

Comparing numeric and analytic methods for black hole scattering in unequal mass systems

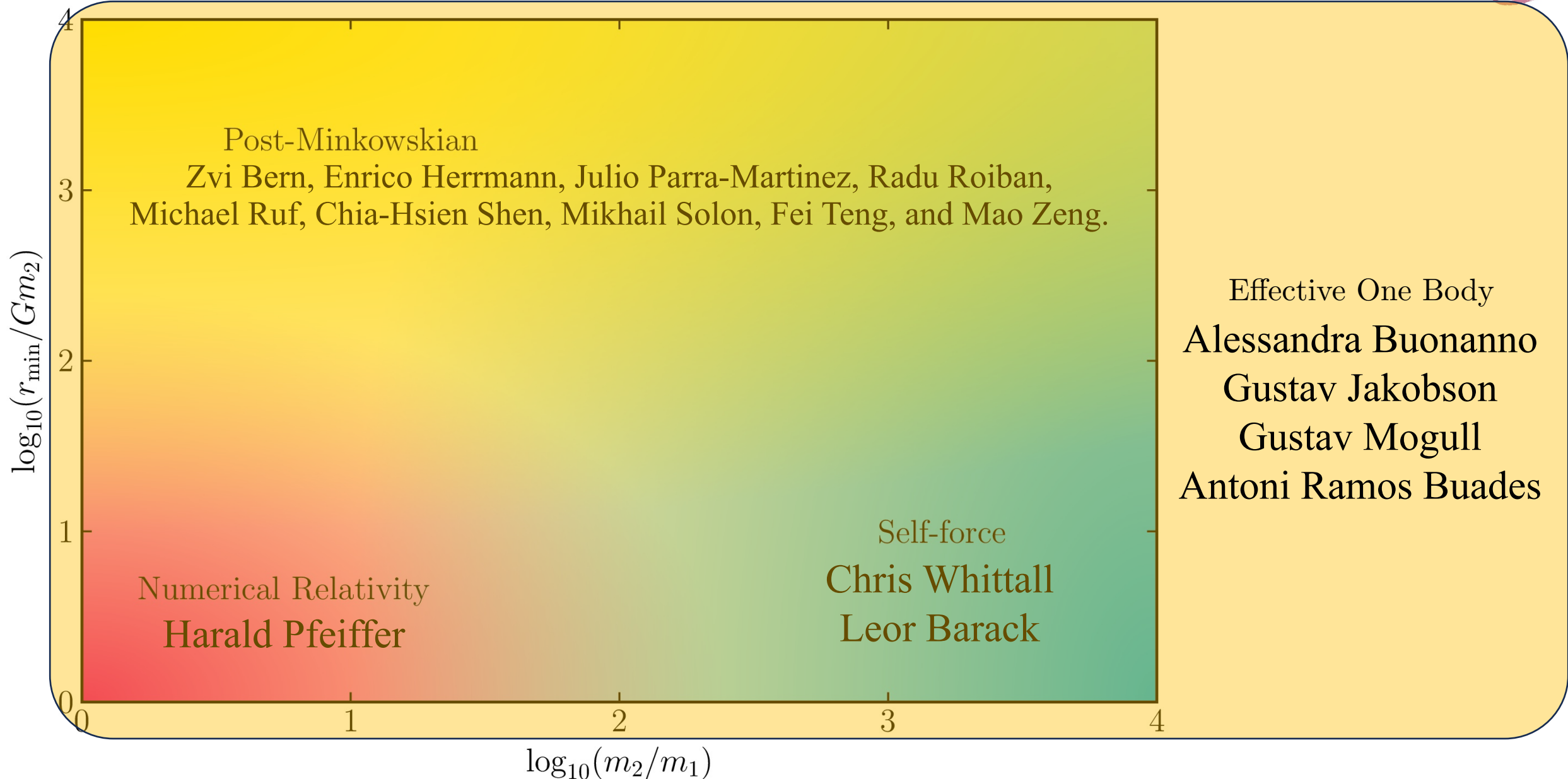
Oliver Long

Gravitational Self-Force and Scattering Amplitudes Workshop

20th March 2024



Contributors and collaborators





- Self-force numerical methods:
 - Time-domain model.
 - Hybrid frequency/time-domain model.
- Comparison to post-Minkowskian in the scalar field toy model:
 - Energy and angular momentum dissipation.
 - Self-force correction to the scattering angle.
- Extension to gravitational self-force.
- Scattering in Numerical Relativity:
 - Current status.
 - Comparison to Effective One Body.
 - Challenges for unequal masses.

Self-force vs post-Minkowskian expansions



Two *independent* expansions of the same system:

	1PM	2PM	3PM	4PM	...	
0SF	G	G^2	G^3	G^4	...	→ analytical
1SF	qG	qG^2	qG^3	qG^4	...	→ numerical
2SF	q^2G	q^2G^2	q^2G^3	q^2G^4	...	→ impossible (for now)
⋮	⋮	⋮	⋮	⋮	⋮	

n SF contains all orders in G
 n PM contains all orders in q

$$q := \frac{m_1}{m_2} \ll 1$$

Scalar field toy model



Schwarzschild spacetime: Endow particle with a spin-0 **scalar charge** Q .

Keep **inertial mass** but ignore gravitational mass.

Expansion in small parameter at fixed energy and angular momentum:

$$\chi = \chi^{(0)} + q_s \delta\chi + \dots$$

Geodesic

m_1 : Small mass

m_2 : Large mass

$$q_s := \frac{Q^2}{m_1 m_2}$$

Scalar field Φ obeys the **Klein-Gordon** equation:

$$\square\Phi = Q \int_{-\infty}^{\infty} \delta^4(x^\mu - x_p^\mu(\tau)) d\tau$$

Decompose into (time-domain) modes:

$$\Phi = \frac{Q}{r} \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} \psi_{\ell m}(t, r) Y_{\ell m}(\theta, \varphi)$$

$$\psi_{,uv} + V(\ell; r)\psi = S_\psi(\ell; x_p^\mu) \delta(r - R)$$

u, v : Eddington-Finkelstein coordinates

1+1D evolution scheme [Barack & OL '22]



Orbit parameterised by either:

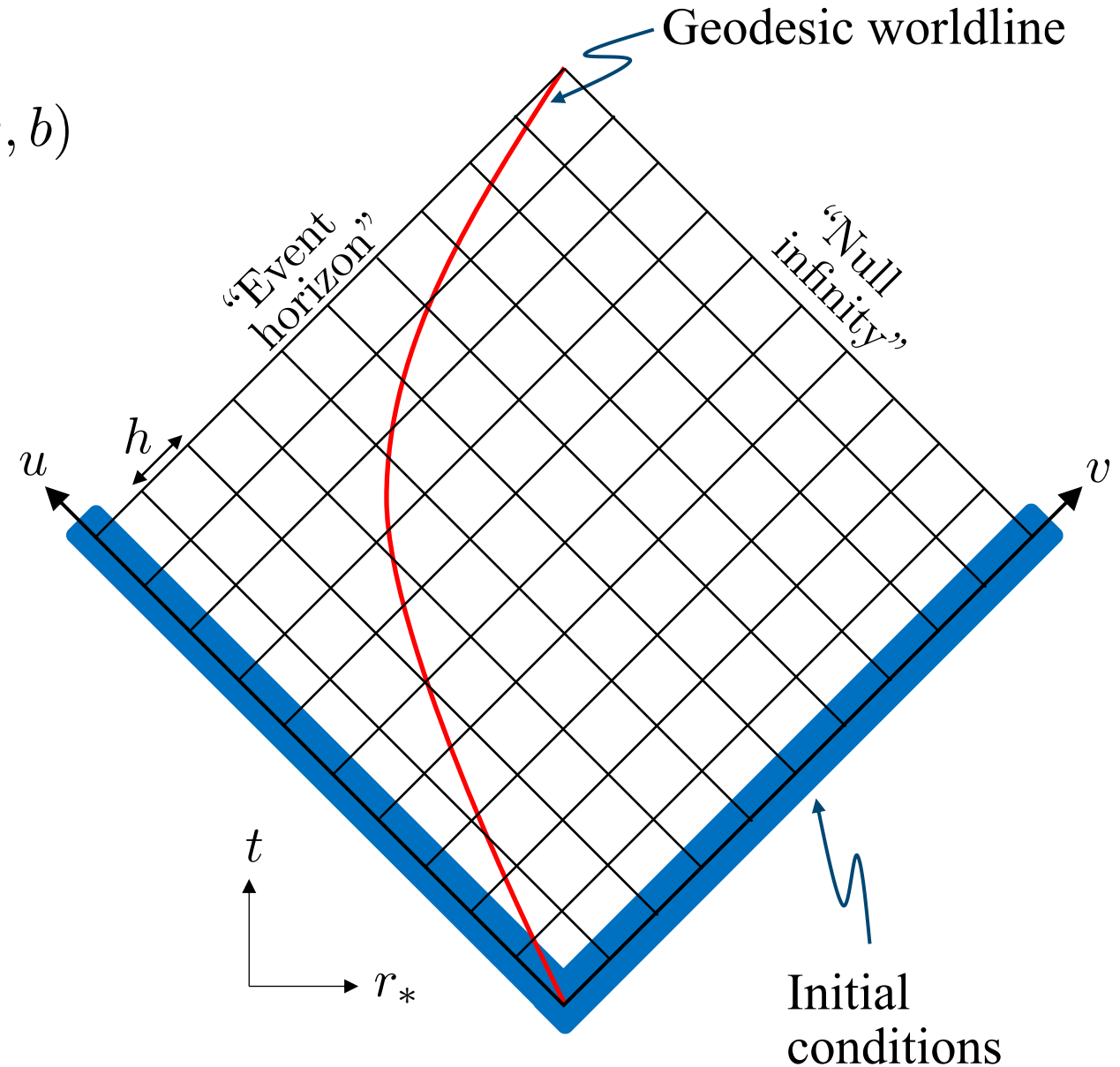
- Velocity at infinity and impact parameter (v, b)
- Energy and angular momentum (E, L)

Numerically solve sourced field equation:

$$\psi_{,uv} + V(\ell; r)\psi = S_\psi(\ell; x_p^\mu) \delta(r - R)$$

Sum over modes:

$$\Phi = \frac{Q}{r} \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} \psi_{\ell m}(t, r) Y_{\ell m}(\theta, \varphi)$$



Alternative hybrid frequency/time-domain method



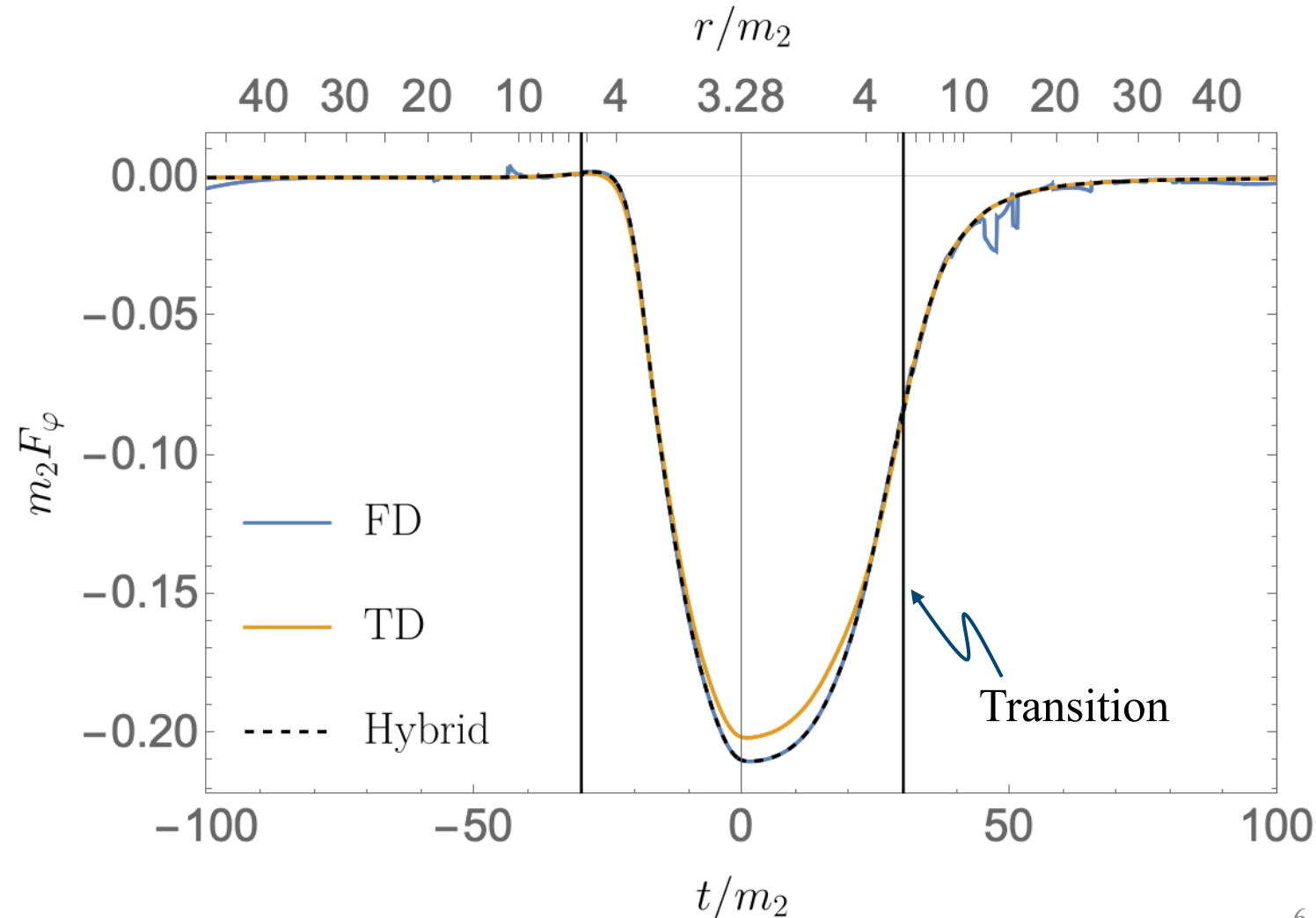
Combine frequency and time domain methods [Whittall & Barack '23, Barack & OL '22].

Use frequency domain (FD) data **near periastron** where we need a **larger number of modes**.

FD method automatically **truncates number of modes** based on accuracy.

When accuracy of FD drops below time domain (TD), use TD data.

Important for **strong-field** orbits at **large velocities**.



Self-force energy and angular momentum dissipation



Self-force definition:

$$m_1 \frac{du^\alpha}{d\tau} = Q(\delta_\beta^\alpha + u^\alpha u_\beta) \nabla^\beta \Phi^R =: m_1 q_s F^\alpha$$

τ : Proper time

u^α : 4-velocity

Φ^R : Regular field

Can split SF into **conservative** and **dissipative** pieces using symmetries about periastron:

$$F_\alpha^{\text{cons}}(r, \dot{r}) = -F_\alpha^{\text{cons}}(r, -\dot{r}) \qquad F_\alpha^{\text{diss}}(r, \dot{r}) = F_\alpha^{\text{diss}}(r, -\dot{r}) \qquad \alpha = t, \varphi$$

Dissipated energy and angular momentum as integrals over the orbit:

$$\Delta E_{1\text{SF}} = - \int_{-\infty}^{\infty} F_t^{\text{diss}} d\tau \qquad \Delta L_{1\text{SF}} = \int_{-\infty}^{\infty} F_\varphi^{\text{diss}} d\tau$$

Includes flux radiated to **infinity** and the **horizon**.

