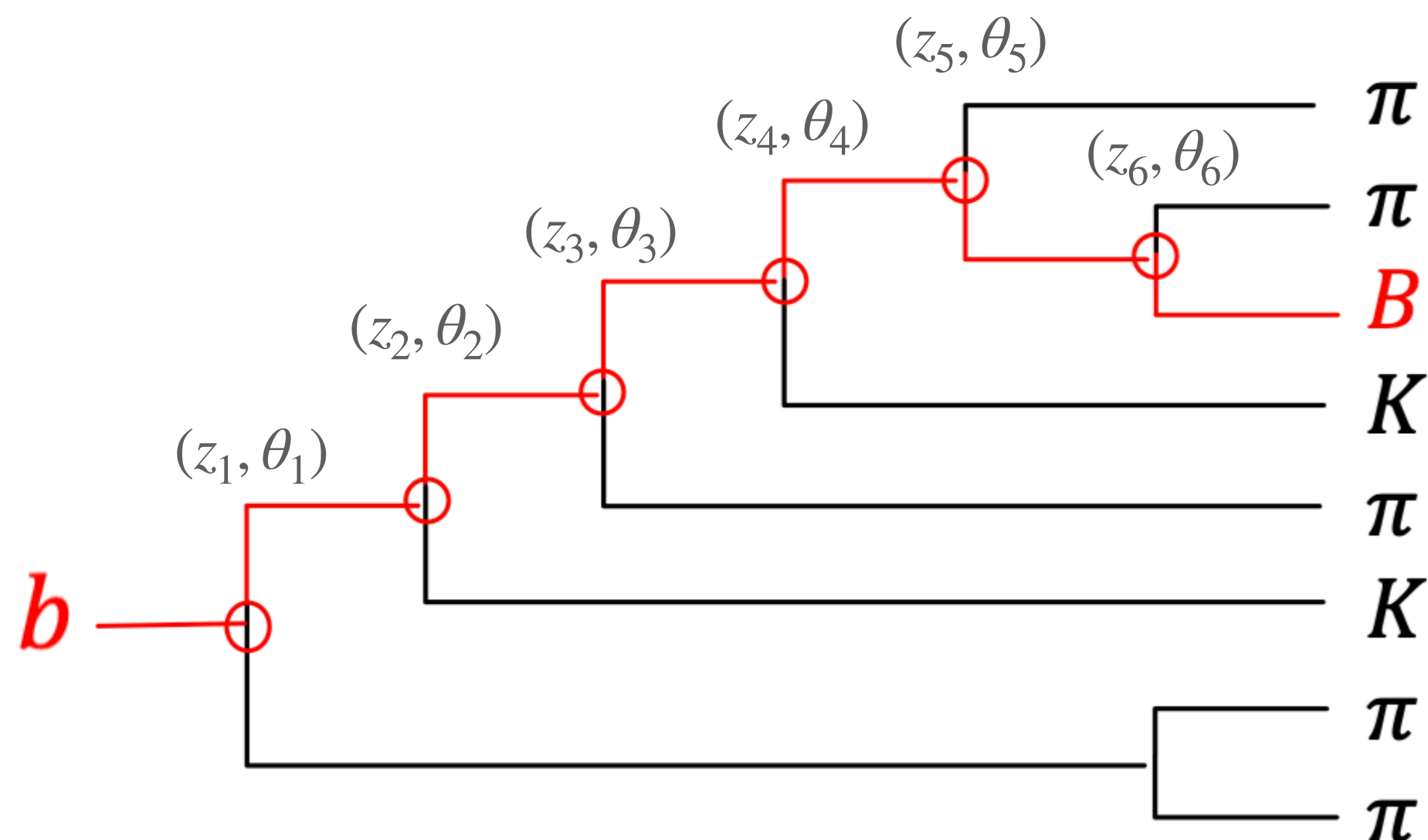
## Anti- $k_T$ Jet

$\pi \pi B K \pi K \pi \pi$

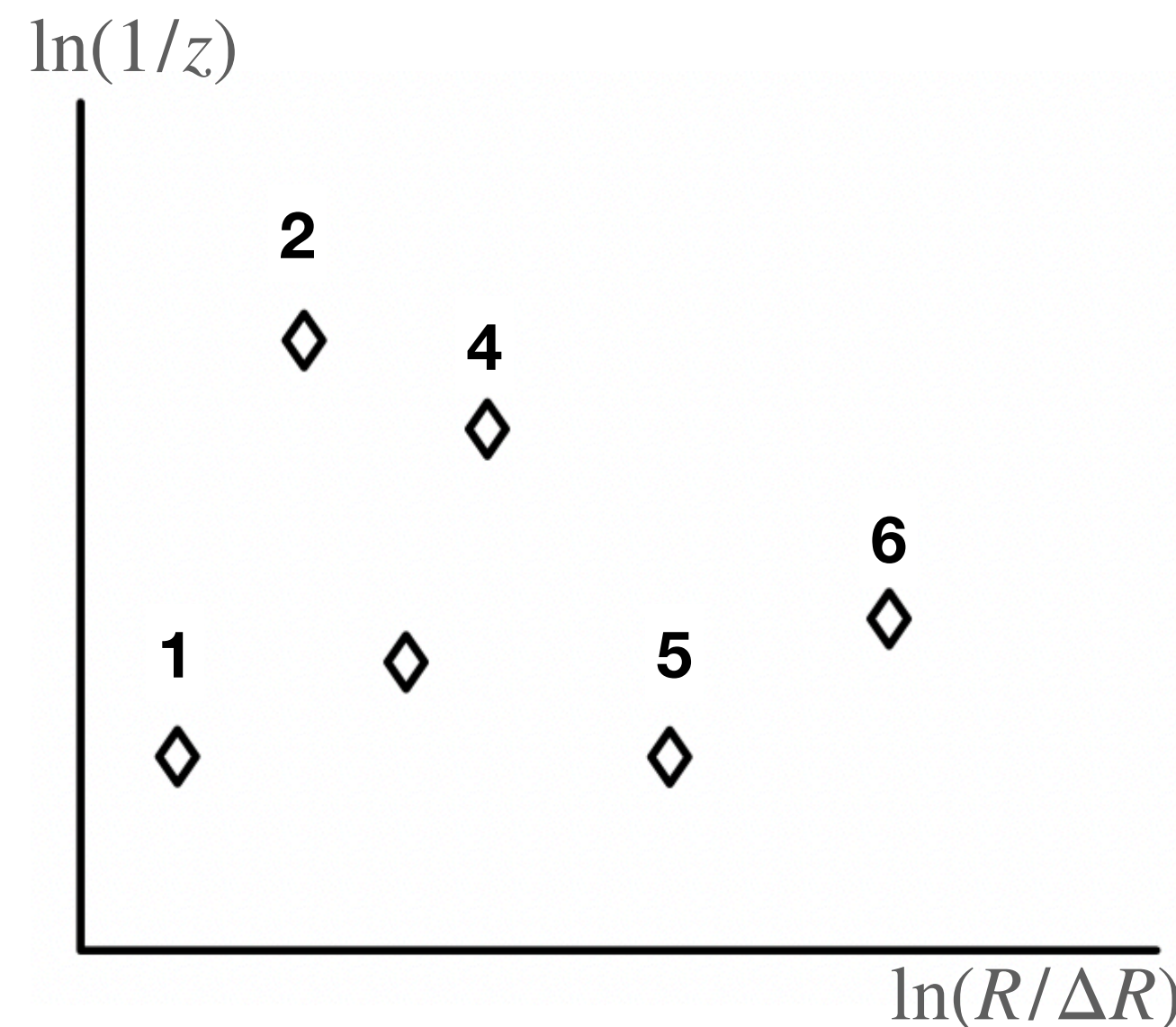
- Infrared and collinear safe
- Conical

Recluster with C/A

## Angular Ordered Tree



1. Using the FastJet algorithm, cluster jets with the anti- $k_T$  algorithm (“AK5” for  $R = 0.5$ )
2. Recluster jets passing the selection criteria using C/A
3. Following the hardest/heavy-flavor branch, at each splitting point record the variables of interest:  $k_T, z, \Delta R, \theta, E_{rad}$



[Eur. Phys. J. C 72 \(2012\) 1896](#)

F. A. Dreyer, G. P. Salam, and G. Soyez, The Lund jet plane, *J. High Energy Phys.* 12 (2018) 064

# Previous measurements of the Lund plane

## ATLAS, ALICE, and CMS

arXiv:2111.00020v1

CMS PAS SMP-22-007

PRL 124.22 (2020): 222002

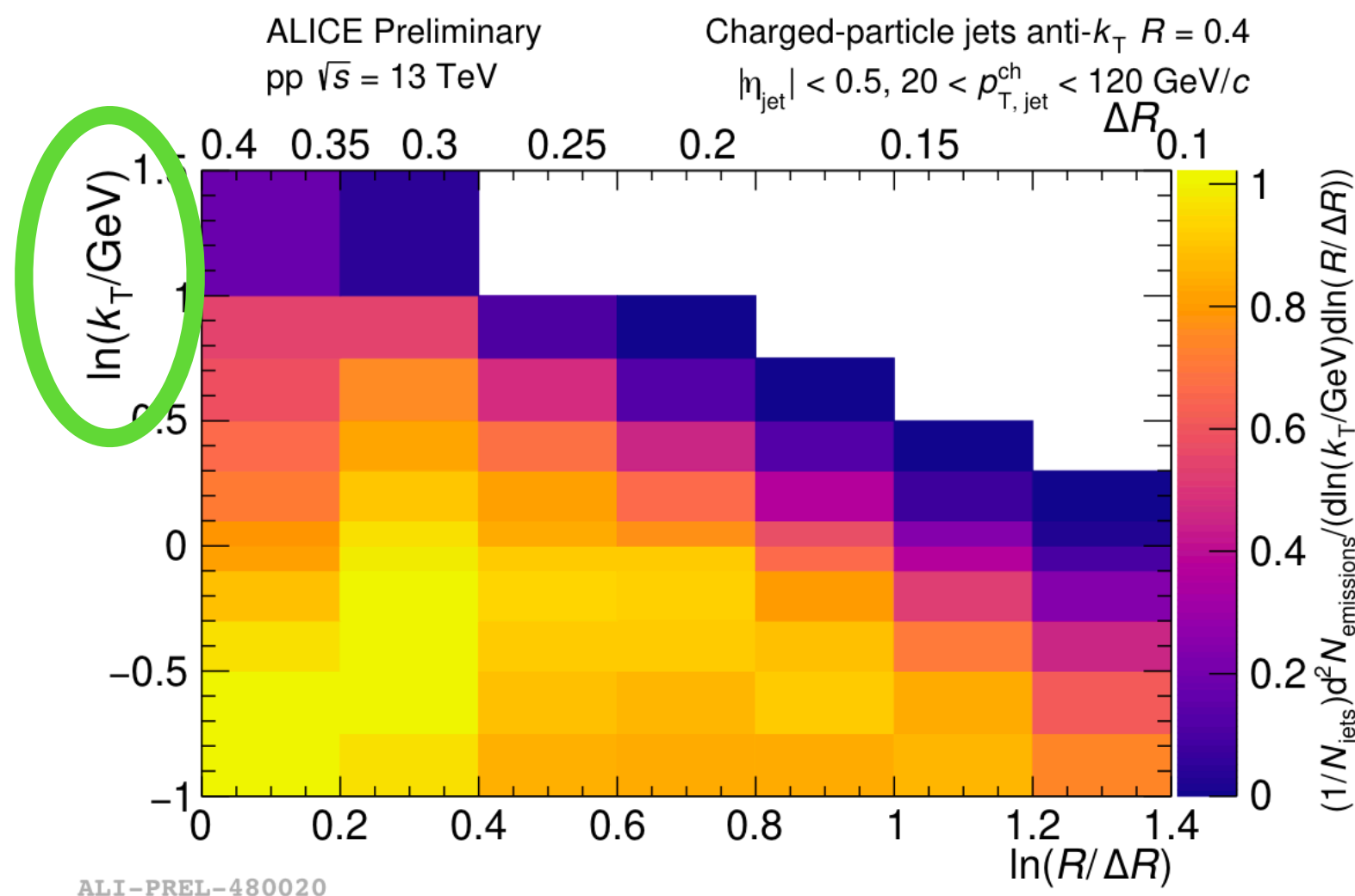
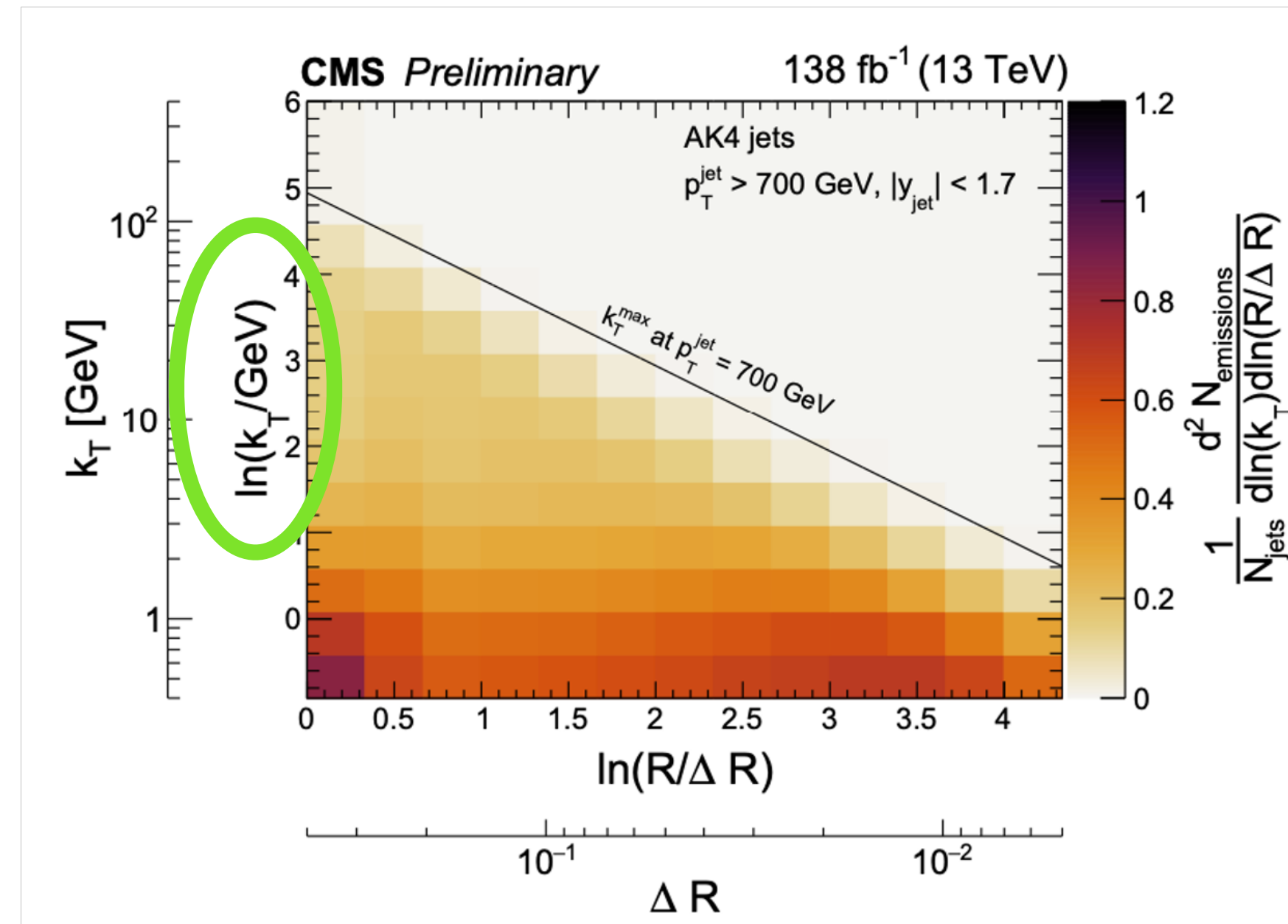


Figure 3: Fully corrected primary Lund plane density.



### Flavor-inclusive Lund jet planes

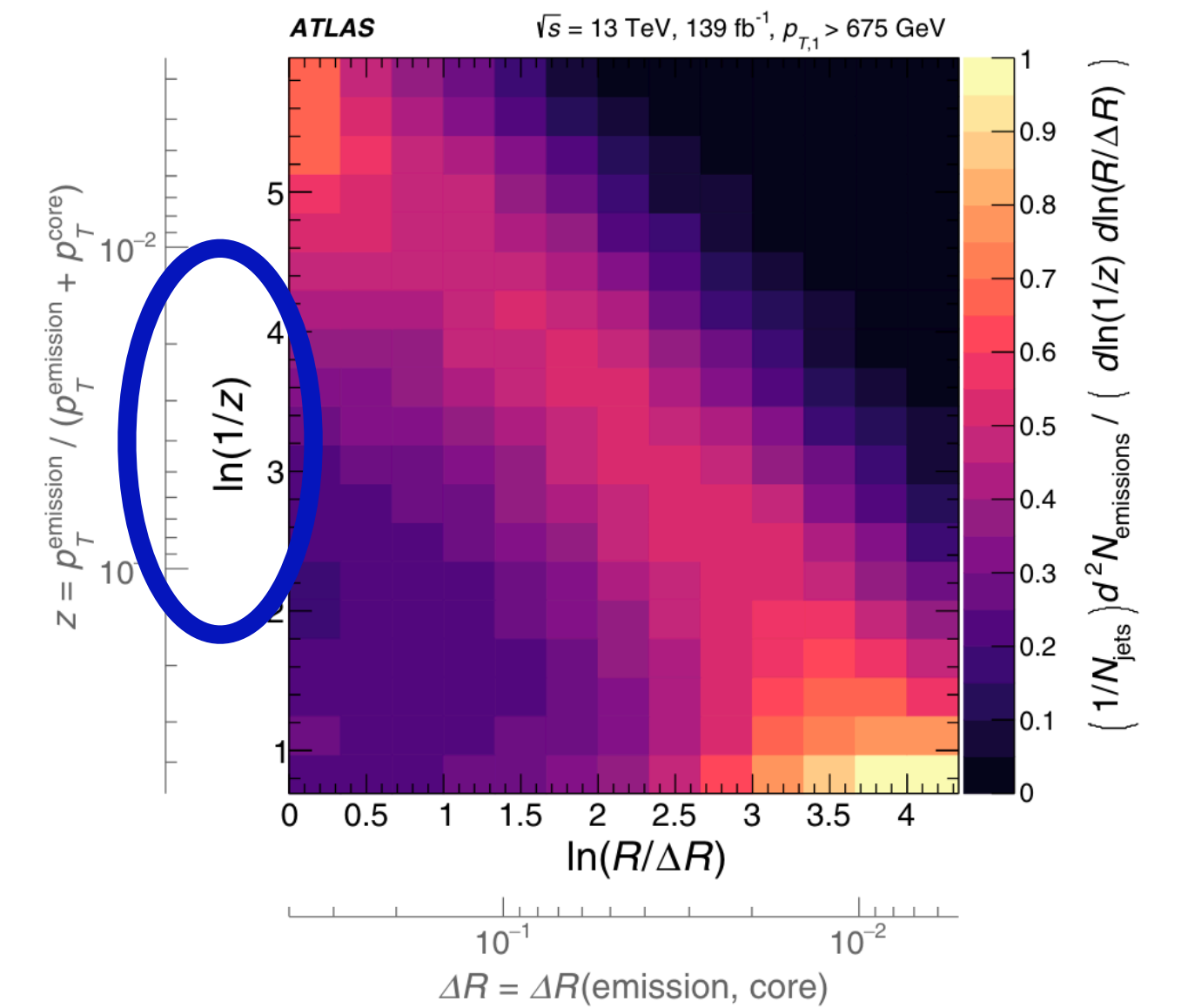
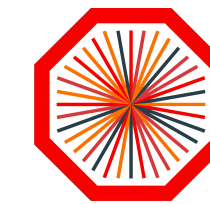


FIG. 2. The LJP measured using jets in 13 TeV  $pp$  collision data, corrected to particle level. The inner set of axes indicates the coordinates of the LJP itself, while the outer set indicates corresponding values of  $z$  and  $\Delta R$ .

# Dead cone measurement by ALICE

## Ratio of charm to inclusive jets

$$R(\theta) = \frac{1}{N^{D^0 \text{ jets}}} \frac{dn^{D^0 \text{ jets}}}{d \ln(1/\theta)} \bigg/ \frac{1}{N^{\text{inclusive jets}}} \frac{dn^{\text{inclusive jets}}}{d \ln(1/\theta)} \bigg|_{k_T, E_{\text{Radiator}}}$$



ALICE

Nature 605, no. 7910 (2022): 440-446

- ALICE Data
- PYTHIA 8
- SHERPA
- - - PYTHIA 8 LQ / inclusive no dead-cone limit
- - - SHERPA LQ / inclusive no dead-cone limit

pp  $\sqrt{s} = 13$  TeV

charged jets, anti- $k_T$ ,  $R=0.4$

C/A reclustering

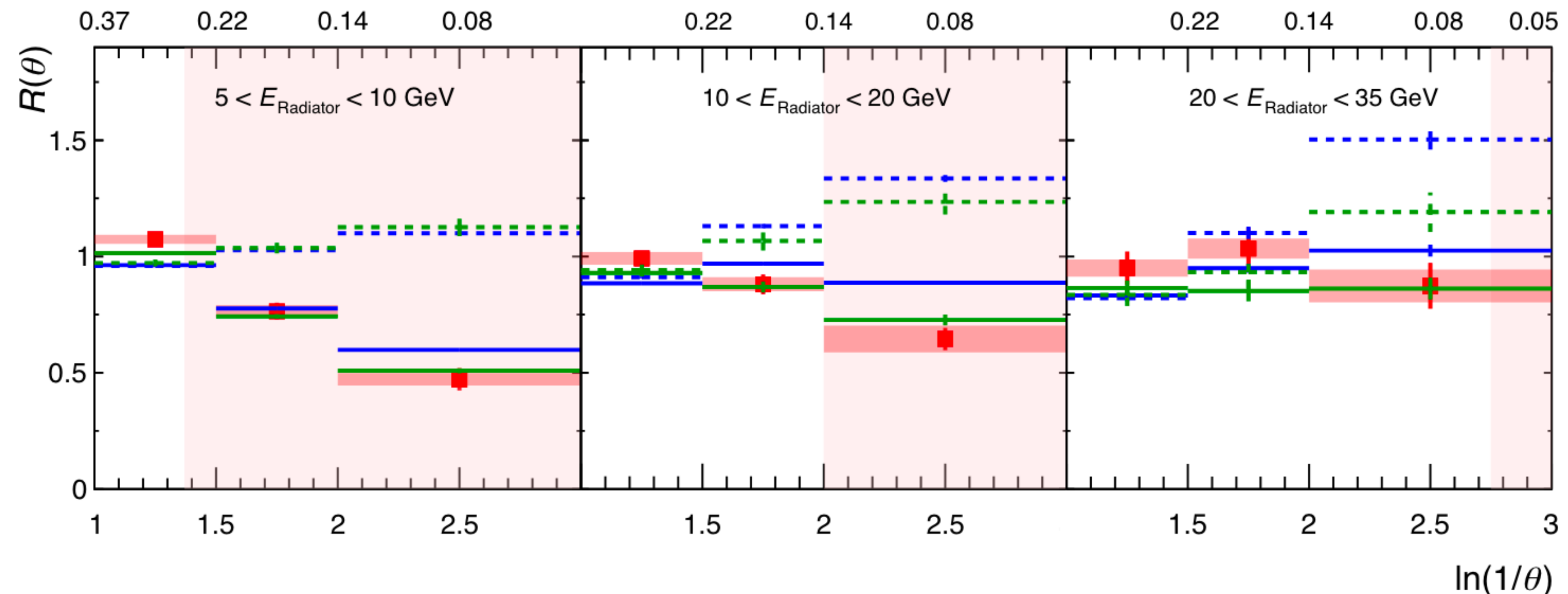
$p_{T, \text{inclusive jet}}^{\text{ch, leading track}} \geq 2.8$  GeV/c

$k_T > \Lambda_{\text{QCD}}$ ,  $\Lambda_{\text{QCD}} = 200$  MeV/c

$|\eta_{\text{lab}}| < 0.5$

$\theta$  (rad)

- ALICE has observed the dead cone in charm jets relative to inclusive jets



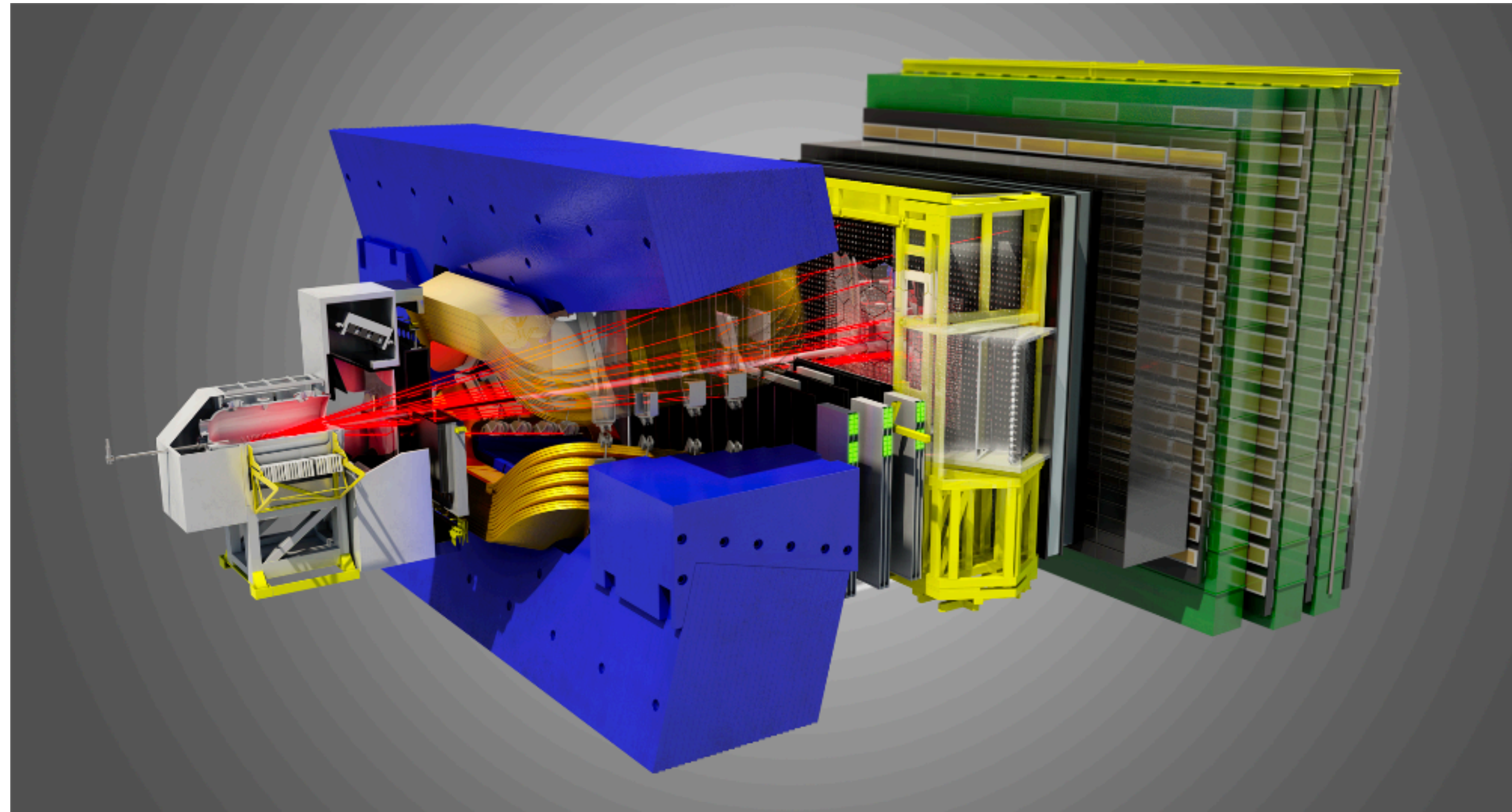


# Prospects for the LJP at LHCb

# The LHCb Detector

## Forward-arm spectrometer

- Forward rapidities:  
 $2 < \eta < 5$
- Excellent vertex resolution
- Tracking and particle identification
- Hadronic and electromagnetic calorimetry
- Muon system

