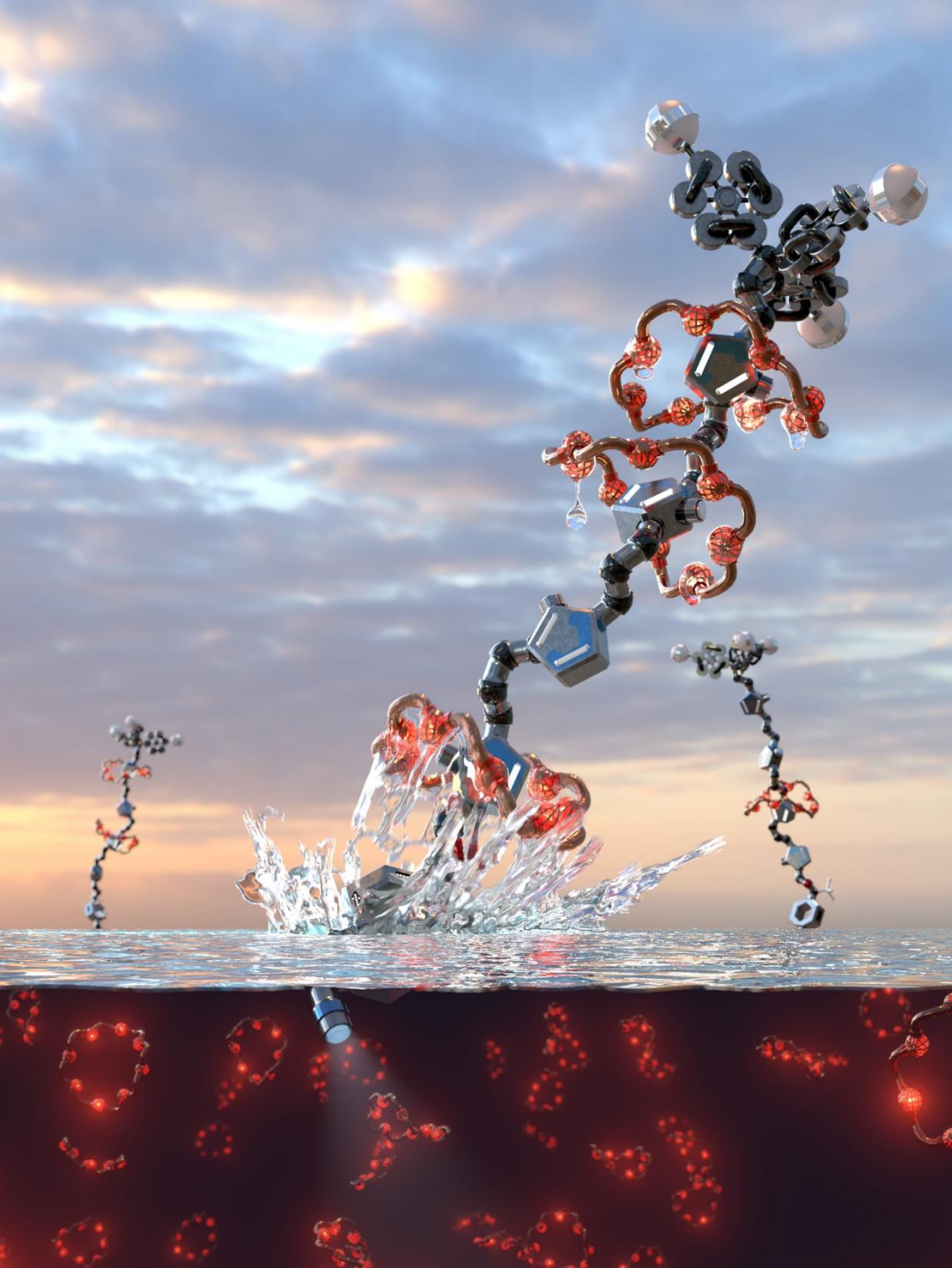




# Synthesis and Analysis of Life-like Systems out of Equilibrium

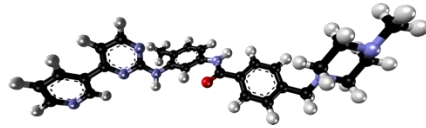
25th April 2025

Shuntaro Amano

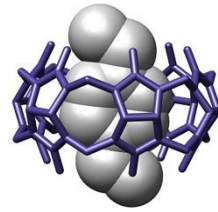
@Non-equilibrium thermodynamics  
workshop, Edinburgh

