

Actions and S-matrices

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- Based on:
- 2307.12368 (Seolhwa Kim, PK, Ruben Monten, Richard Myers)
 - WIP with Richard Myers

- Related:
- 2311.03443 (Jain, Kundu, Minwalla, Parrikar, Prabhu, Shrivastava)
 - Talk by Minwalla

Outline

- We revisit and refine an old approach to the S-matrix based on computing the path integral subject to asymptotic boundary conditions
- Definition in terms of *asymptotic* data makes this approach well suited to studying implications of asymptotic symmetries
- Discuss relation to “Carrollian” picture of the S-matrix, and utility in understanding the Minkowski S-matrix from the flat space limit of AdS

Motivation from AdS/CFT

○ AdS/CFT analogy: two ways to compute boundary correlators

GKP/W: $\phi_{\text{bulk}}(r, \vec{x}) \sim r^{\Delta-d} \bar{\phi}(\vec{x}) + \dots$ (non-normalizable mode)

$$Z[\bar{\phi}] = \int_{\phi \sim r^{\Delta-d} \bar{\phi}} \mathcal{D}\phi_{\text{bulk}} e^{iI_{\text{bulk}}[\phi_{\text{bulk}}]} \longrightarrow \langle \mathcal{O}(x_1) \dots \mathcal{O}(x_n) \rangle \sim \frac{\delta}{\delta \bar{\phi}(x_1)} \dots \frac{\delta}{\delta \bar{\phi}(x_n)} Z[\bar{\phi}] \Big|_{\bar{\phi}=0}$$

BDHM: $\langle \mathcal{O}(x_1) \dots \mathcal{O}(x_n) \rangle = \lim_{r \rightarrow \infty} r_1^\Delta \dots r_n^\Delta \langle \phi(r_1, x_1) \dots \phi(r_n, x_n) \rangle_{\text{bulk}}$

Prescriptions are equivalent, possibly up to contact terms

Proof: consider bulk path integral with bulk source J and bndy condition $\bar{\phi}$

To establish equivalence one shows that $Z[\bar{\phi}, J]$ depends on $(\bar{\phi}, J)$ only through:

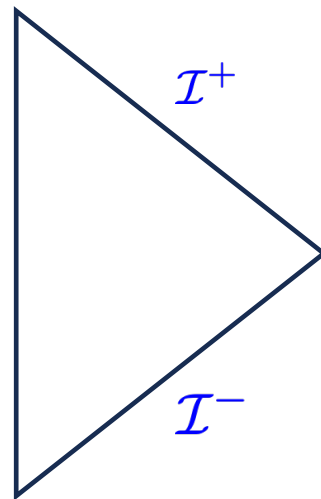
$$\bar{\phi}_J(x) = \underbrace{\bar{\phi}(x)}_{\bar{\phi}(x) = \int_{\partial \text{AdS}} d^d y' \sqrt{h} K(x; \vec{y}') \bar{\phi}(\vec{y}')} + \int d^{d+1} x' \sqrt{g} G(x; x') J(x')$$

G = bulk-bulk propagator
K = bulk-boundary propagator

Use substitution $\int GJ \leftrightarrow \int K\bar{\phi}$ to toggle between $Z[0, J] \leftrightarrow Z[\bar{\phi}; 0]$

S-matrix

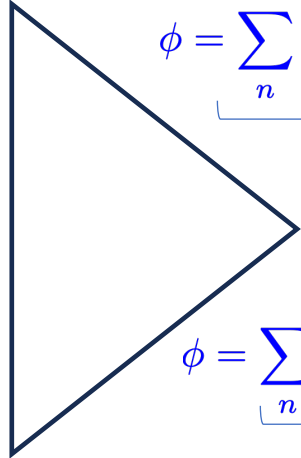
- BDHM clearly analogous to LSZ. Makes manifest that correlators are computed by Feynman/Witten diagrams
- What is the analog of GKP/W for the flat space S-matrix?
- Consider a massless scalar field on Minkowski space



- Impose asymptotic boundary conditions at null infinity and evaluate action as functional of the boundary data