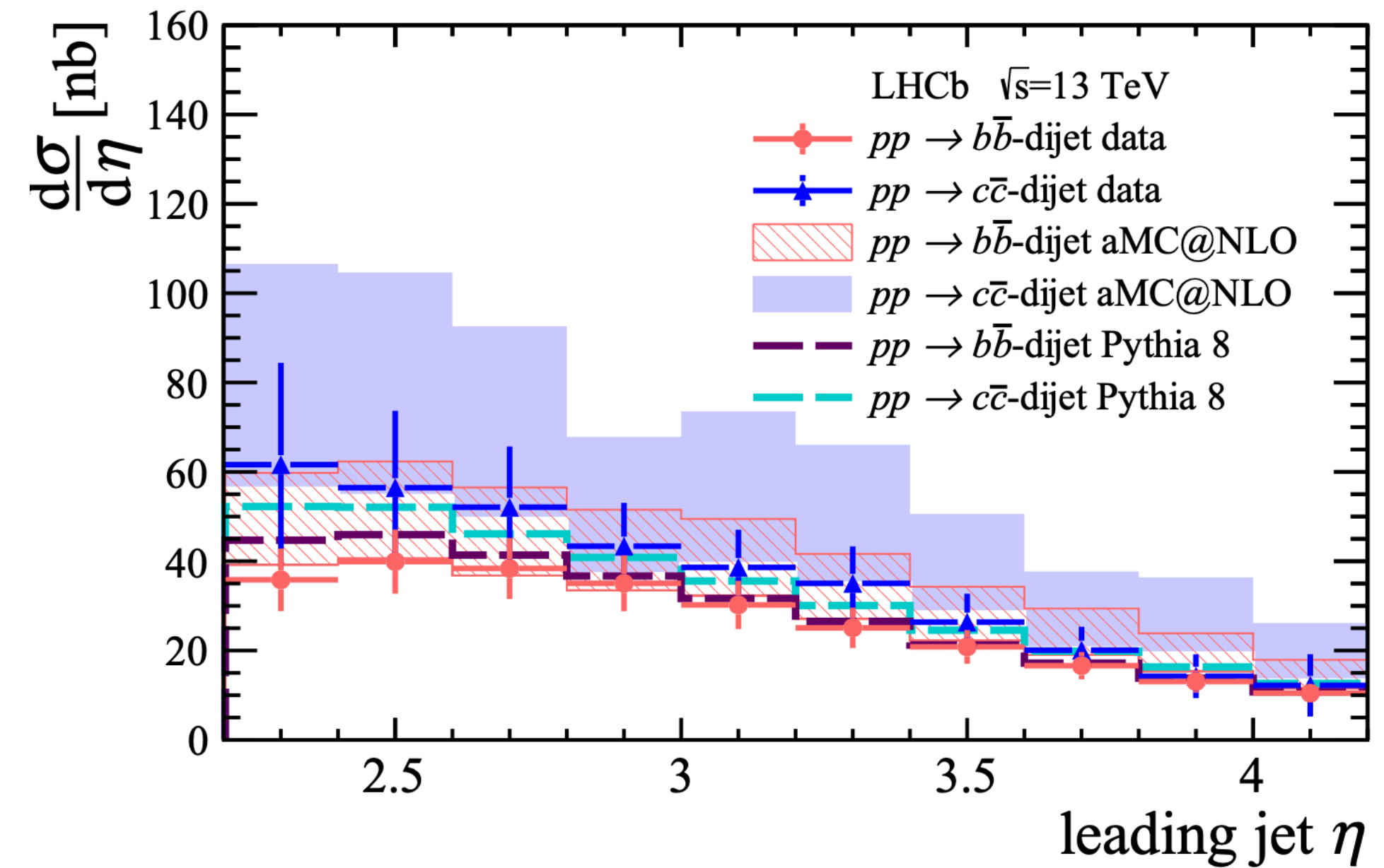
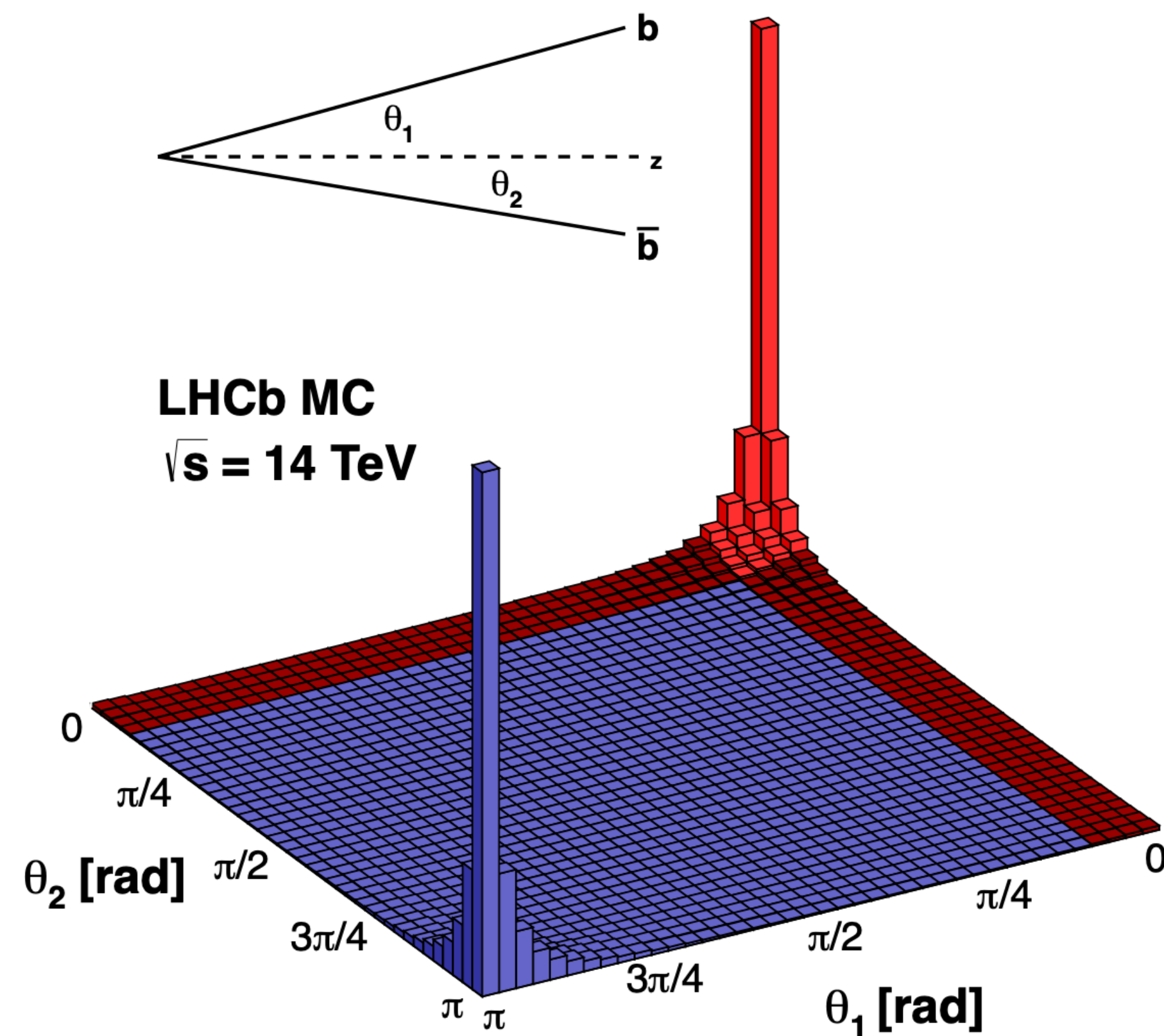




# Large Heavy Flavor Cross-sections

JHEP 2021.2 (2021): 1-37

Lots of HF jets!

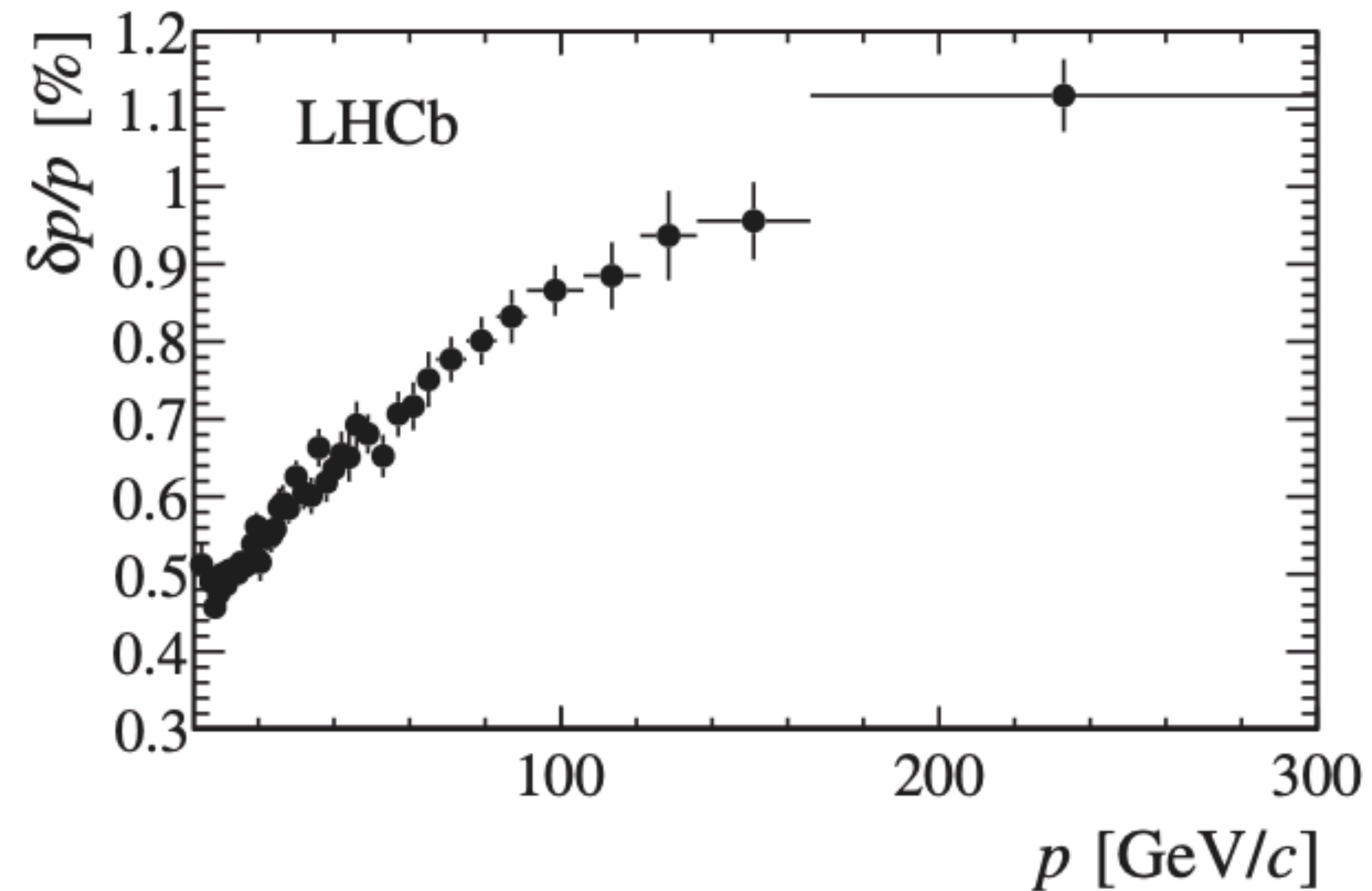


- HF dijet cross-section is large at forward rapidities!
- For each  $1 \text{ fb}^{-1}$  of integrated luminosity, millions of heavy flavor jets are created!



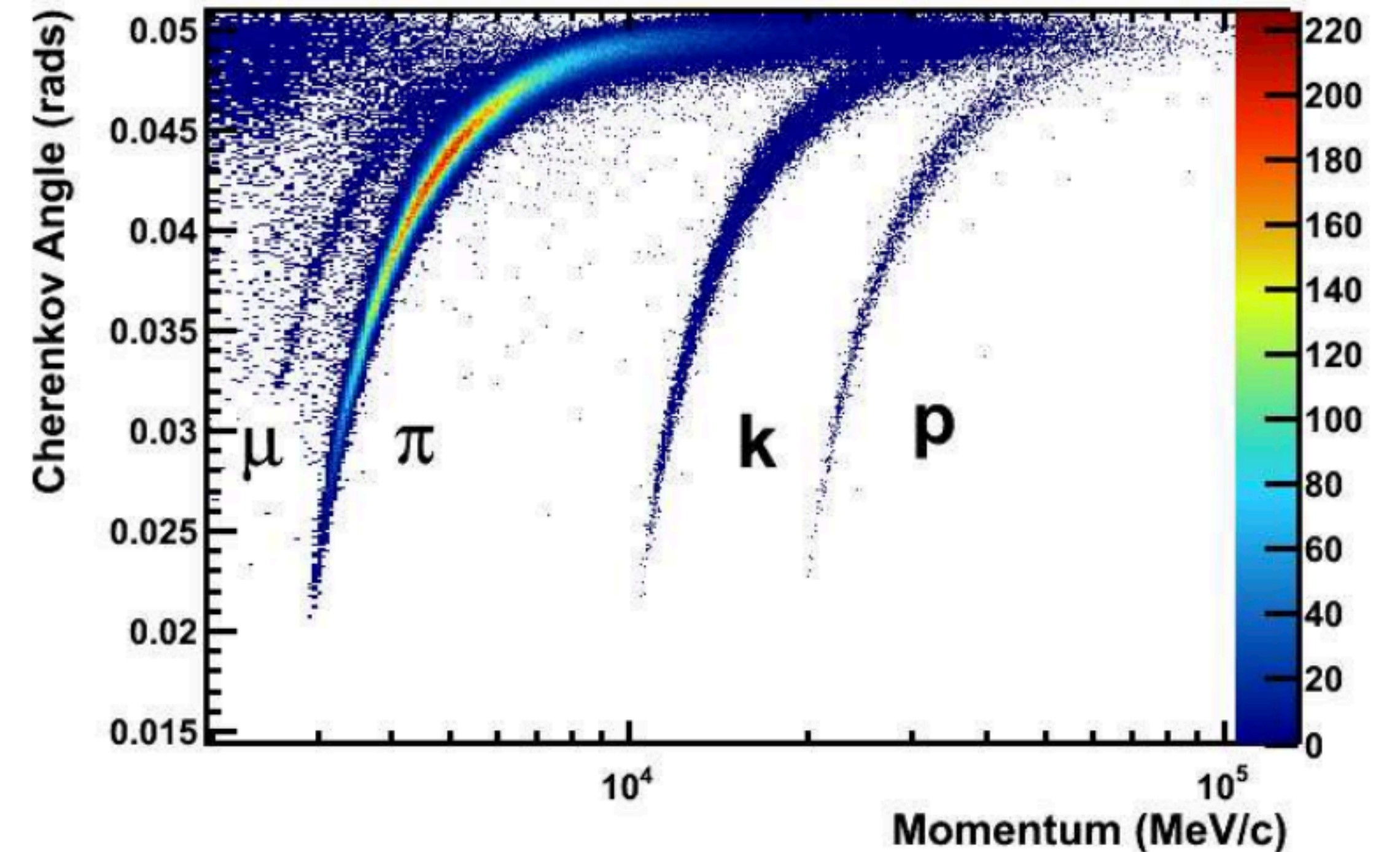
# Tracking and PID

Excellent momentum resolution and particle identification



**Resolution <1% up to 200 GeV**

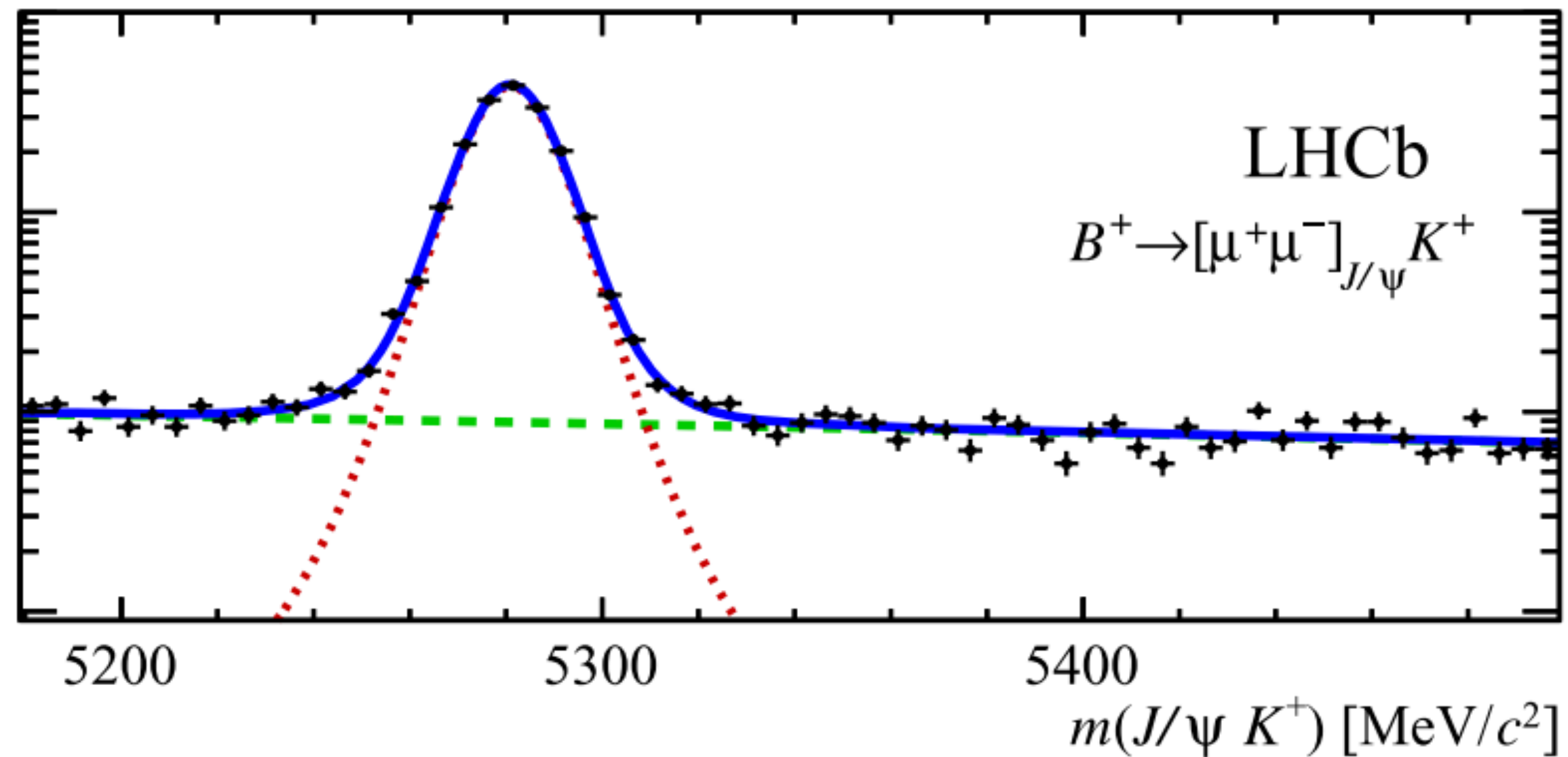
Int. J. Mod. Phys. A 30, 1530022 (2015)



**Capability of selecting exclusive decays!**

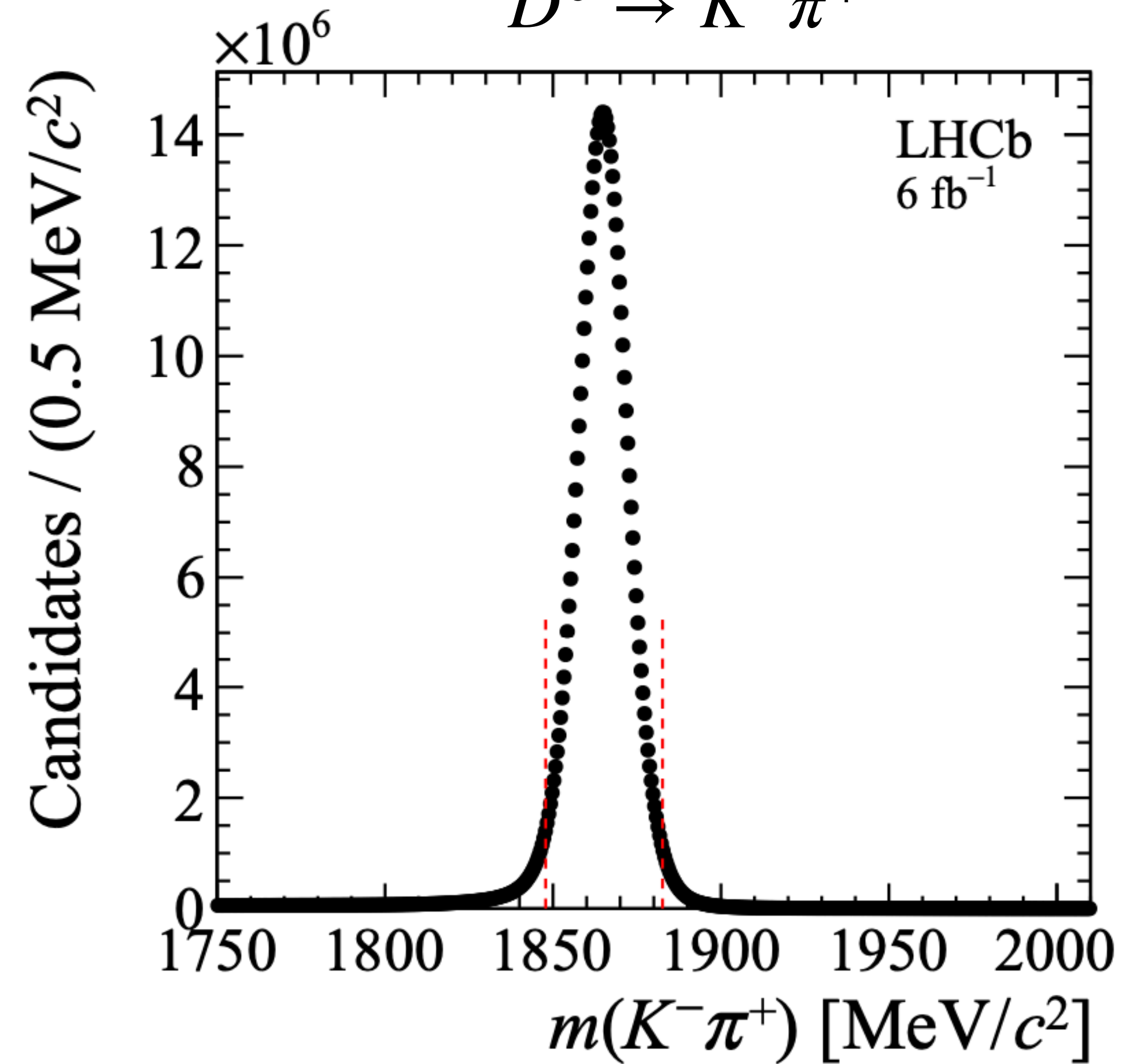
# Powerful reconstruction of exclusive decays

$$B^+ \rightarrow J/\psi(\rightarrow \mu\mu)K^+$$



PRD 95, 052005 (2017)

$$D^0 \rightarrow K^- \pi^+$$

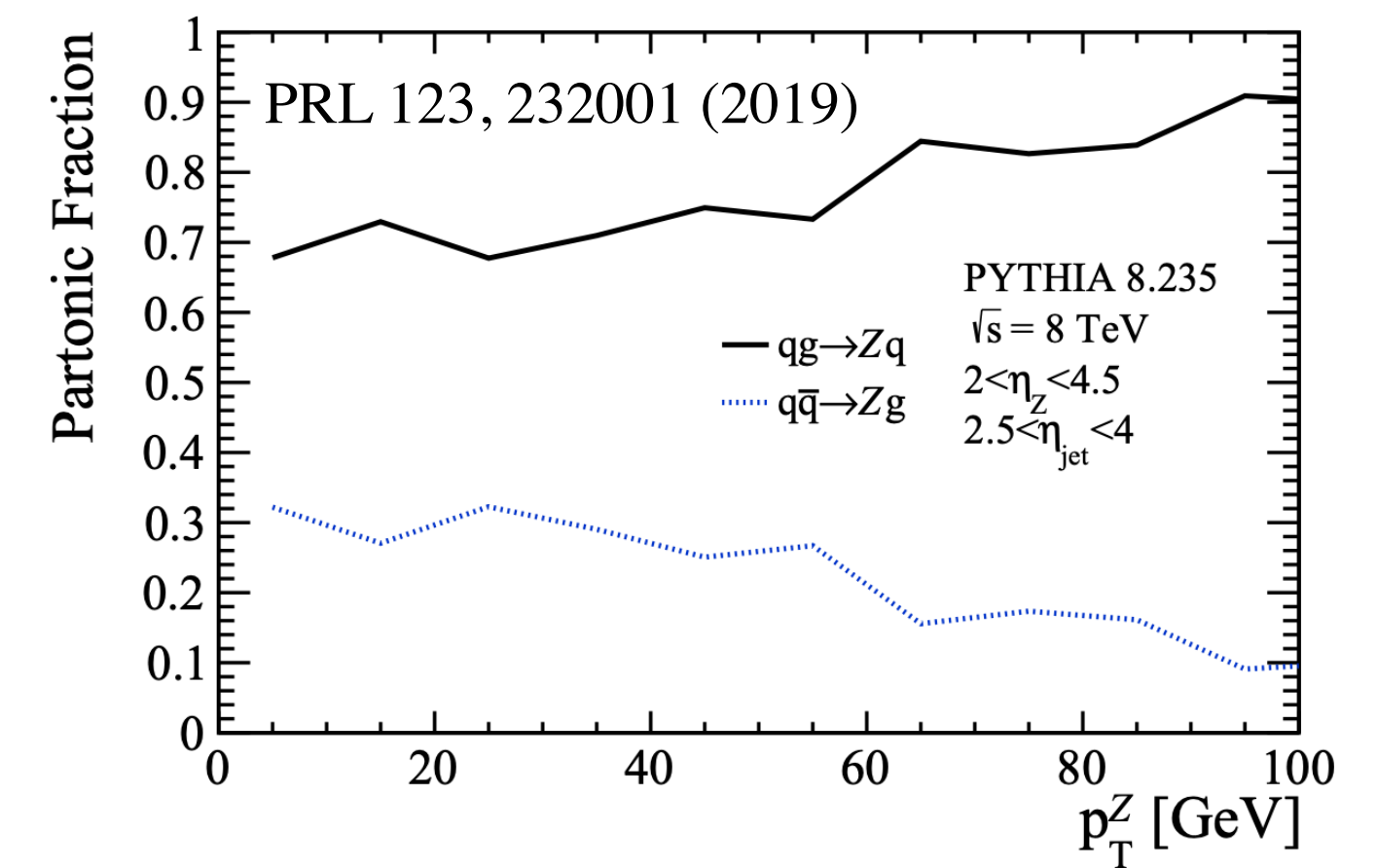


Phys. Rev. D 104 (2021) 072010



# Looking ahead: Jet Samples

Z-tagged jets, jets around  $D^0$ , jets around  $B^\pm$



- We use Run 2 p+p collisions at  $\sqrt{s} = 13 \text{ TeV}$  data during the years 2016-2018.
- For **light partons** (u/d/s/g), jets recoiling off a Z-boson are used to obtain a quark-enriched jet sample.  $pp \rightarrow Z(\rightarrow \mu\mu) + q(g)$
- For **charm-initiated jets**, we reconstruct  $D^0 \rightarrow K^- \pi^+$  candidates and find jets that contain the  $D^0/\bar{D}^0$  within the jet radius.
- For **beauty-initiated jets**, we reconstruct  $B^\pm \rightarrow J/\psi(\rightarrow \mu\mu)K^\pm$  candidates and find jets that contain the  $B^\pm$  within the jet radius.