PM expansion of dissipation [Barack et al. '23, Jones & Ruf '24]



Expansion around flat space in powers of G .

2PM:

$$\Delta E_{2\text{PM}} = 0$$

$$\Delta L_{2\text{PM}} = \frac{2(1+v^2)}{3(1-v^2)} \frac{Gm_2}{b}$$

v : Velocity at infinity

b : Impact parameter

3PM:

$$\Delta E_{3\text{PM}} = \left(r_1 + r_2 \operatorname{arctanh}(v) + r_3 \log \left[\frac{1}{2} \left(\frac{1}{\sqrt{1-v^2}} + 1 \right) \right] + \frac{\pi}{2} \frac{v}{\sqrt{1-v^2}} \right) \left(\frac{Gm_2}{b} \right)^3$$

$$\Delta L_{3\text{PM}} = \left(\Delta L_{2\text{PM}} + \left(r_3 + r_4 \operatorname{arctanh}(v) + r_6 \log \left[\frac{1}{2} \left(\frac{1}{\sqrt{1-v^2}} + 1 \right) \right] \right) \right) \left(\frac{Gm_2}{b} \right)^2$$

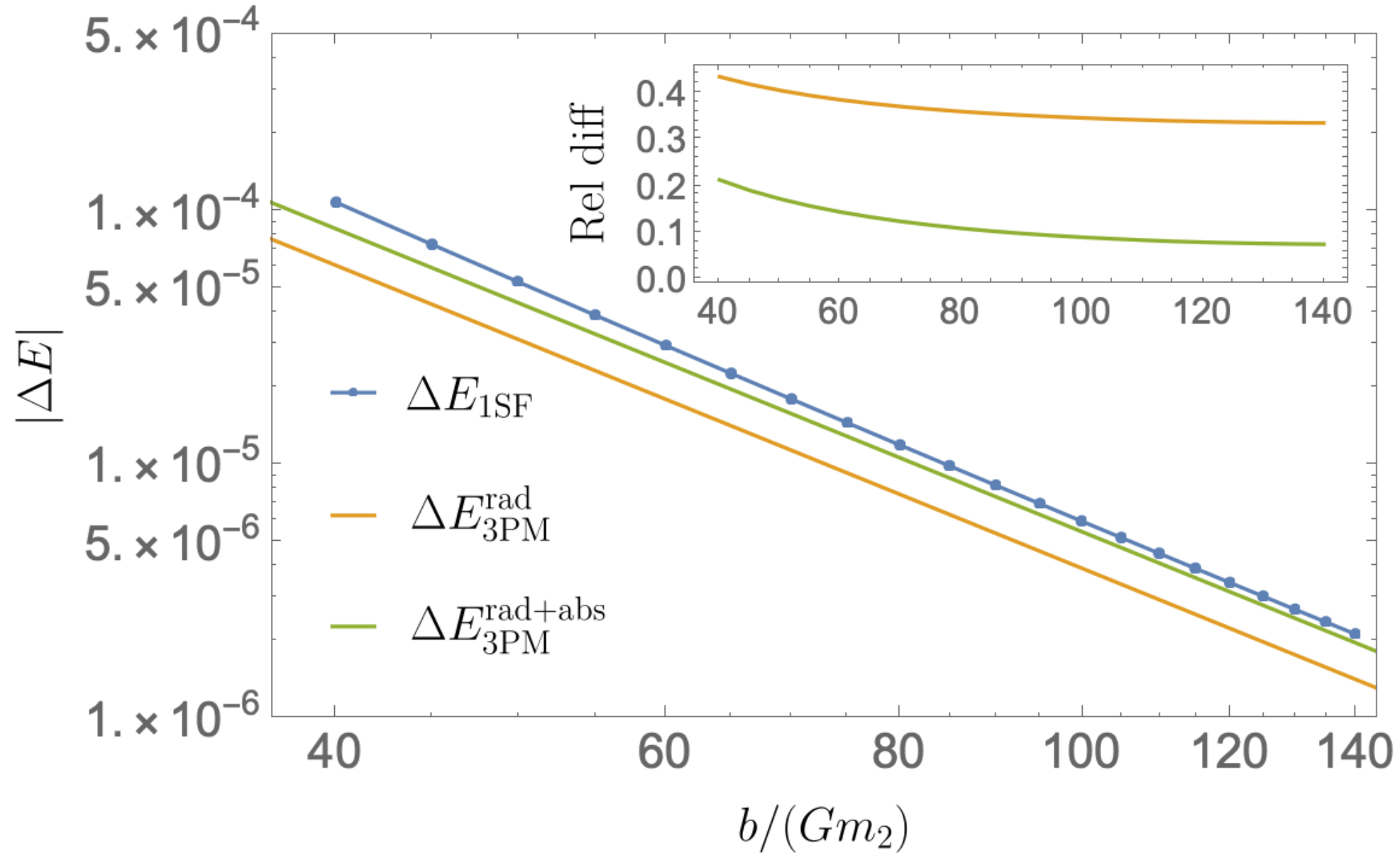
$r_i =$ rational coefficients

Flux to infinity

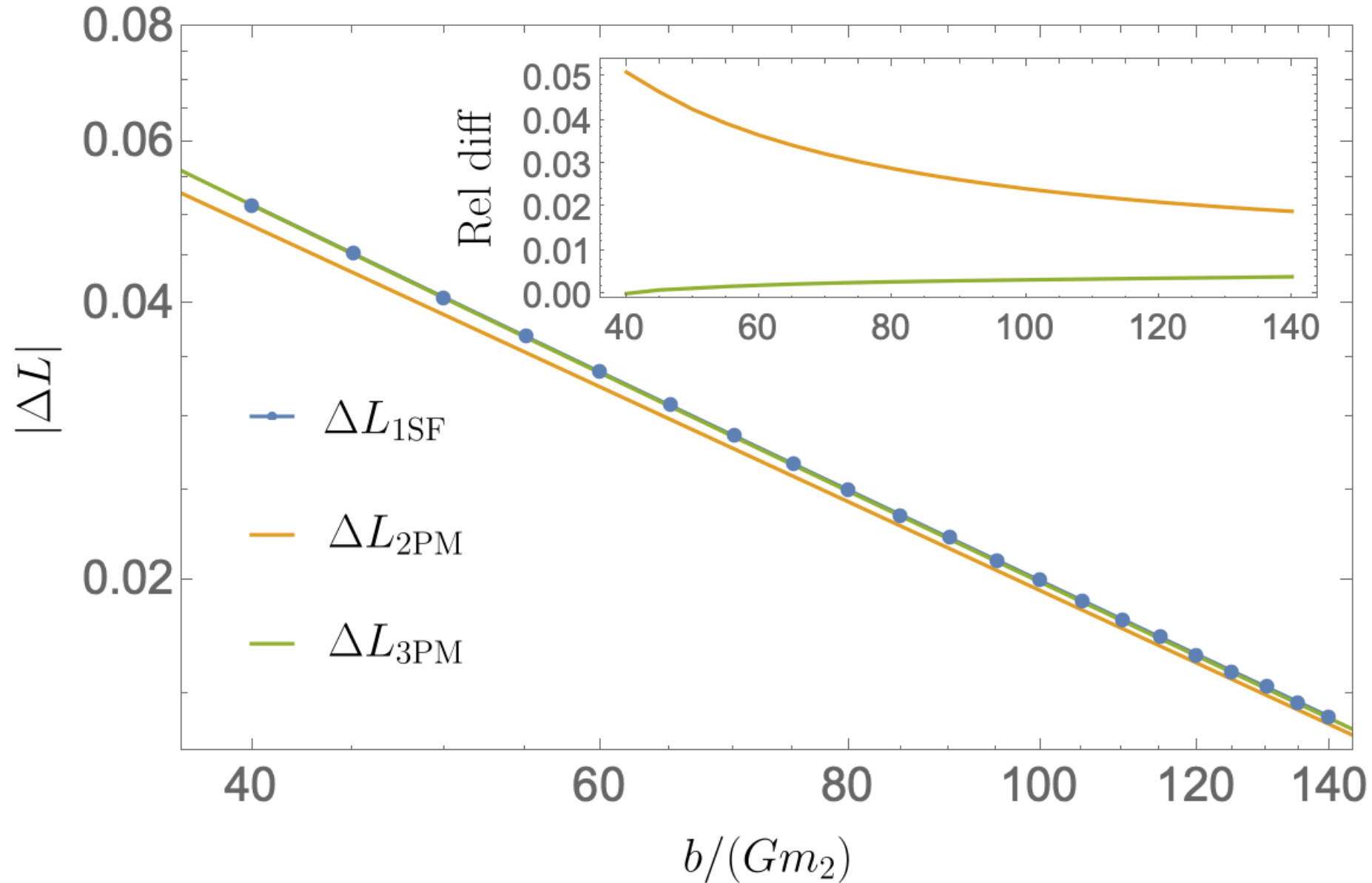
Flux radiated to the horizon appears due to **monopole term**.

Flux to horizon

Energy flux comparison



Angular momentum flux comparison



Self-force correction to the scattering angle [Barack & OL '22]



Scattering angle:

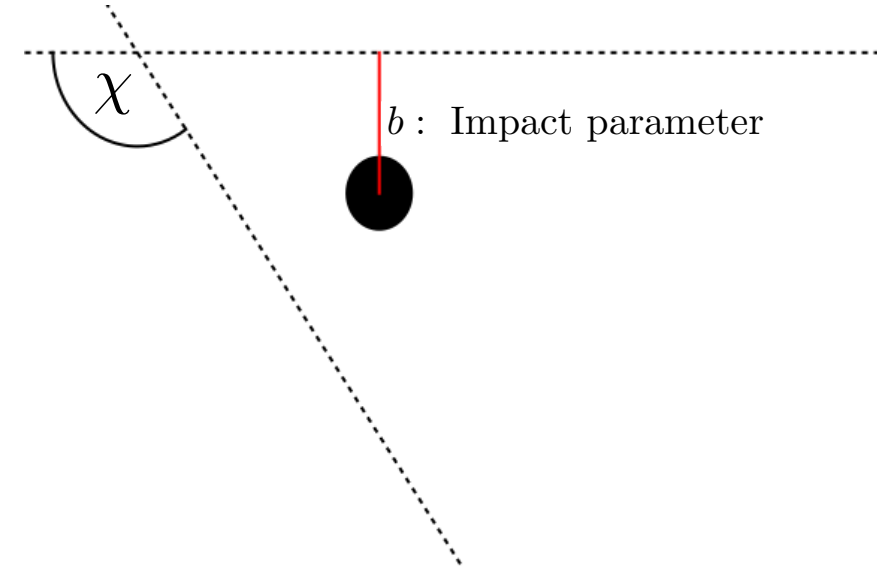
$$\chi = \chi^{(0)} + q_s \delta\chi + \dots$$

Geodesic

$$\delta\chi = \sum_{\pm} \int_{r_{\min}}^{\infty} [\mathcal{G}_E^{\pm}(r) F_t^{\pm} - \mathcal{G}_L^{\pm}(r) F_{\varphi}^{\pm}] dr$$

Incoming/outgoing leg

Functions of geodesics

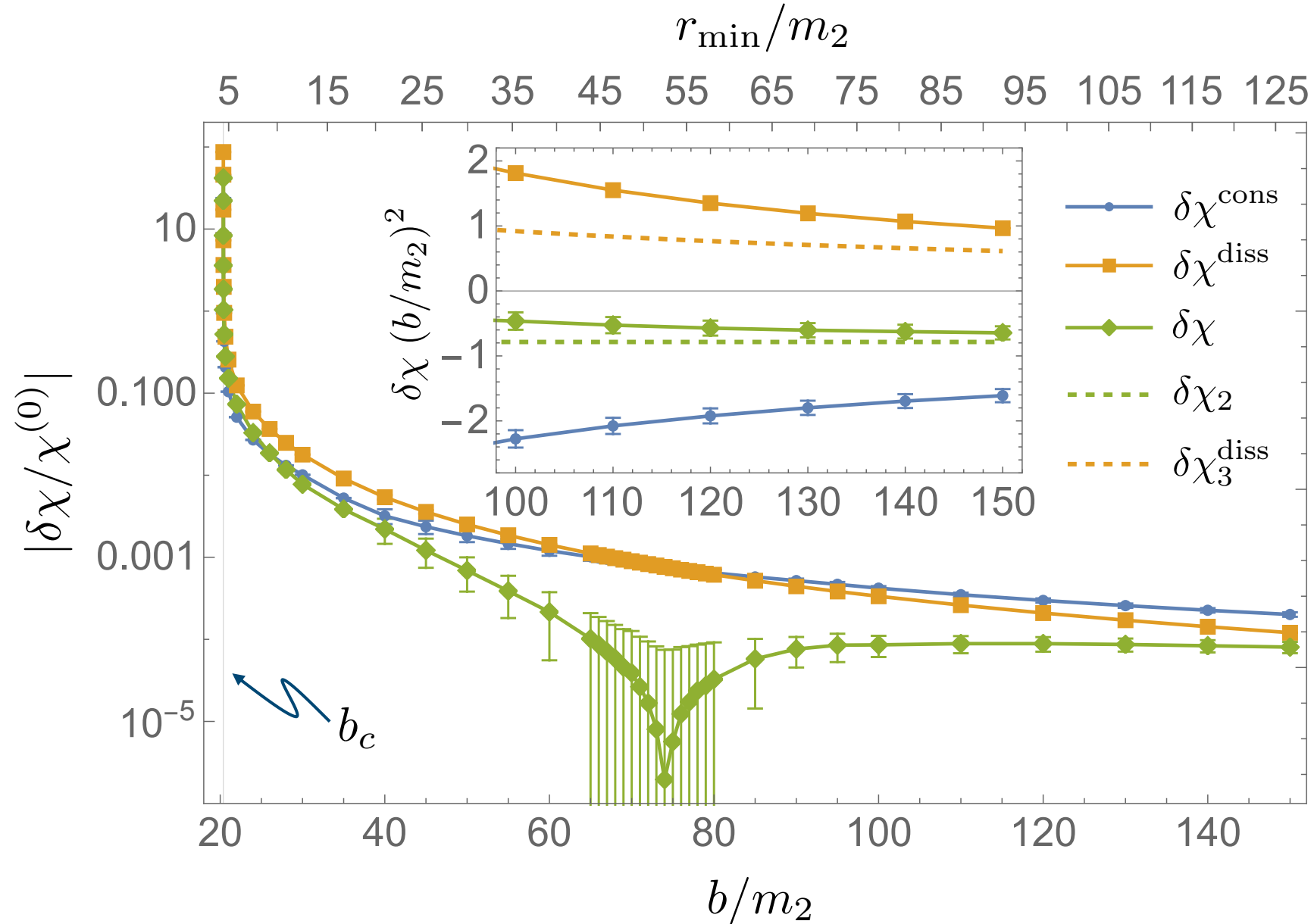


Can get dissipative angle directly from radiated fluxes:

$$\delta\chi^{\text{diss}} = \frac{1}{2} (\alpha_E E \Delta E_{1\text{SF}} + \alpha_L L \Delta L_{1\text{SF}})$$