S-matrix

- Boundary conditions should fix unique classical solution, and for S-matrix should involve both past and future boundaries
- Fix positive(negative) frequency field content at past(future) null infinity


$$\phi = \underbrace{\sum_n b_n^\dagger e^{i\omega_n t}}_{\bar{\phi}_- = \text{fixed}} + \text{pos. freq. part}$$
$$\phi = \underbrace{\sum_n b_n e^{-i\omega_n t}}_{\bar{\phi}_+ = \text{fixed}} + \text{neg. freq. part}$$

b_n, b_n^\dagger independent

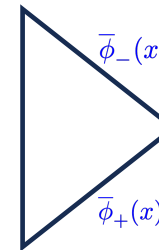
- Action: $I[\phi, \bar{\phi}] = \int d^4x \left(\frac{1}{2} \phi \nabla^2 \phi - V(\phi) \right) + I_{\text{bdy}}[\phi, \bar{\phi}]$

Boundary terms

- Boundary terms can be deduced by demanding good variational principle

$$I = \int d^4x \left(\frac{1}{2} \phi \nabla^2 \phi - V(\phi) \right) + I_{bndy}$$

Demand $\delta I = (\text{EoM})$ when $\delta \bar{\phi}_{\pm} = 0$



$$\rightarrow I_{bndy} = (\bar{\phi}_-, \phi)_{\mathcal{I}^+} - (\bar{\phi}_+, \phi)_{\mathcal{I}^-}$$

where $(\phi_1, \phi_2)_{\Sigma} = \frac{1}{2} \int d^3x \sqrt{h} n^{\mu} (\phi_1 \partial_{\mu} \phi_2 - \partial_{\mu} \phi_1 \phi_2)$

- Same basic story for more complicated theories (gauge theory, gravity)

S-matrix

- Path integral with prescribed boundary data

$$Z[\bar{\phi}_+, \bar{\phi}_-] = \int_{\bar{\phi}_+, \bar{\phi}_-} \mathcal{D}\phi e^{iI[\phi]}$$

- The claim is that this gives the S-matrix operator according to

$$\hat{S}[\hat{\phi}] =: e^{-iI_{\text{bndy}}[\hat{\phi}, \hat{\phi}]} Z[\hat{\phi}] :$$

$$\hat{\phi} = \hat{\phi}_+ + \hat{\phi}_- = \sum_n (\hat{b}_n e^{-i\omega_n t} + \hat{b}_n^\dagger e^{i\omega_n t})$$

I.e. $\langle p'_1, \dots, p'_2; \text{out} | p_1, p_2, \dots; \text{in} \rangle = \langle p'_1, p'_2, \dots | \hat{S}[\hat{\phi}] | p_1, p_2, \dots \rangle$

- Equivalently, $Z[\bar{\phi}]$ serves as generating function for S-matrix

$$\langle p'_1, \dots, p'_2; \text{out} | p_1, p_2, \dots; \text{in} \rangle = \left[\frac{\delta}{\delta b(p'_1)} \frac{\delta}{\delta b(p'_2)} \cdots \frac{\delta}{\delta b^\dagger(p_1)} \frac{\delta}{\delta b^\dagger(p_2)} \cdots Z[\bar{\phi}] \right]_{\bar{\phi}=0}$$

- Agreement with LSZ (when both are defined) can be established by same argument as for GKP/W = BDHM

Comments

- Via these arguments we rediscover an old proposal [Arefeva, Faddeev, Slavnov \(1974\)](#) (AFS) who worked with the coherent state matrix elements of the S-operator
- This formulation of the S-matrix pops up occasionally but is rarely used, because in most cases evaluation of the action involves the standard Feynman diagram expansion.
- We take the perspective that the AFS formulation is more holographic in spirit, so may provide insight into holography, asymptotic symmetries, etc.