# LJP at forward rapidities

## Pythia8 Dijet Simulations

Pythia8 settings:

pp collisions

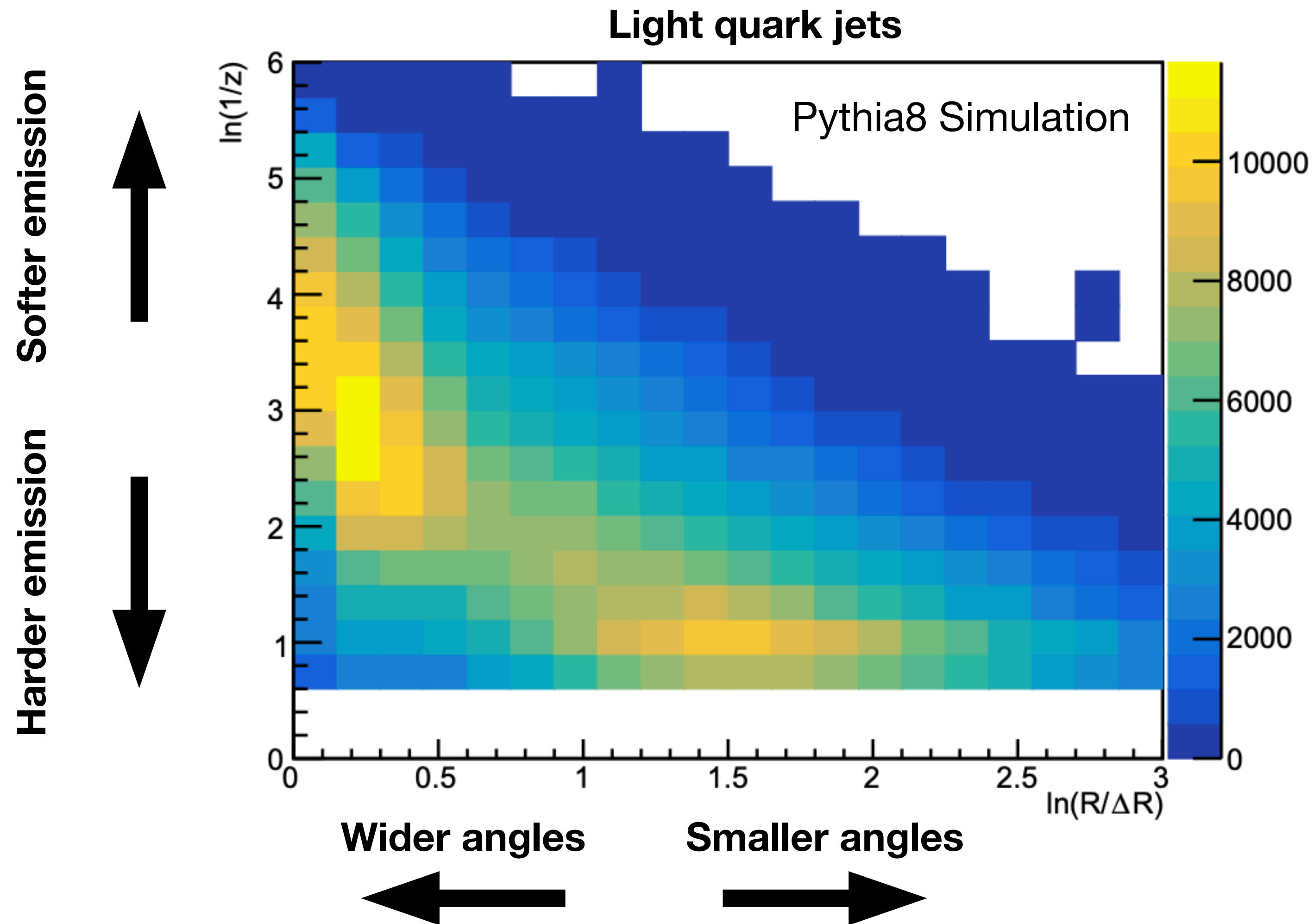
$\sqrt{s} = 13 \text{ TeV}$

$2.5 < \eta_{jet} < 4$

$p_{T,jet} > 20 \text{ GeV}$

$R = 0.5$

$$z = \frac{p_T^{soft}}{p_T^{hard} + p_T^{soft}}$$



Note: Pythia8 simulations were produced privately and NOT by LHCb



# Various Emission Regions

## Pythia8 Simulations/ATLAS result

Pythia8 settings:

pp collisions

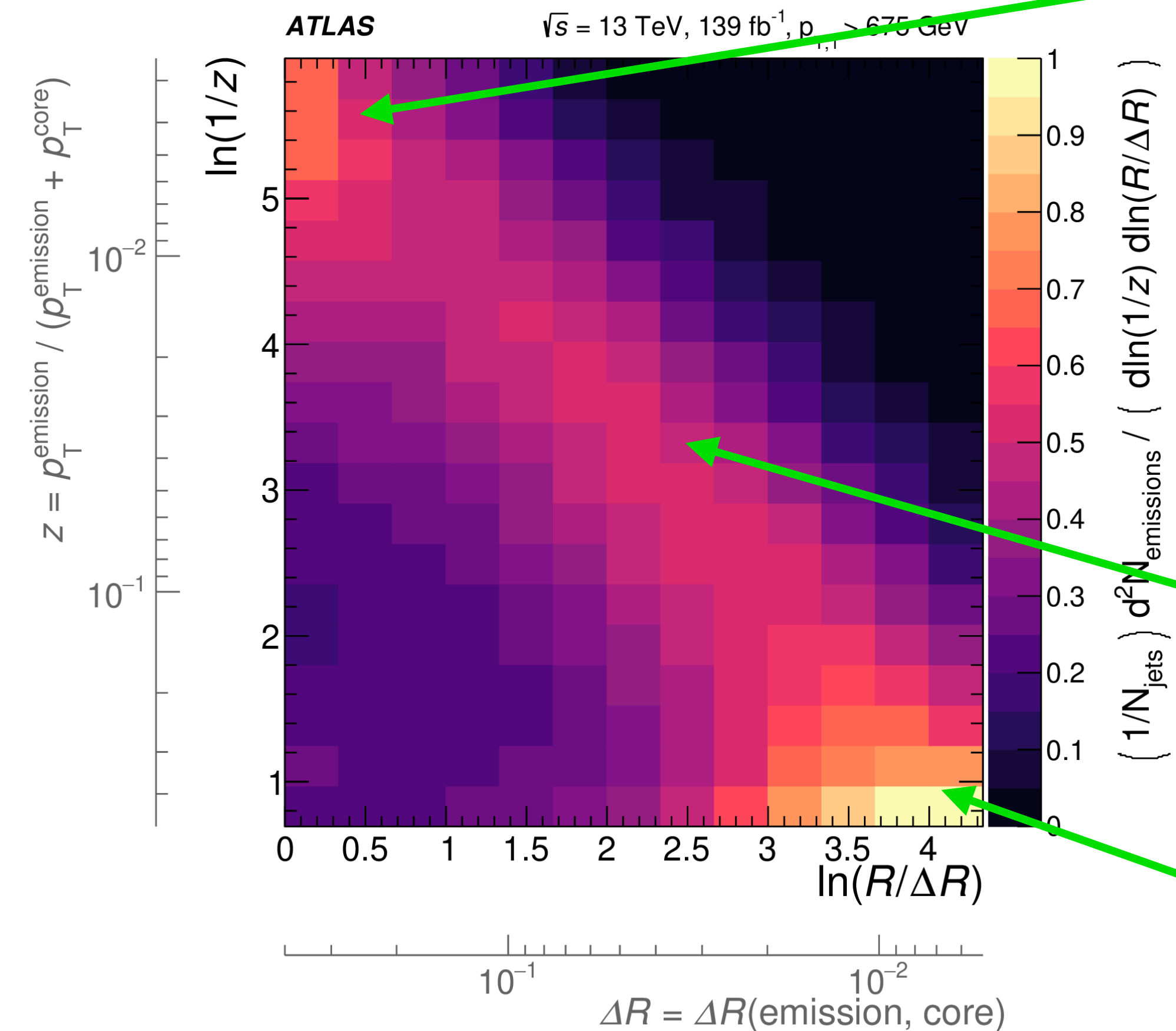
$\sqrt{s} = 13 \text{ TeV}$

$2.5 < \eta_{jet} < 4$

$p_{T,jet} > 20 \text{ GeV}$

$R = 0.5$

PRL 124.22 (2020): 222002

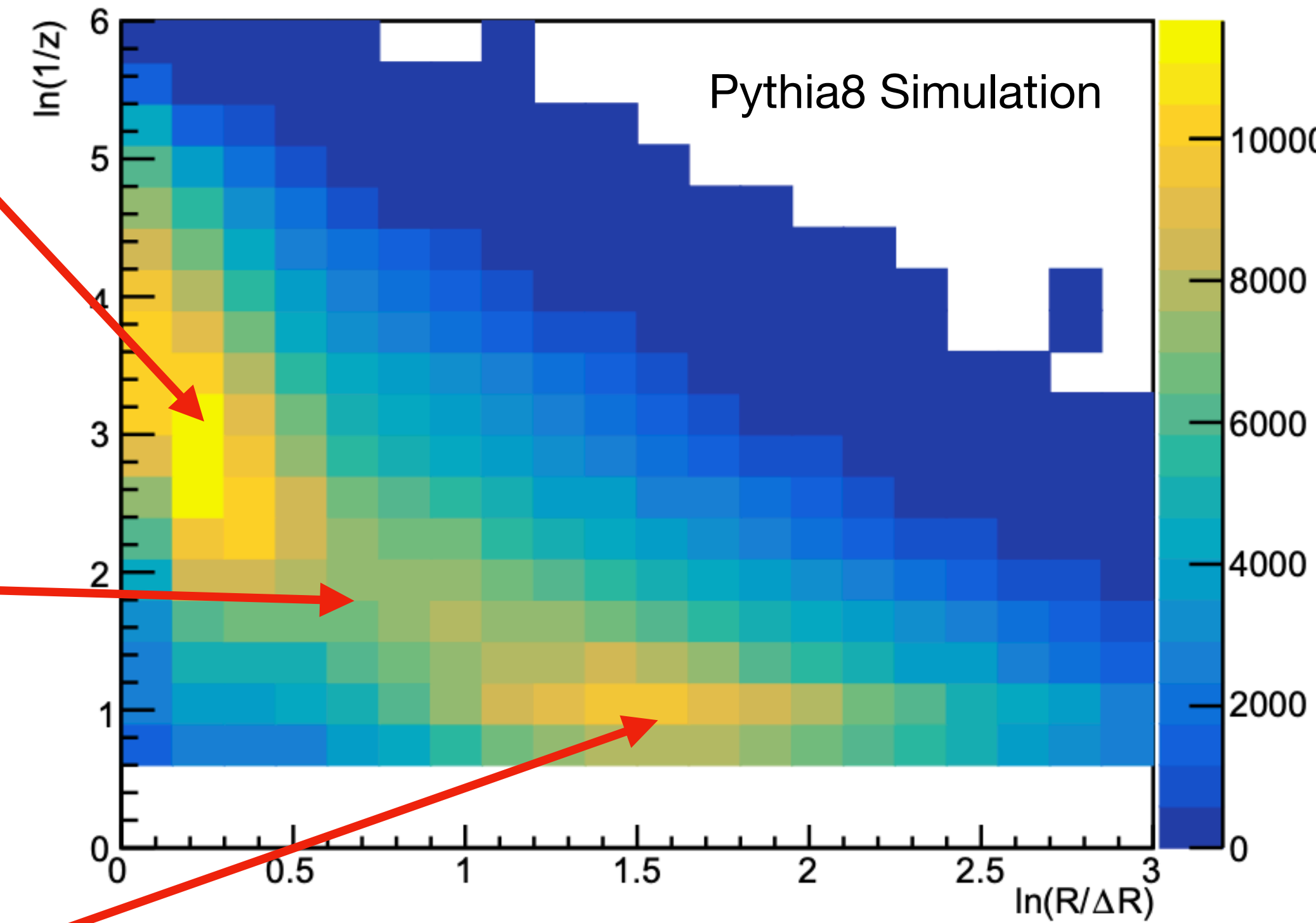


Soft  
and wide

Soft  
and collinear

Hard  
and collinear

Light quark jets



# LJP at forward rapidities

## Pythia8 Dijet Simulations

Pythia8 settings:

pp collisions

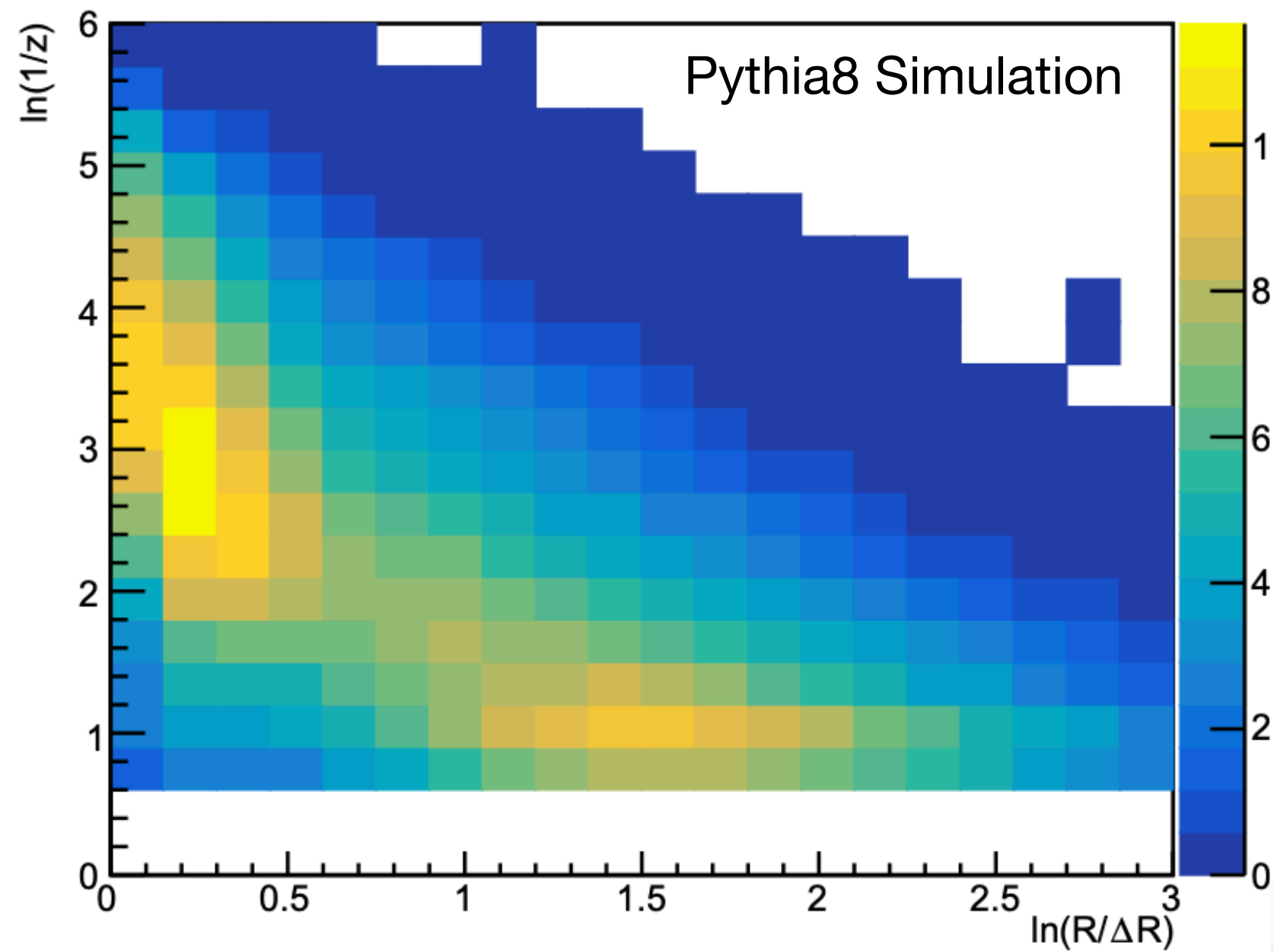
$\sqrt{s} = 13 \text{ TeV}$

$2.5 < \eta_{jet} < 4$

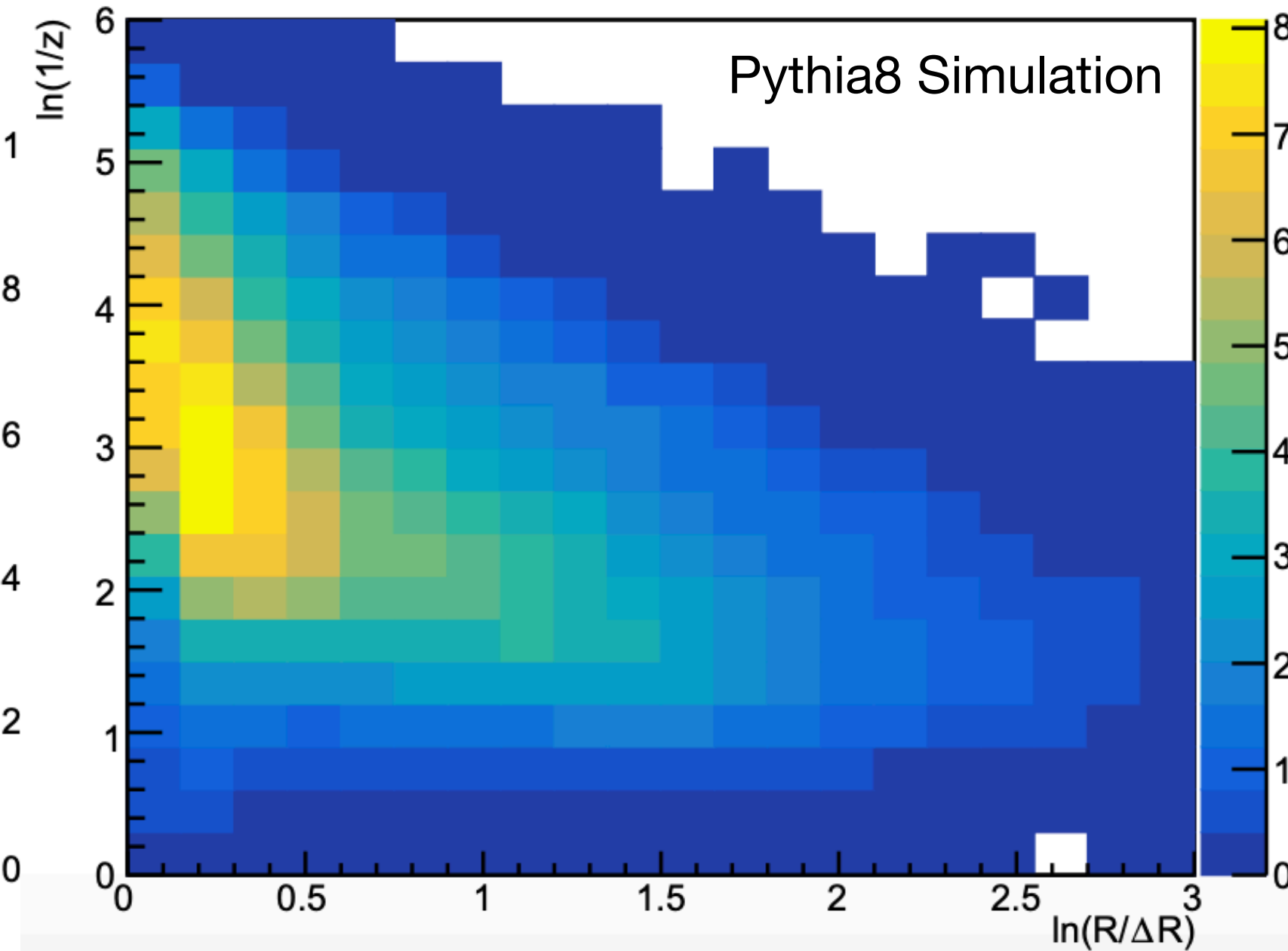
$p_{T,jet} > 20 \text{ GeV}$

$R = 0.5$

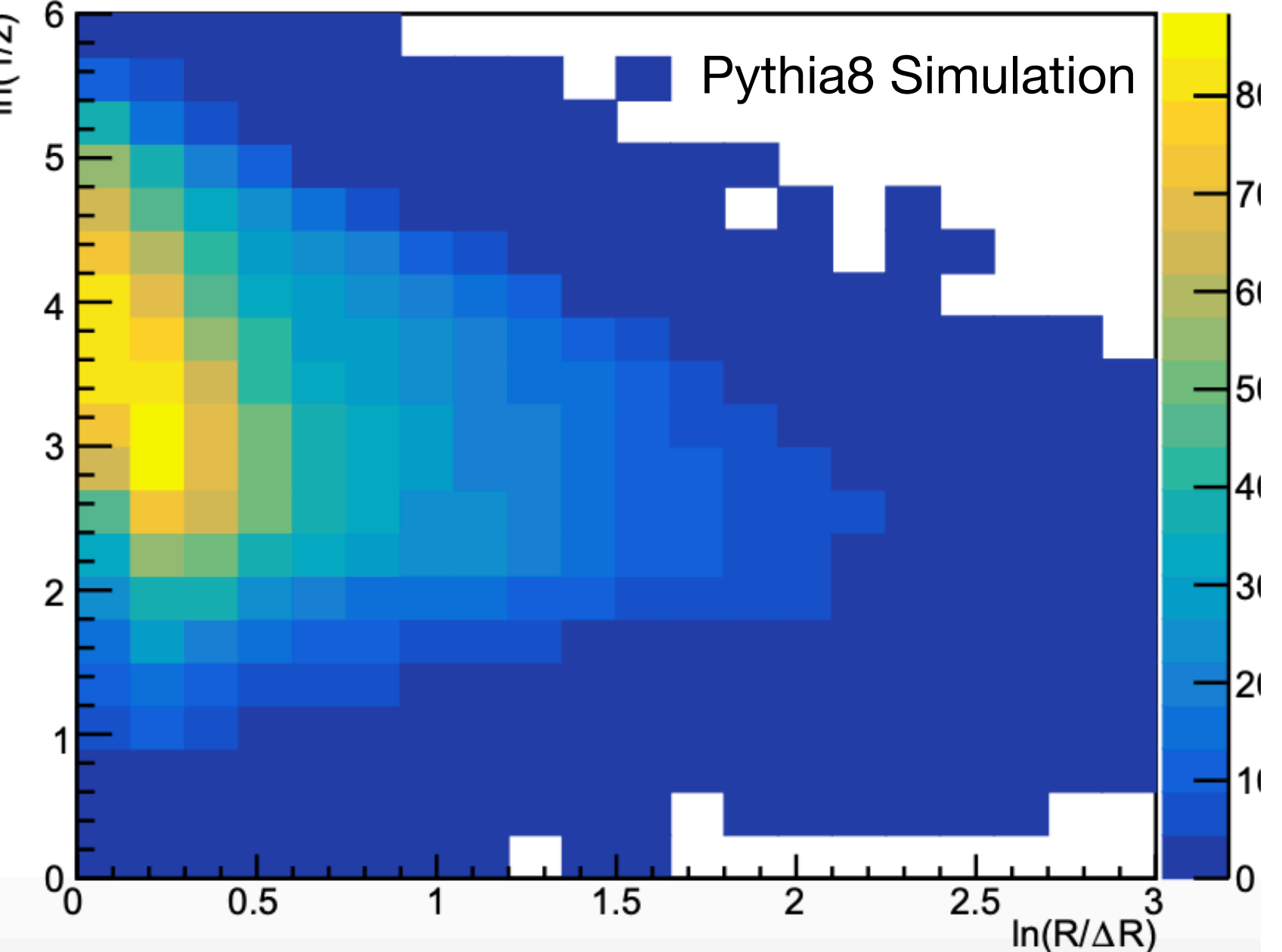
Light quark jets



Charm jets



Beauty jets





# LJP at forward rapidities

## Pythia8 Dijet Simulations

Pythia8 settings:

pp collisions

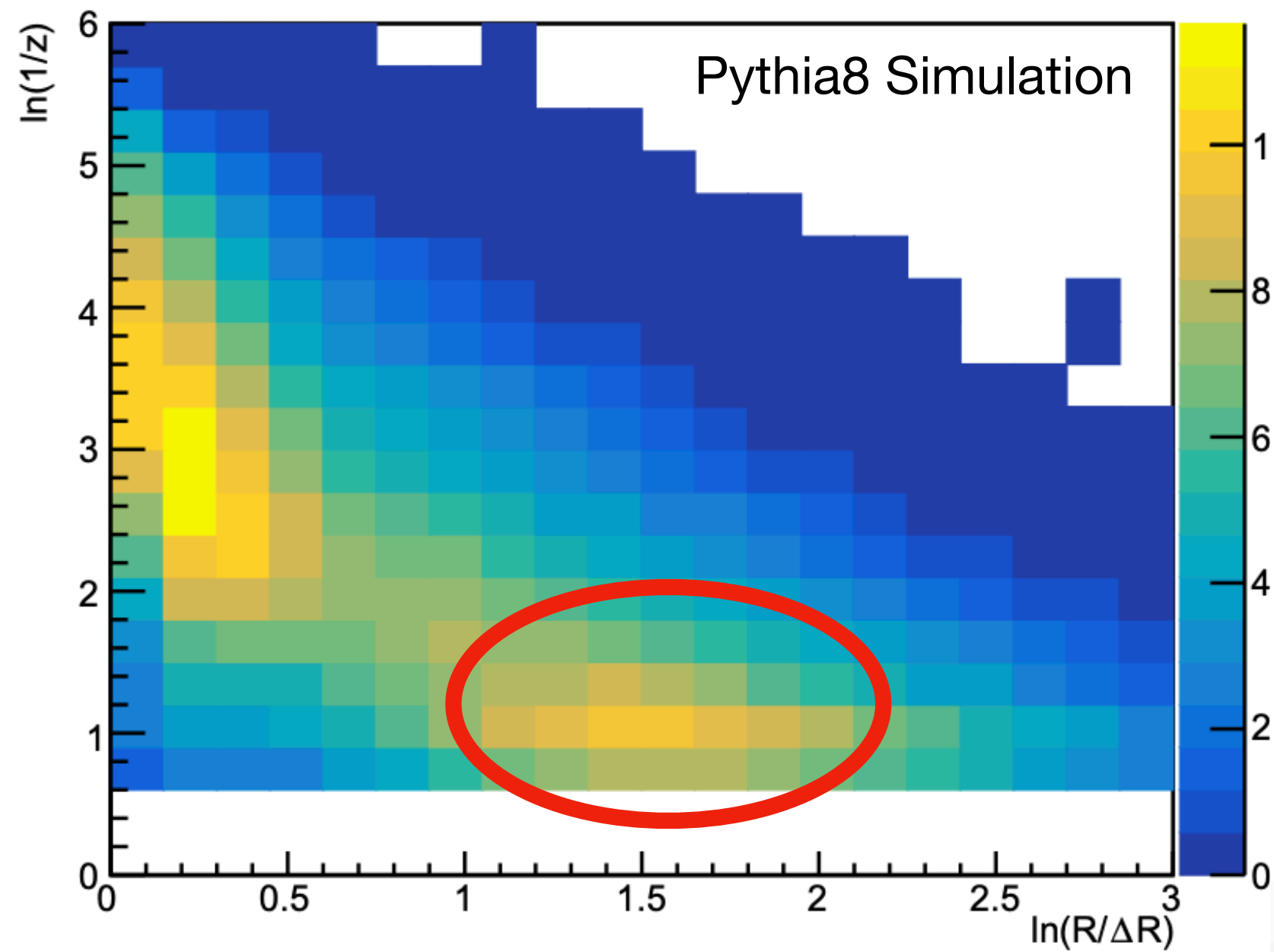
$\sqrt{s} = 13 \text{ TeV}$

$2.5 < \eta_{jet} < 4$

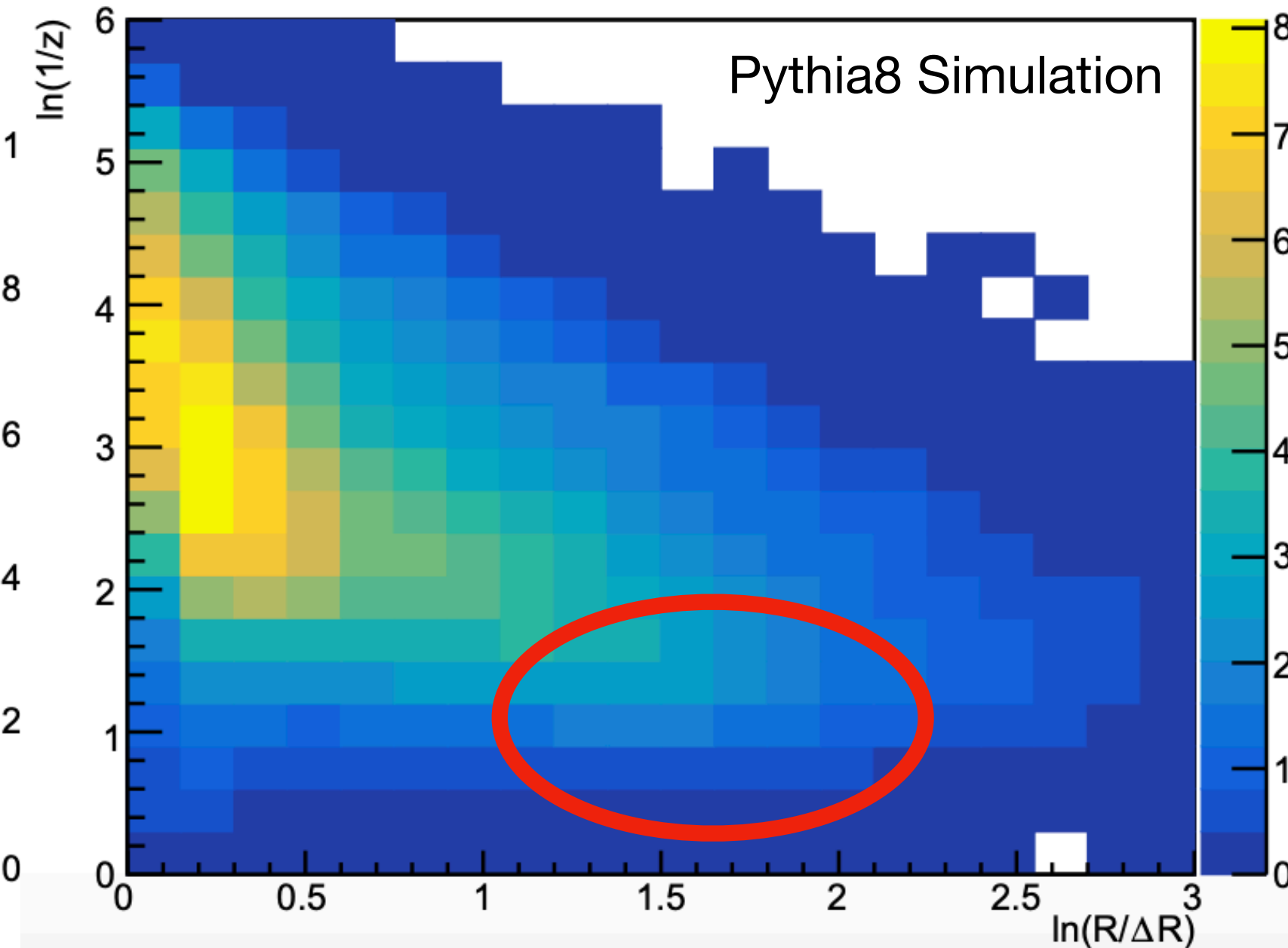
$p_{T,jet} > 20 \text{ GeV}$

$R = 0.5$

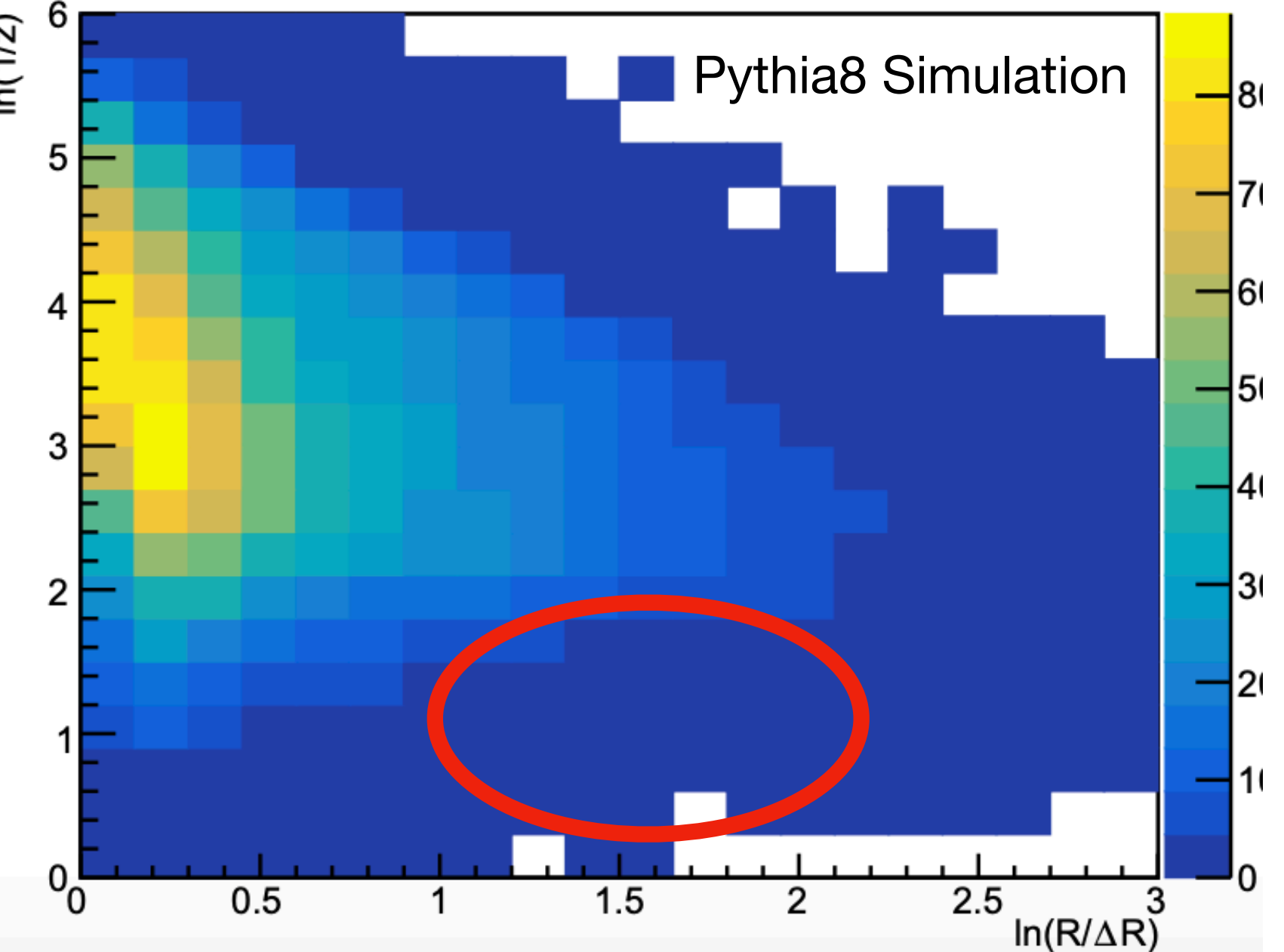
Light quark jets



Charm jets



Beauty jets



**Suppression of hard collinear radiation = Heavy quarks maintain most of their energy!**

# LJP at forward rapidities

## Pythia8 Dijet Simulations

Pythia8 settings:

pp collisions

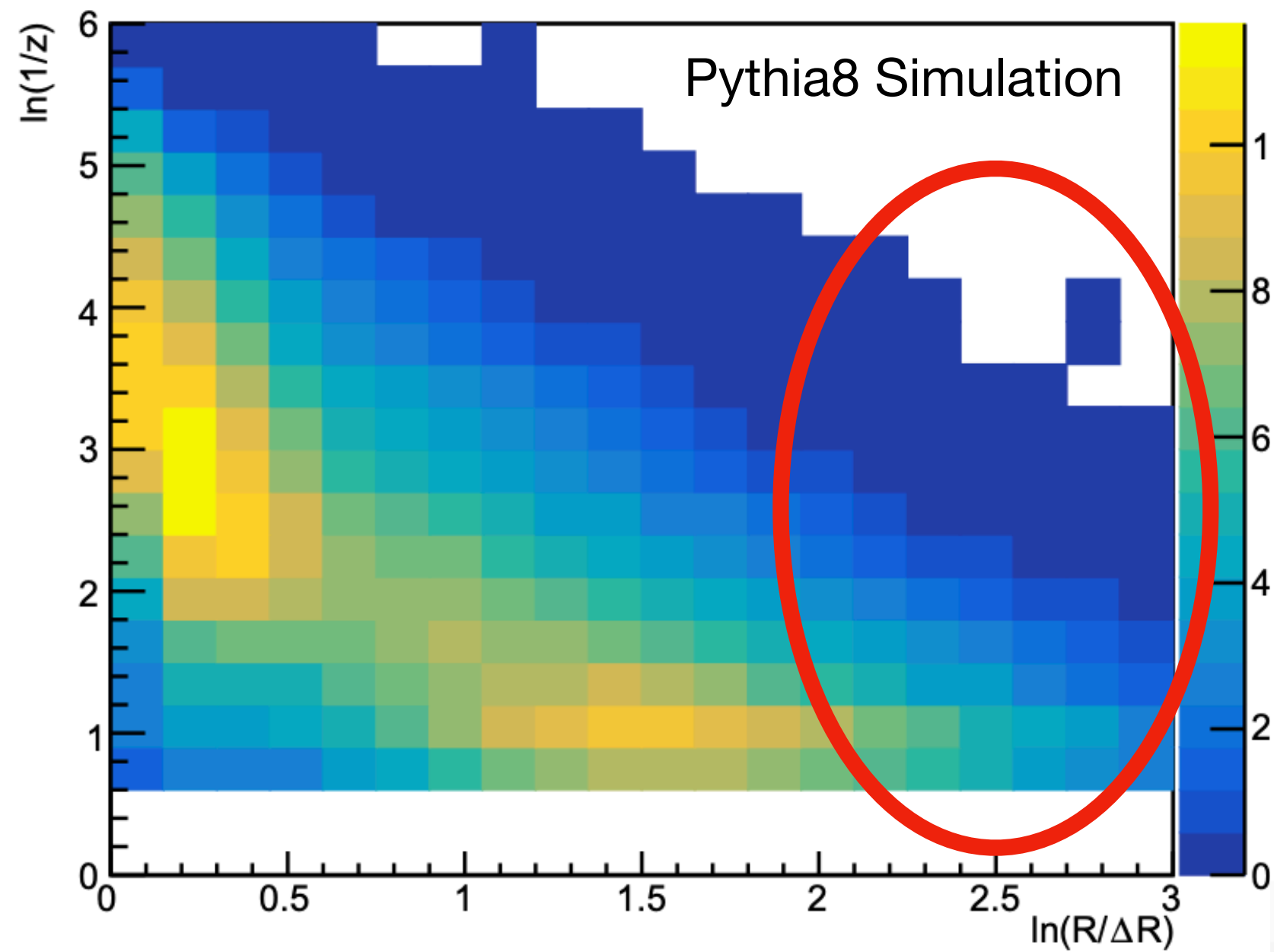
$\sqrt{s} = 13 \text{ TeV}$

$2.5 < \eta_{jet} < 4$

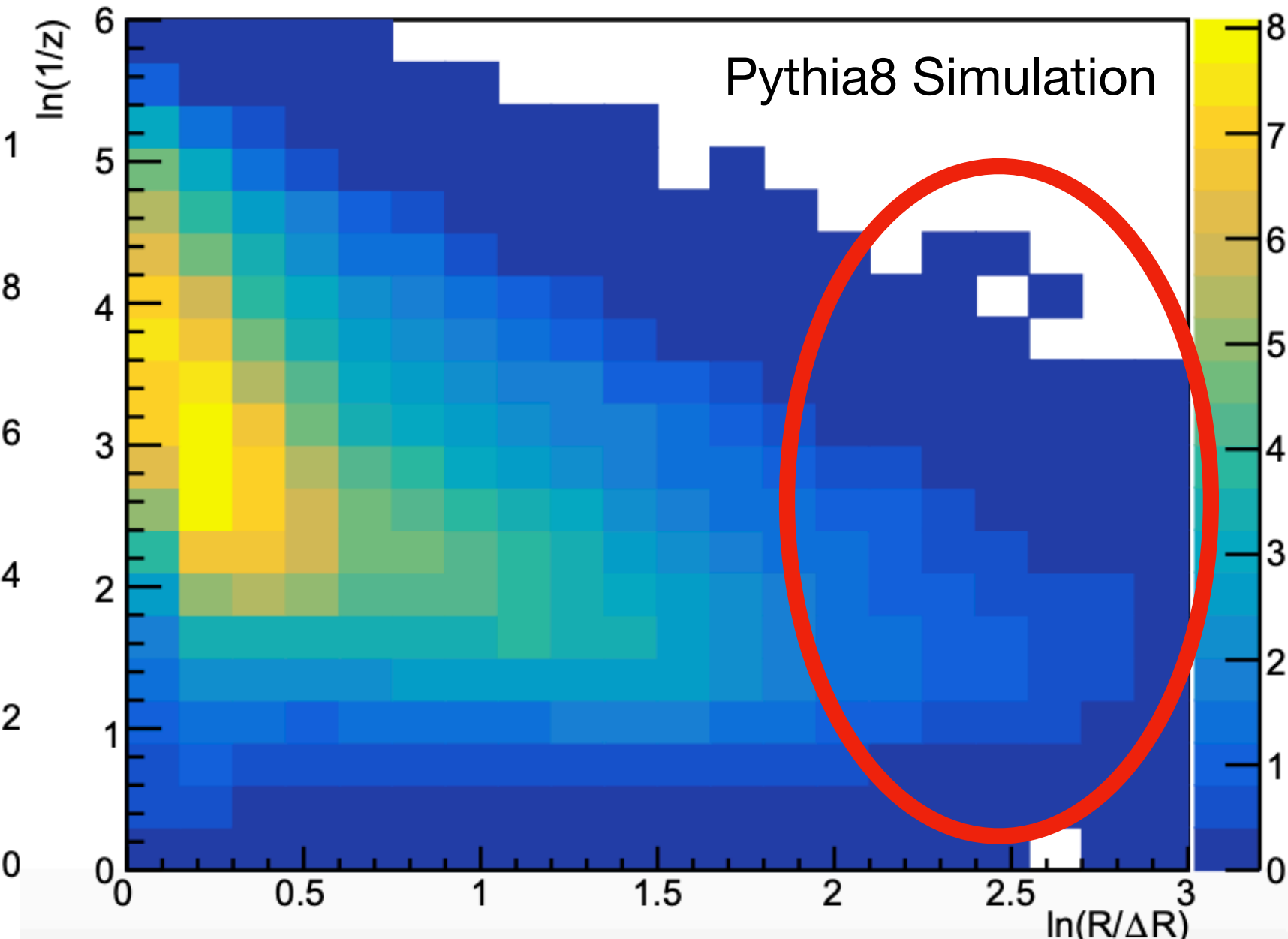
$p_{T,jet} > 20 \text{ GeV}$

$R = 0.5$

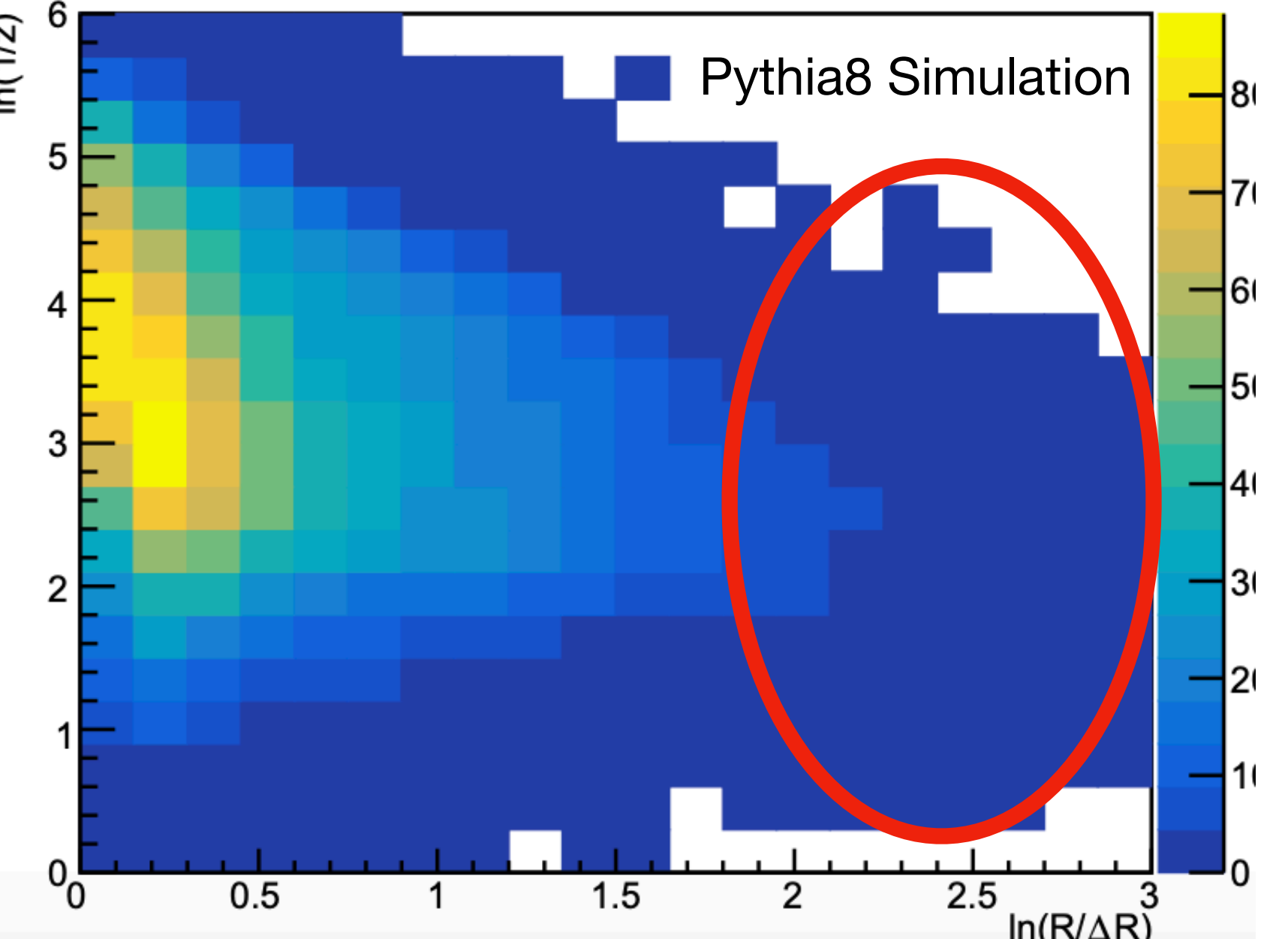
Light quark jets



Charm jets



Beauty jets



**Suppressed collinear radiation = dead cone effect!**



# Dead cone at forward rapidities

## Pythia8 Dijet Simulations

Pythia8 settings:

pp collisions

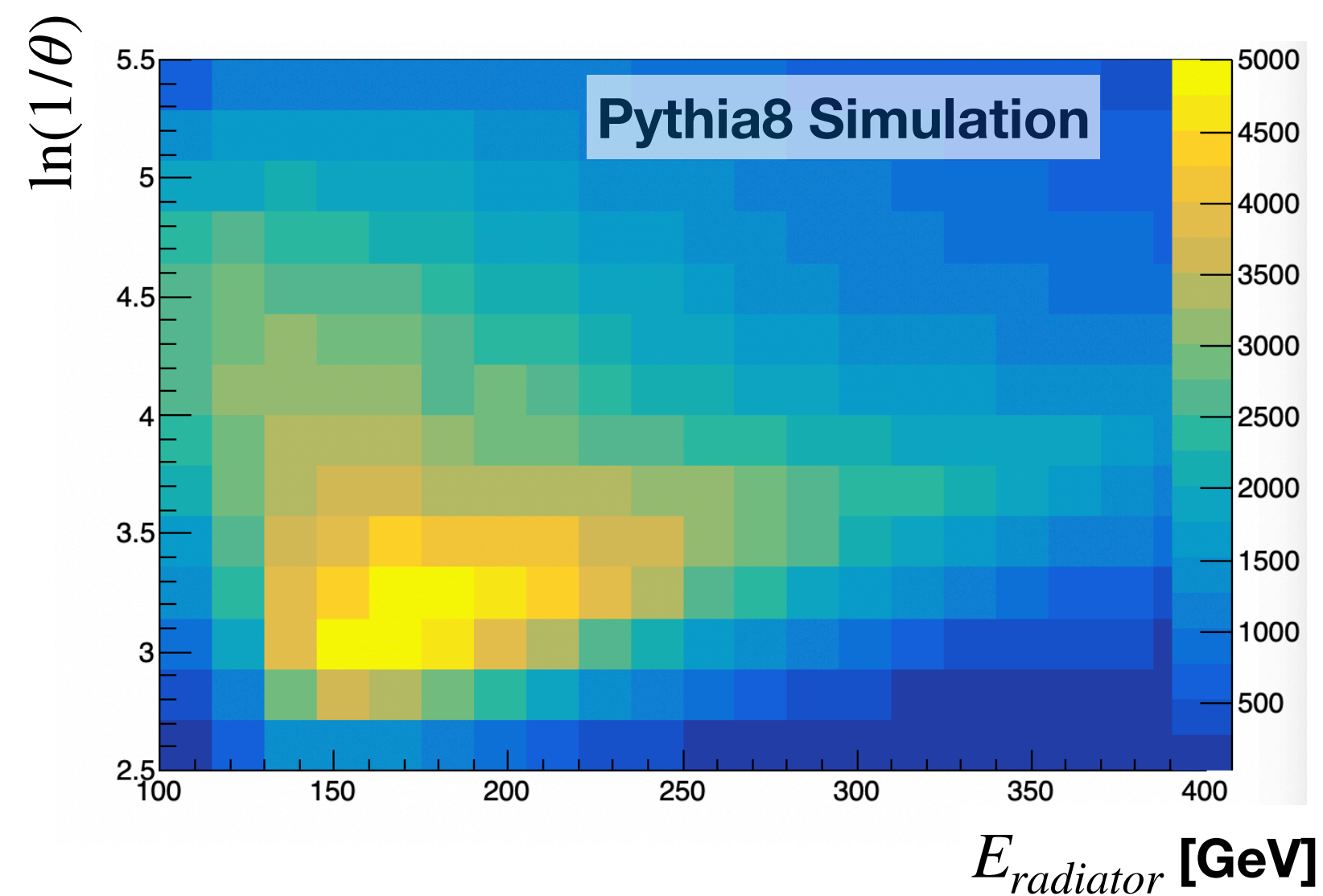
$\sqrt{s} = 13 \text{ TeV}$

$2.5 < \eta_{jet} < 4$

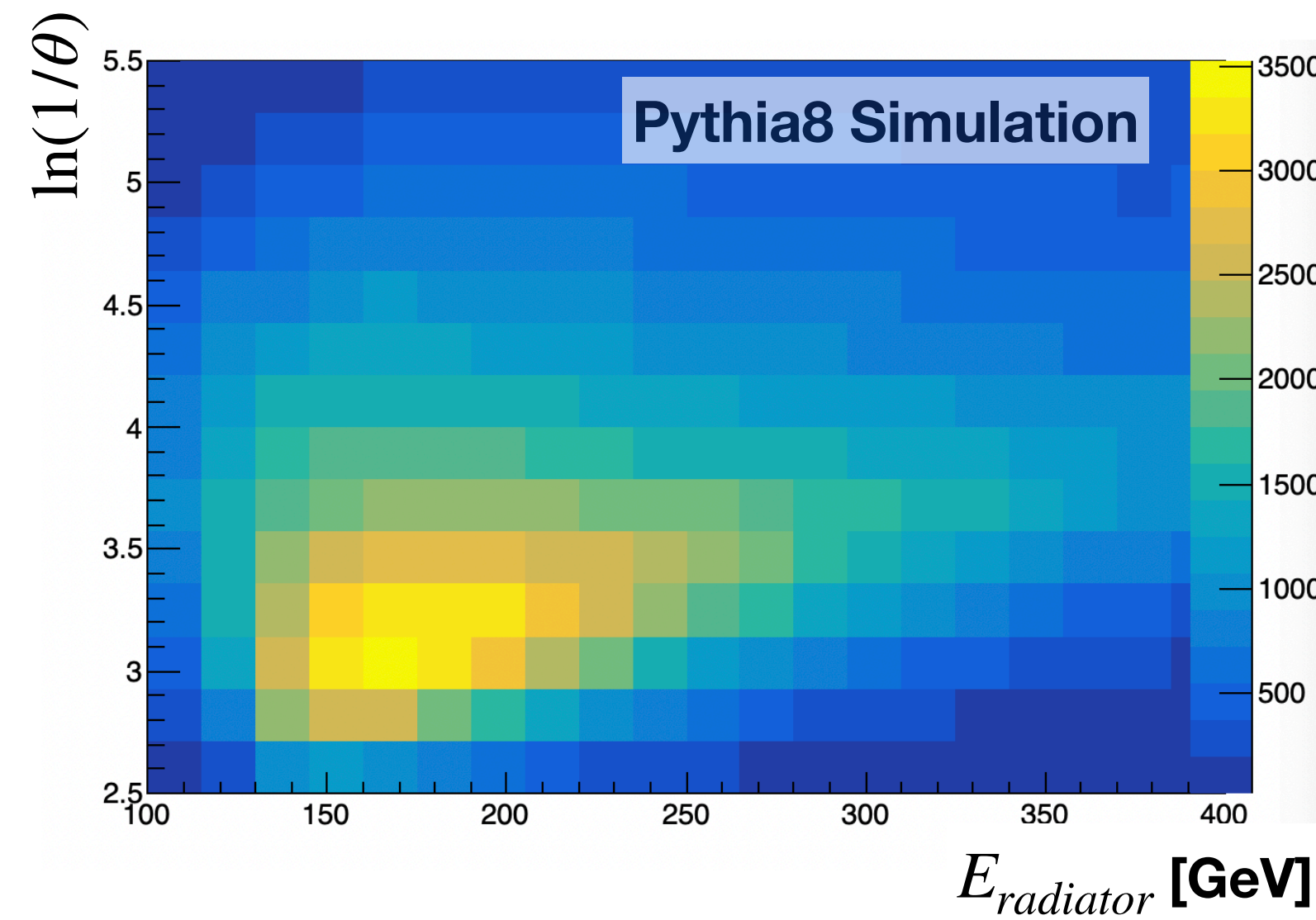
$p_{T,jet} > 20 \text{ GeV}$

$R = 0.5$

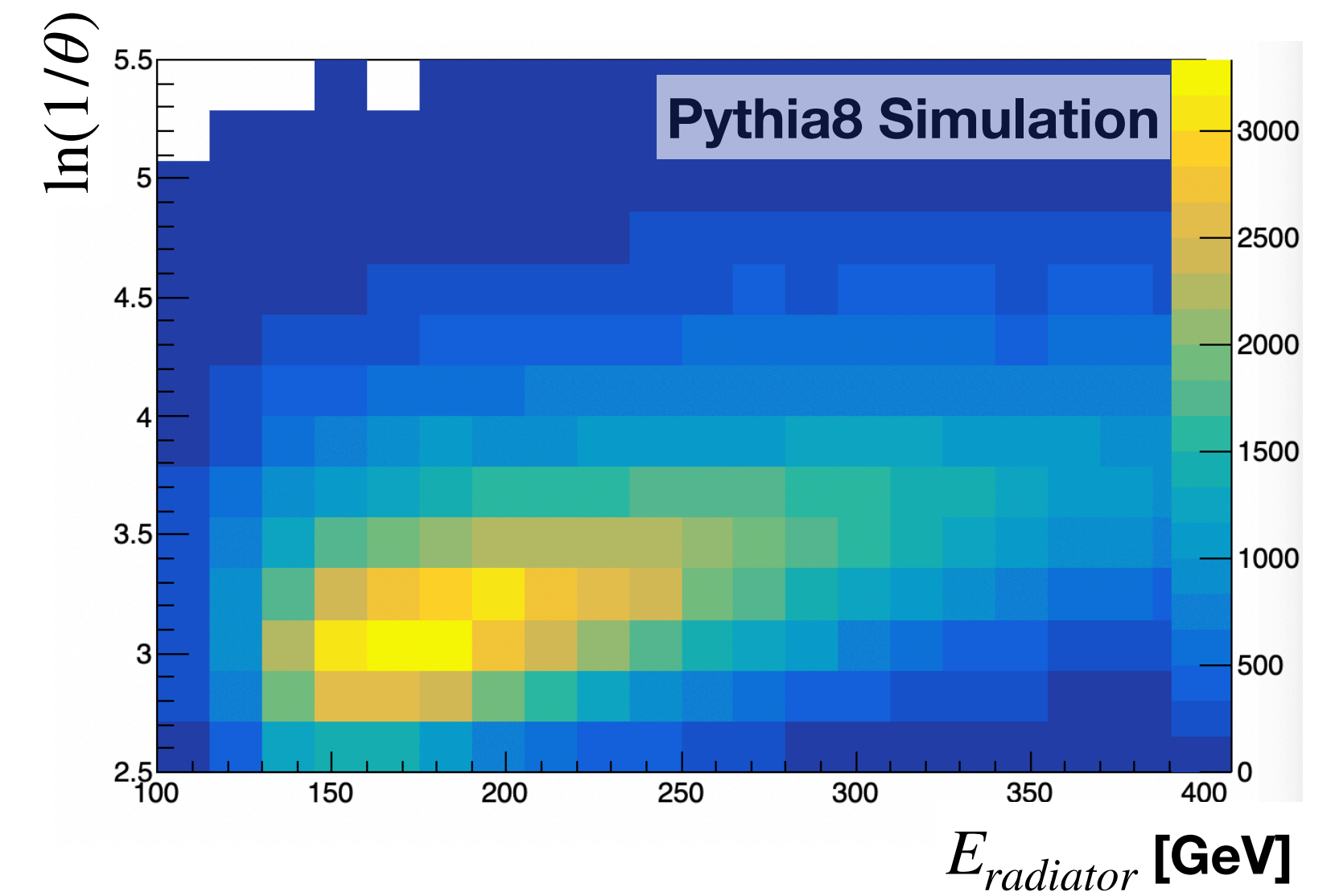
Light jets



Charm jets



Beauty jets





# Dead cone at forward rapidities

## Pythia8 Dijet Simulations

Pythia8 settings:

pp collisions

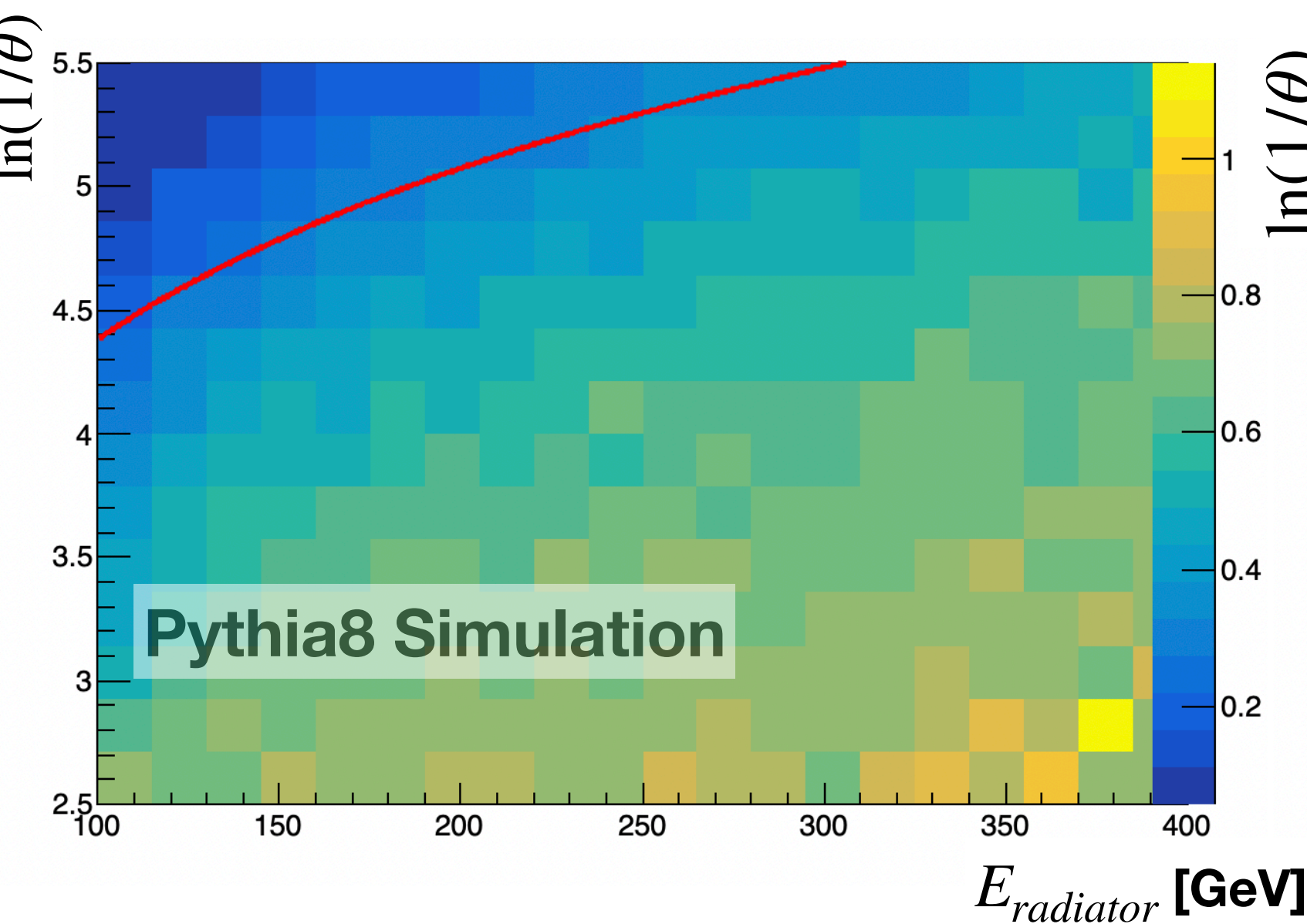
$\sqrt{s} = 13 \text{ TeV}$

$2.5 < \eta_{jet} < 4$

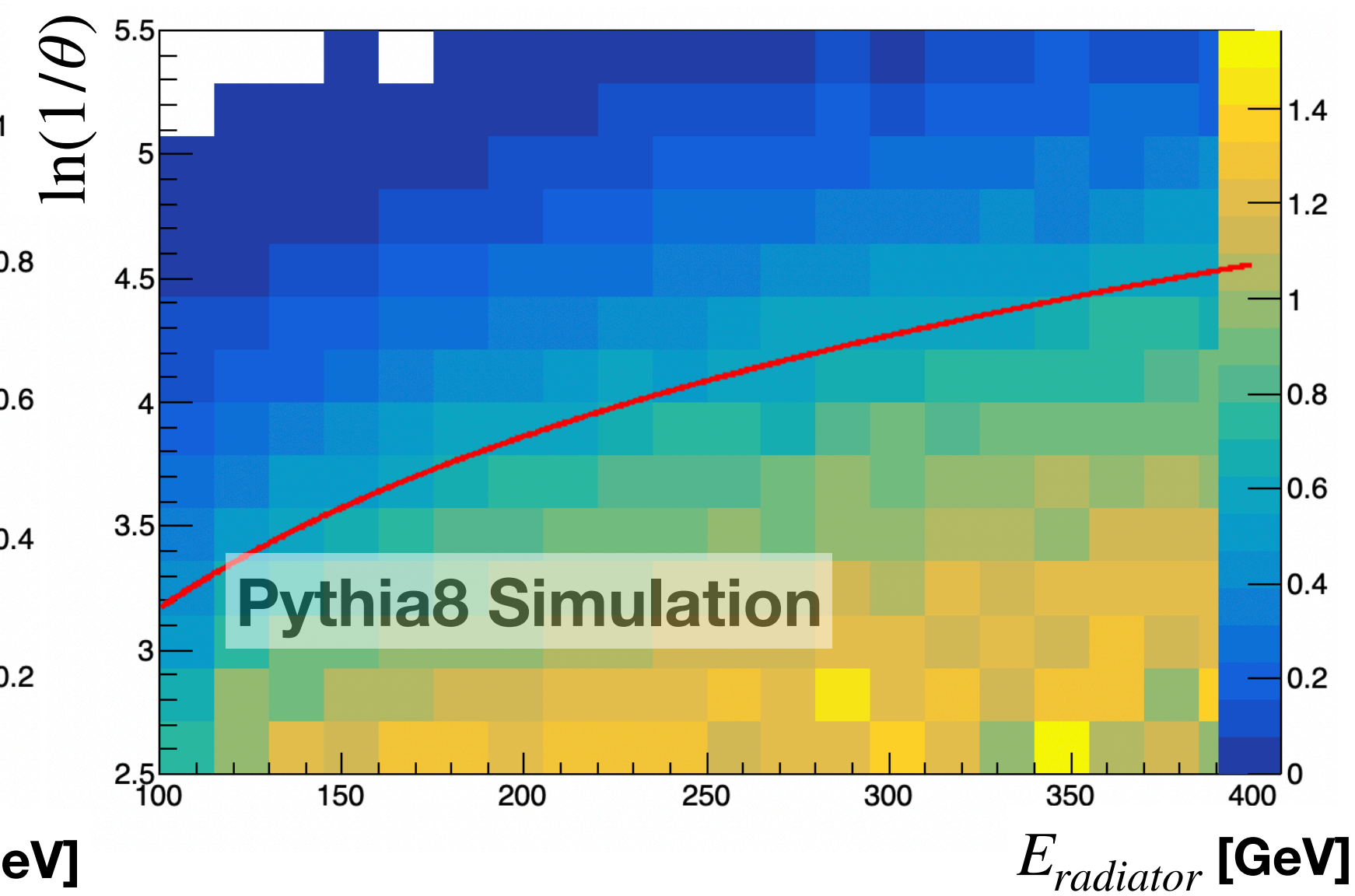
$p_{T,jet} > 20 \text{ GeV}$

$R = 0.5$

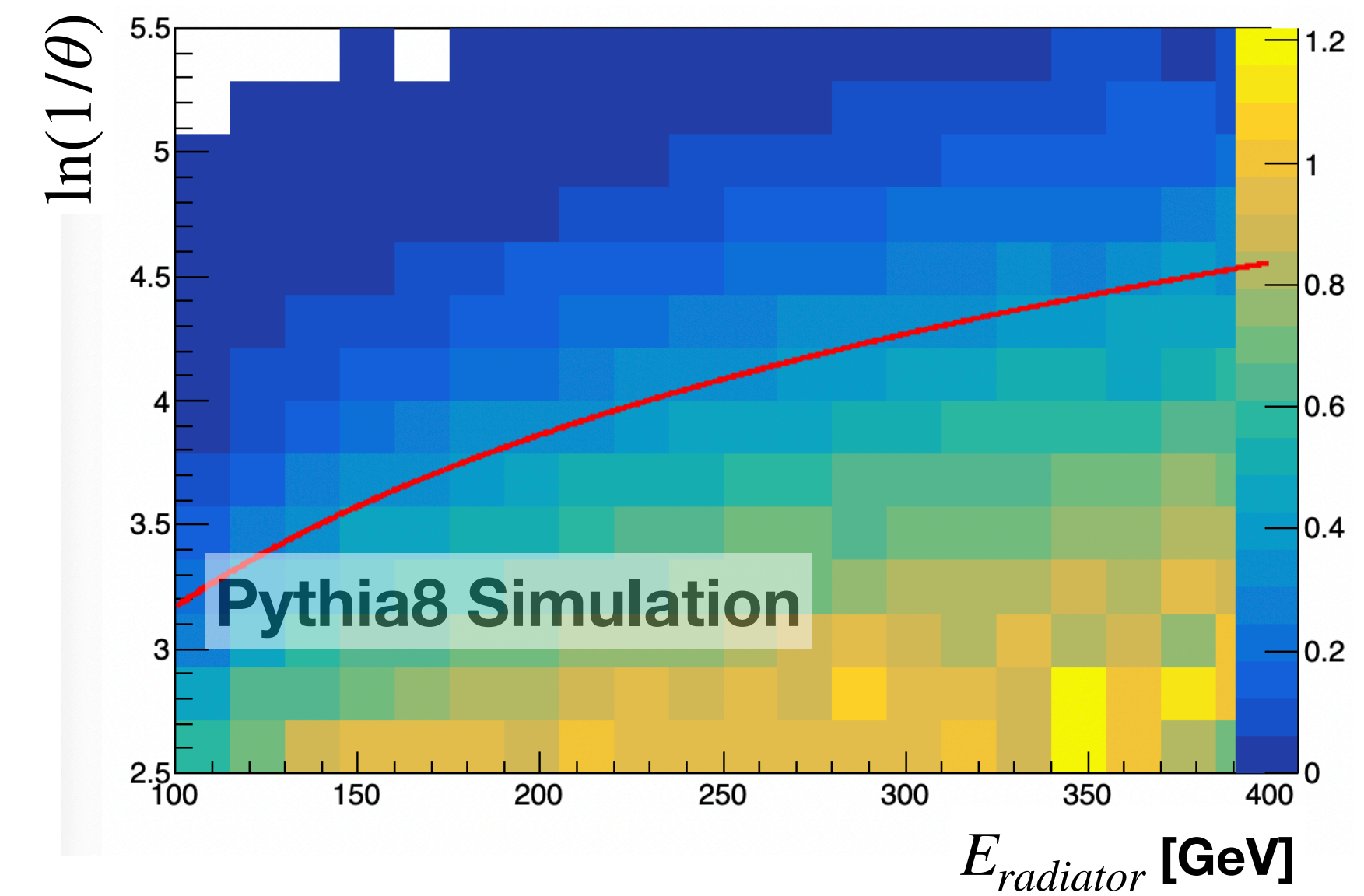
Charm/Light



Beauty/Charm



Beauty/Light



**Red line:** Dead cone angle as a function of  $E_{rad}$

$$\theta_{\text{HQ}} = \frac{m_{\text{HQ}}}{E}$$



# Dead cone at forward rapidities

## Pythia8 Dijet Simulations

Pythia8 settings:

pp collisions

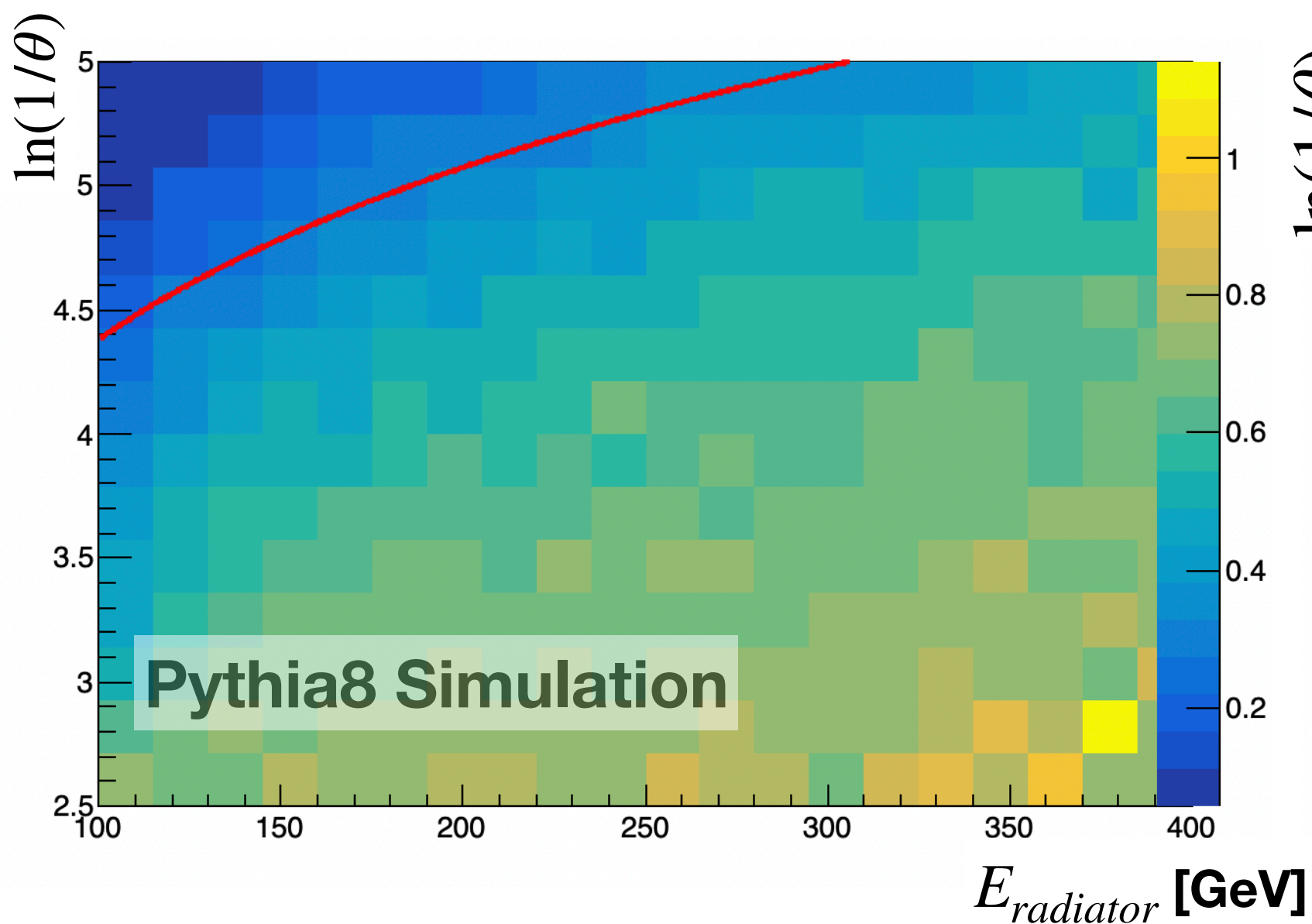
$\sqrt{s} = 13 \text{ TeV}$

$2.5 < \eta_{jet} < 4$

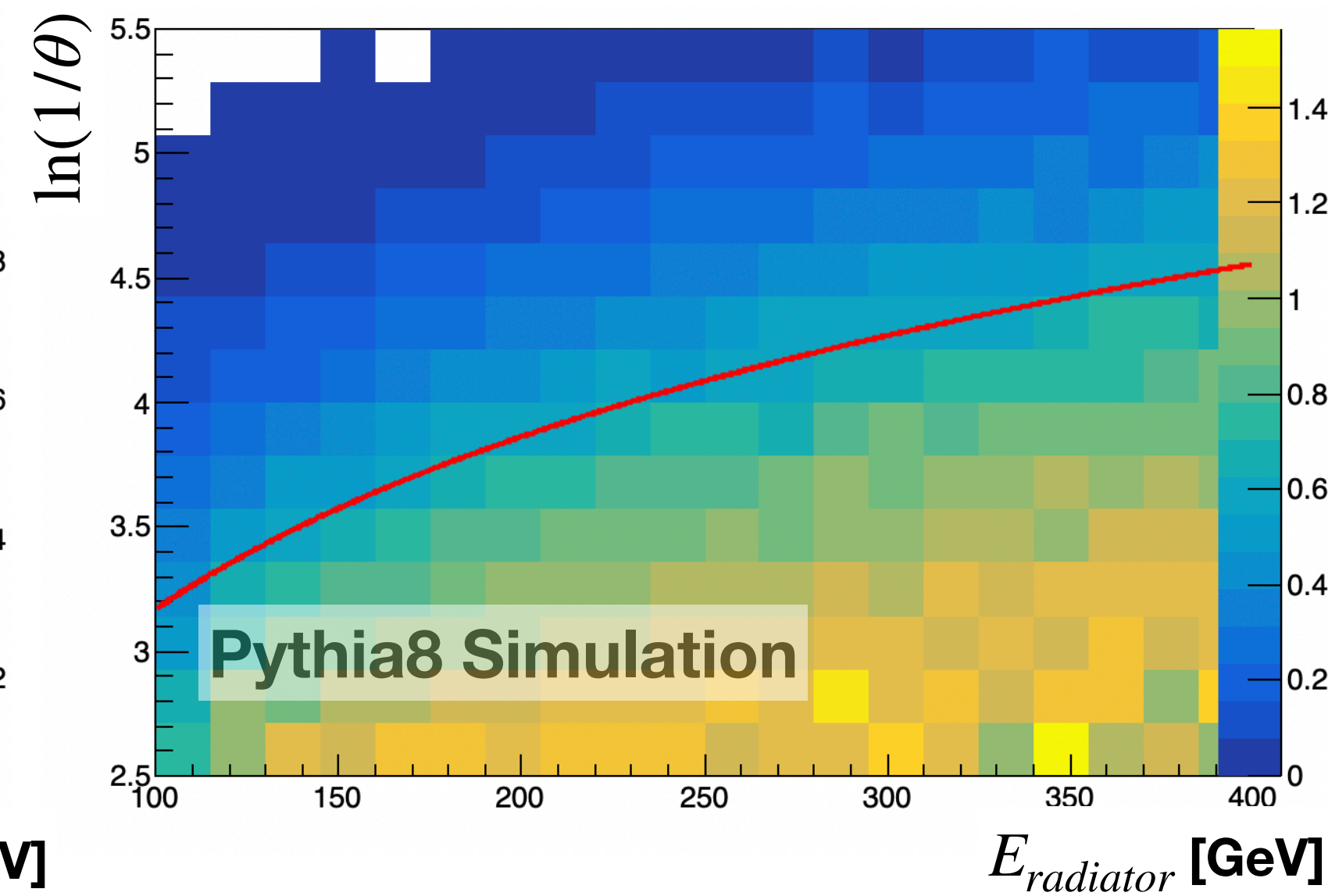
$p_{T,jet} > 20 \text{ GeV}$

$R = 0.5$

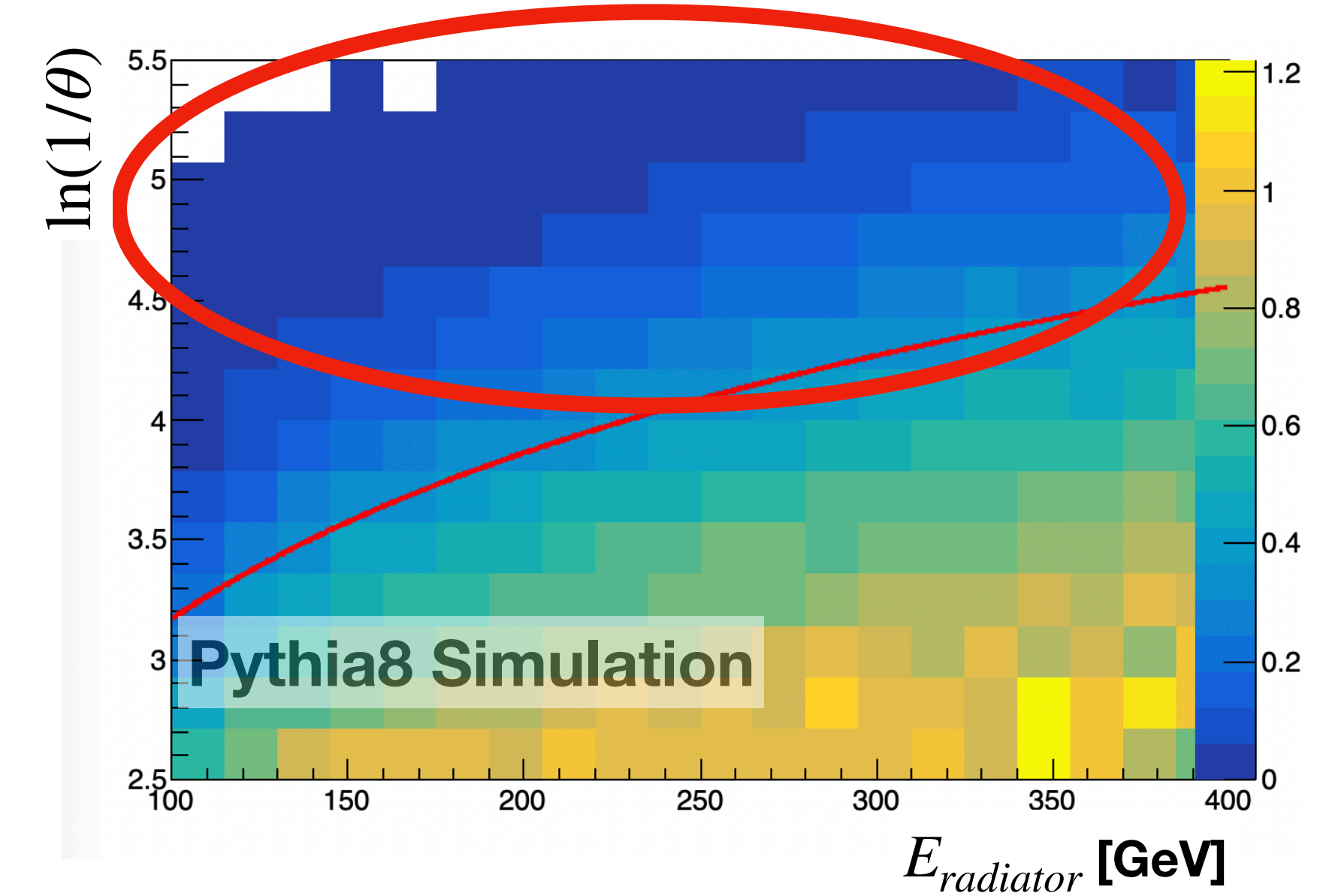
Charm/Light



Beauty/Charm



Beauty/Light



**Dead cone effect is most prominent for Beauty/Light ratio**

# Summary: Lund plane at LHCb

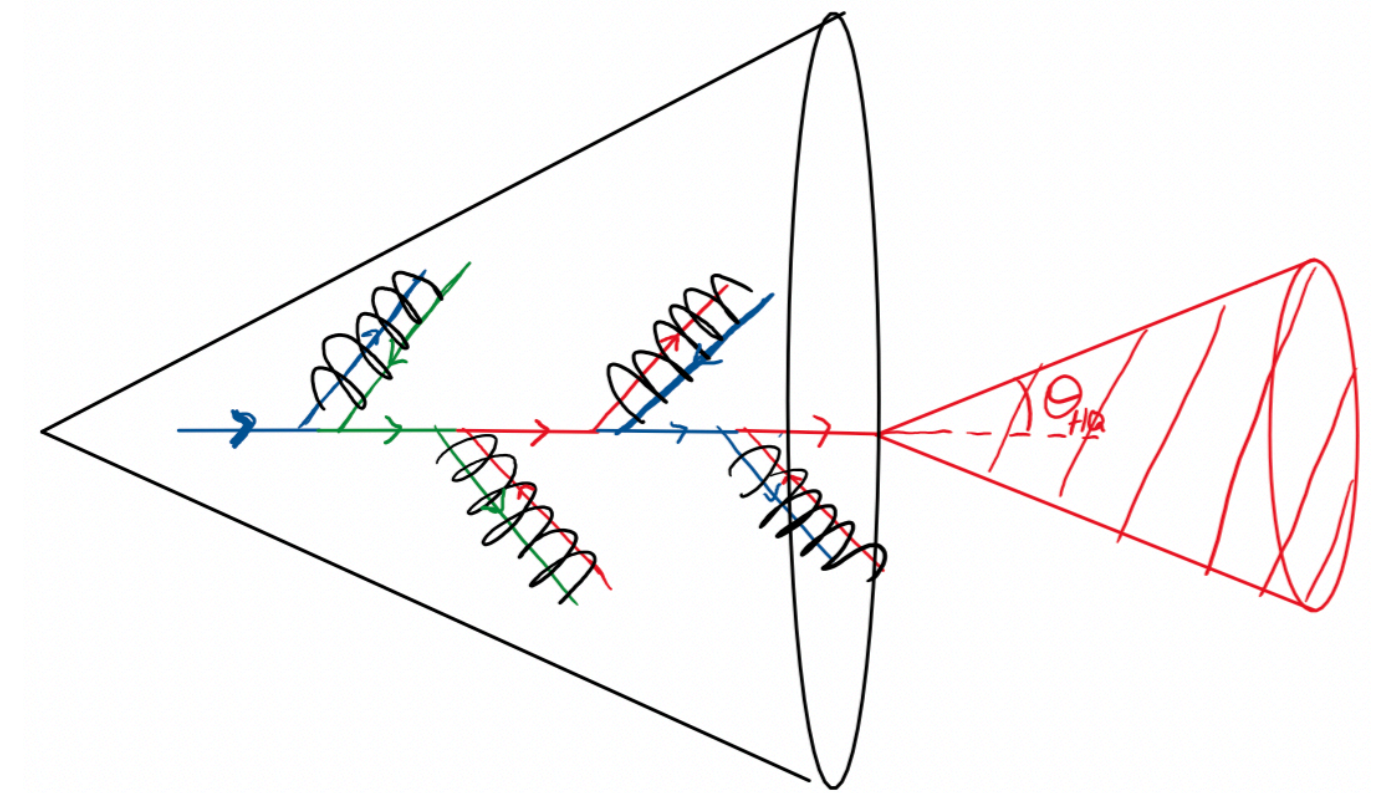
- We're in the process of:
  - measuring the LJP for **light**, **charm**, and **beauty** jets,
  - measuring the LJP for **tracks** as well as **tracks + neutrals**,
  - measuring the **dead-cone** effect in  $b/c$ ,  $b/(q, g)$ , and  $c/(q, g)$ .



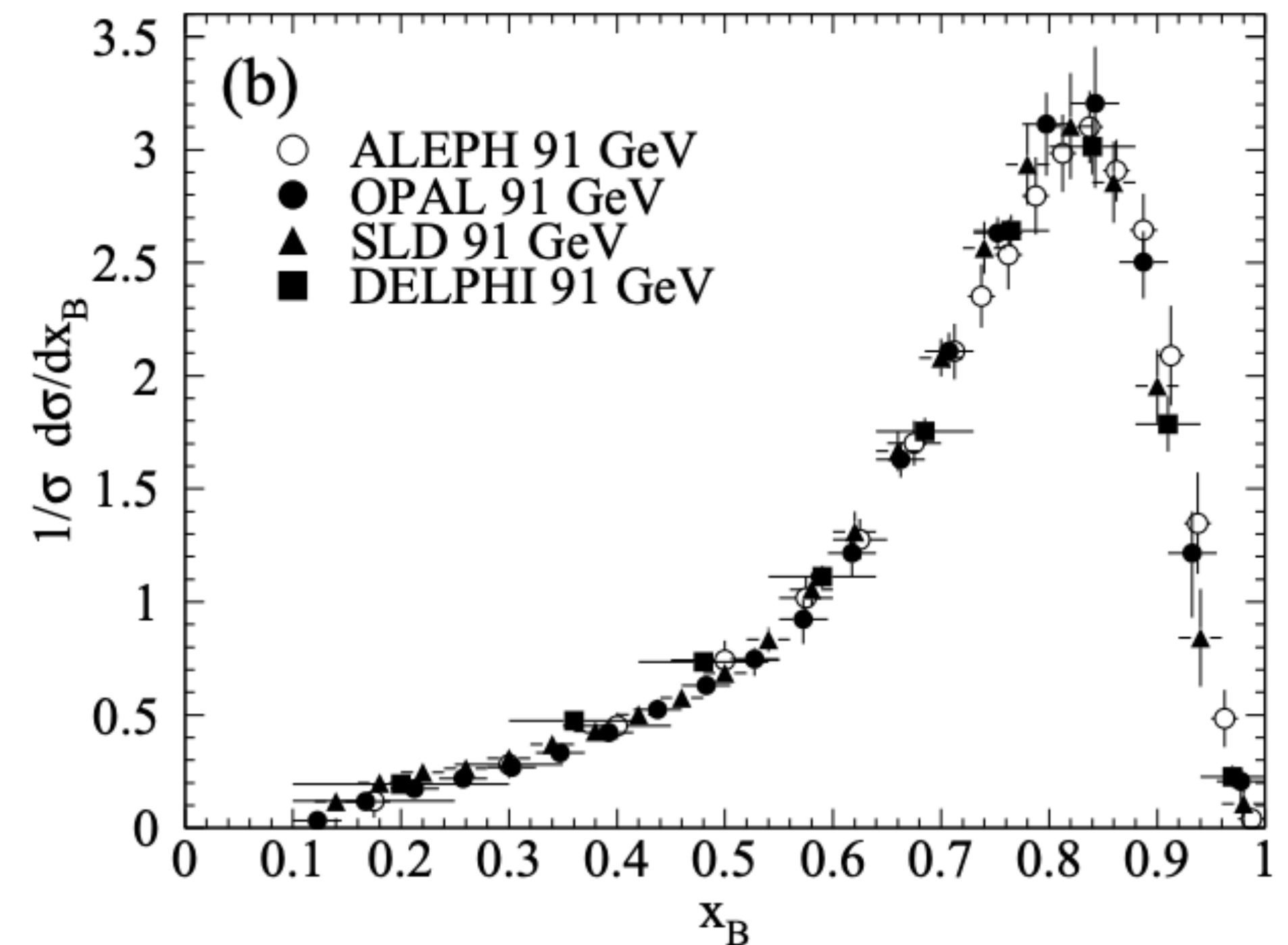
# Heavy Flavor Fragmentation

# Heavy Quark Fragmentation

Heavy quarks maintain most of their energy



- Light partons lose most of their energy in hard collinear radiation
- The dead cone effect in heavy quarks prevents collinear radiation  $\implies$  very few hard and collinear bremsstrahlung!
- Thus, the heavy quark maintains most of its energy



Energy fraction of the jet carried by the b-hadron

(ALEPH), Phys. Lett. B357, 699 (1995).