# Why Out-of-Equilibrium Systems?

## Equilibrium systems



Small molecule



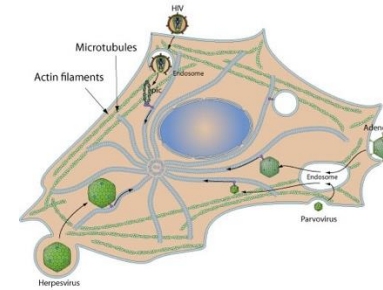
Supramolecular structure



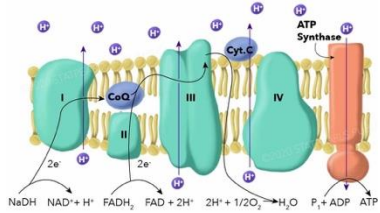
Polymer



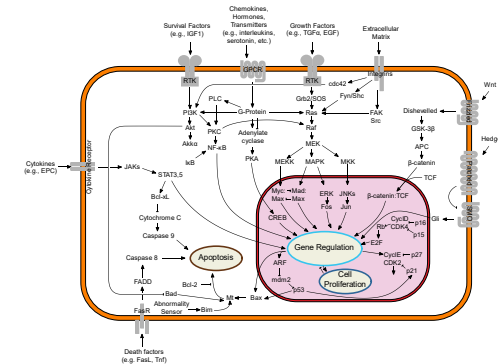
## Out-of-equilibrium systems



Transportation



Energy conversion



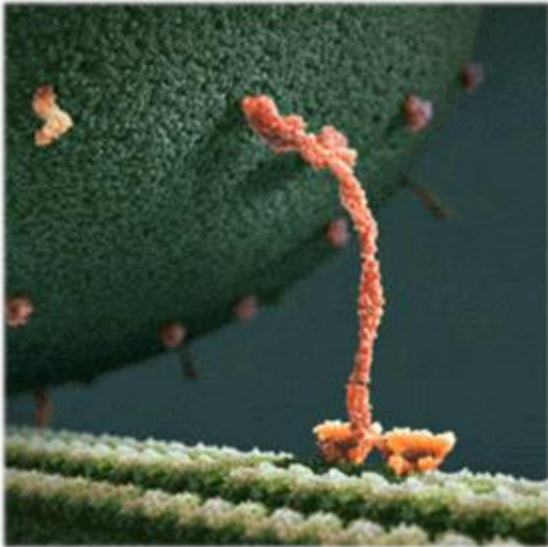
Response to signals

*Functional systems inspired by out-of-equilibrium processes in biology*

# Biology Uses Molecular Machines

---

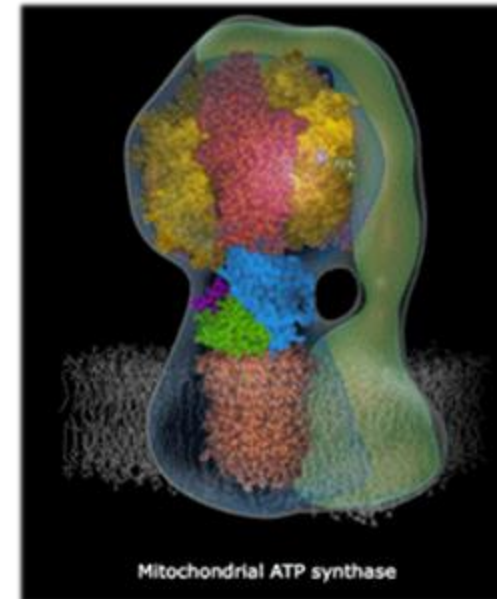
## ➤ Kinesin



Transport cargos inside cells

Responsible for mitosis, axonal transport etc.

## ➤ ATP synthase

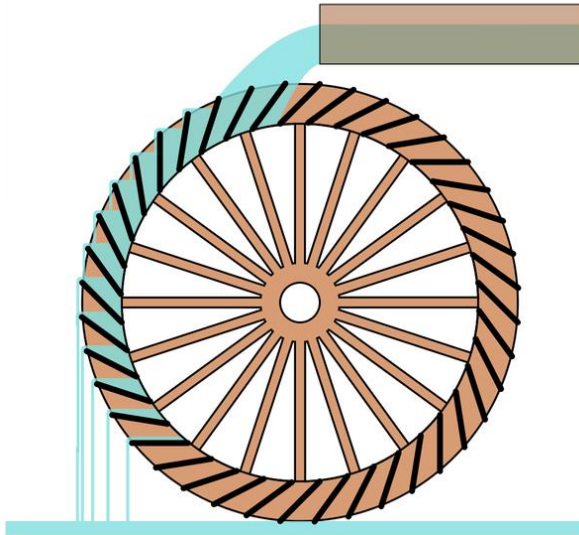


Synthesize ATP from ADP and phosphate

High efficiency (nearly 100%)

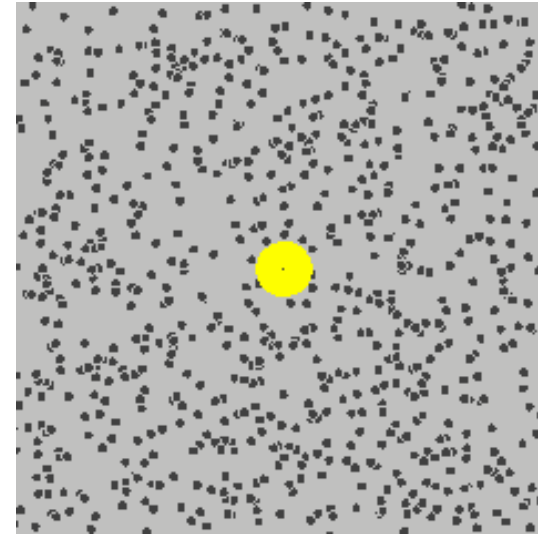
# Macroscopic and Microscopic Worlds are Different

## Macroscopic world



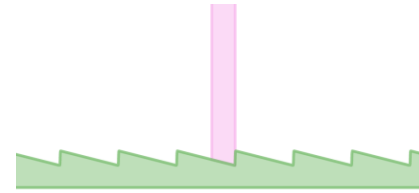
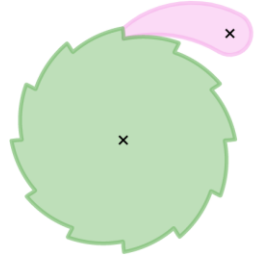
- Dominant factors: gravity, inertia
- Water wheel, electric motor

## Microscopic world



- Dominant factors: viscosity, Brownian motion
- Brownian ratchet mechanisms

# Brownian Ratchet Mechanisms



Animations by Arglin Kamplin

*Brownian ratchets rectify Brownian motion to achieve directional motion*

## Key questions

- New synthetic Brownian ratchets?
- General design strategy?
- Application to systems other than molecular machines?