Functions of geodesics

Scattering angle results: $v = 0.2$ [Barack & OL '22]



Scattering angle correction: PM expansion [Barack et al. '23]



Expansion around flat space:

$$\delta\chi^{\text{PM}} = \sum_{i=0}^{\infty} \delta\chi_i \left(\frac{Gm_2}{b} \right)^i$$

2PM [Gralla & Lobo '22]:

$$\delta\chi_2^{\text{cons}} = -\frac{\pi}{4} \left(\frac{m_2}{b} \right)^2$$

$$\delta\chi_2^{\text{diss}} = 0$$

3PM:

$$\delta\chi_3^{\text{cons}} = -\frac{4(3-v^2)}{3v^2\sqrt{1-v^2}} \left(\frac{m_2}{b} \right)^3$$

$$\delta\chi_3^{\text{diss}} = \frac{2(v^2+1)^2}{3v^3\sqrt{1-v^2}} \left(\frac{m_2}{b} \right)^3$$

LO

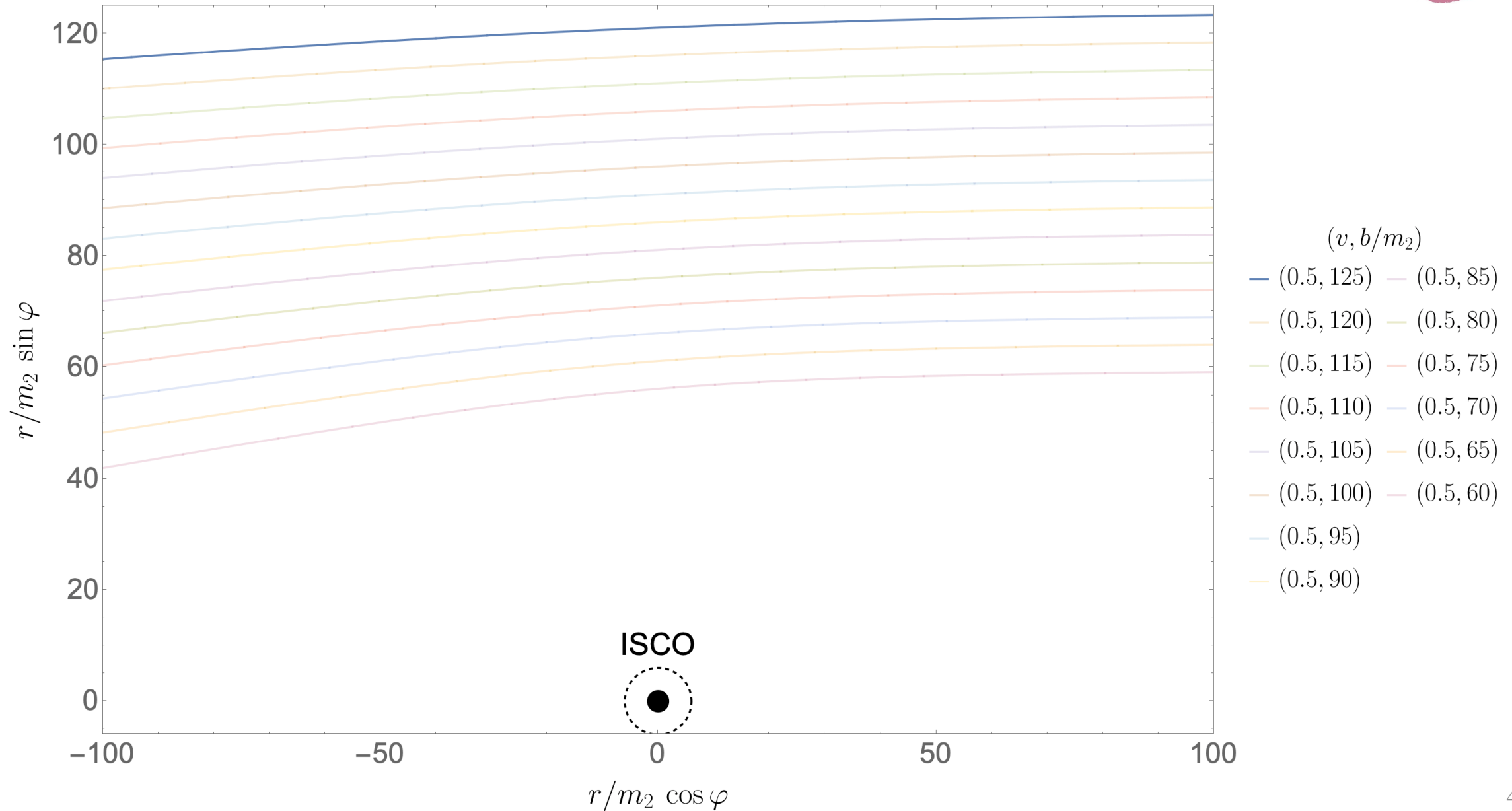
NLO

4PM dissipative:

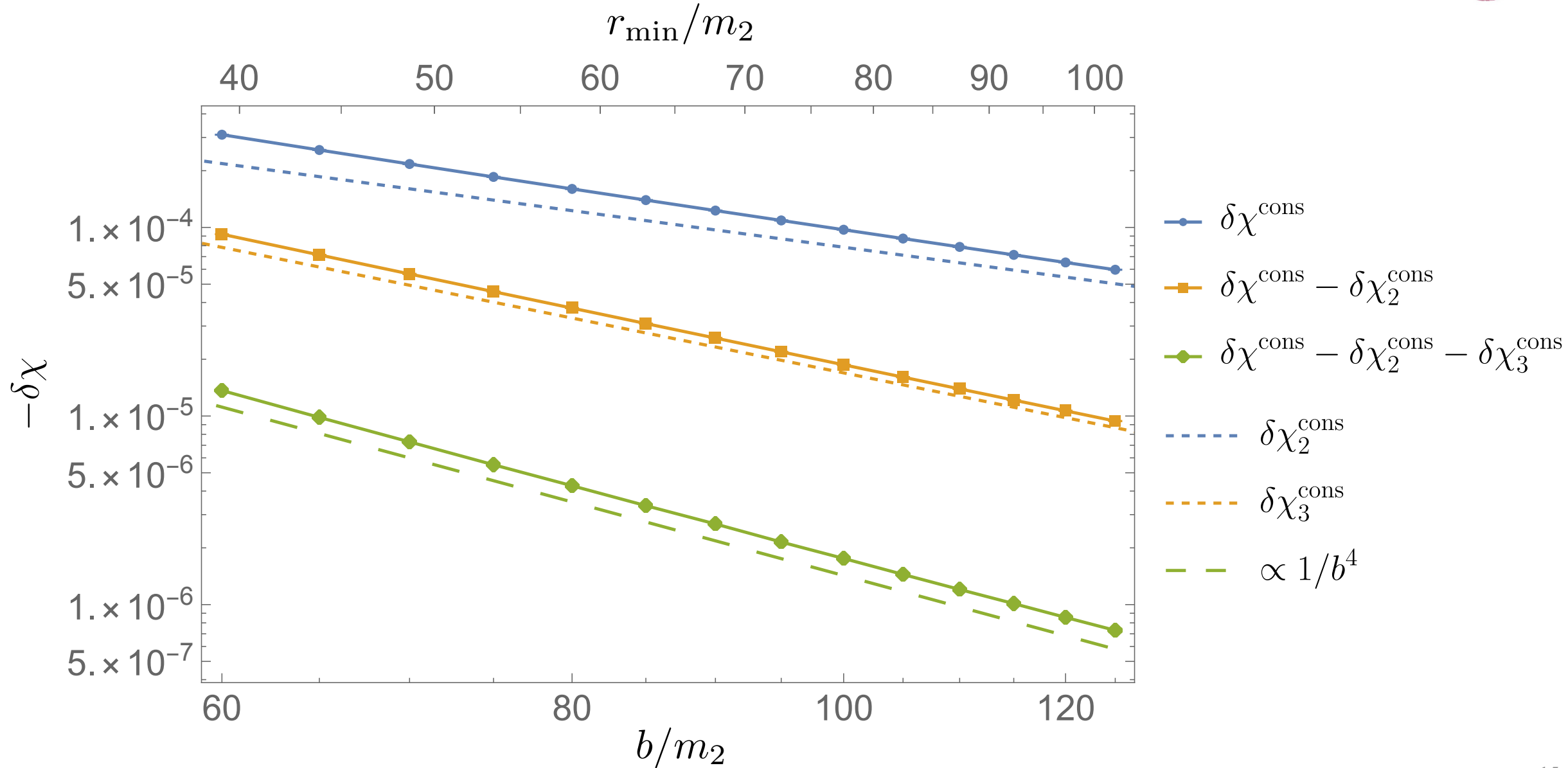
$$\delta\chi_4^{\text{diss}} = \left(r_1 + r_2 \operatorname{arcsech} \left(\sqrt{1-v^2} \right) + r_3 \log \left[\frac{1}{2} \left(\frac{1}{\sqrt{1-v^2}} + 1 \right) \right] \right) \left(\frac{m_2}{b} \right)^4$$

$r_i =$ rational coefficients

Weak-field orbits



Conservative: $v = 0.5$ [Barack et al. '23]



Extraction of high-order conservative PM results [Barack et al. '23]



PM expansion with free parameters:

$$\delta\chi^{\text{cons}} = \frac{a_2}{b^2} + \frac{a_3}{b^3} + \frac{a_4}{b^4} + \frac{a_5}{b^5} + \dots$$

Up to **3PM** can fit value or use **analytic value**.

a_2	a_3	a_4	a_5
-1.0886	—	—	—
-0.7535	-21.77	—	—
-0.7899	-16.17	-206.5	—
-0.7803	-18.49	-25.0	-4620
-0.785398	-19.18	—	—
-0.785398	-16.93	-176.2	—
-0.785398	-17.20	-131.1	-1793
-0.785398	-16.9356	-175.9	—
-0.785398	-16.9356	-174.4	-107
< 1%	~ 1%	~ -175	< 0(?)

Scattering angle: 4PM conservative [Barack et al. '23]



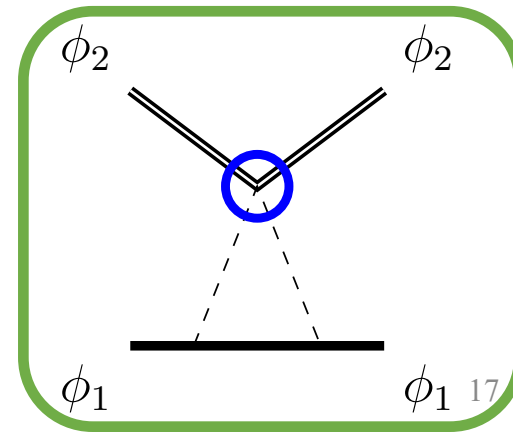
$$\begin{aligned}
 \delta\chi_4^{\text{cons}} = & \left(r_1 + r_2 \operatorname{arccosh} \left(\frac{1}{\sqrt{1-v^2}} \right) + r_3 \operatorname{arccosh} \left(\frac{1}{\sqrt{1-v^2}} \right)^2 + r_4 \operatorname{E} \left(-\frac{v^2 + 2\sqrt{1-v^2} - 2}{v^2} \right)^2 \right. \\
 & \left. + r_5 \operatorname{K} \left(-\frac{v^2 + 2\sqrt{1-v^2} - 2}{v^2} \right) \operatorname{E} \left(-\frac{v^2 + 2\sqrt{1-v^2} - 2}{v^2} \right) + r_6 \operatorname{K} \left(-\frac{v^2 + 2\sqrt{1-v^2} - 2}{v^2} \right)^2 \right. \\
 & + r_7 \log \left(\frac{v}{2\sqrt{1-v^2}} \right) + r_8 \log \left(\frac{v}{2\sqrt{1-v^2}} \right) \operatorname{arccosh} \left(\frac{1}{\sqrt{1-v^2}} \right) \\
 & + r_9 \log \left(\frac{v}{2\sqrt{1-v^2}} \right) \log \left(\frac{1}{2} \left(\frac{1}{\sqrt{1-v^2}} + 1 \right) \right) + r_{10} \log \left(\frac{1}{2} \left(\frac{1}{\sqrt{1-v^2}} + 1 \right) \right) \\
 & \left. + r_{11} \log^2 \left(\frac{1}{2} \left(\frac{1}{\sqrt{1-v^2}} + 1 \right) \right) + r_{12} \alpha + r_{13} \frac{\beta}{v^2} + r_4 \log(b) \right) \left(\frac{m_2}{b} \right)^4
 \end{aligned}$$

Elliptic integrals

Free coefficients

Log term

$r_i =$ rational coefficients



Extraction of high-order conservative PM results [Barack et al. '23]



Subtract known *analytic* parts of conservative 4PM:

$$\Delta_4(v) := (\delta\chi_4^{\text{cons}} - \delta\chi_4^{\text{known}})b^4 = \frac{3}{8}\pi m_2^4 [c_2 + c_1(5 - 4/v^2)] + \mathcal{O}(1/b)$$

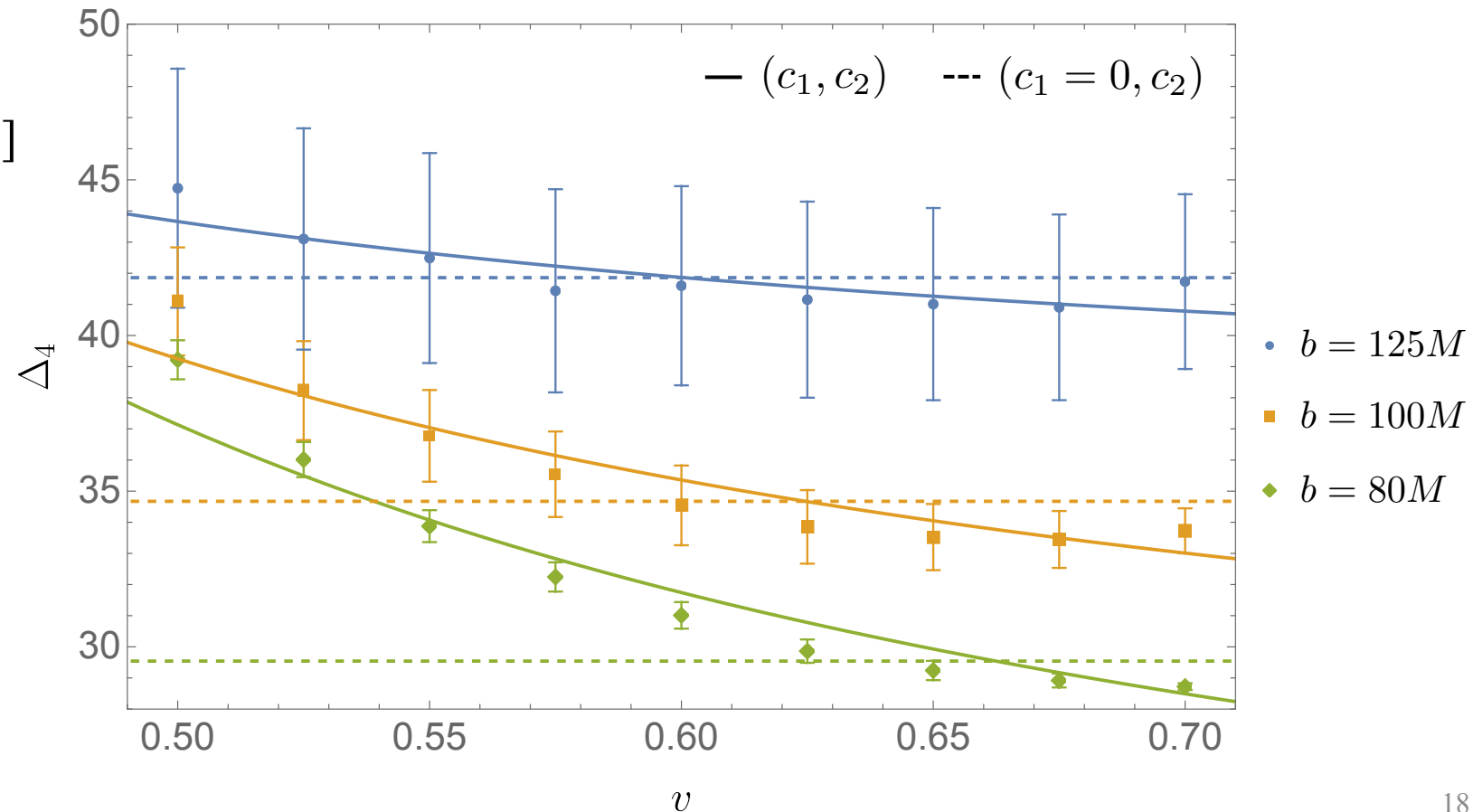
c_1 & c_2 are *Wilson coefficients*.