(ALEPH), Phys. Lett. B512, 30 (2001)

(DELPHI), Eur. Phys. J. C71, 1557 (2011)

(OPAL) Eur. Phys. J. C29, 463 (2003),

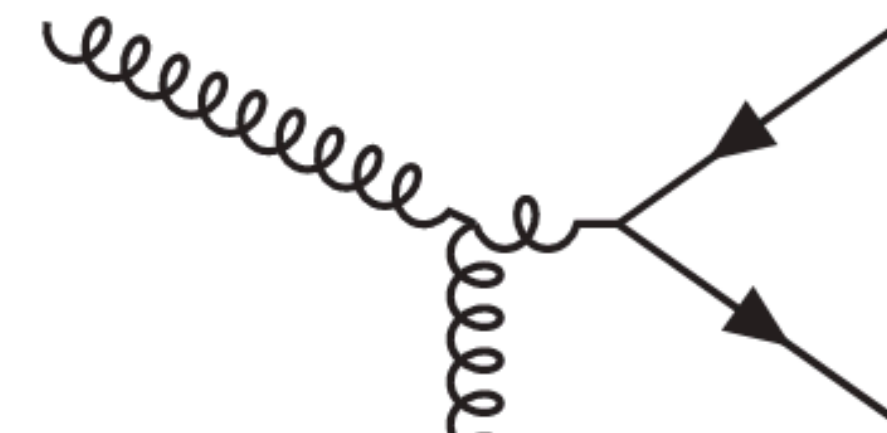
(SLD), Phys. Rev. D65

(Particle Data Group), Prog. Theor. Exp. Phys. **2022**, 083C01 (2022)

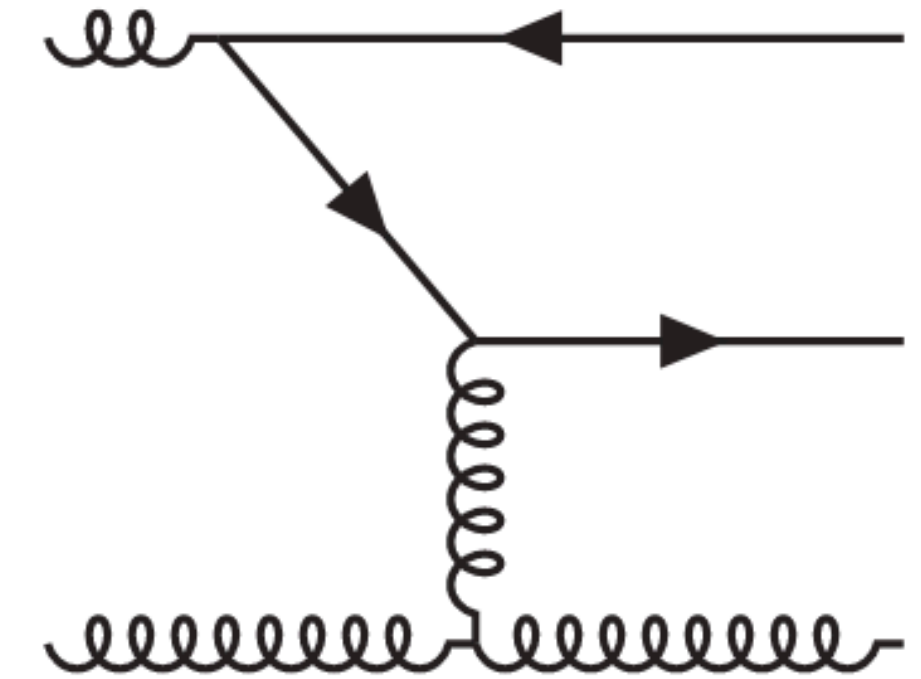
# Heavy Flavor Production Mechanisms

## QCD leading order diagrams

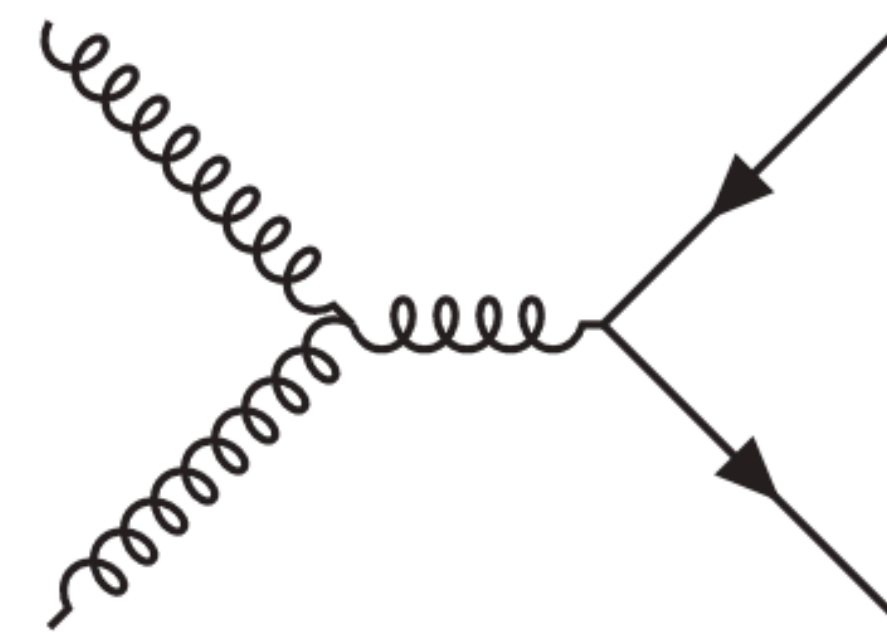
- At  $e^+e^-$  colliders, main production of heavy flavor is through  $Z/\gamma^*$
- At  $pp$  colliders, gluon splitting is an important production mechanism of heavy flavor
- Rate of gluon splitting increases with higher jet  $p_T$



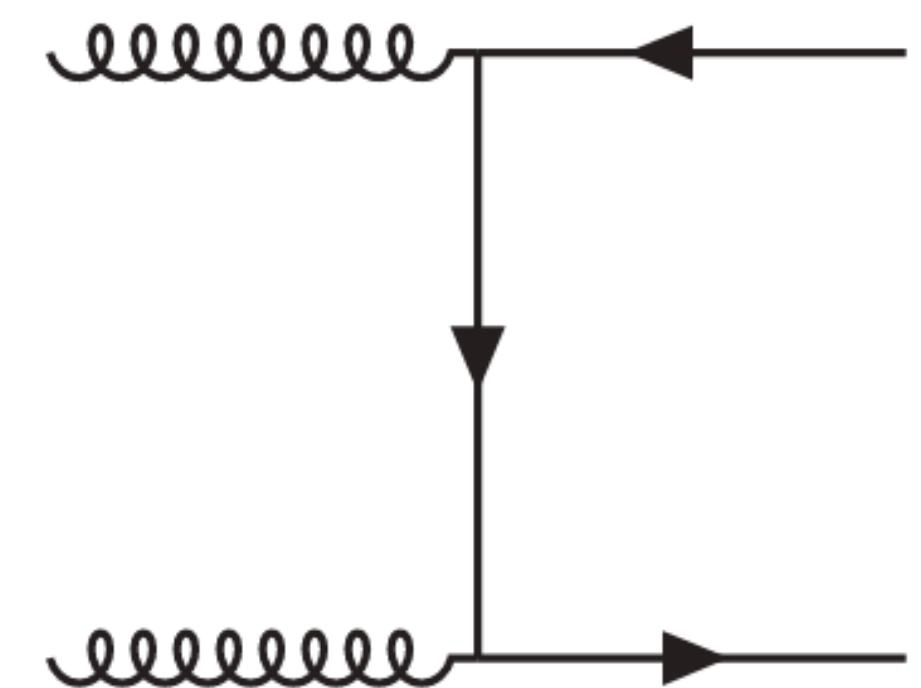
(a) Gluon Splitting



(b) Flavor Excitation



(c)  $s$ -channel Flavor Creation



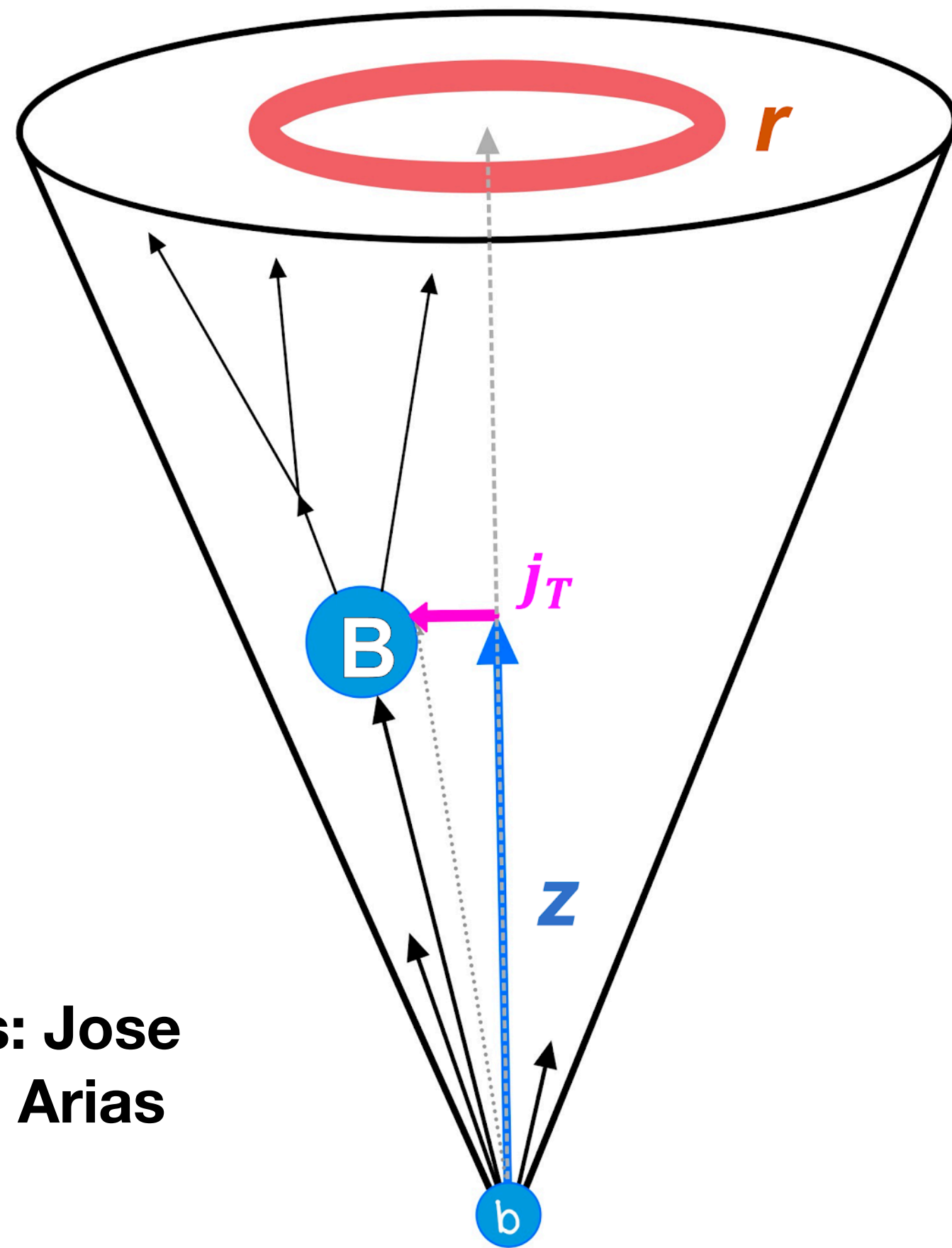
(d)  $t$ -channel Flavor Creation

PHYSICAL REVIEW D 96, 054019 (2017)

ILTEN, RODD, THALER, and WILLIAMS



# Heavy Flavor Kinematic Observables in Jets



Credits: Jose  
Marco Arias

**Longitudinal Momentum  
Fraction**

$$z = \frac{\mathbf{p}_{HF} \cdot \mathbf{p}_{jet}}{|\mathbf{p}_{jet}|^2}$$

**Transverse  
Momentum**

$$j_T = \frac{|\mathbf{p}_{HF} \times \mathbf{p}_{jet}|}{|\mathbf{p}_{jet}|}$$

**Angular Distance**

$$\Delta R = \sqrt{\left(y_{HF} - y_{jet}\right)^2 + \left(\phi_{HF} - \phi_{jet}\right)^2}$$

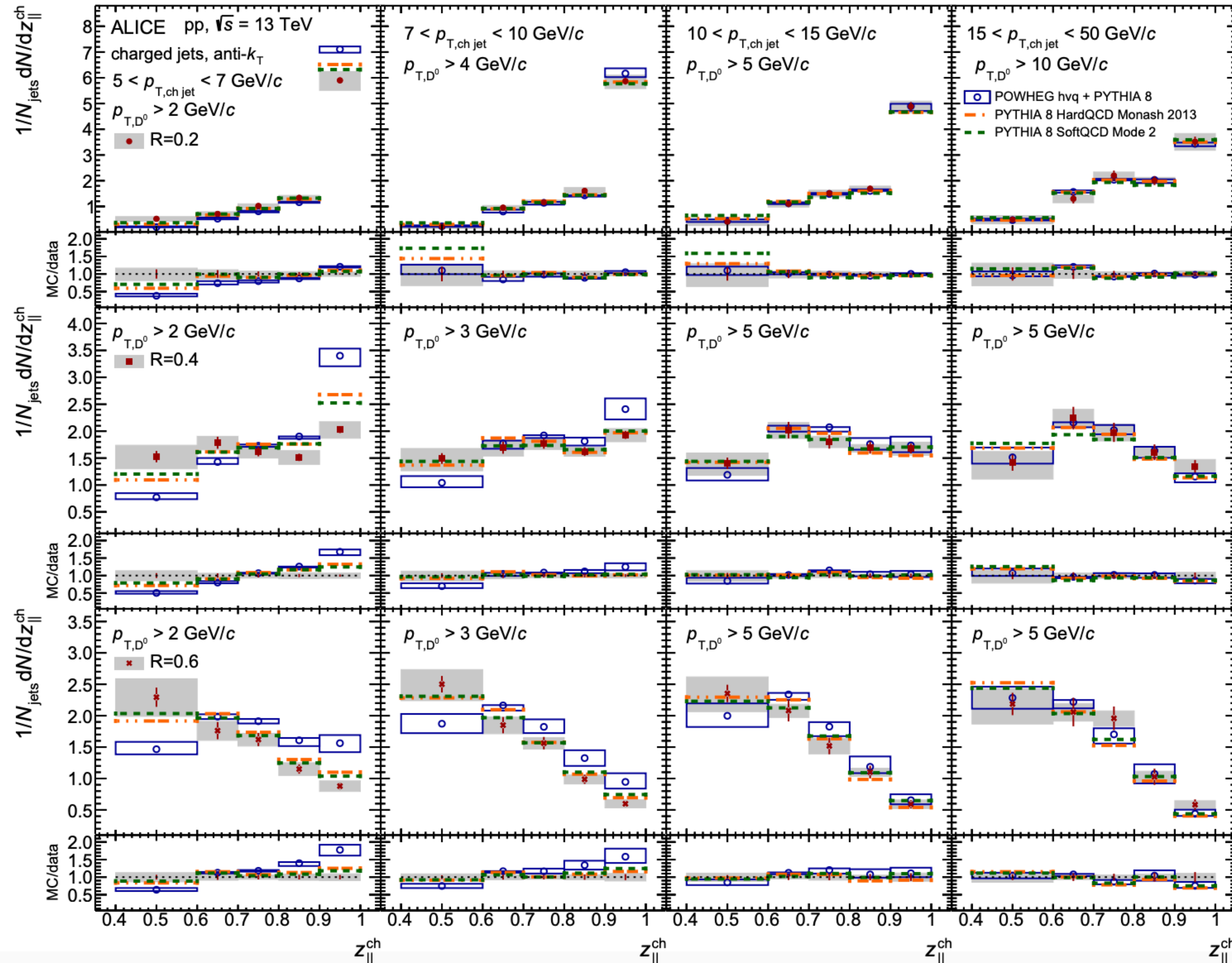
Credits: Kara Mattioli

# Previous Measurements

## ALICE $D^0$ Heavy Flavor Production in Jets



- Rows:  $p_T^{D^0}$  increases to the right
- Columns:  $R$  increases downward
- Wider jets lead to lower momentum fraction (softer fragmentation) of the  $D^0$  meson in jet





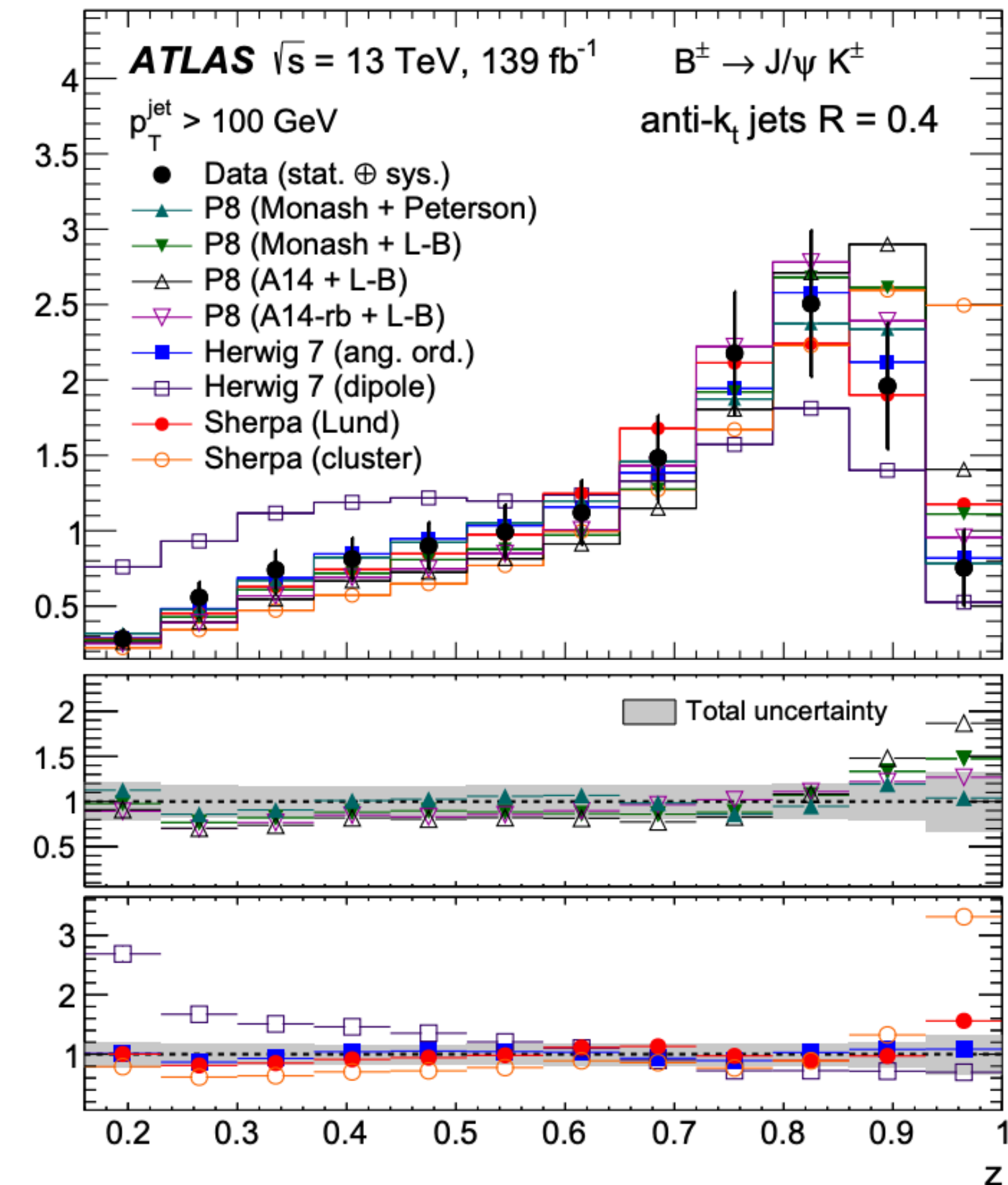
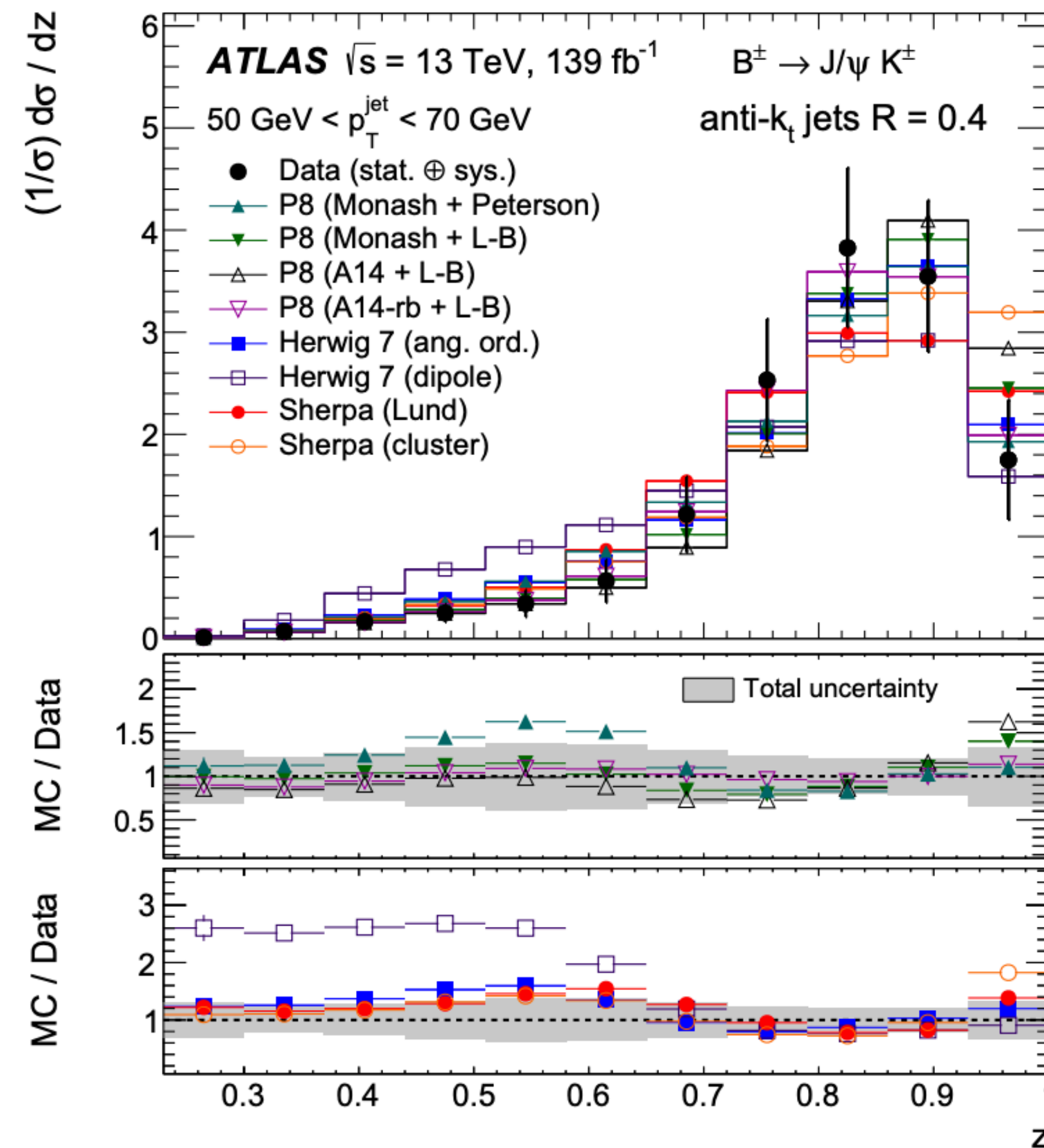
# Previous Measurements

## ATLAS $B^\pm$ Heavy Flavor Production in Jets



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- Left panel: Longitudinal momentum fraction  $z$  with  $50 < p_T^{jet} < 70$  GeV
- Right panel:  $p_T^{jet} > 100$  GeV
- Hard fragmentation of  $B^\pm$  (peaks around 0.85)
- Larger fraction of gluon splitting events leads to increased low- $z$  fragmentation events for  $p_T^{jet} > 100$  GeV



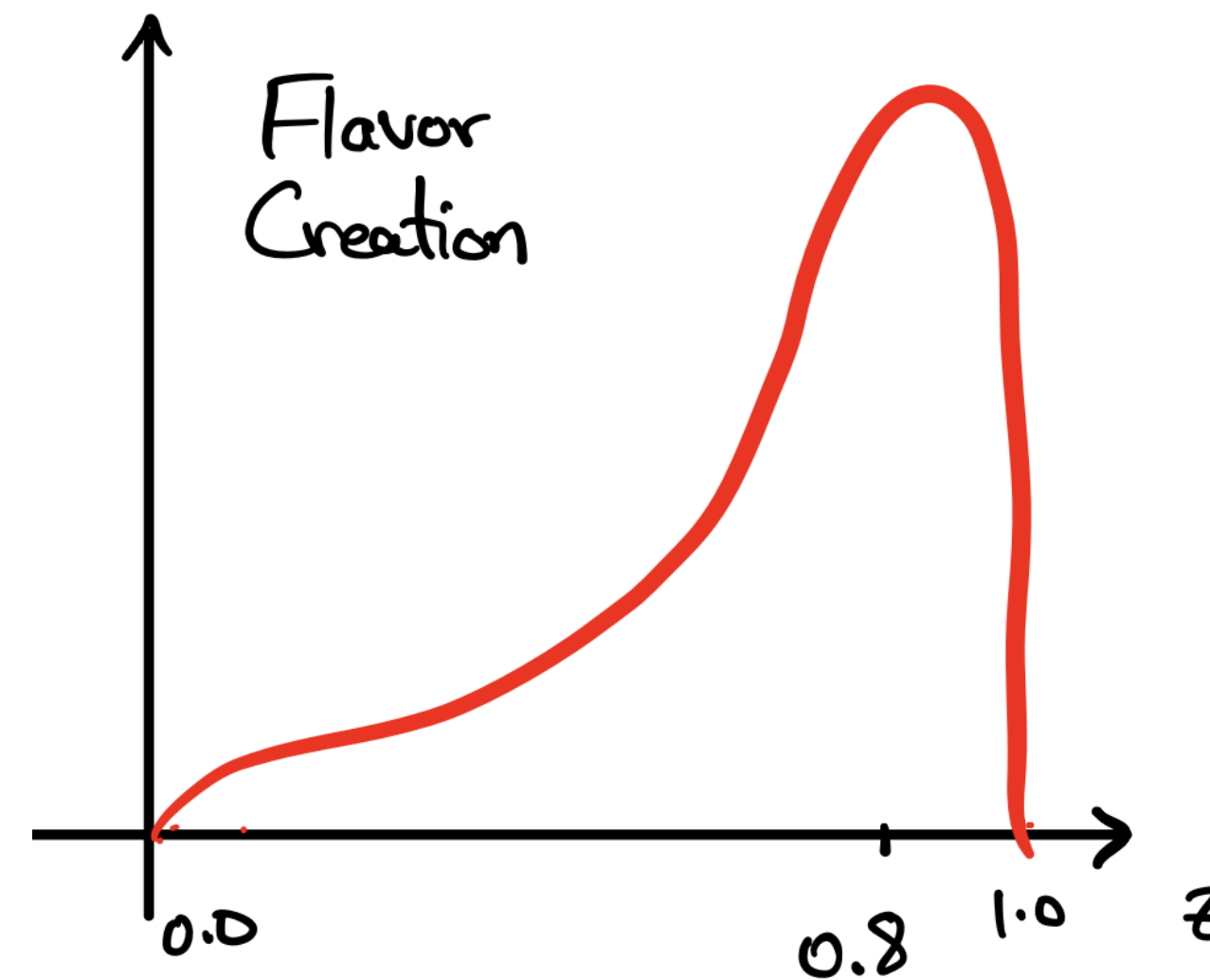
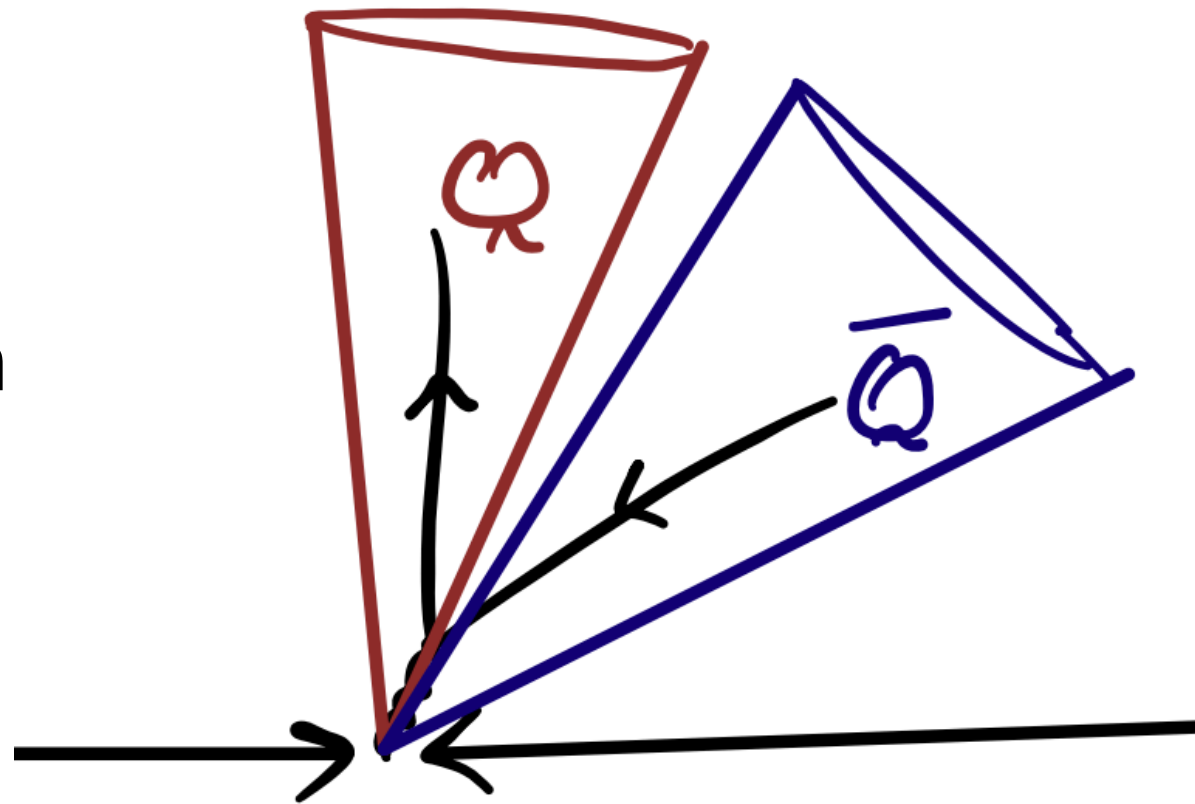