## Theory



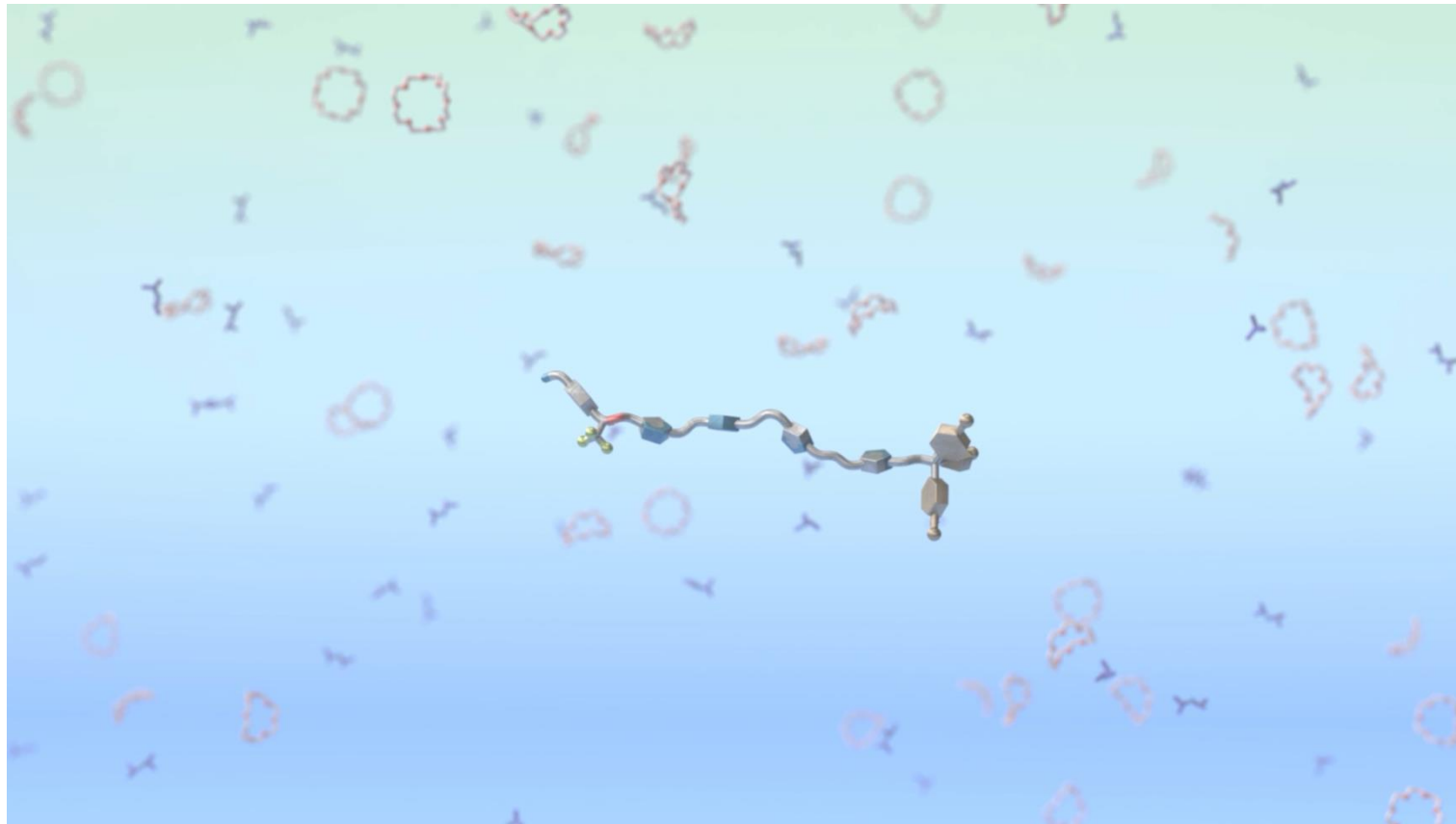
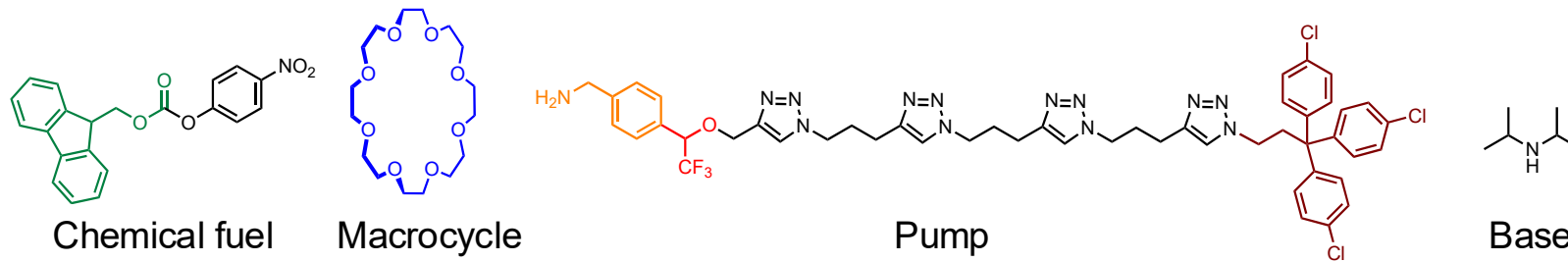
## Experiment



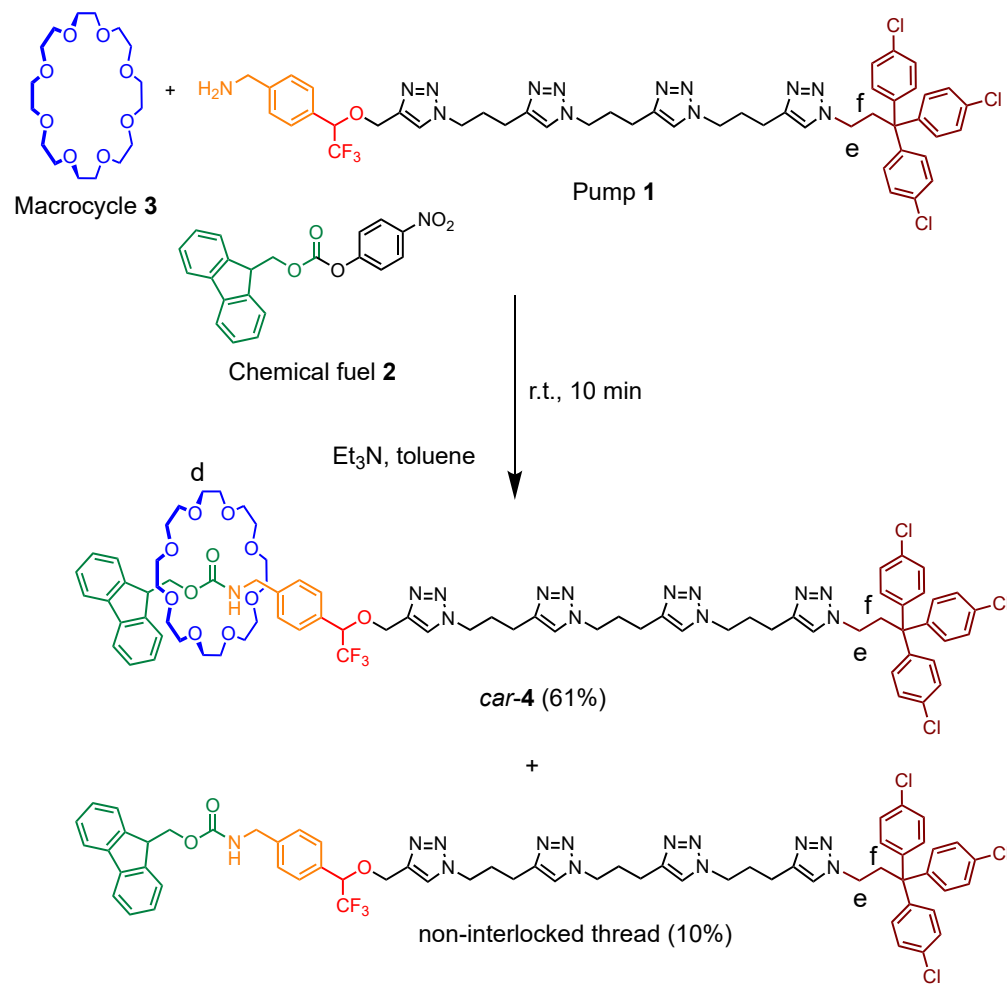
Sangchai *et al.*, *Angew. Chem. Int. Ed.* **62**, e202309501 (2023)