Expect $c_1 = 0$ [Ivanov & Zhou '22]

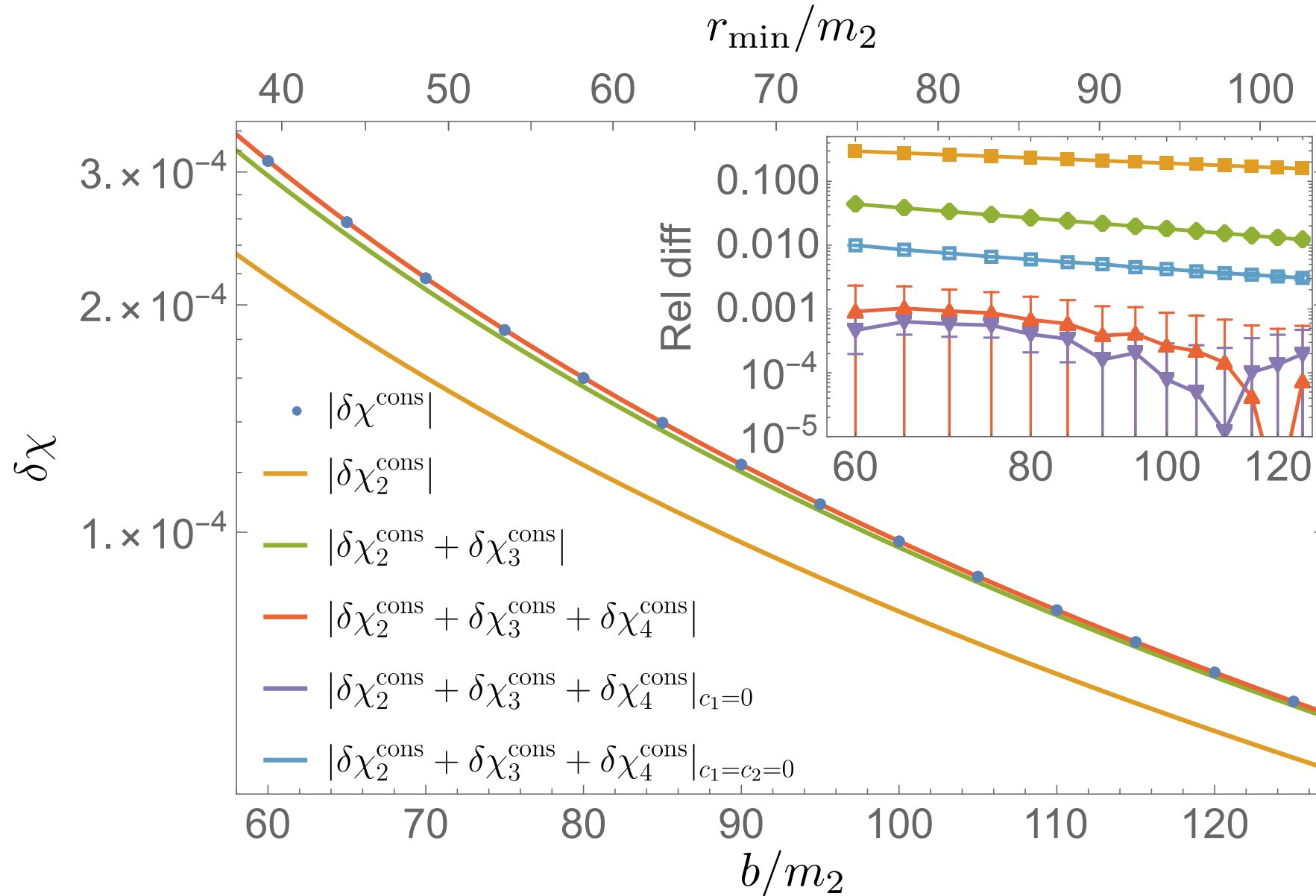
b/M	c_1	c_2
80	0.94	-21.2
100	0.68	-25.9
125	0.31(±0.38)	-33.6
80	0	-25.1
100	0	-29.4
125	0	-35.5

Fixed

(Fitting error)



PM comparison: Conservative $v = 0.5$ [Barack et al. '23]



PM comparison: Conservative $v = 0.5$



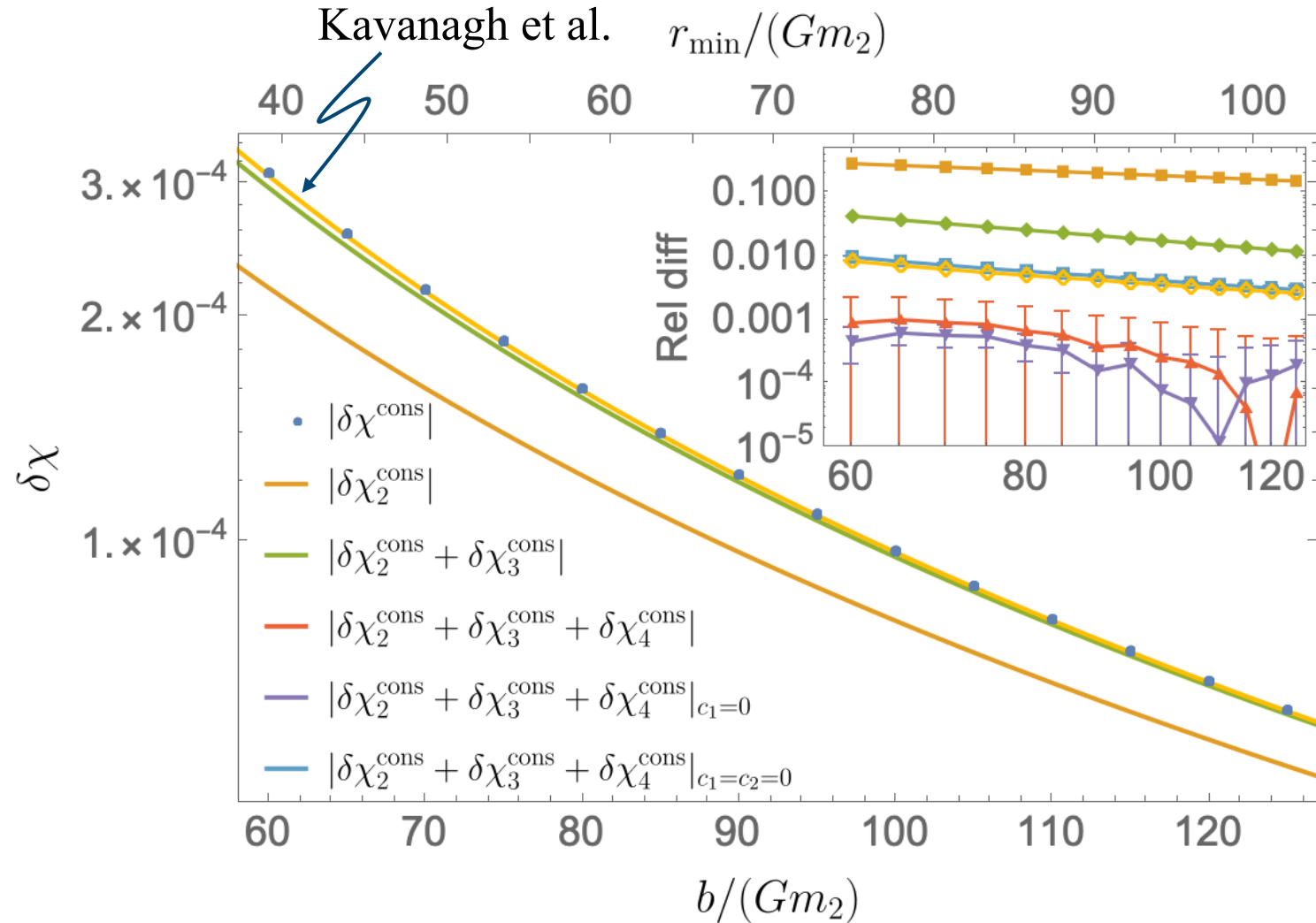
Kavanagh, Usseglio, Pound, Bini,
Geralico:

$$c_1 = \frac{1}{6}$$

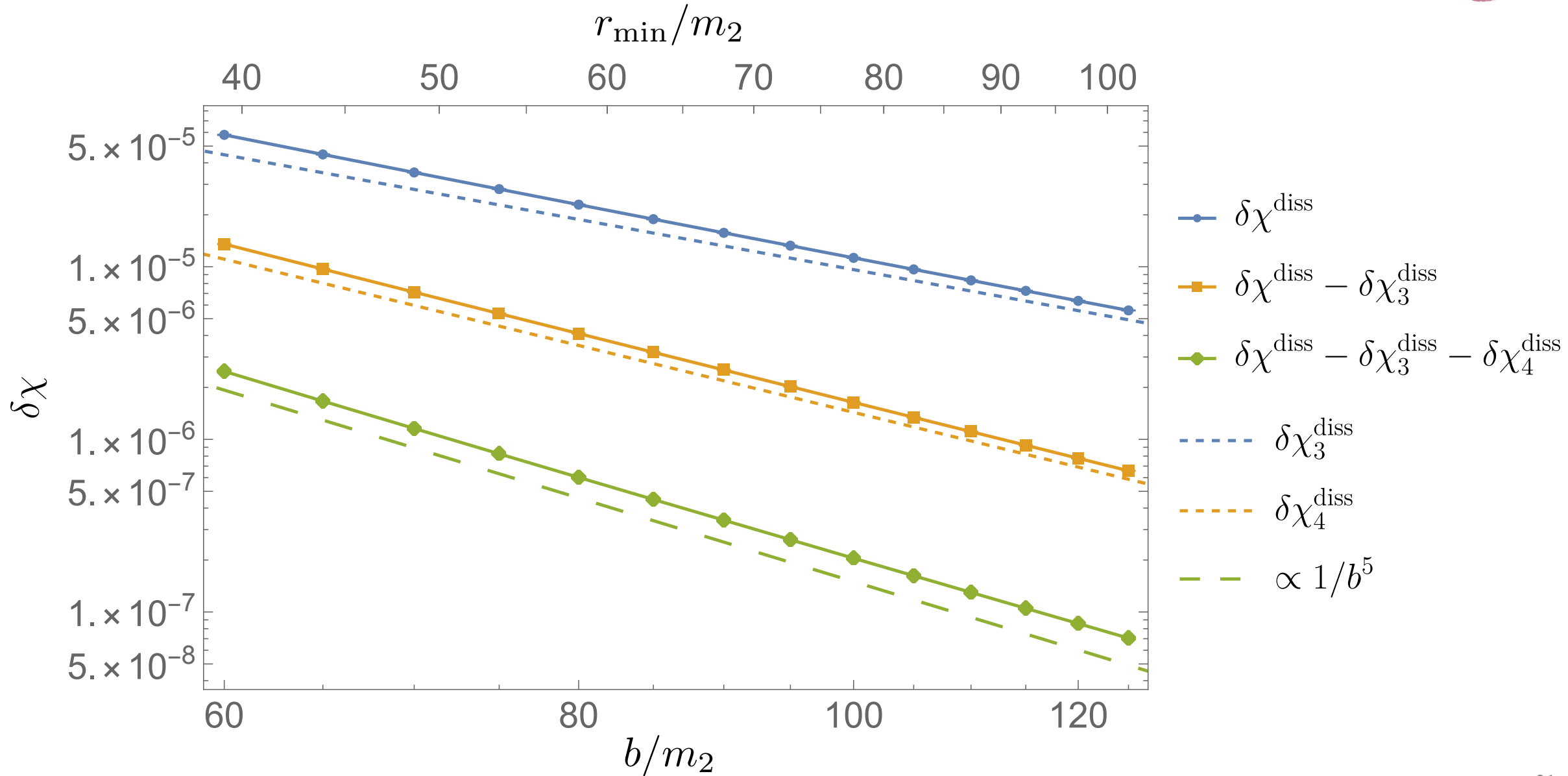
$$c_2 = -2.76$$

Barack et al '23 (with fitting errors):

b/m_2	c_1	c_2
80	0.94 ± 0.04	-21.2 ± 0.2
100	0.68 ± 0.14	-25.9 ± 0.8
125	0.31 ± 0.38	-33.6 ± 2.5
80	0	-25.1 ± 0.1
100	0	-29.4 ± 0.3
125	0	-35.5 ± 0.9



Dissipative: $v = 0.5$ [Barack et al. '23]



Extraction of high-order dissipative PM results [Barack et al. '23]



PM expansion with free parameters:

$$\delta\chi^{\text{diss}} = \frac{\alpha_3}{b^3} + \frac{\alpha_4}{b^4} + \frac{\alpha_5}{b^5} + \frac{\alpha_6}{b^6} + \dots$$

Up to **4PM** can fit value or use **analytic value**.

α_3	α_4	α_5	α_6
11.19	—	—	—
9.44	188	—	—
9.64	142	1900	—
9.61	154	920	26615
9.6225	169	—	—
9.6225	147	1720	—
9.6225	149	1321	15859
9.6225	143.344	1965	—
9.6225	143.344	2248	−20216
< 1%	~ 1%	~ 2000(?)	???