# Heavy Flavor Fragmentation in Jets

## Gluon splitting vs. Flavor Creation

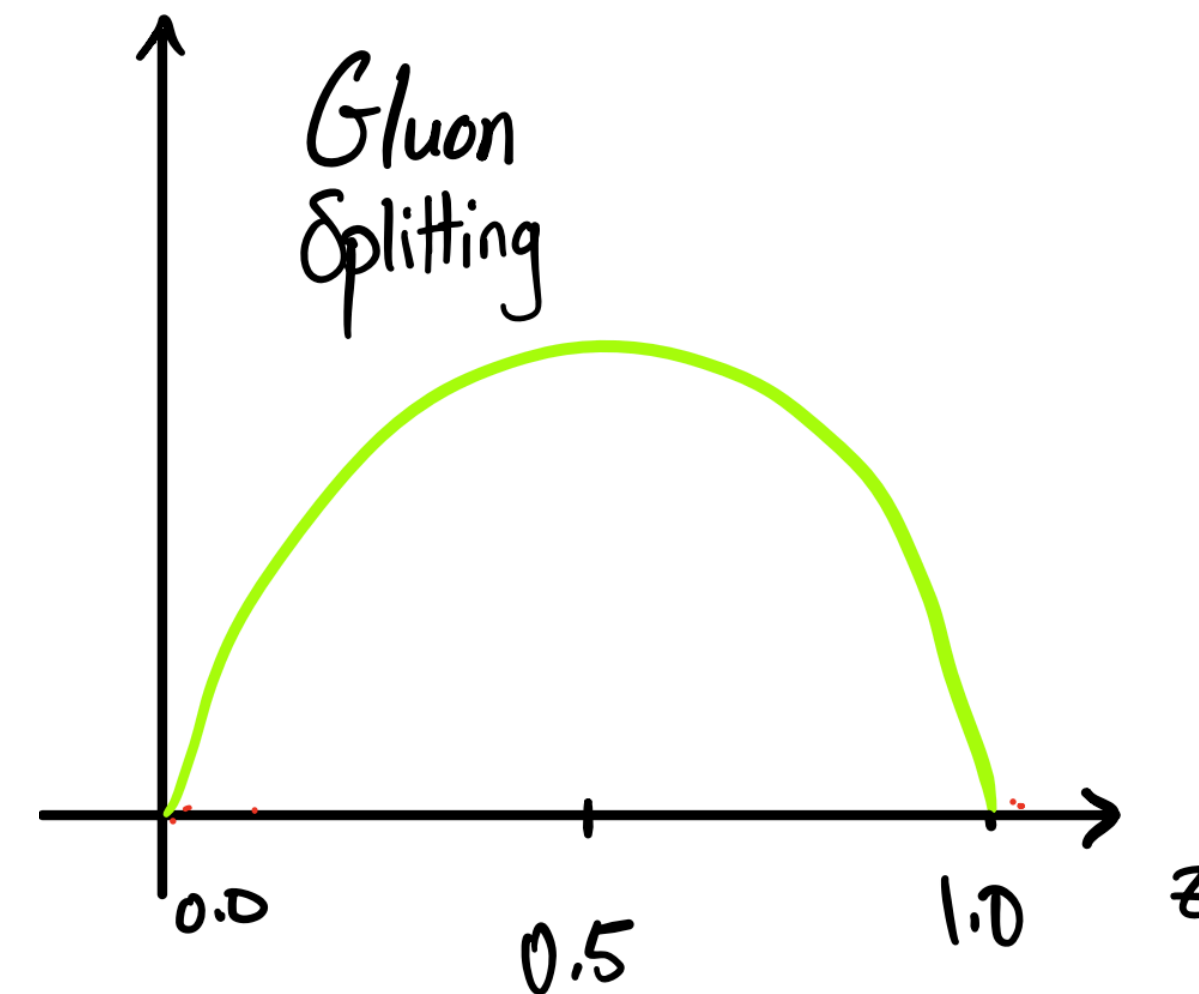
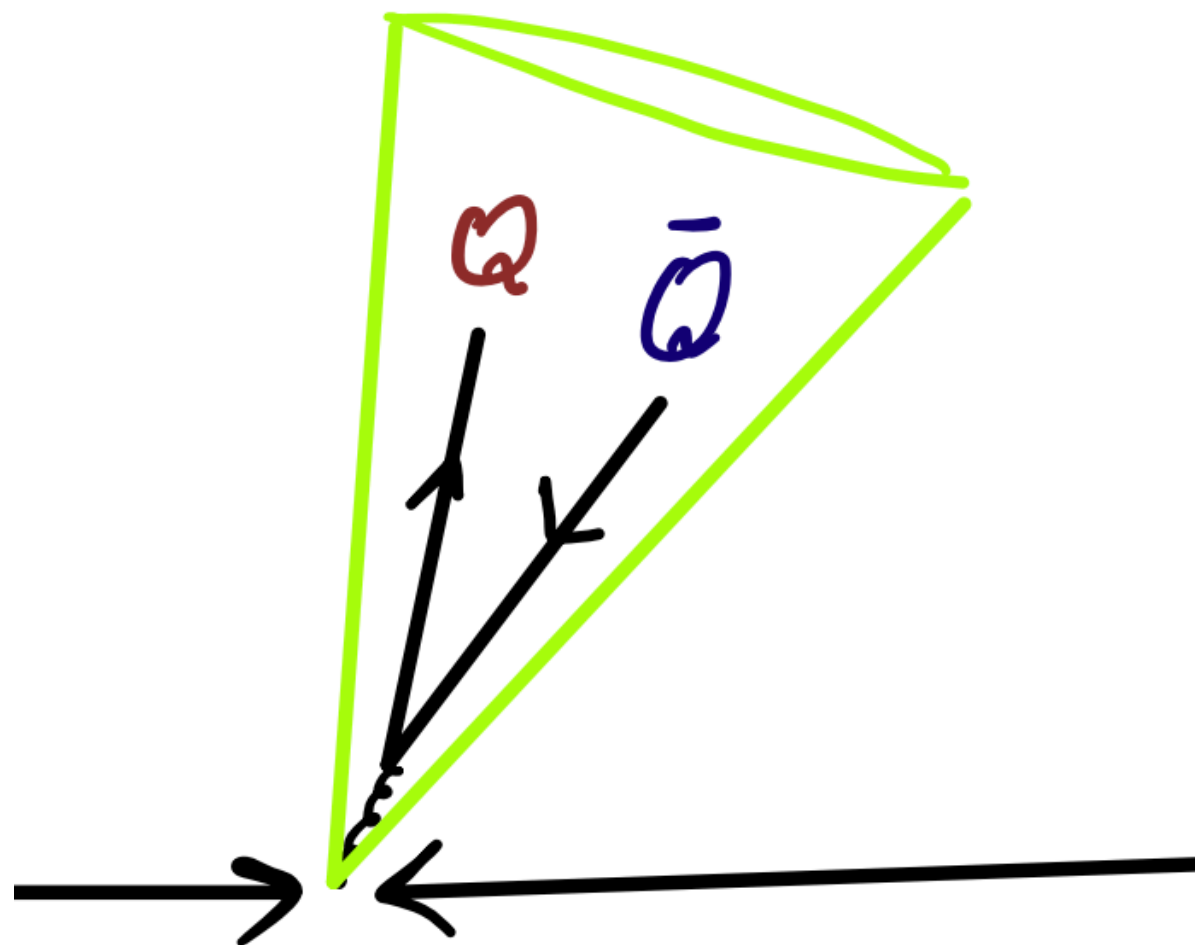
$$z = \frac{\vec{p}_{HF} \cdot \vec{p}_{jet}}{|\vec{p}_{jet}|^2}$$

Flavor Creation dominated



**B hadron carries most of the energy in the jet**

Gluon-splitting dominated

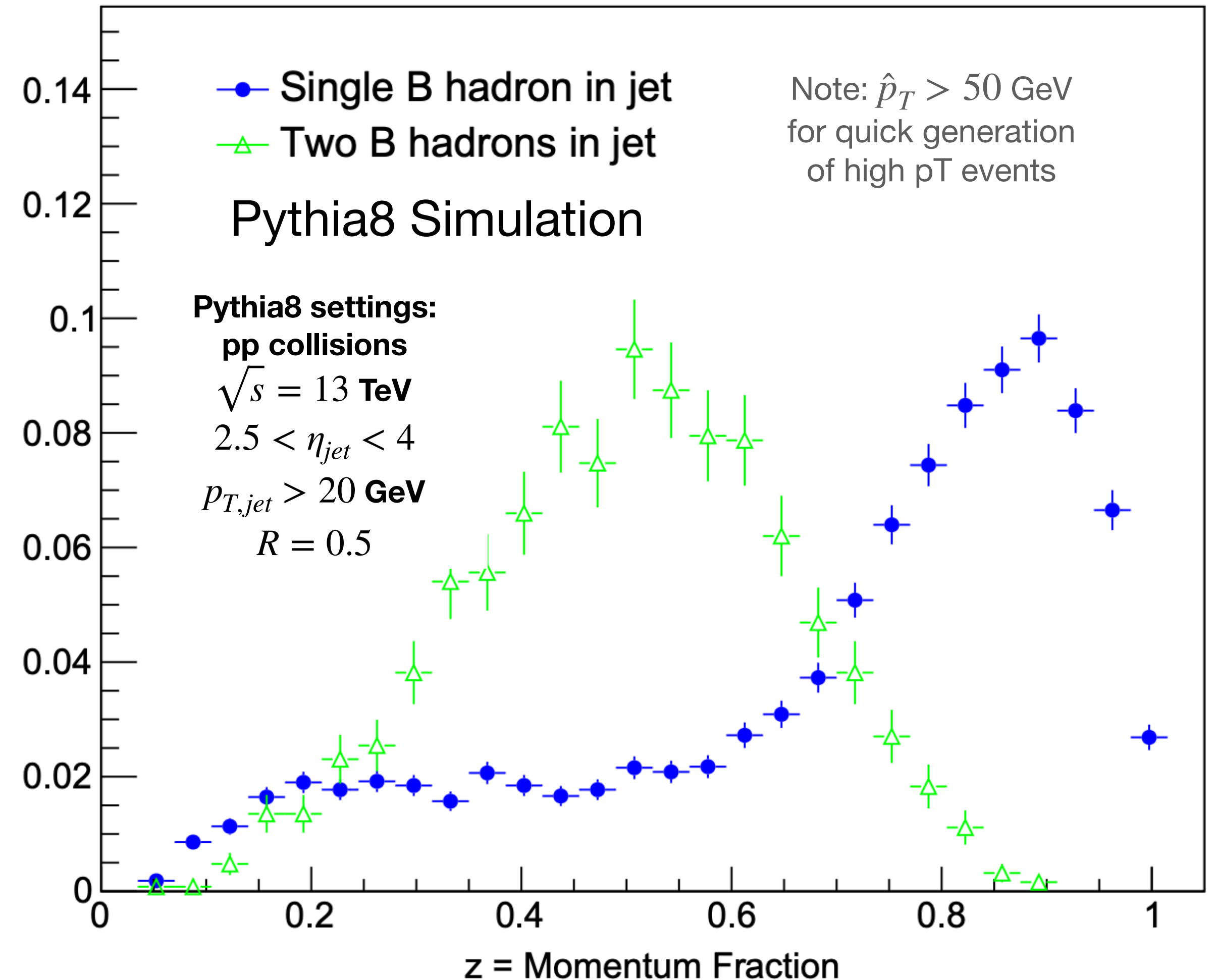
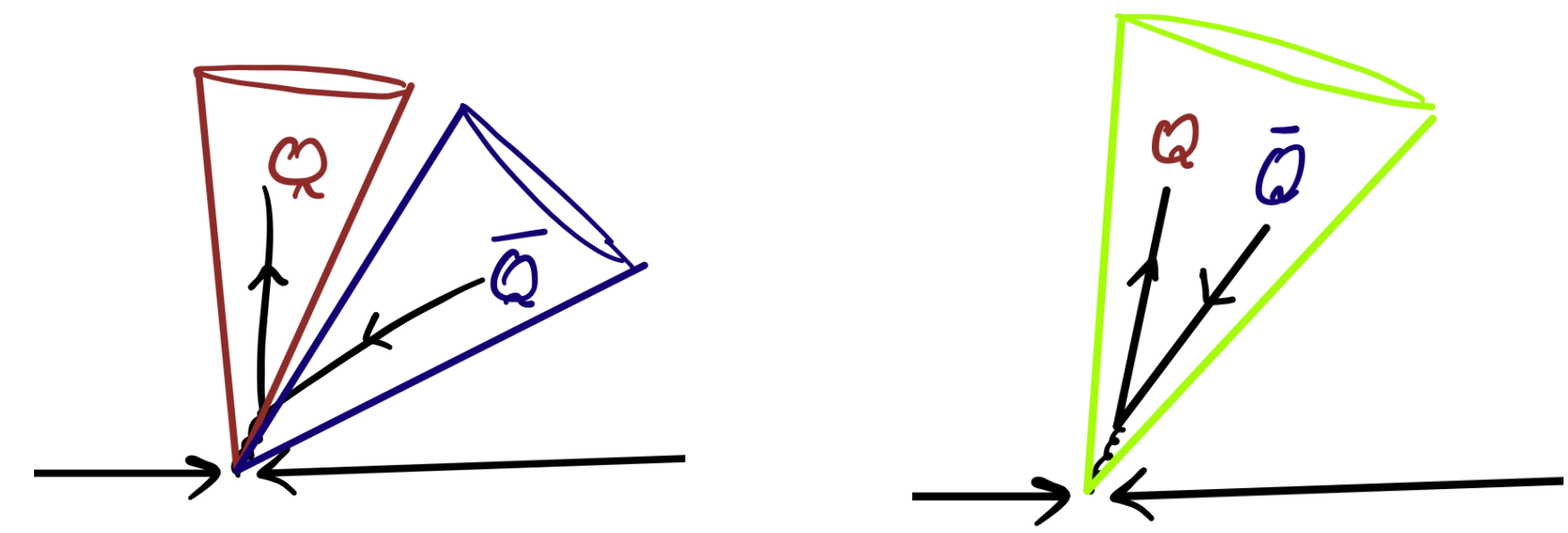


**B hadron carries about half the energy of the jet.**

# Pythia Simulation

## Illustration with simulated jets

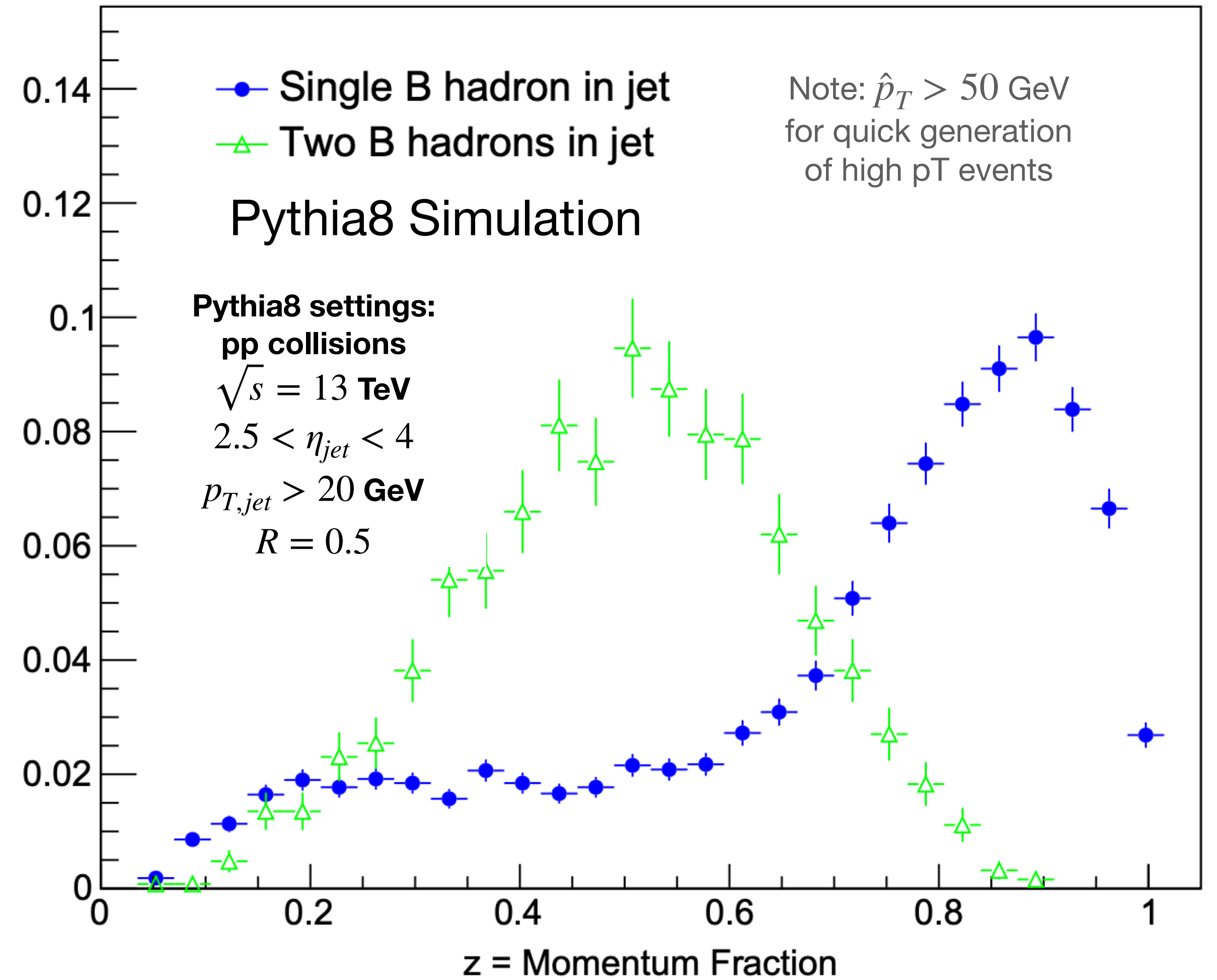
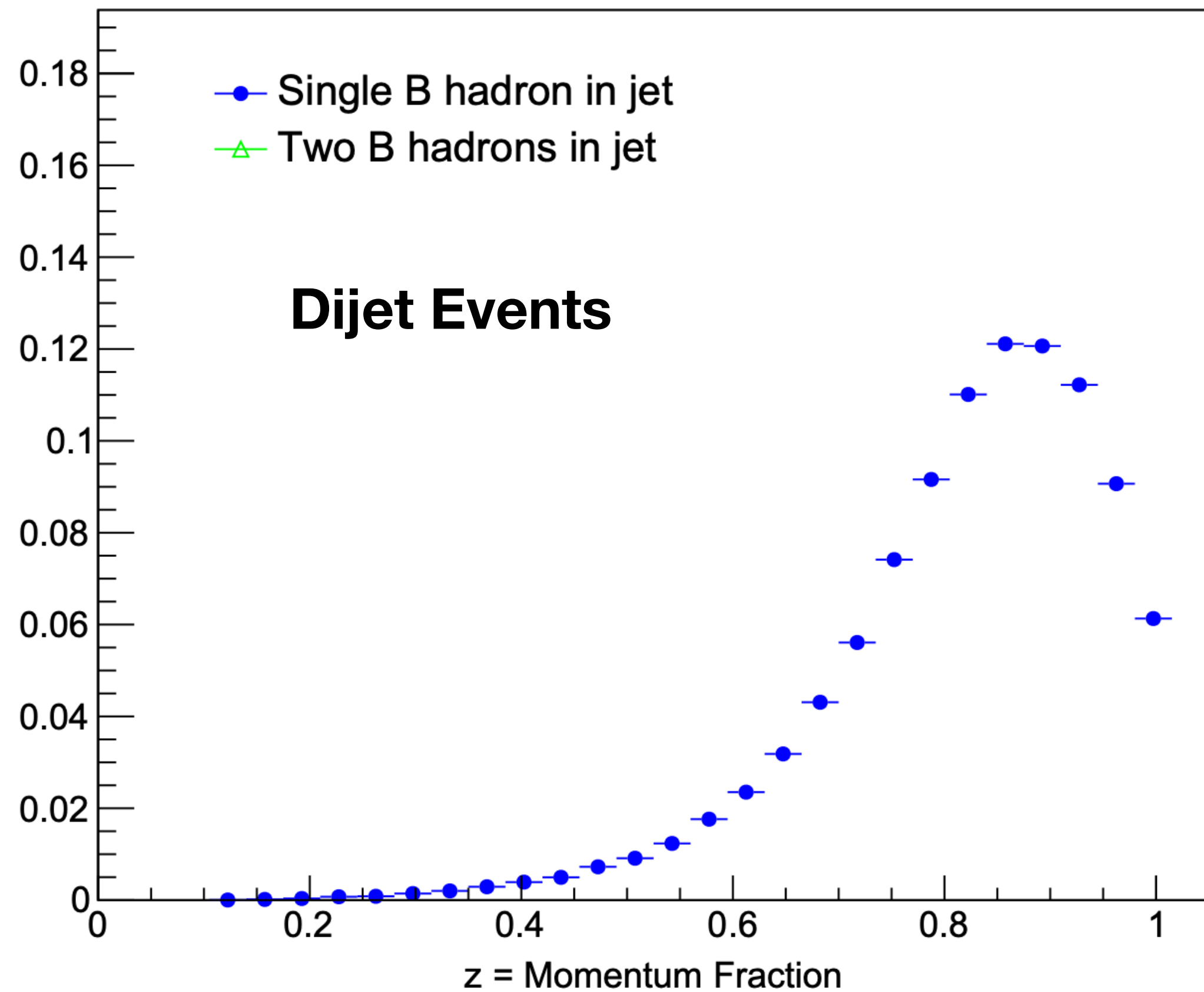
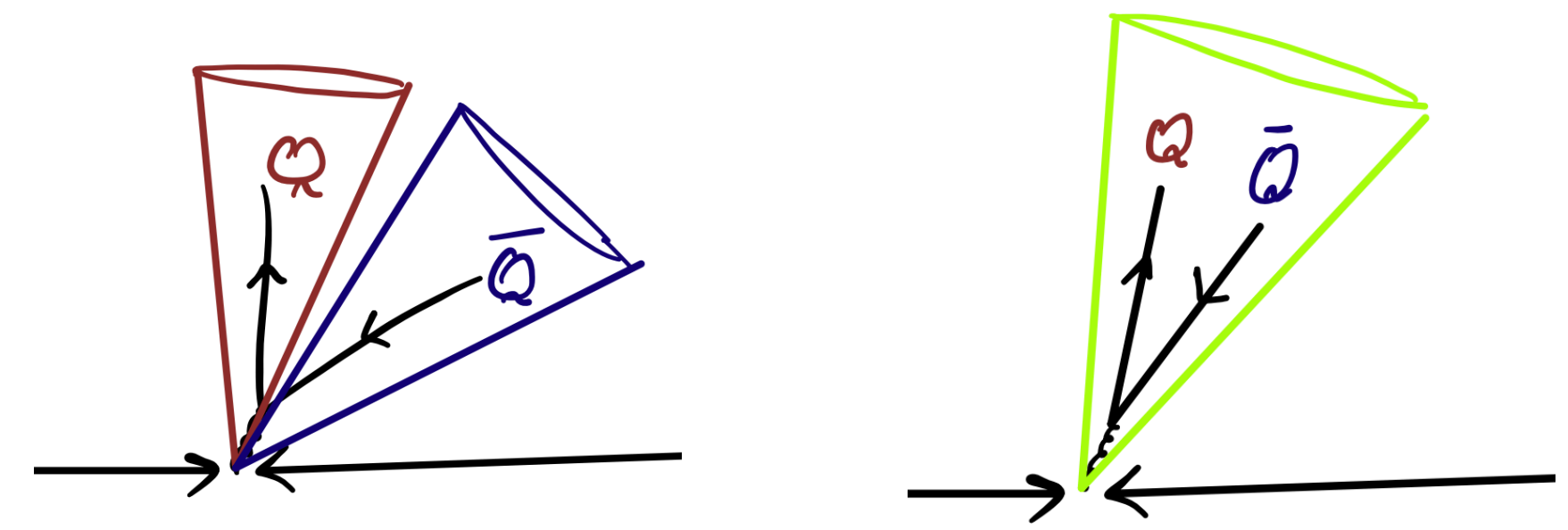
- Local Pythia (not produced by LHCb) simulations of  $g \rightarrow b\bar{b}$  jets
- Fragmentation depends on whether two B hadrons are clustered together or separately
- B hadrons from gluon splitting clustered into separate jets behave differently than flavor creation
- Overall fragmentation is some linear combination of the two processes





# Pythia Simulation

## Illustration with simulated jets

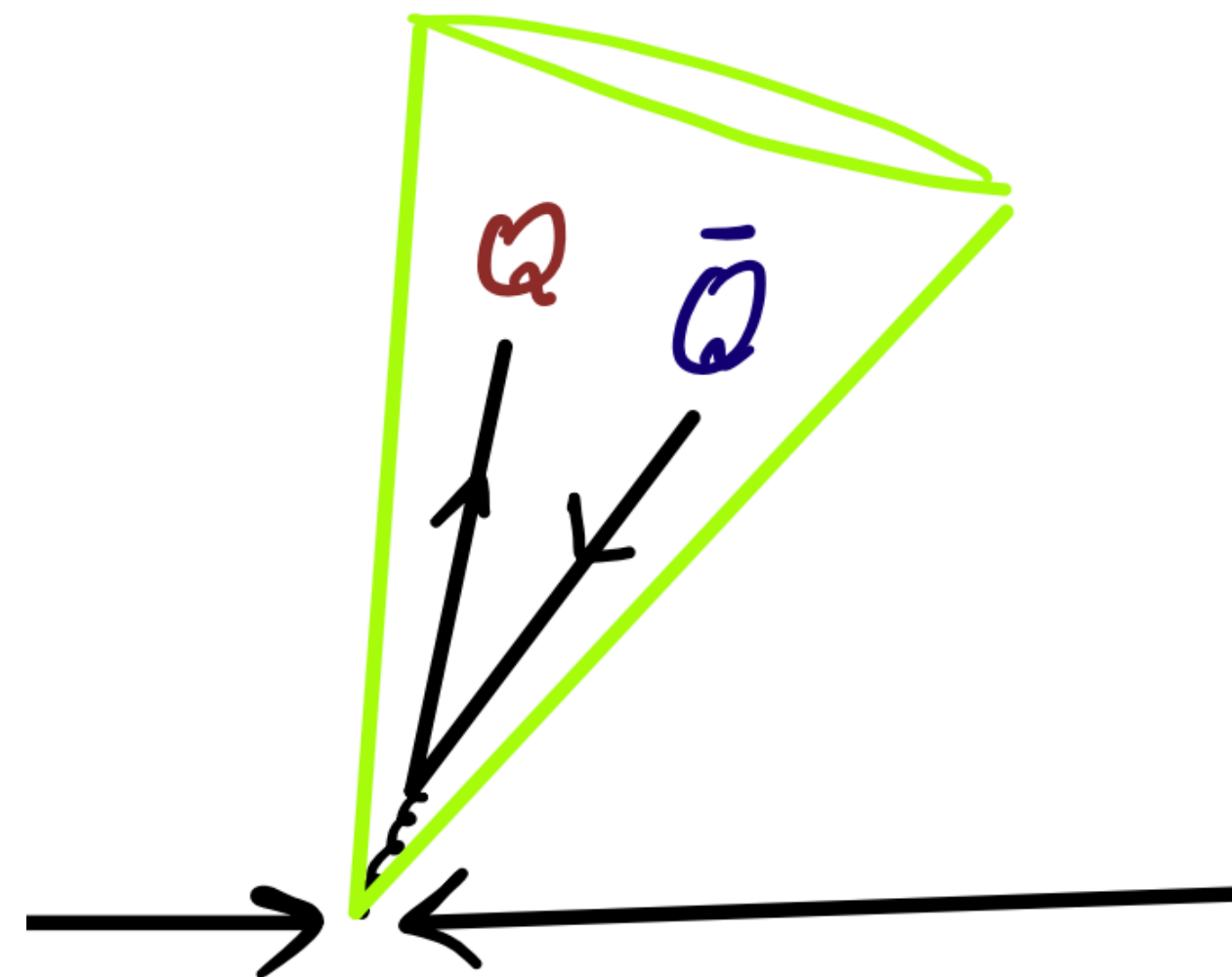


# Identifying Gluon Splitting Events at LHCb

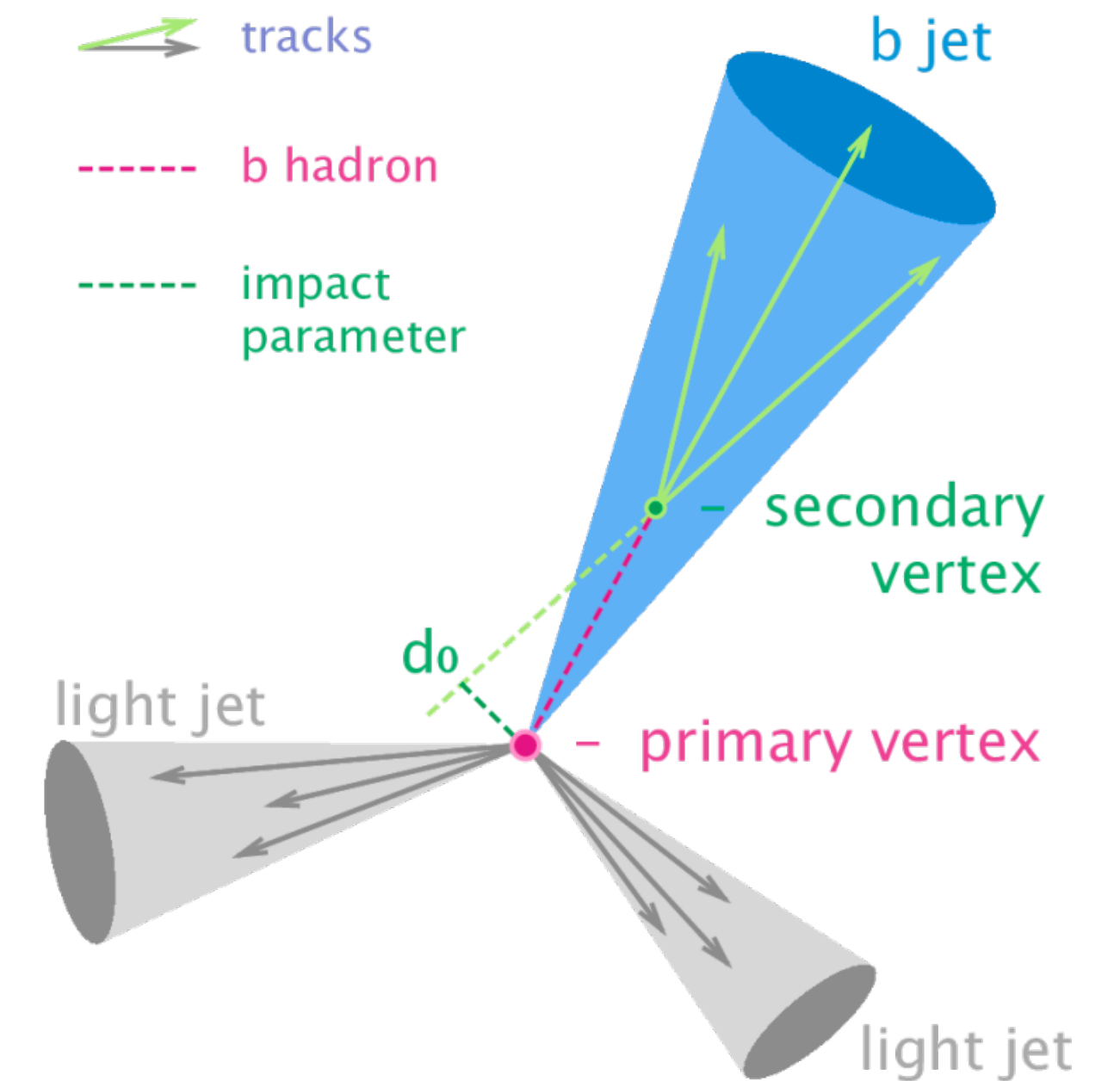
## Secondary Vertex tagging

Credits: <https://www.particlebites.com/?p=4007>

- Reconstructing both hadrons is highly inefficient
- Instead look for secondary vertices (SV)
- Events with a fully reconstructed B hadron + SV are proxies for gluon splitting events



**Gluon-splitting Event**

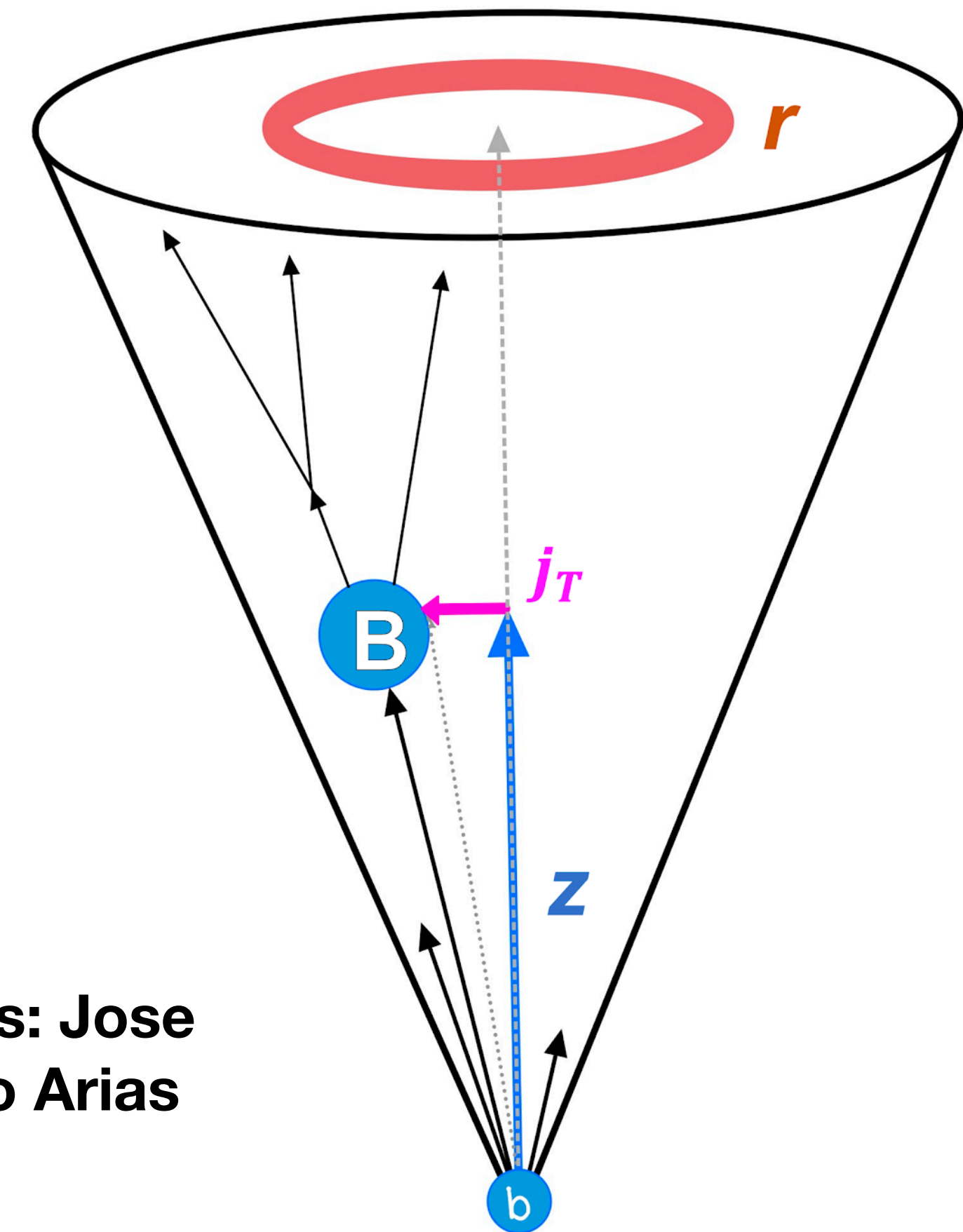


**Secondary Vertex Tagging**



# Current LHCb HF Fragmentation Measurements

- LHCb is currently measuring the fragmentation of  $B^\pm$  and  $D^0$  in jets for Run II data
- Measurement of hadronization variables will be differential in  $p_T^{jet}$
- Currently, the SV-tagger is being studied for potential measurements of gluon splitting rate and fragmentation functions



Credits: Jose  
Marco Arias

# Summary

## Ongoing Heavy Flavor Jet Measurements at LHCb

- The LJP has been measured in inclusive jets at ATLAS, ALICE, and CMS, but no such measurement has been done for heavy flavor jets. At LHCb, we are currently measuring the LJP for  $B^\pm$  and  $D^0$  jets using the full Run II data from 2016 - 2018.
- In addition, we are working on the dead cone measurement in beauty and charm jets relative to light quark-enriched jets.
- LHCb is also measuring the fragmentation properties of  $B^\pm$  and  $D^0$  mesons in jets, with interesting capabilities of exposing events with heavy quarks originating from gluon splitting.