Free theory: Particle creation in curved space

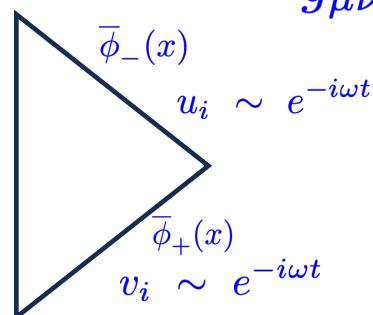
- For a free scalar field the action reduces to boundary terms:

$$I = (\bar{\phi}_-, \phi_+)_{\mathcal{I}^+} + (\bar{\phi}_+, \phi_-)_{\mathcal{I}^-}$$

- Obviously boring in Minkowski space, but considered curved metric:

$$g_{\mu\nu}(x) \longrightarrow \eta_{\mu\nu} \text{ in any direction}$$

- Mode solutions:



$$u_i = \sum_j (\alpha_{ij} v_j + \beta_{ij} v_j^*)$$

Just need to find the right combination of $\{u, u^*\}$ or $\{v, v^*\}$ that obeys boundary conditions $\{\bar{\phi}_+, \bar{\phi}_-\}$. Plugging $\bar{\phi}_- = \sum b_i^\dagger u_i^*$, $\bar{\phi}_+ = \sum b_i v_i$ into bndy action gives S-matrix:

$$\hat{S} = C : e^{i(I-I_0)} : = \frac{1}{\sqrt{|\det \alpha|}} : \exp \left\{ b^\dagger (\alpha^{-1} - I) b + \frac{1}{2} b \alpha^{-1} \beta b - \frac{1}{2} b^\dagger \beta^* \alpha^{-1} b \right\} :$$

- Yields known result obtained more laboriously from operator methods (DeWitt)

Bulk and boundary actions

○ Path integral gives “partition function” defined on $\mathcal{I} = \mathcal{I}^- \cup \mathcal{I}^+$

○ Gives partition function of hypothetical “Carrollian theory”

(Barnich, Troessaert
Bagchi, Banerjee, Basu, Dutta
Donnay, Fiorucci, Herfray, Ruzziconi
Mason, Ruzziconi, Srikant
...)

○ Scalar action: $I_\phi = \sum_{m,n=1}^{\infty} I_\phi^{(m,n)}[\bar{\phi}] \quad \phi(x) \sim \frac{1}{r} \bar{\phi}(u, \Omega)$

$$I_\phi^{(m,n)} = \left(\prod_{i=1}^m \int_{\mathcal{I}^+} d\Omega_i du_i \bar{\phi}_{i-}(u_i, \Omega_i) \frac{1}{2} \overleftrightarrow{\partial}_{u_i} \right) \left(\prod_{j=1}^n \int_{\mathcal{I}^-} d\Omega_j dv_j \bar{\phi}_{j+}(v_j, \Omega_j) \frac{1}{2} \overleftrightarrow{\partial}_{v_j} \right) \hat{G}_\phi^{(m,n)}(u_i, \Omega_i; v_j, \Omega_j)$$

$$\sim \int_{\mathcal{I}} \partial_{u_i} \bar{\phi}_i(u_i, \Omega_i) \hat{G}_\phi^{(m,n)}(u, \Omega)$$

○ Boundary correlators encode on-shell Feynman amplitudes $\tilde{G}_\phi^{(m,n)}(p_i)$

$$\hat{G}_\phi^{(m,n)}(u, \Omega) = \left(\int_{-\infty}^{\infty} \prod_i d\omega_i e^{-i\omega_i u_i} \right) \hat{\tilde{G}}_\phi^{(m,n)}(\omega_i, \omega\Omega_i)$$

○ Explicit examples in recent literature

Lorentz invariance

- In usual $SL(2,C)$ description $\Lambda = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$, $ad - bc = 1$ that acts at large r as

$$\begin{aligned} r &\rightarrow r_\Lambda = \frac{r}{f_\Lambda(z, \bar{z})} & d\Omega^2 &= \frac{2}{(1 + z\bar{z})^2} dzd\bar{z} \rightarrow (f_\Lambda(z, \bar{z}))^2 d\Omega^2 \\ u &\rightarrow u_\Lambda = f_\Lambda(z, \bar{z})u \\ z &\rightarrow z_\Lambda = \frac{az + b}{cz + d} & f_\Lambda(z, \bar{z}) &= \frac{1 + z\bar{z}}{|az + b|^2 + |cz + d|^2} \end{aligned}$$