Borsley *et al.*, *Angew. Chem. Int. Ed.* **63**, e202400495 (2024)

# New Brownian Ratchet: Autonomous Molecular Pump

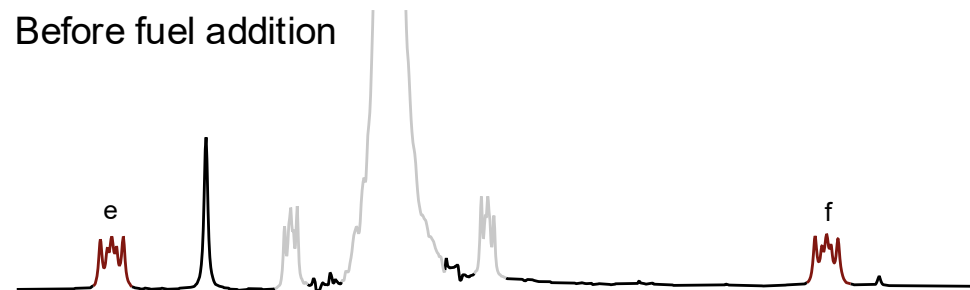


# Macrocycle Take-up Study

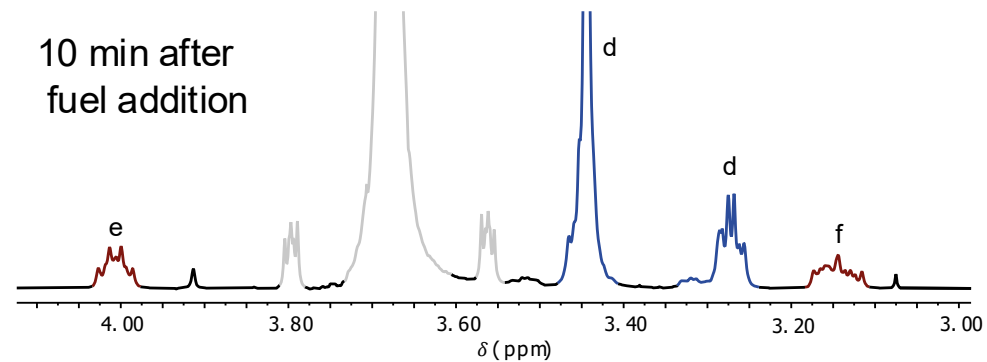


## $^1\text{H}$ NMR spectra in $\text{CDCl}_3$ (600 MHz, 298 K)

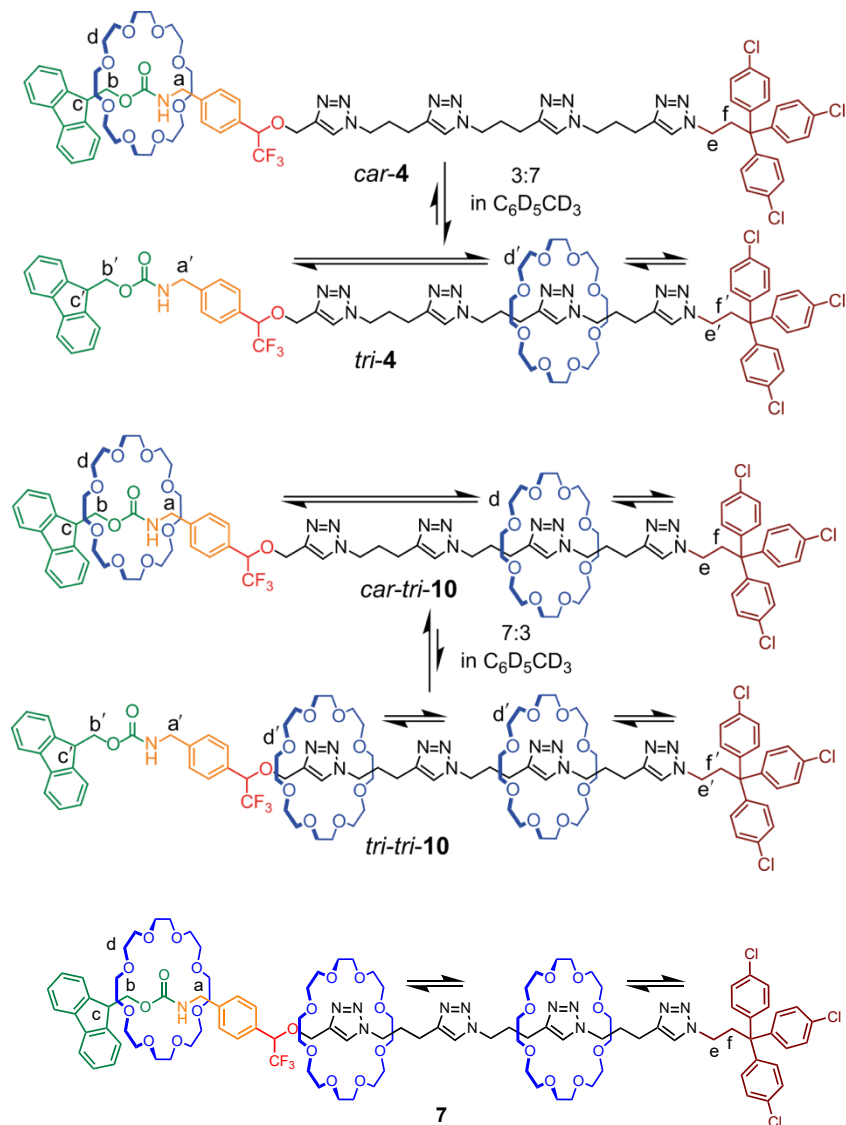