Gravitational self-force



Formulation:

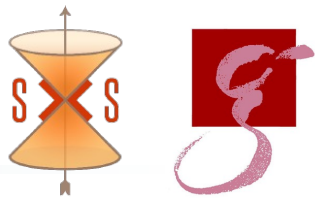
- Have several methods to calculate GSF (Teukolsky equation, Lorenz gauge).
- Correction to the scatter angle formula valid for GSF in **large BH rest frame**.
- Need additional corrections to move to **centre-of-mass** (CoM) frame.

Calculation:

- Current methods not applicable to hyperbolic GSF calculation:
 - Most bound GSF codes cannot be easily extended to the unbound case.
 - Hyperbolic time-domain code has growing modes for **spin ± 2** Teukolsky equation.
 - Hyperbolic frequency-domain code currently only formulated for the scalar case.
- Hyperbolic time-domain code with **hyperboloidal slicing** [with R. Panosso Macedo]:
 - Currently only scalar case.
 - Relatively easy extension to **spin ± 2** Teukolsky.

Scattering in Numerical Relativity

The basics of Numerical Relativity



Numerical solving of Einstein's equations:

$$G^{\mu\nu} = \frac{8\pi G}{c^4} T^{\mu\nu}$$

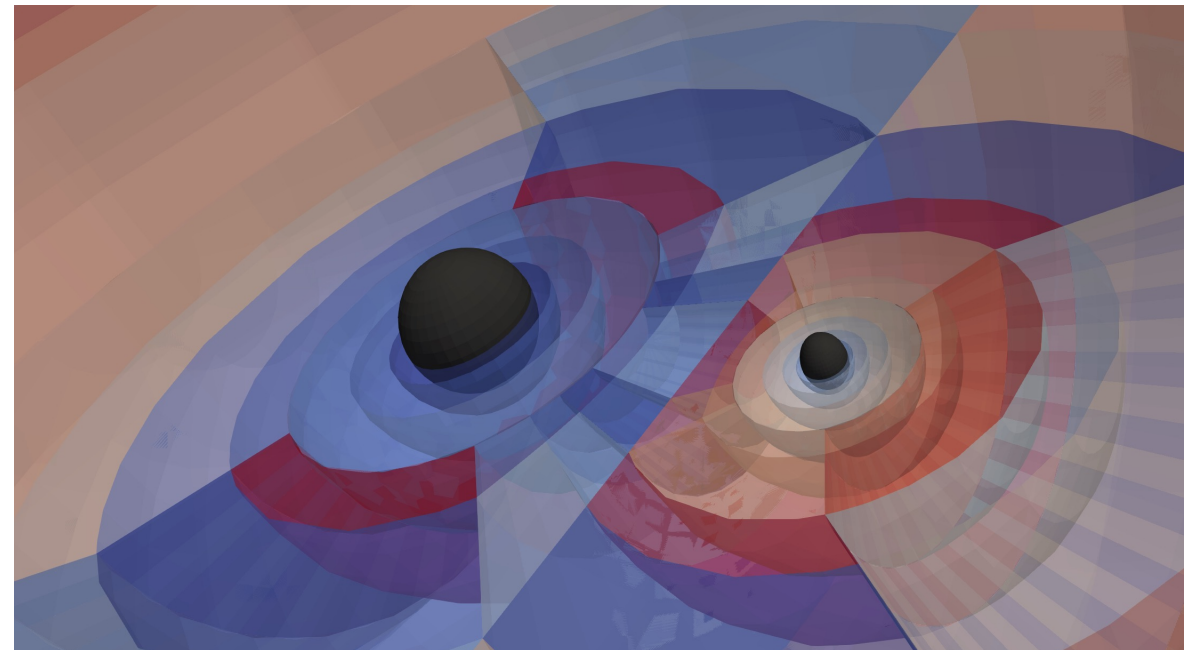
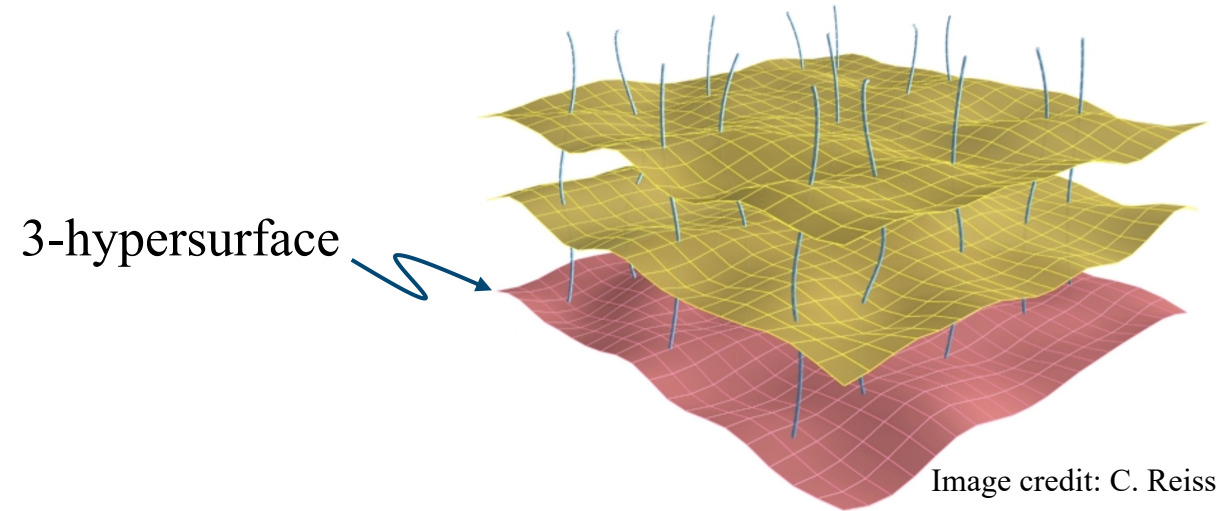
Spacetime split into 3-hypersurfaces.

Two types of equations:

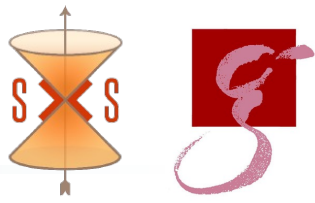
- 4 elliptic constraint equations.
- 12 first-order hyperbolic evolution equations.
- Plus 4 coordinate gauge degrees of freedom.

Scattering with SpEC uses $\sim 10^4$ core hours:

- ~ 1 -2 weeks on a cluster.



The outputs of Numerical Relativity



Spacetime:

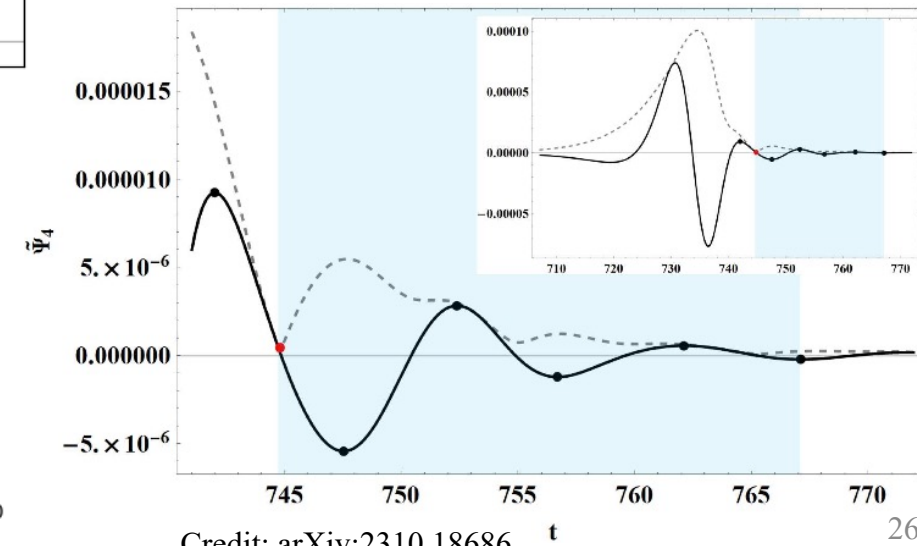
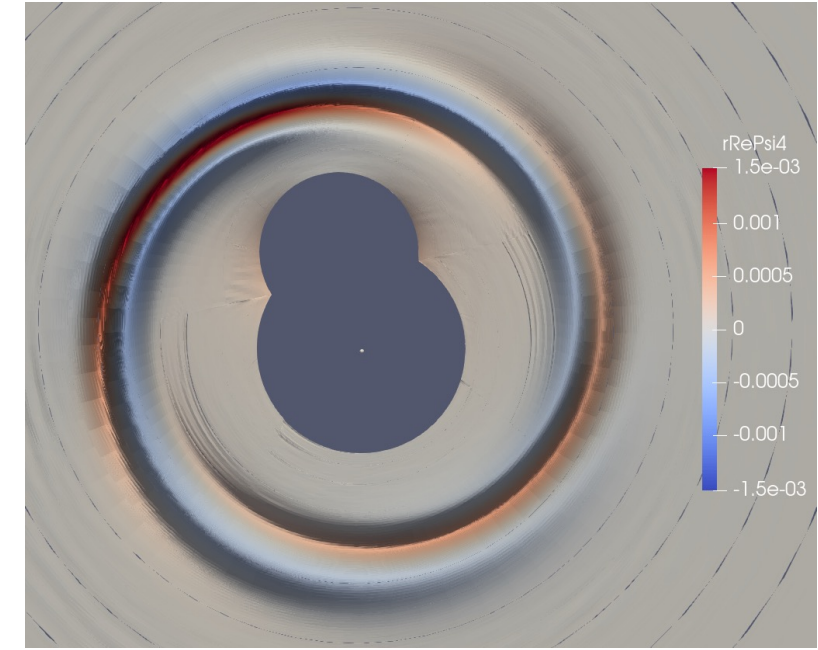
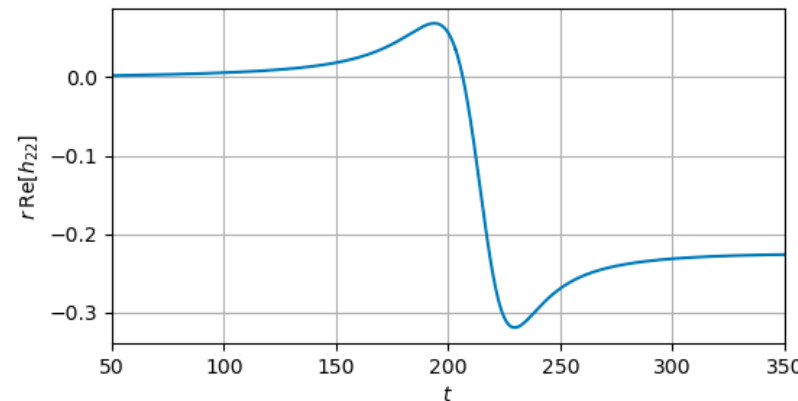
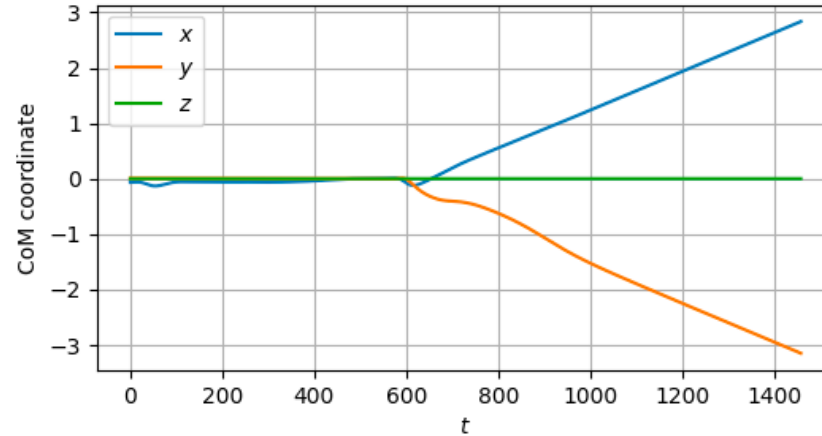
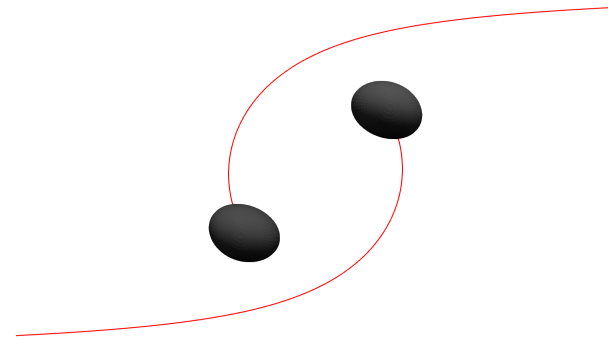
- Curvature scalars.
- Black hole horizons.
- Induced spin.

Dynamics:

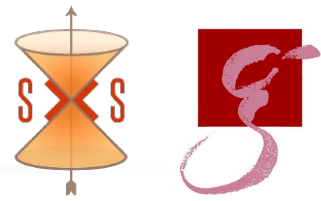
- Scattering angle.
- Centre of mass (CoM) recoil velocity.

Asymptotics:

- Waveforms.
- Radiated energy and angular momentum.