- Boundary data transforms as

$$\bar{\phi}(u, z, \bar{z}) \rightarrow f_\Lambda(z, \bar{z}) \bar{\phi}(u_\Lambda, z_\Lambda, \bar{z}_\Lambda)$$

- Lorentz invariance of S-matrix encoded in following relation obeyed by

boundary amplitudes: $\hat{G}_\phi^N(u_i, z_i, \bar{z}_i) = \left(\prod_{i=1}^N f_\Lambda(z_i, \bar{z}_i) \right) \hat{G}_\phi^N(u_{\Lambda i}, z_{\Lambda i}, \bar{z}_{\Lambda i})$

Scalar QED

$$I = \int d^4x \left(-\frac{1}{4} F^{\mu\nu} F_{\mu\nu} + |D_\mu \phi|^2 \right) + I_{bndy} \quad \text{with} \quad D_\mu = (\partial_\mu - ieA_\mu)\phi \quad \nabla^\mu A_\mu = 0$$

- Boundary data $\bar{A}_A^+(v, \Omega)$, $\bar{A}_A^-(u, \Omega)$, $\bar{\phi}^+(v, \Omega)$, $\bar{\phi}^-(u, \Omega)$
↑ sphere index

\bar{A}_A includes (antipodally matched) large gauge (Goldstone) mode: $\bar{A}_A = \nabla_A \Phi(\Omega) + \text{radiative}$

- Boundary action now takes form: $I_{\phi\gamma} = \int_{\mathcal{I}} \left(\prod_i \partial_{u_i} \bar{\phi}_i(u_i, \Omega_i) \right) \bar{A}_A(u, \Omega) \partial_u G^A(u, \Omega; u_i, \Omega_i)$
- Relation to standard amplitudes given by

$$G^A(u, \Omega; u_i, \Omega_i) = \left(\int_{-\infty}^{\infty} \prod_i d\omega_i e^{-i\omega_i u_i} \right) \sum_\alpha \left((\varepsilon^{*\alpha})_\mu \tilde{G}^\mu(q, p) \right) \hat{\varepsilon}_\alpha^A$$

- Lorentz transformation: $\bar{A}_z(u, z, \bar{z}) \rightarrow \frac{1}{(cz + d)^2} \bar{A}_z(u_\Lambda, z_\Lambda, \bar{z}_\Lambda)$
- Lorentz invariance implies:

$$(f_\Lambda(z, \bar{z}))^2 \left(\prod_{i=1}^N f_\Lambda(z_i, \bar{z}_i) \right) G^z(u_\Lambda, z_\Lambda, \bar{z}_\Lambda, u_{i\Lambda}, z_{i\Lambda}, \bar{z}_{i\Lambda}) = \frac{1}{(cz + d)^2} G^z(u, z, \bar{z}, u_i, z_i, \bar{z}_i)$$

QED Ward identity and soft theorem

- Bulk action is invariant under large gauge transformations that act on boundary data as:

$$\begin{aligned}\bar{A}_A &\rightarrow \bar{A}_A + \nabla_A \lambda(\Omega) \\ \bar{\phi}_i &\rightarrow e^{iq_i \lambda(\Omega)} \bar{\phi}_i\end{aligned}$$

- Boundary action:

$$I = \int_{\mathcal{I}} \left(\prod_i \partial_{u_i} \bar{\phi}_i(u_i, \Omega_i) \right) \hat{G}(u, \Omega) + \int_{\mathcal{I}} \left(\prod_i \partial_{u_i} \bar{\phi}_i(u_i, \Omega_i) \right) \bar{A}_A(u, \Omega) \partial_u \hat{G}^A(u, \Omega; u_i, \Omega_i) + \dots$$

Invariance under LGT implies Ward identity

$$\hat{\nabla}_A^{(y)} \int du \partial_u G_{\phi\gamma}^A(u, \hat{y}; u_i, \hat{x}_i) = ie \left[\frac{1}{\sqrt{\gamma}} \sum_{i=1}^N q_i \delta^2(\hat{y} - \hat{x}_i) \right] G_\phi(u_i, \hat{x}_i)$$