Before fuel addition



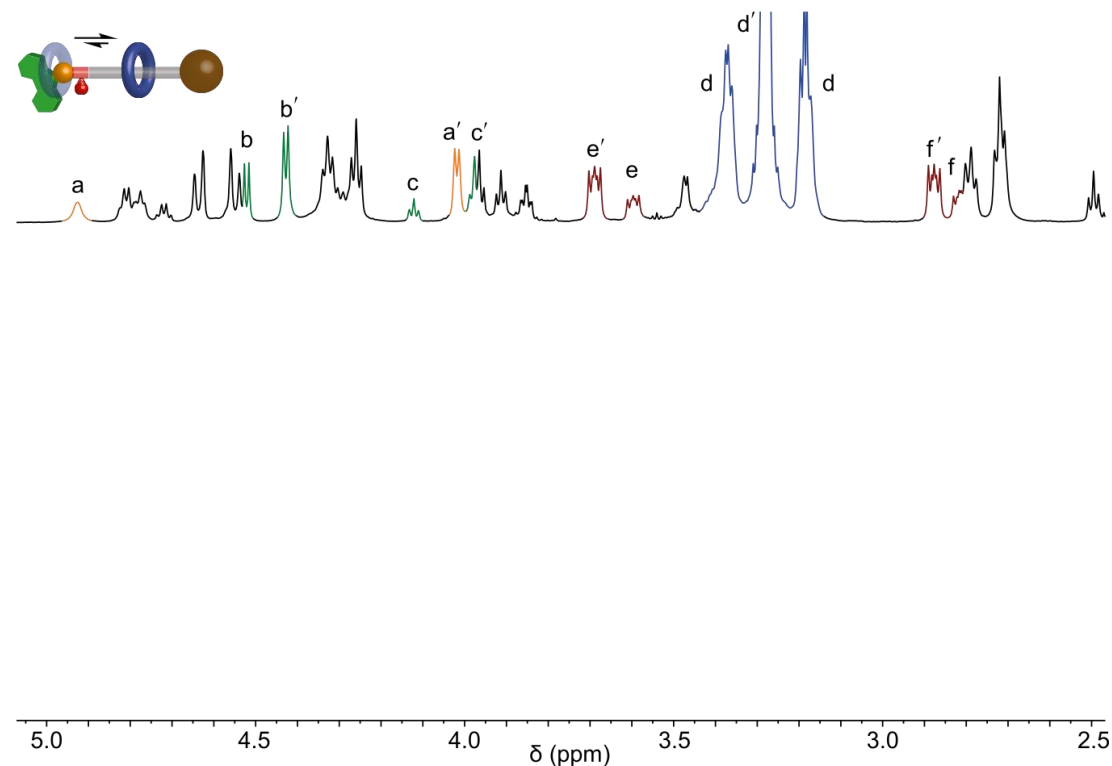
10 min after fuel addition



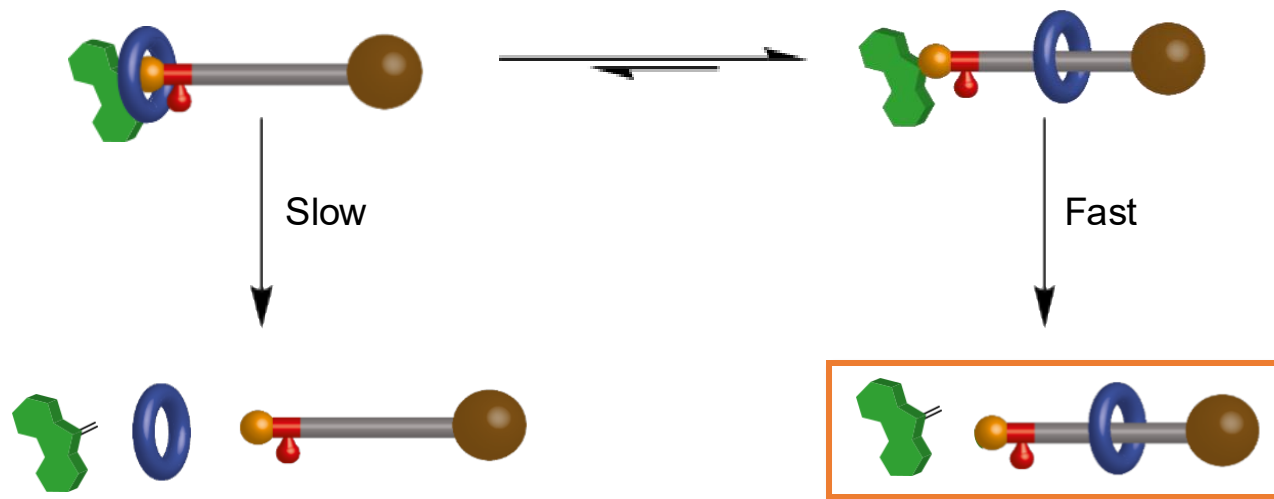
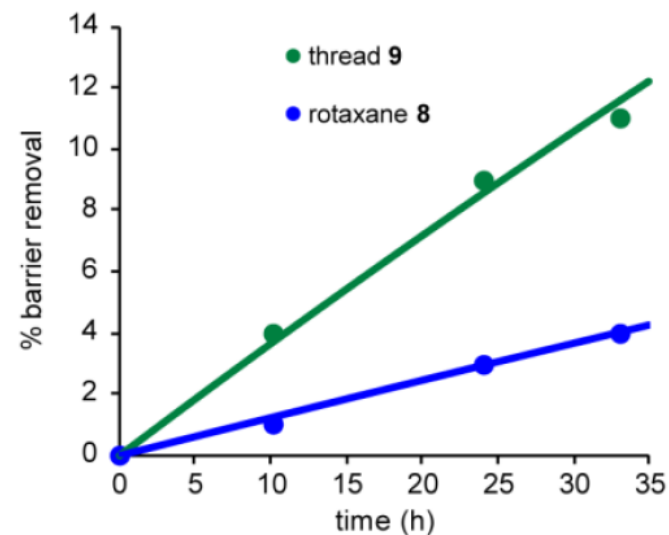
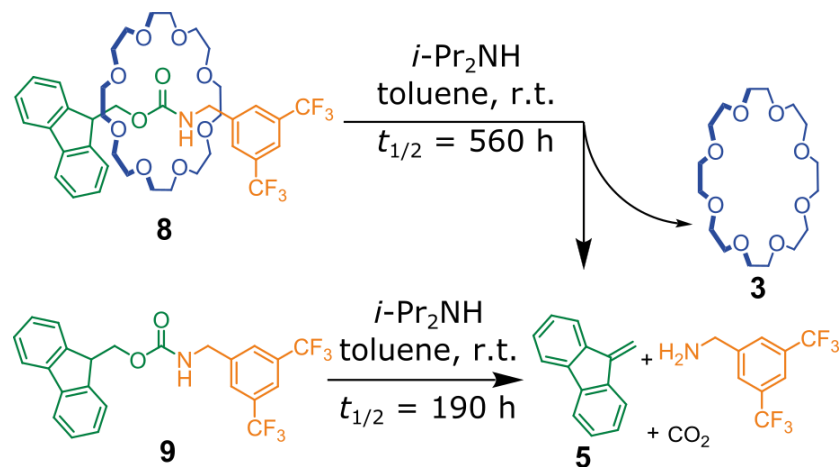
# Macrocycle Displacement Study