Current status of hyperbolic NR



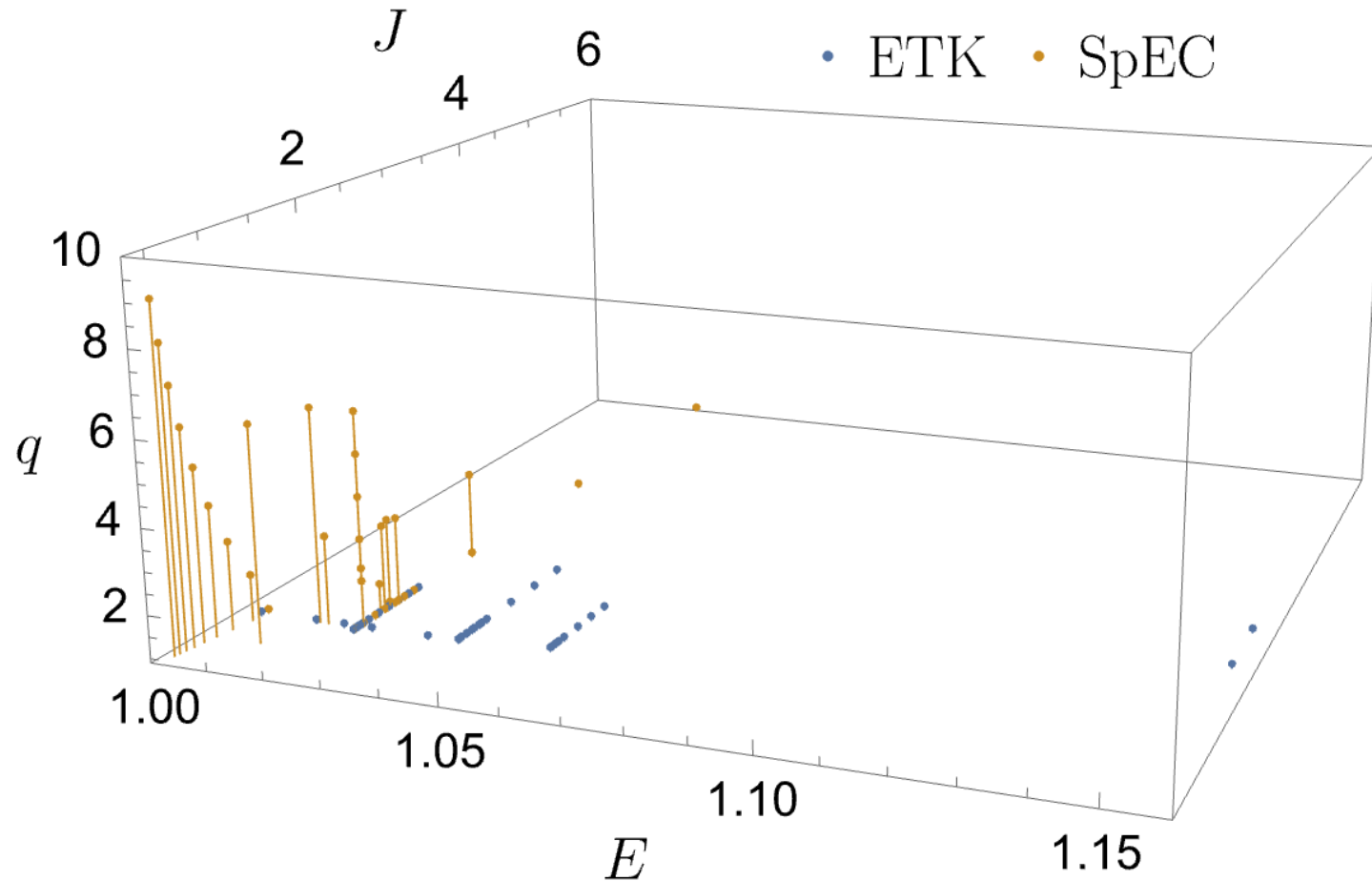
Two known codebases that can produce NR scattering simulations:

- Einstein toolkit (ETK) [Damour et al '14, Hopper et al '22, Rettegno et al '23, Yeong-Boket et al '23]:
 - Equal mass, non-spinning – 48
 - Equal mass, **aligned spin** – 34
- Spectral Einstein Code (SpEC):
 - Equal mass, non-spinning – 10
 - Equal mass, **aligned spin** – 2
 - **Unequal mass**, non-spinning – 27

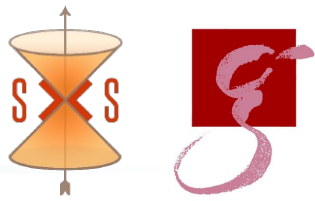
E : Energy

J : Angular momentum

$$q := \frac{m_1}{m_2} > 1$$



Effective One Body models for scattering



- EOB Hamiltonian and radiation-reaction (RR) forces built by re-summing PN results: (e.g. SEOBNRv5, TEOBResumS)

$$\dot{r} = \frac{\partial H_{\text{EOB}}}{\partial p_r} \quad \dot{\phi} = \frac{\partial H_{\text{EOB}}}{\partial p_\phi} \quad \dot{p}_r = -\frac{\partial H_{\text{EOB}}}{\partial r} + \mathcal{F}_r \quad \dot{p}_\phi = \frac{\partial H_{\text{EOB}}}{\partial \phi} + \mathcal{F}_\phi$$

H : Hamiltonian
 p_i : Momentum

Dissipative terms

- Expanded or resummed PM potential from mass-shell constraint:

$$p_r^2 = p_\infty^2(E) - \frac{J^2}{r^2} + w(E, J, r) \quad \theta = -\pi - 2 \int_{r_{\min}}^{\infty} dr \frac{\partial}{\partial J} p_r$$

Fix coefficients of PM expanded w by matching to PM expanded scattering angle.

The potential w contains both conservative and dissipative information from the scattering angle

w PM

Uses PM-expanded w .

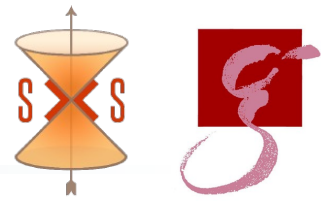
[Damour & Retegno '23, Retegno et al '24]

SEOB-PM

Uses PM-resummed w from SEOB-PM Hamiltonian, that reduces to the test-body limit.

[Buonanno, Jakobson & Mogull '24]

Extracting the scattering angle from NR



Only have trajectory for finite values for radius.

Can expand azimuthal angle as a function of radius:

$$\varphi = \varphi^{(0)} + \frac{\varphi^{(1)}}{r} + \frac{\varphi^{(2)}}{r^2} + \dots$$

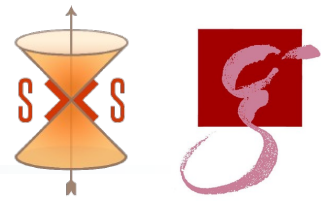
Asymptotic value \swarrow

Fit polynomial to NR data on **ingoing** and **outgoing** legs of orbit to extrapolate to infinity:

$$\theta = \varphi_{\text{out}}^{(0)} - \varphi_{\text{in}}^{(0)} - \pi$$

Vary the **range** and **order** of the fit gives the **dominant error** on the scattering angle.

Current status of hyperbolic NR



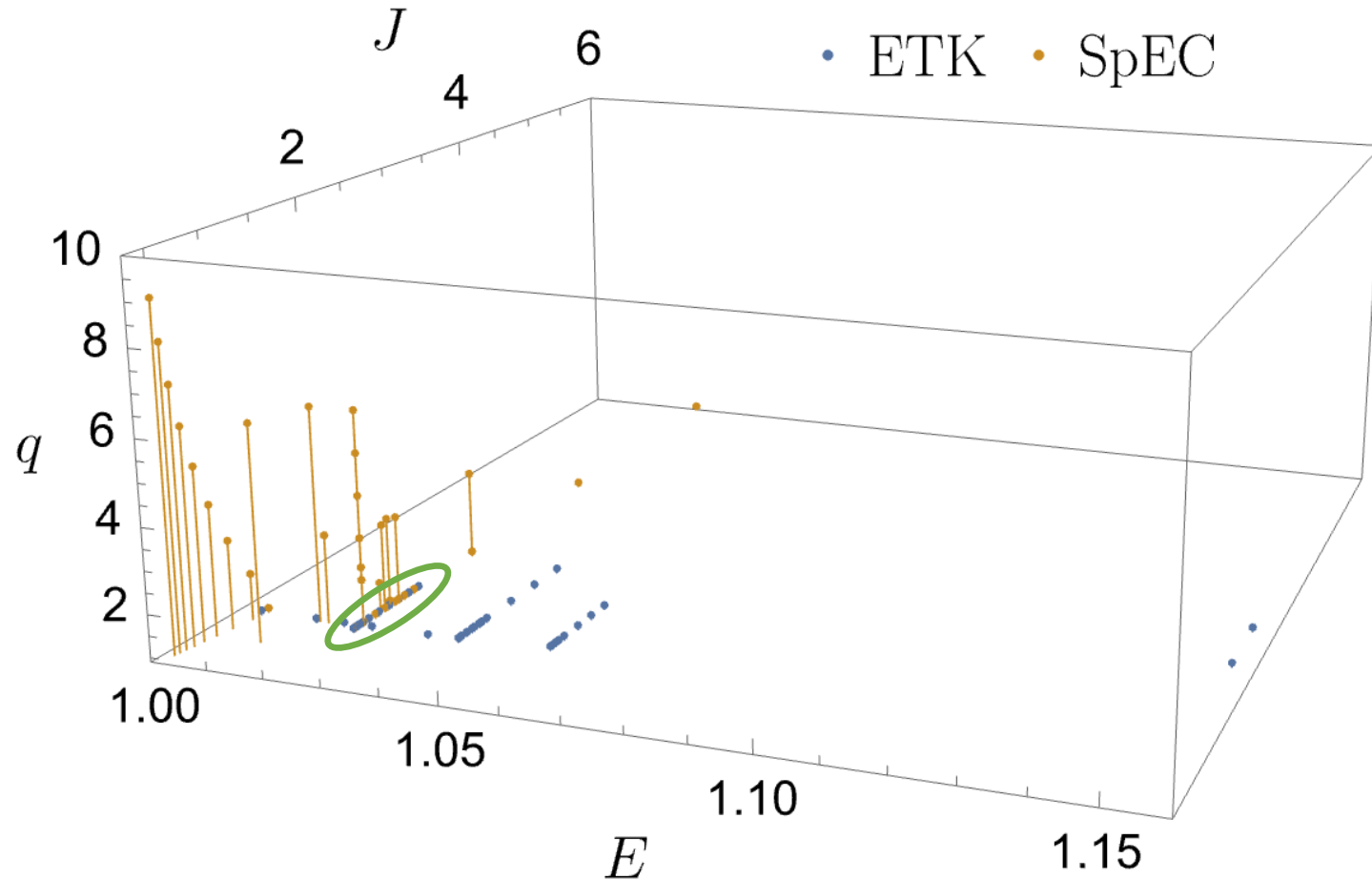
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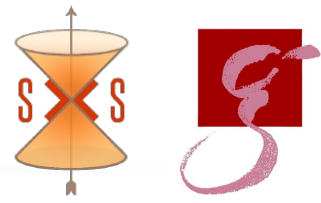
E : Energy

J : Angular momentum

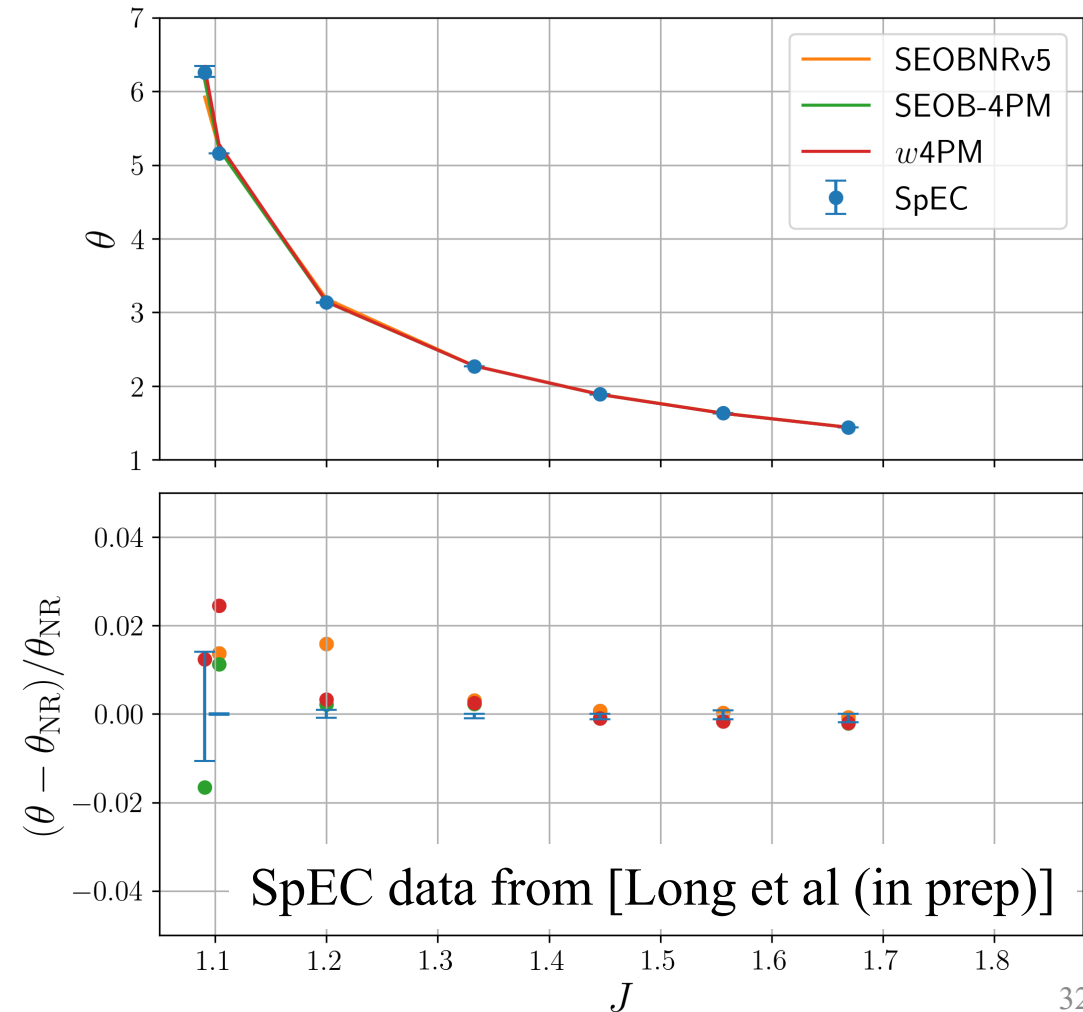
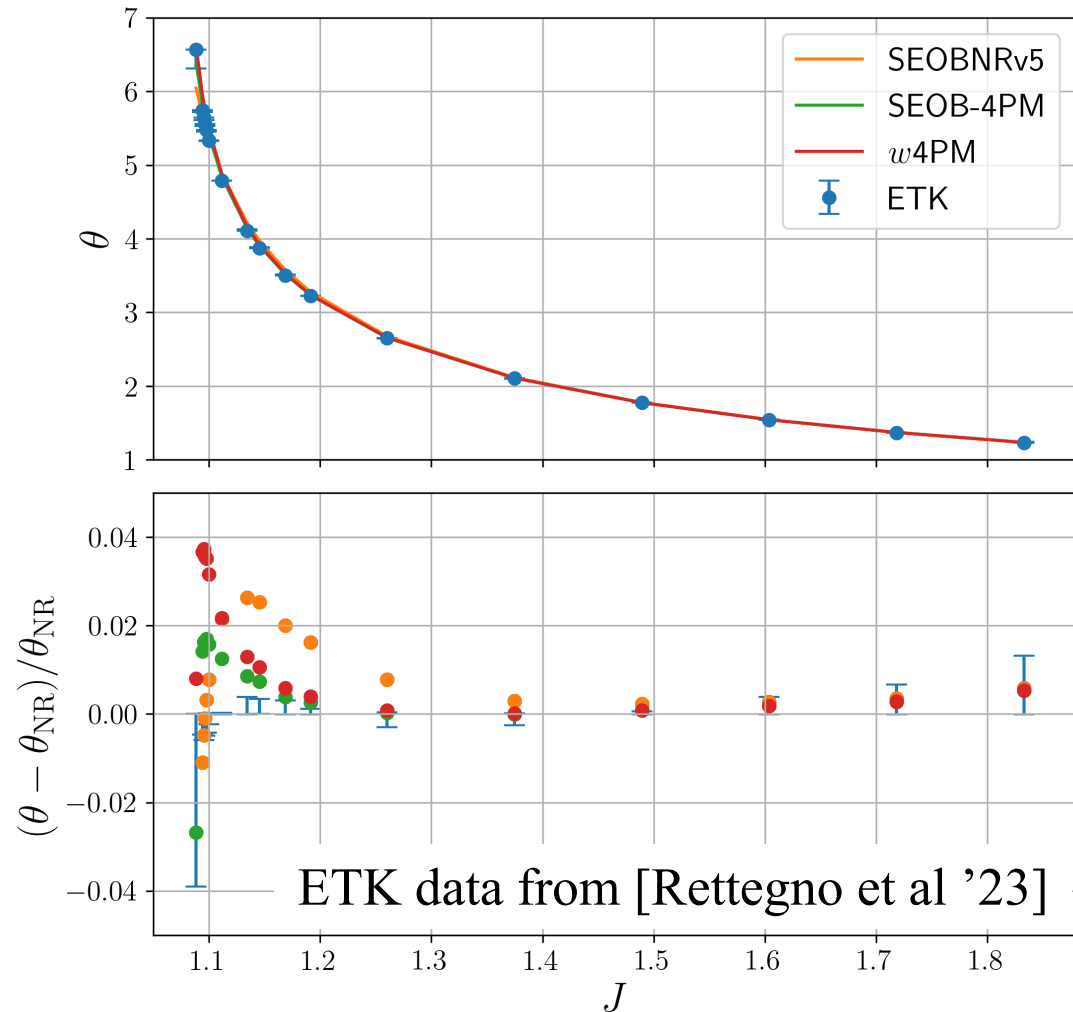
$$q := \frac{m_1}{m_2} > 1$$