Solving gives leading soft photon theorem, as in (He, Mitra, Porfyriadis, Strominger)

Subleading soft theorem in scalar QED

- Known subleading soft theorem implies that classical on-shell action must be invariant under (as in Lysov, Pasterski, Strominger)

$$\delta \bar{A}_z(u, \hat{y}) = u \hat{\nabla}_z \hat{\nabla}_A \lambda^A(\hat{y}), \quad \lambda^{\bar{z}} = 0$$

$$\delta \bar{A}_{\bar{z}}(u, \hat{y}) = 0$$

$$\delta \partial_u \bar{\phi}_i(u, \hat{x}) = ieq_i \left[\partial_u \left(u \hat{\nabla}_A \lambda^A(\hat{x}) \bar{\phi}(u, \hat{x}) \right) + \lambda^A(\hat{x}) \hat{\nabla}_A \bar{\phi}(u, \hat{x}) \right]$$

- Invariance of boundary action implies Ward identity:

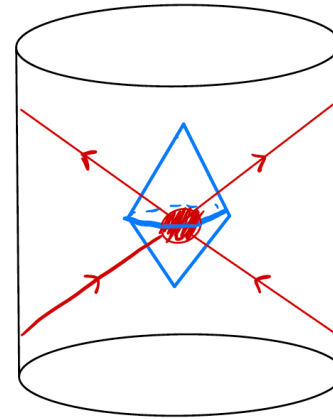
$$\begin{aligned} & \partial_{u_j} \hat{\nabla}_z^{(y)} \hat{\nabla}_z^{(y)} \int du G^z(u, \hat{y}; u_i, \hat{x}_i) \\ &= -ieq_j \left[\partial_{u_j} (u_j G_\phi(u_i, \hat{x}_i)) \hat{\nabla}_z^{(y)} \frac{\delta^2(\hat{y} - \hat{x}_j)}{\sqrt{\gamma(y)}} - \hat{\nabla}_z^{(x_j)} G_\phi(u_i, \hat{x}_i) \frac{\delta^2(\hat{y} - \hat{x}_j)}{\sqrt{\gamma(y)}} \right] \end{aligned}$$

Solution gives Low subleading soft theorem. Invariance of the action is not obvious to the eye.

(Campiglia, Laddha
Himwich, Strominger
Choi, Laddha, Puhm)

Flat limit of AdS

- An old idea is to extract the Minkowski S-matrix from the flat limit of AdS correlators
- Use boundary sources to create/destroy particles arranged to collide in region $\ll R$



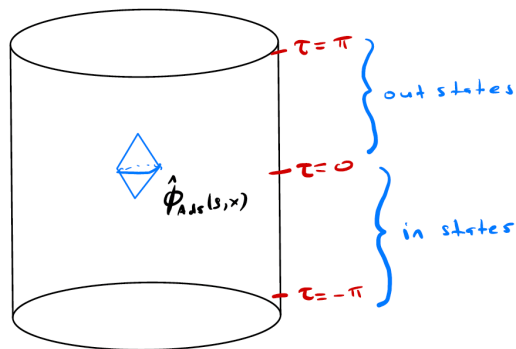
(Polchinski
Giddings

...

Hijano, Neuenfeld)

- Since Minkowski S-matrix is equal to Minkowski path integral with bndy conds on \mathcal{I} , while AdS boundary correlators are equal to path integral with AdS boundary conditions, we essentially just need to relate the two sets of boundary conditions.

- HKLL relation: $\hat{\phi}_{\text{AdS}}(\rho, x) = \int_{\mathcal{T}} d\tau' \int d^2\Omega [K_+(\rho, x; x') \mathcal{O}^+(x') + K_-(\rho, x; x') \mathcal{O}^-(x')]$



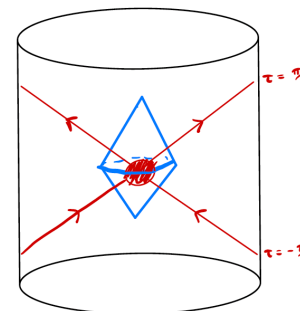
Boundary integral taken over $\tau \in [-\pi, 0]$ for in states and $\tau \in [0, \pi]$ for out states