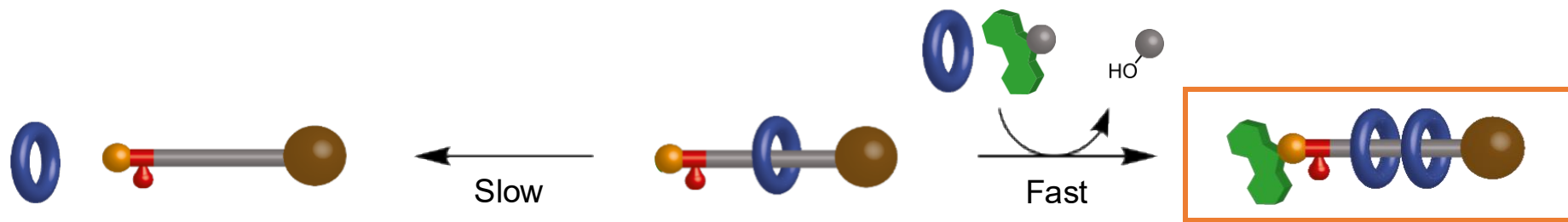
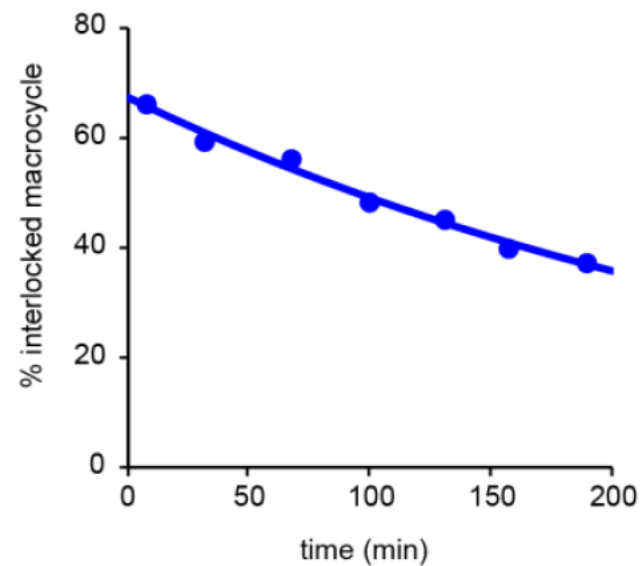
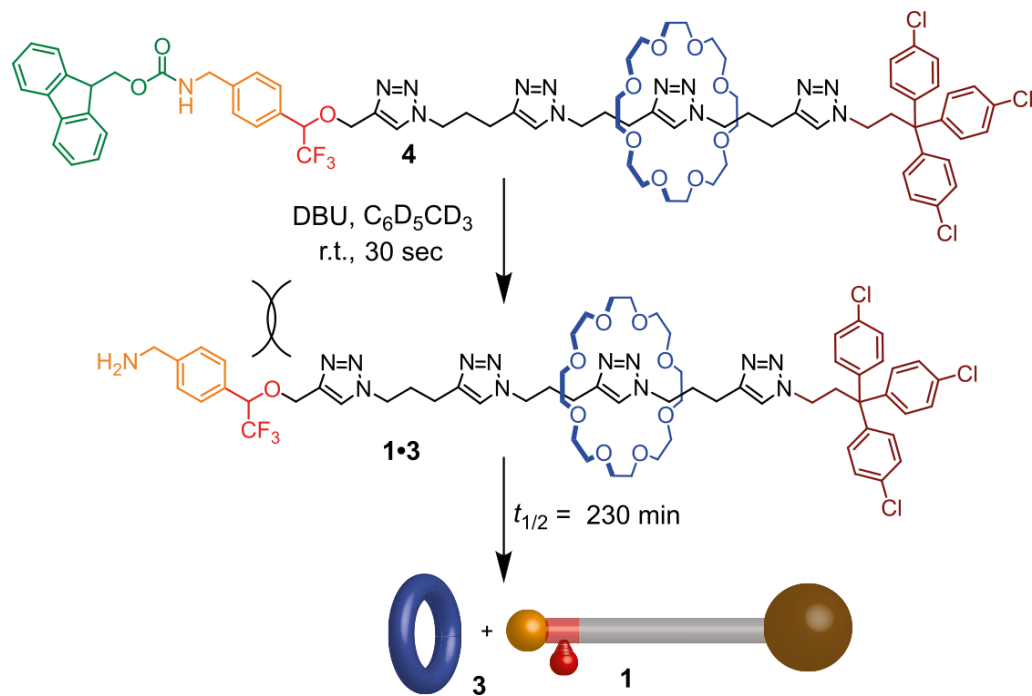
**$^1H$  NMR spectra in  $C_6D_5CD_3$  (600 MHz, 295 K)**