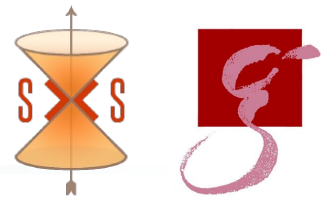
Equal mass comparison: $(E, q) = (1.02264, 1)$



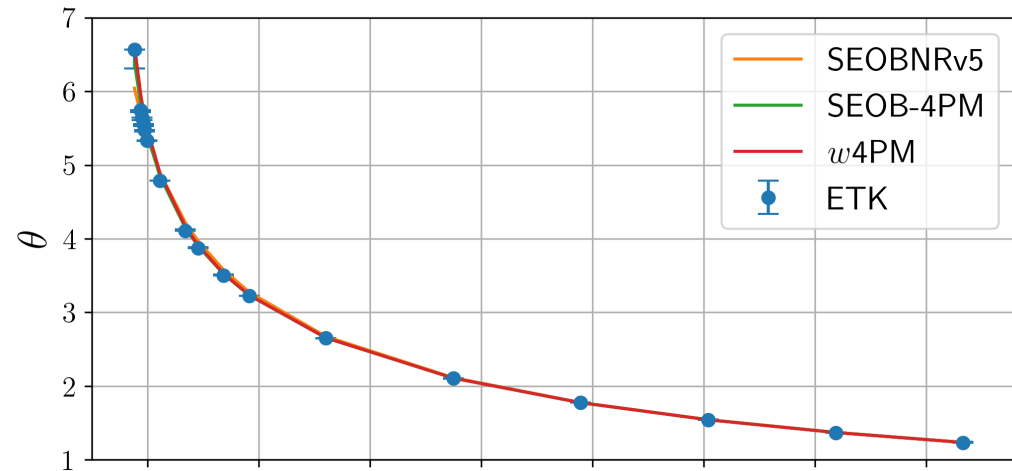
Comparison with SEOB-4PM [Buonanno et al '23], $w4PM$ [Damour & Rettegno '23], and SEOBNRv5 (with quasi-circular RR forces) [Ramos-Buades et al '23]:



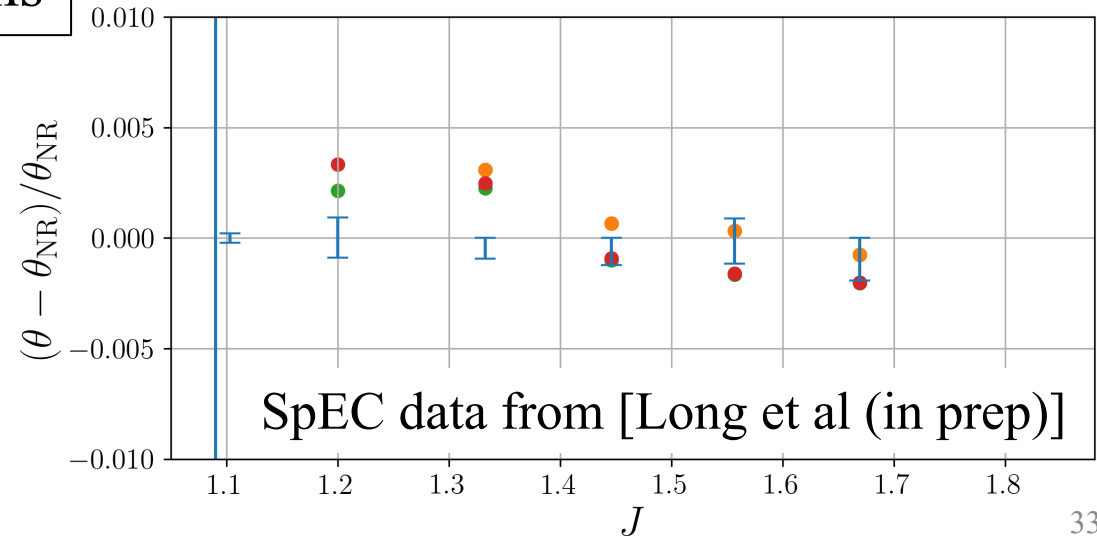
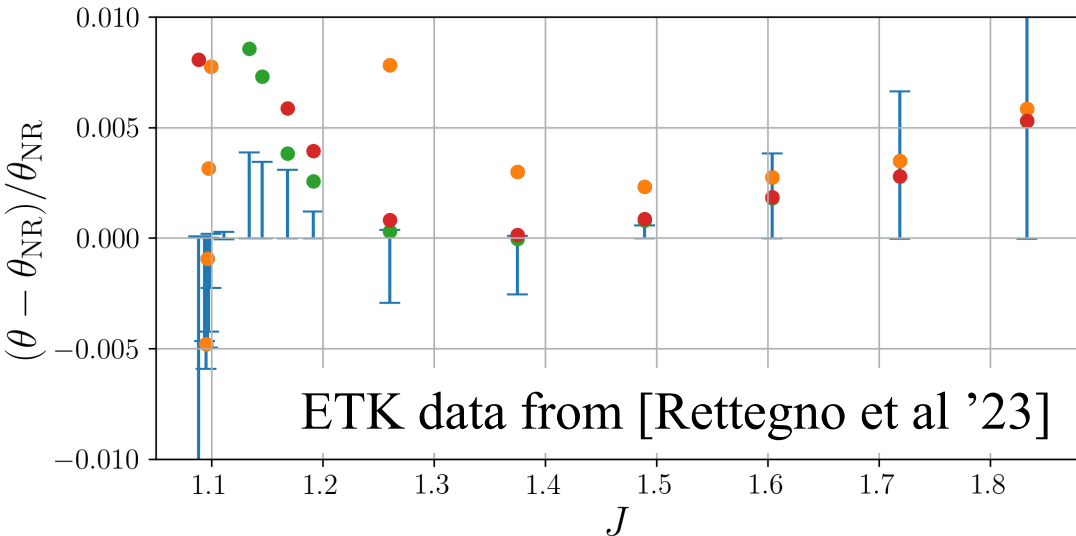
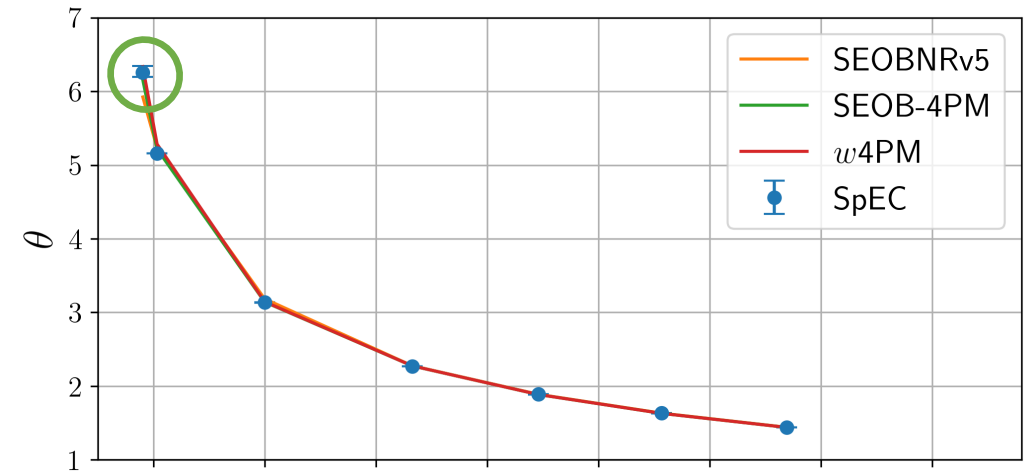
Equal mass comparison: $(E, q) = (1.02264, 1)$



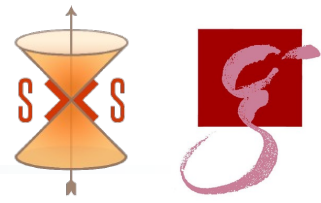
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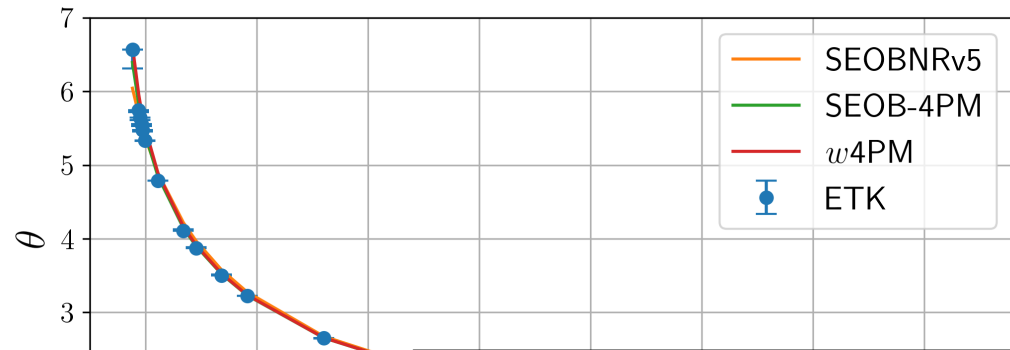
Smaller errors in SpEC due to larger initial separations



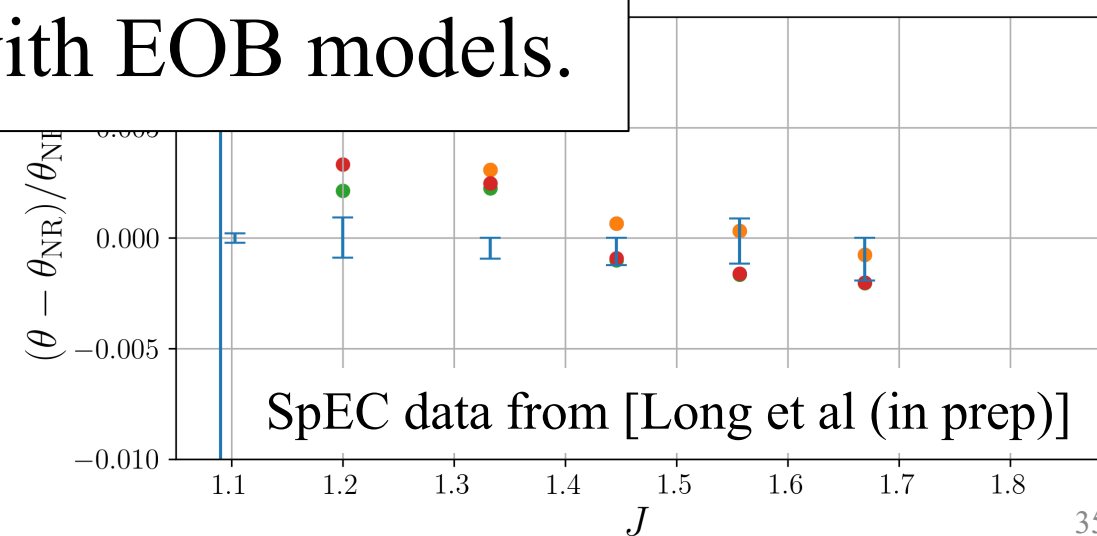
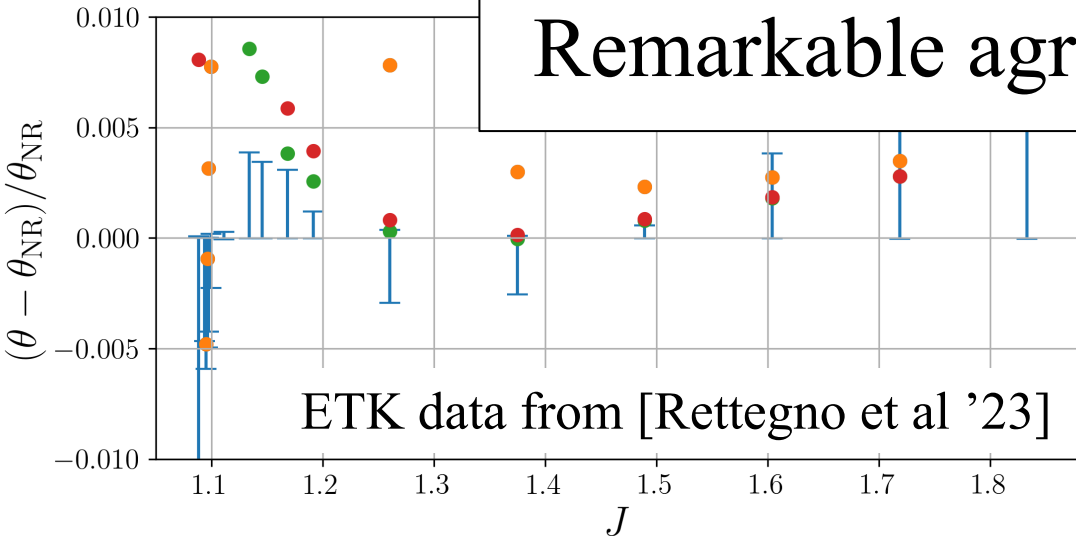
Equal mass comparison: $(E, q) = (1.02264, 1)$



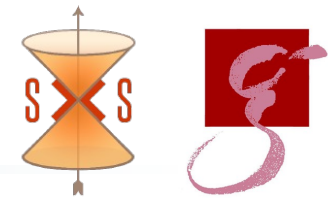
Comparison with SEOB-4PM [Buonanno et al '23], $w4PM$ [Damour & Rettegno '23], and SEOBNRv5 (with quasi-circular RR forces) [Ramos-Buades et al '23]:



NR codes are in agreement with each other.
Remarkable agreement with EOB models.