- Take large R limit while keeping bulk point in region near AdS origin. Write as expression for in/out flat space creation/annihilation operators:

$$a_{\text{in}, \vec{p}} = \int_{-\pi}^0 d\tau e^{i\omega_p R(\tau + \frac{\pi}{2})} \mathcal{O}^-(\tau, -\hat{p})$$

$$a_{\text{out}, \vec{p}} = \int_0^{\pi} d\tau e^{i\omega_p R(\tau - \frac{\pi}{2})} \mathcal{O}^-(\tau, \hat{p})$$

- For wavepacket states integrals localize to $\tau = \pm \frac{\pi}{2}$



Flat limit of AdS

- Flat space boundary action can be interpreted as S-matrix in coherent space basis. Flat \leftrightarrow AdS data map equivalent to map between flat and AdS coherent states. Flat space: $a_{\vec{p}}|\alpha\rangle = \alpha(\vec{p})|\alpha\rangle$

AdS state created by boundary source: $S = \int d^3x \phi_0 O$

- AdS and flat partition functions related as $Z_{\text{flat}}[\alpha(\phi_0)] = Z_{\text{AdS}}[\phi_0]$

- Operator mapping implies

$$\alpha(\vec{p}) \sim \omega \int_{-\infty}^{\infty} du e^{-i\omega u} \phi_0 \left(-\frac{\pi}{2} + \frac{u}{R}, -\hat{p} \right).$$

- Within “scattering” subspace of Hilbert space, this provides a map between flat S-matrix and AdS correlators

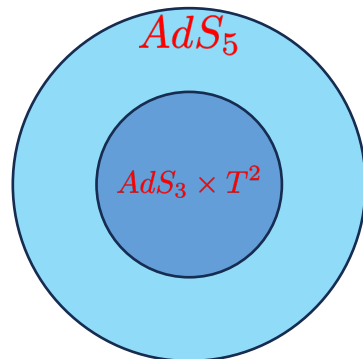
IR symmetry in AdS

- BMS must arise from flat limit of AdS, though latter has only finite dimensional asymptotic symmetry algebra above $D=3$.

- Toy example: Virasoro as IR symmetry of AdS₅

Magnetic brane solution (D'Hoker, PK)

- Asymptotically AdS₅ solution of $D=5$ Einstein-Maxwell with boundary B-field (dual to $N=4$ SYM with external B-field coupled to R-current).
- Describes RG flow from AdS₅ \rightarrow AdS₃ \times T^2



Brown-Henneaux Virasoro should be visible from AdS₅ boundary. Microscopically described by effective $D=1+1$ CFT of fermions in Landau levels

IR symmetry in AdS

- Direct approach: stress tensor correlators (D'Hoker, PK, Shah)

$$\langle T_{zz}(z)T_{zz}(0) \rangle_{|z| \rightarrow \infty} = \frac{c_{BH}/2}{z^4}$$

- Alternatively, extend AdS₃ boundary gravitons to AdS₅ (Kim, PK, Myers)

Background solution: $ds^2 = \frac{dr^2}{L(r)^2} + 2L(r)dx^+ dx^- + e^{2V} dx^i dx^i, \quad F = b dx^1 \wedge dx^2$

Perturbation: $ds^2 \rightarrow ds^2 + M(x^\mu)(dx^+)^2$

$\hookrightarrow \approx -\frac{1}{2b^2} \partial_+^3 \epsilon(x^+) \text{ as } r \rightarrow 0 \quad (\text{BH diff})$

Extend perturbation to full spacetime: $M = \frac{1}{b} L^c(r) \partial_+^3 \epsilon(x^+) \quad L^c(r) = L(r) \int_\infty^r \frac{dr'}{L(r')^2 e^{2V(r')}}$

\hookrightarrow not pure diff mode

- Full spacetime symplectic form found to reduce to Brown-Henneaux:

$$\Omega_{D=5} = c_{BH} \int d\phi \partial_+ \delta\epsilon \wedge \partial_+^2 \delta\epsilon = \Omega_{BH}$$

The End