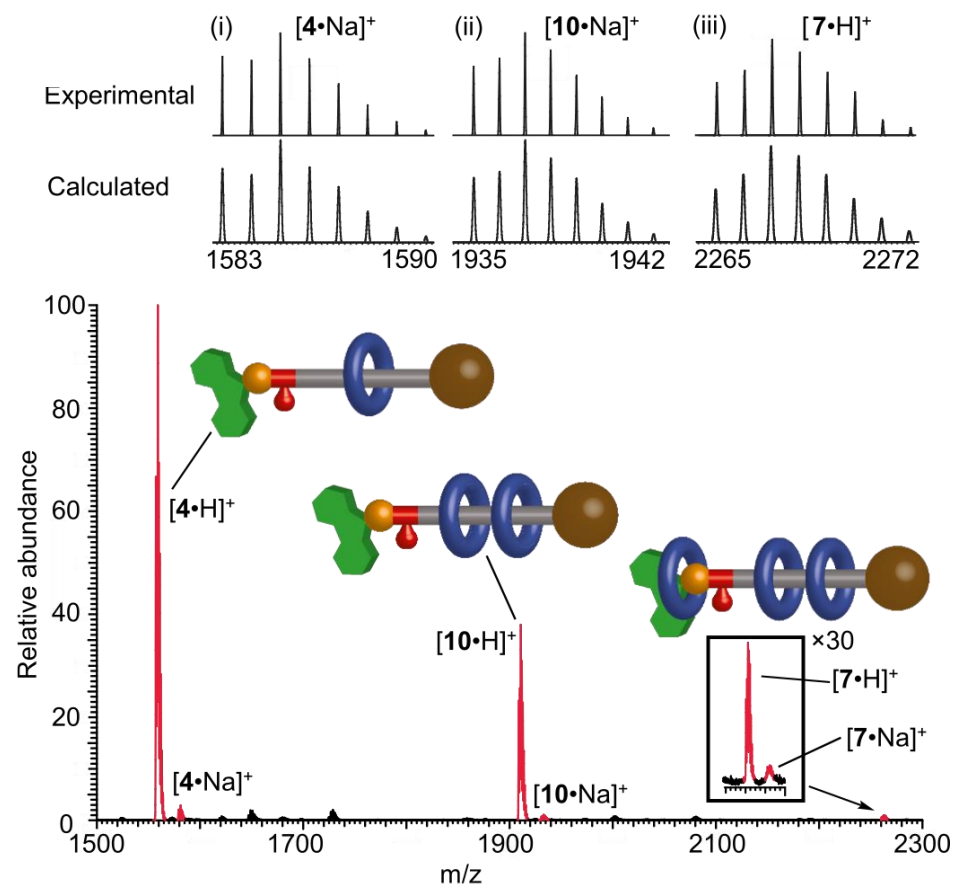
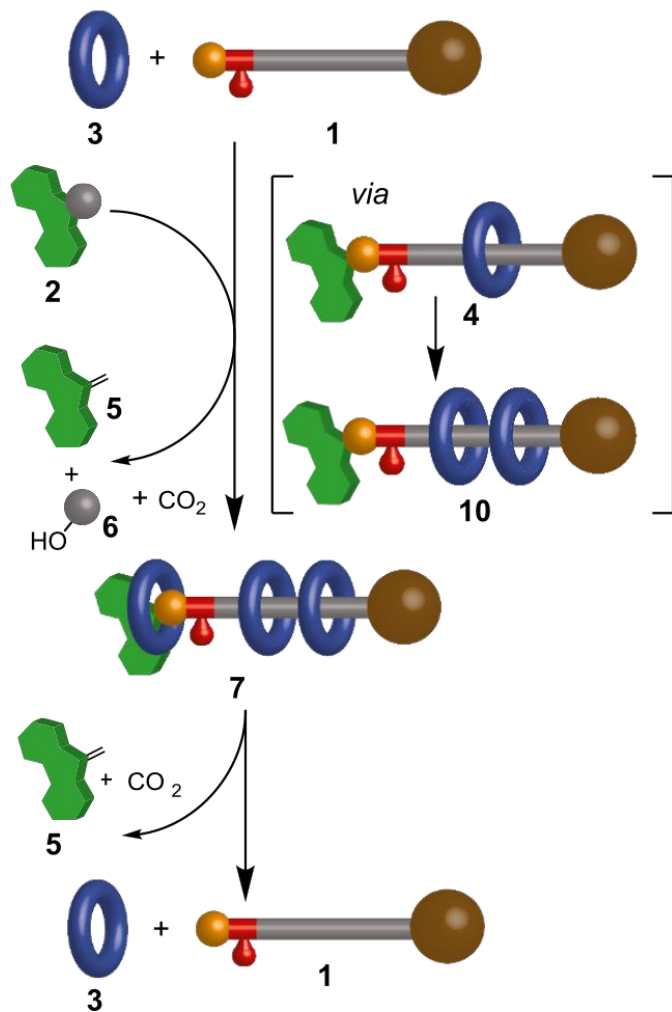
# Stropper Removal Study