Challenges for unequal masses



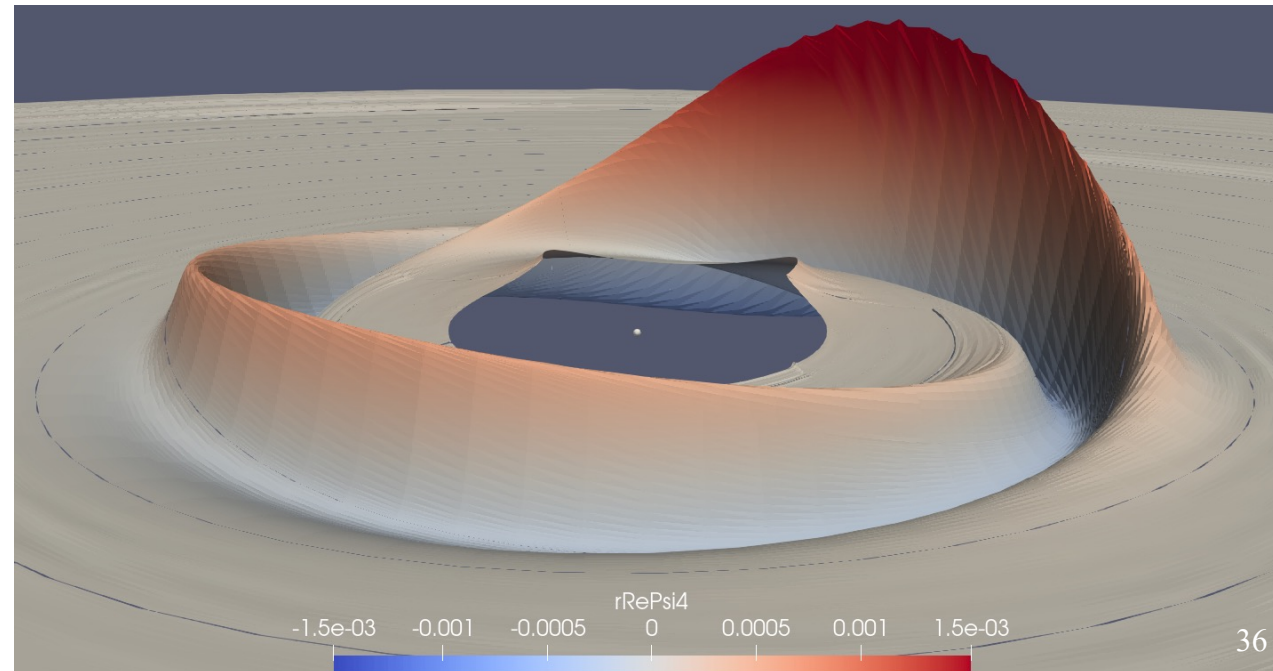
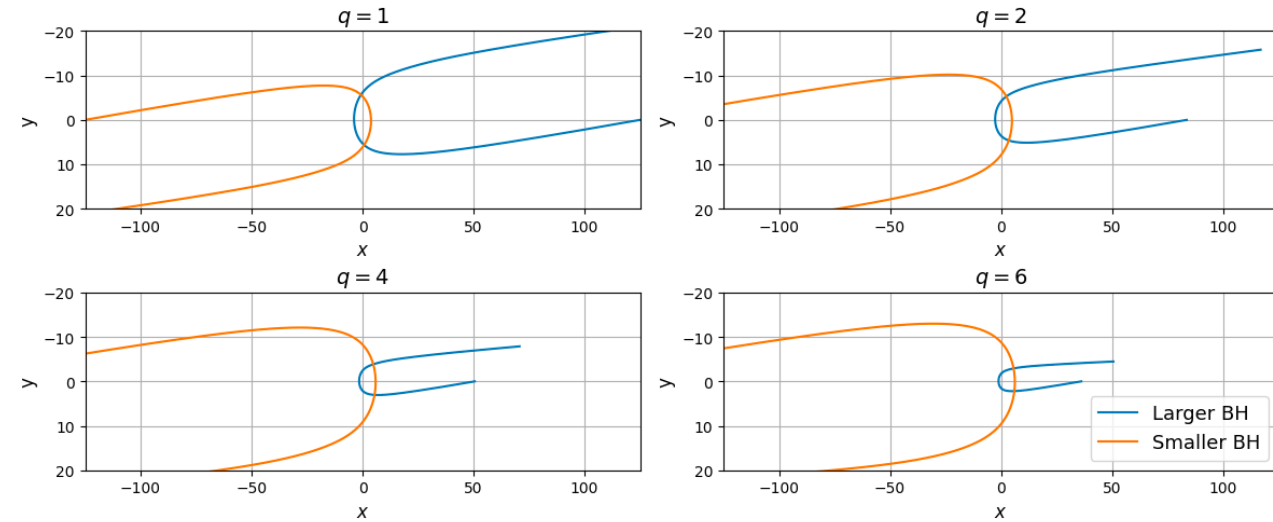
Simulations are in **initial CoM** frame.

Length of orbits:

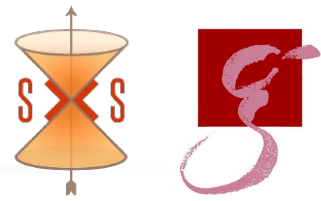
- Get a **shorter trajectory** for increasing mass ratio.
- Extracting angle of **larger BH** unfeasible.
- Have more data to extract angle of smaller BH .

Centre of mass recoil:

- **Asymmetric** emission causes a CoM ‘kick’.
- CoM recoil not in current PM/EOB models.



Current status of hyperbolic NR



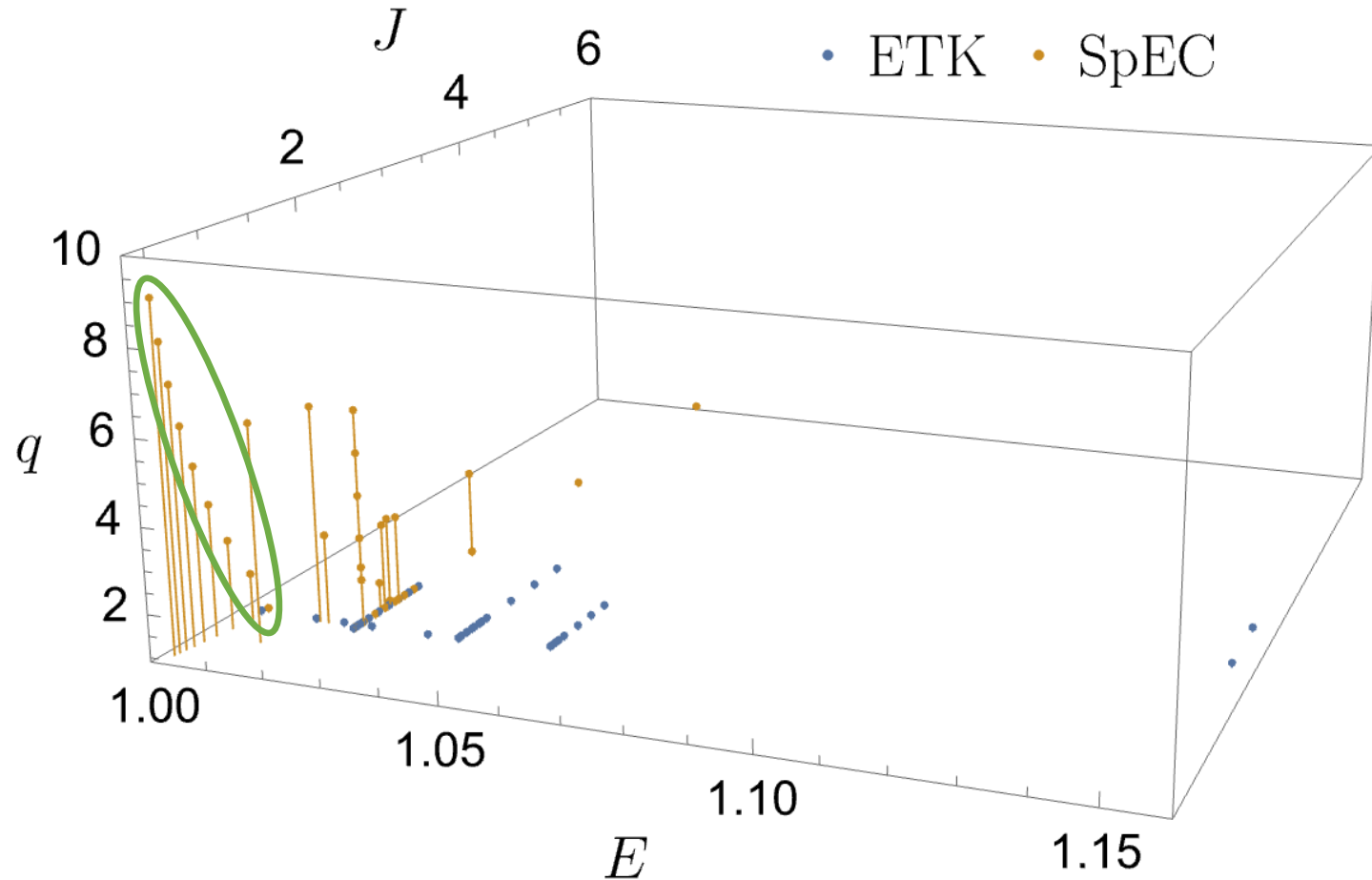
Two known codebases that can produce NR scattering simulations:

- Einstein toolkit (ETK) [Damour et al '14, Hopper et al '22, Rettegno et al '23, Yeong-Boket et al '23]:
 - Equal mass, non-spinning – 48
 - Equal mass, **aligned spin** – 34
- Spectral Einstein Code (SpEC):
 - Equal mass, non-spinning – 10
 - Equal mass, **aligned spin** – 2
 - **Unequal mass**, non-spinning – 27

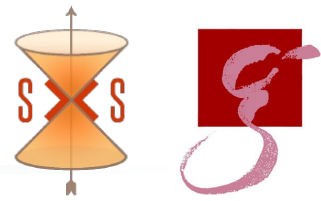
E : Energy

J : Angular momentum

$$q := \frac{m_1}{m_2} > 1$$



Unequal mass comparison: $(\gamma, j) = (1.02, 4.8)$



Rescaled energy and angular momentum:

$$j := \frac{J}{\mu M}$$

$$\Gamma := \frac{E}{M} = \sqrt{1 + 2\nu(\gamma - 1)}$$

$$\gamma := \frac{1}{\sqrt{1 - v^2}}$$

$$M := m_A + m_B$$

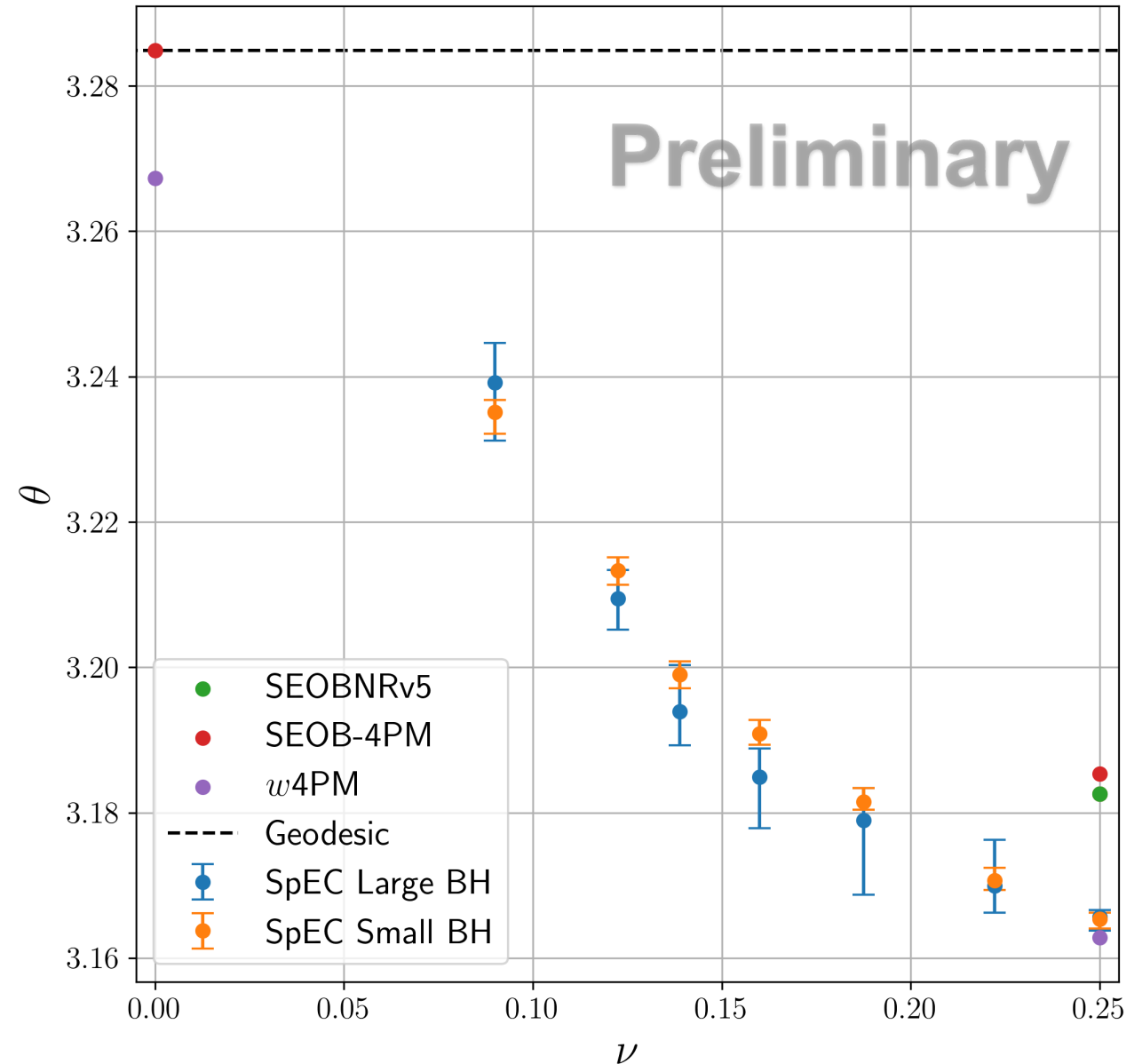
$$\mu := \frac{m_A m_B}{M}$$

$$\nu := \frac{\mu}{M}$$

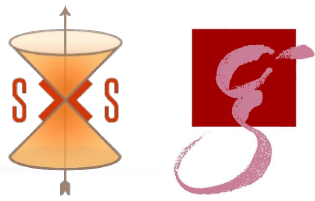
Slices of constant (γ, j) correspond to the **geodesic limit** as $\nu \rightarrow 0$.

To compare to PM/EOB:

- Ensure the same **angle definition**.
- Account for **CoM recoil**.



Future work in NR



Comparison between NR and PM/EOB scattering angles for **unequal masses**.

Expand the NR parameter space:

- More **unequal masses**.
- More extreme **energies/angular momenta**.
- More extreme spins.
- Generic/**precessing spins**.

Waveform comparisons.

CoM recoil calculations.

Comparison to/extraction of the **GSF** correction to the scattering angle.

What is useful to the scattering amplitude community?

Summary



Scalar field toy model:

- **Energy absorption** appears at lower PM order in scalar toy model.
- Numerical **self-force** results **agree** to high order with **PM** results in the weak field.
- **Higher numerical precision** is needed to reliably extract higher-order PM.

Scattering in Numerical Relativity:

- Have ~ 100 NR scattering simulations.
- Equal mass, non-spinning results from different codes **agree** with each other.
- Equal mass, non-spinning **Effective One Body** **agrees with NR** to within a few percent.
- Starting to expand parameter space by adding **spin** and using **unequal masses**.