**Backup slides**

# Jets and Clustering Algorithms

## Anti- $k_T$ , Cambridge/Aachen

- Given a collection of particles, define a distance between two particles as:

$$d_{ij} = \min \left( p_{Ti}^{2p}, p_{Tj}^{2p} \right) \Delta R_{ij}^2 / R^2$$

$p = 1$ :  $k_T$

$p = 0$ : Cambridge Aachen (C/A)

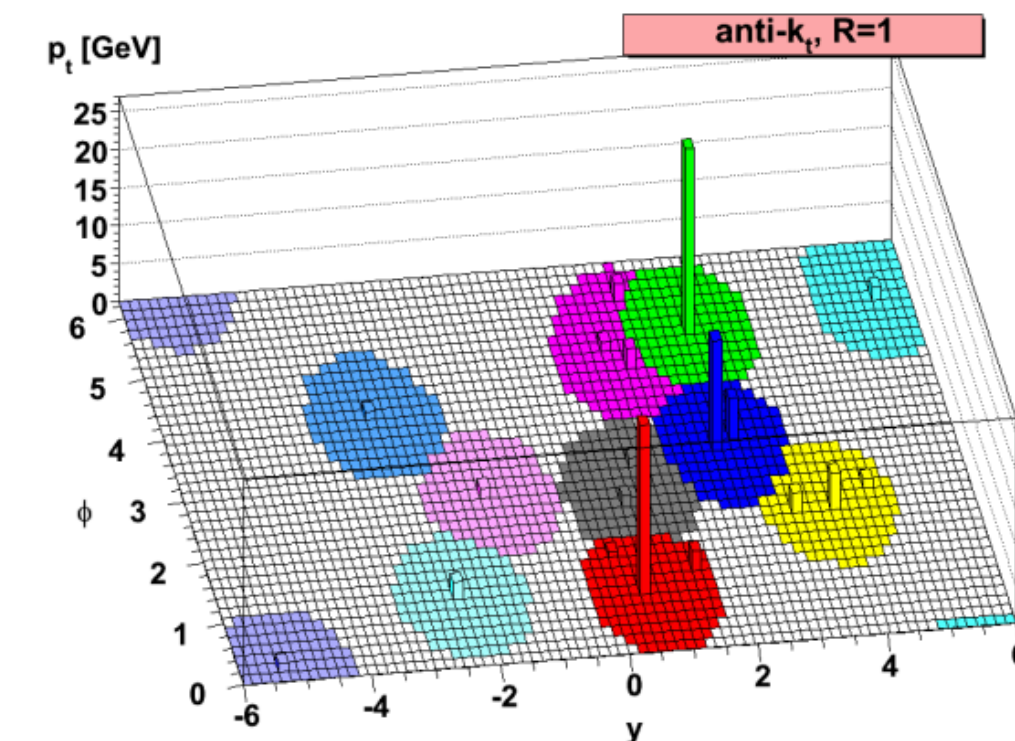
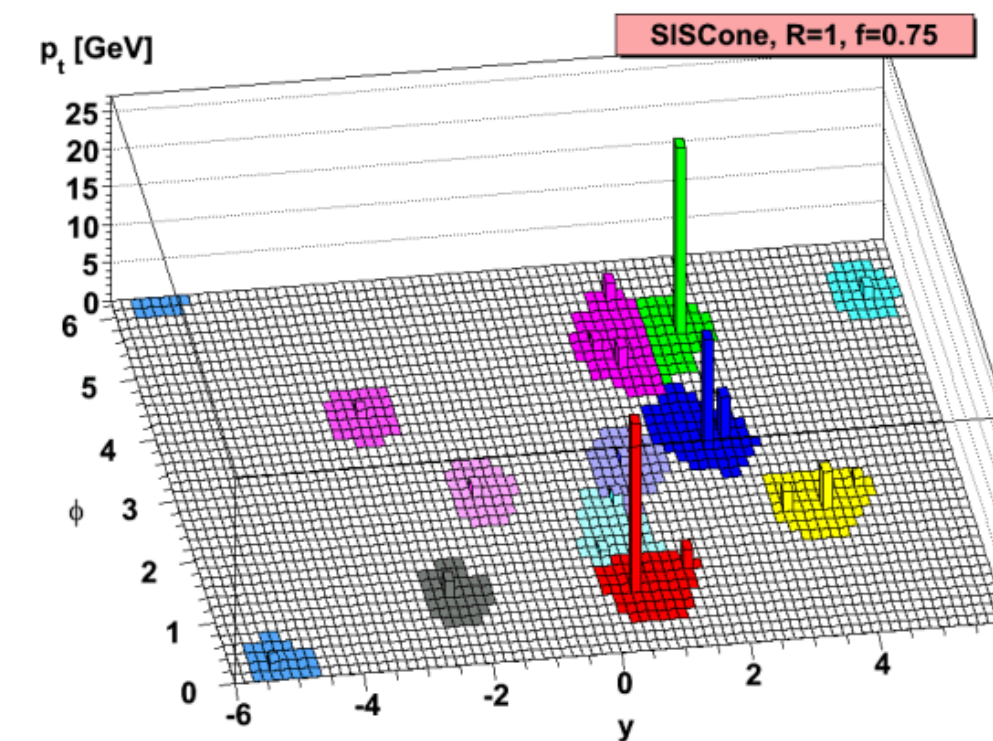
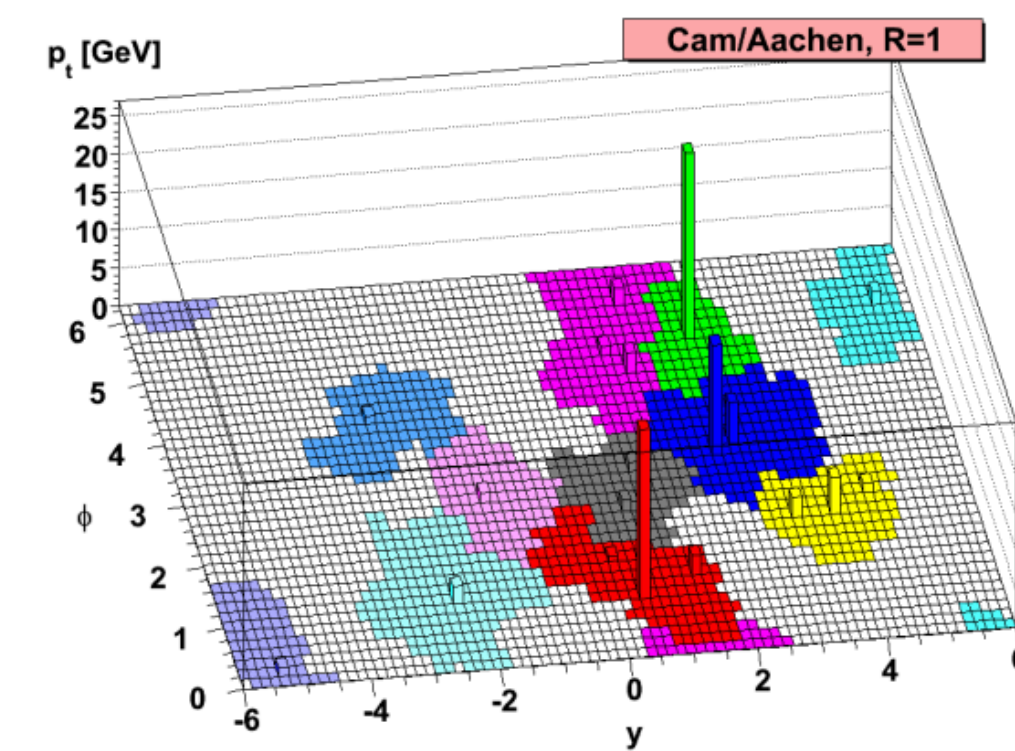
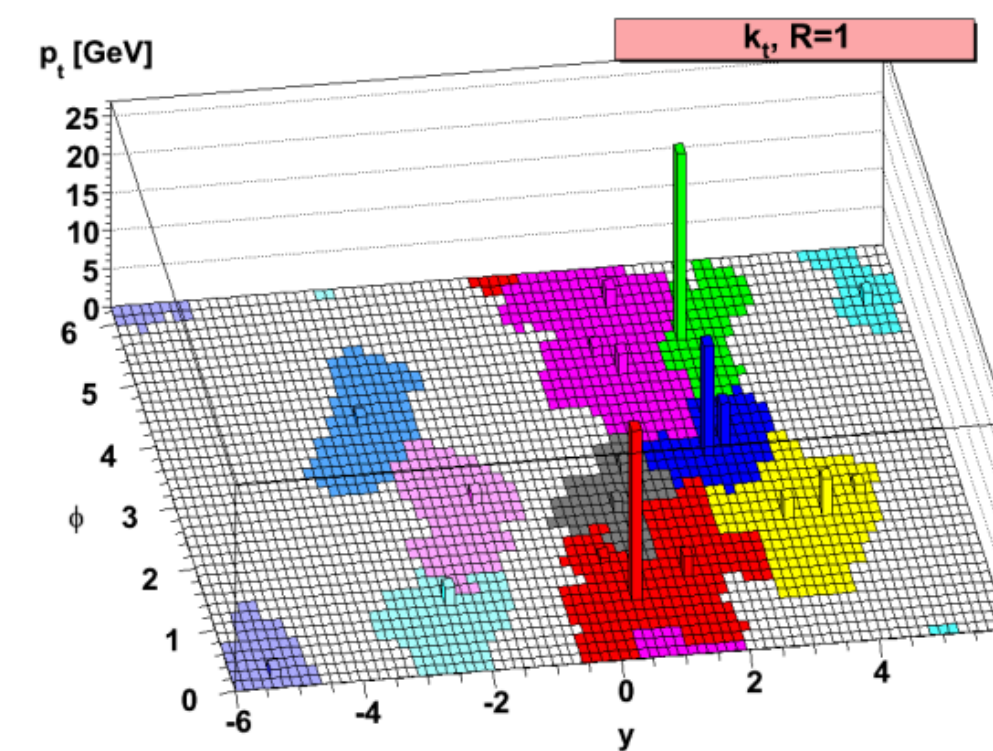
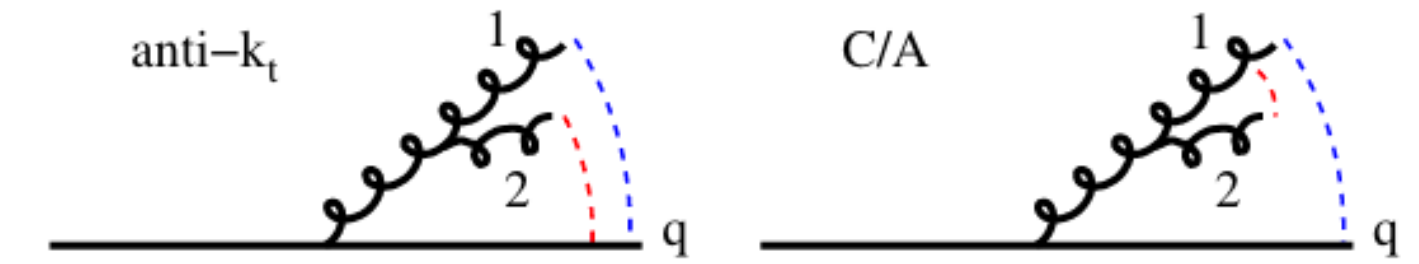
$p = -1$ : Anti- $k_T$

- Merge the two particles with the lowest distance first, repeat until all particles have been merged/clustered

- Anti- $k_T$  is infrared and collinear safe (IRC), and produces conical jets!**

$$\Delta R = \sqrt{(\eta_i - \eta_j)^2 + (\phi_i - \phi_j)^2}$$

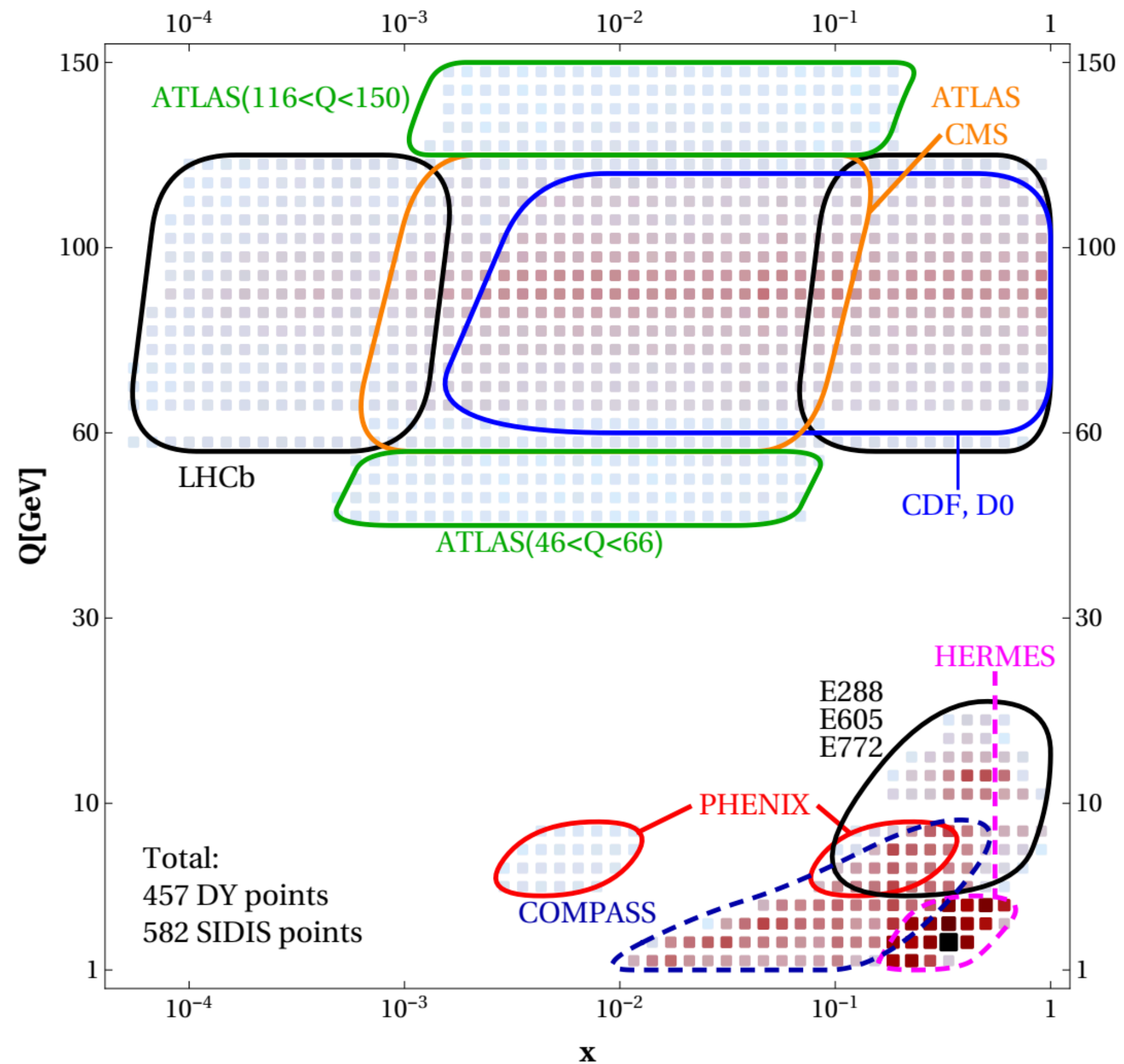
$R$  : Jet Radius



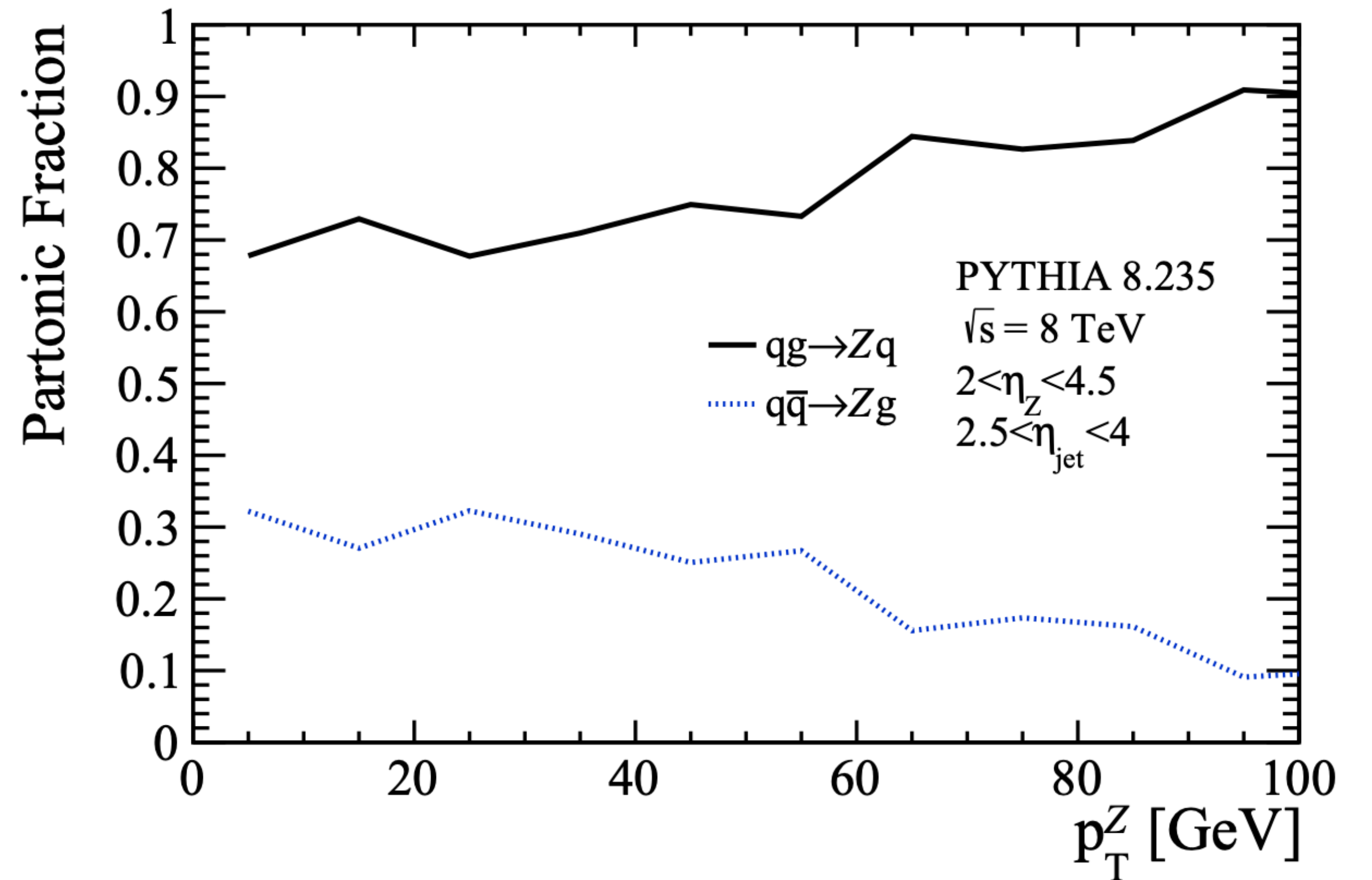


# Partonic fractions at forward rapidity

## High- $x$ enhances the light-quark jet fraction



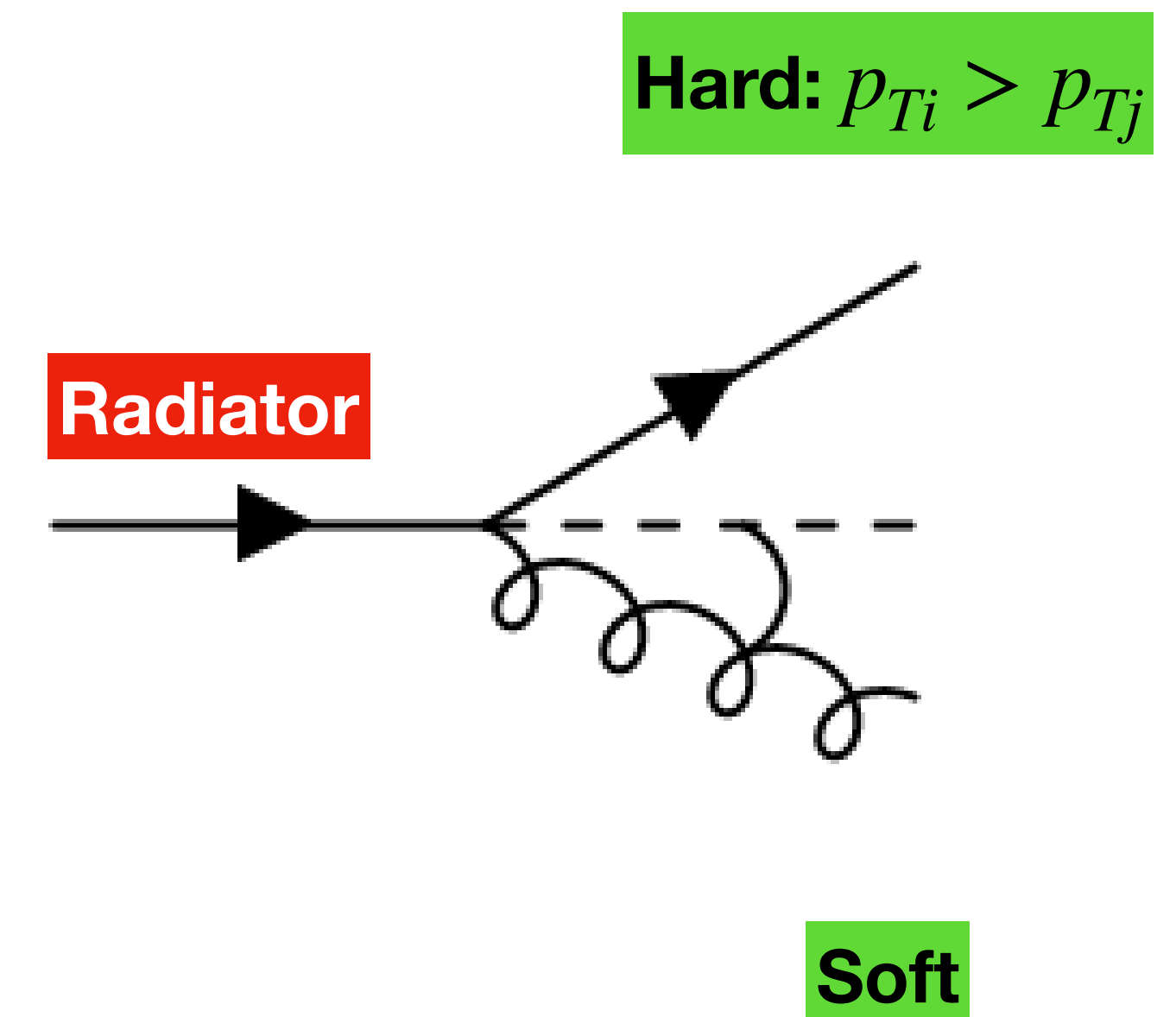
JHEP 06, 137 (2020)



PRL 123, 232001 (2019)

# Splitting Variables

- We adopt the following definitions for the Lund jet plane variables:
  - $\theta_{ij}$  : the angle between the soft daughter and radiator
  - $E_{rad}$  : the energy of the radiator
  - $\Delta R = \sqrt{(\eta_i - \eta_j)^2 + (\phi_i - \phi_j)^2}$  - angular distance
  - $k_T = p_T^{soft} \sin(\Delta R)$  - relative transverse momentum
  - $z = \frac{p_T^{soft}}{p_T^{hard} + p_T^{soft}}$  - transverse momentum fraction



# Splitting Variables

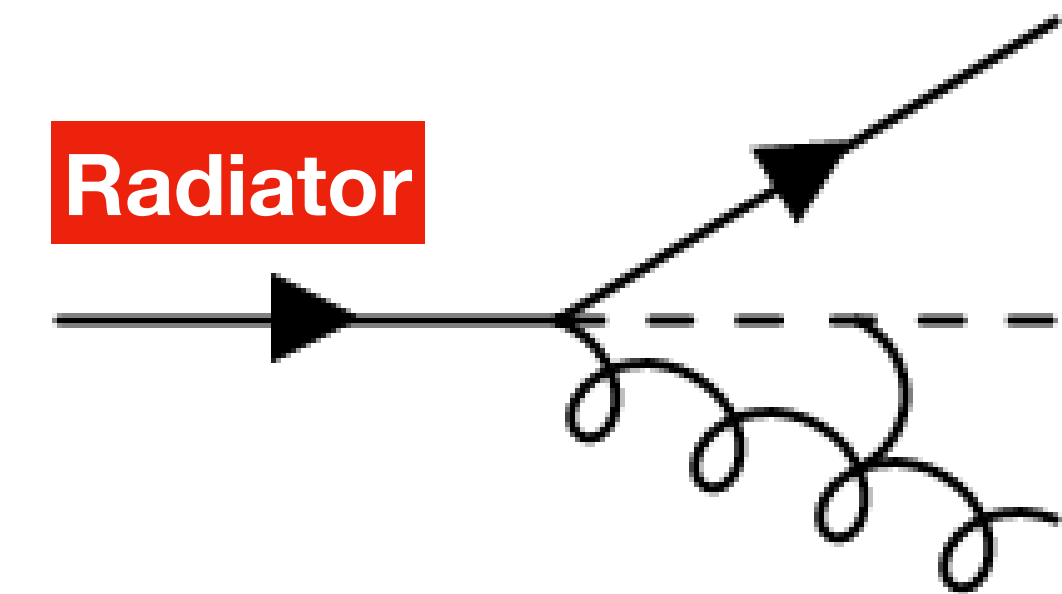
$$\rho(E_{rad}, \theta) = \frac{1}{N_{emissions}} \frac{d^2n}{dE_{rad} d \ln(1/\theta)}$$

- Focusing on these variables:
  - $\theta_{ij}$  : the angle between the soft daughter and radiator
  - $E_{rad}$  : the energy of the radiator

Hard:  $p_{Ti} > p_{Tj}$

$$\theta_{HQ} = \frac{m_{HQ}}{E}$$

Dead cone plane in  $E_{rad}$  and  $\theta$



Soft



# Splitting Variables

$$\rho(\Delta R, k_T) = \frac{1}{N_{emissions}} \frac{d^2n}{d \ln(R/\Delta R) d \ln(k_T)}$$

$$\rho(\Delta R, z) = \frac{1}{N_{emissions}} \frac{d^2n}{d \ln(R/\Delta R) d \ln(1/z)}$$

- Focusing on these variables:

- $$\Delta R = \sqrt{(y_i - y_j)^2 + (\phi_i - \phi_j)^2}$$

- $$k_T = p_T^{soft} \sin(\Delta R)$$

**Lund jet plane**

- $$z = \frac{p_T^{soft}}{p_T^{hard} + p_T^{soft}}$$