# Dethreading Study



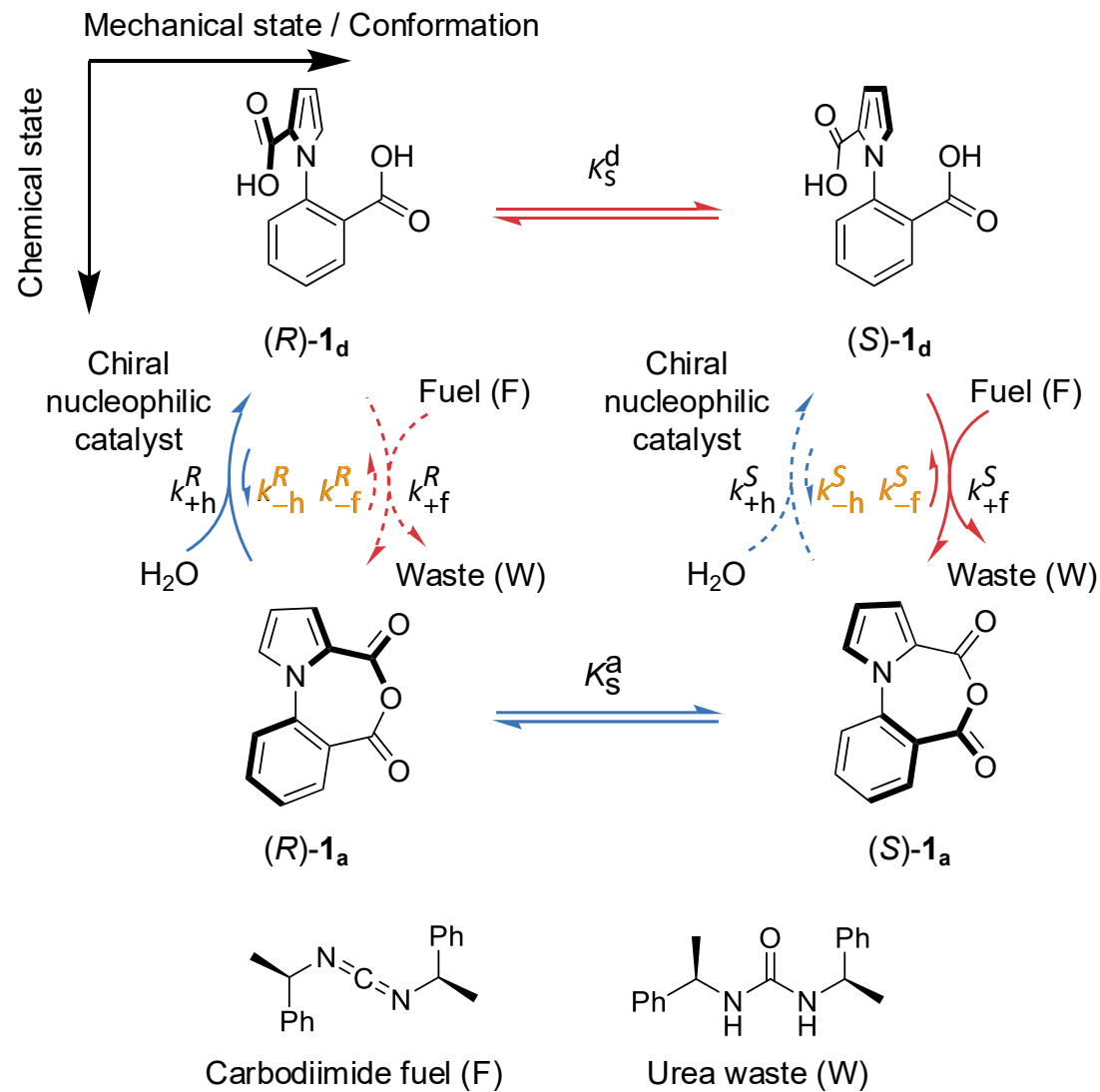
# Confirmation of Multi-cycle Pumping



*Simple Design Principle?*

Operation Conditions:  $i\text{-Pr}_2\text{NH}$ , toluene, r.t., 16 h

# Kinetic Asymmetry Shows Directionality, but Complicated



$$K_r = \frac{([F]k_{+f}^S + k_{-h}^S)}{([F]k_{+f}^R + k_{-h}^R)} \times \frac{([W]k_{-f}^R + [H_2O]k_{+h}^R)}{([W]k_{-f}^S + [H_2O]k_{+h}^S)} \times K_S^d \times K_S^a$$

$$K_r > 1$$

$$K_r < 1$$

$$K_r = 1$$

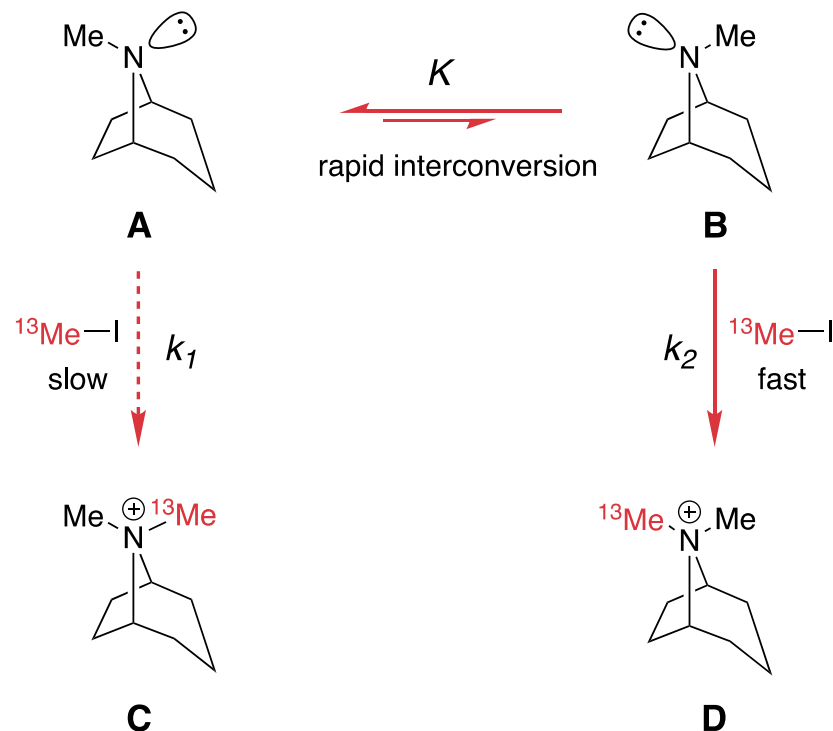
✗ No directional motion

Astumian, *Nat. Commun.*, 2019, **10**, 3837.

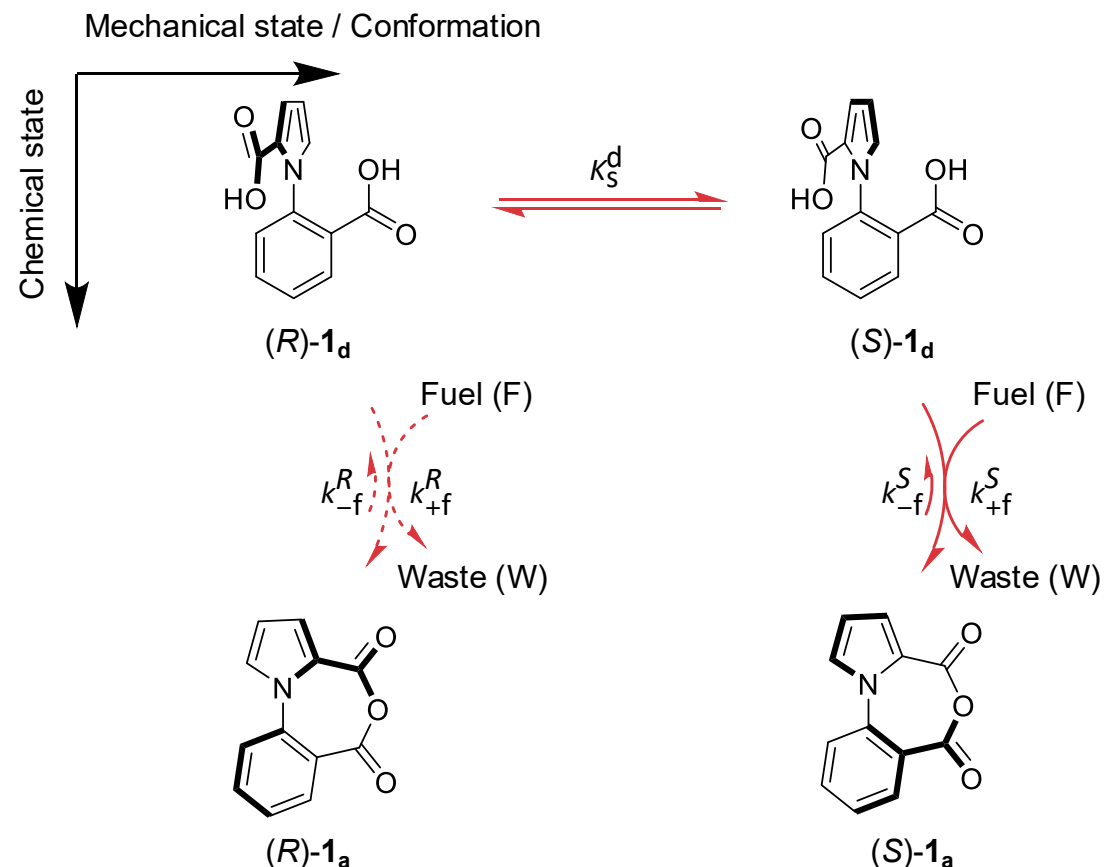
Amano et al., *J. Am. Chem. Soc.* **144**, 20153–20164 (2022)

# Simpler Principle: Curtin–Hammett Asymmetry Factor ( $F_{C-H}$ )

## ➤ Curtin–Hammett Principle

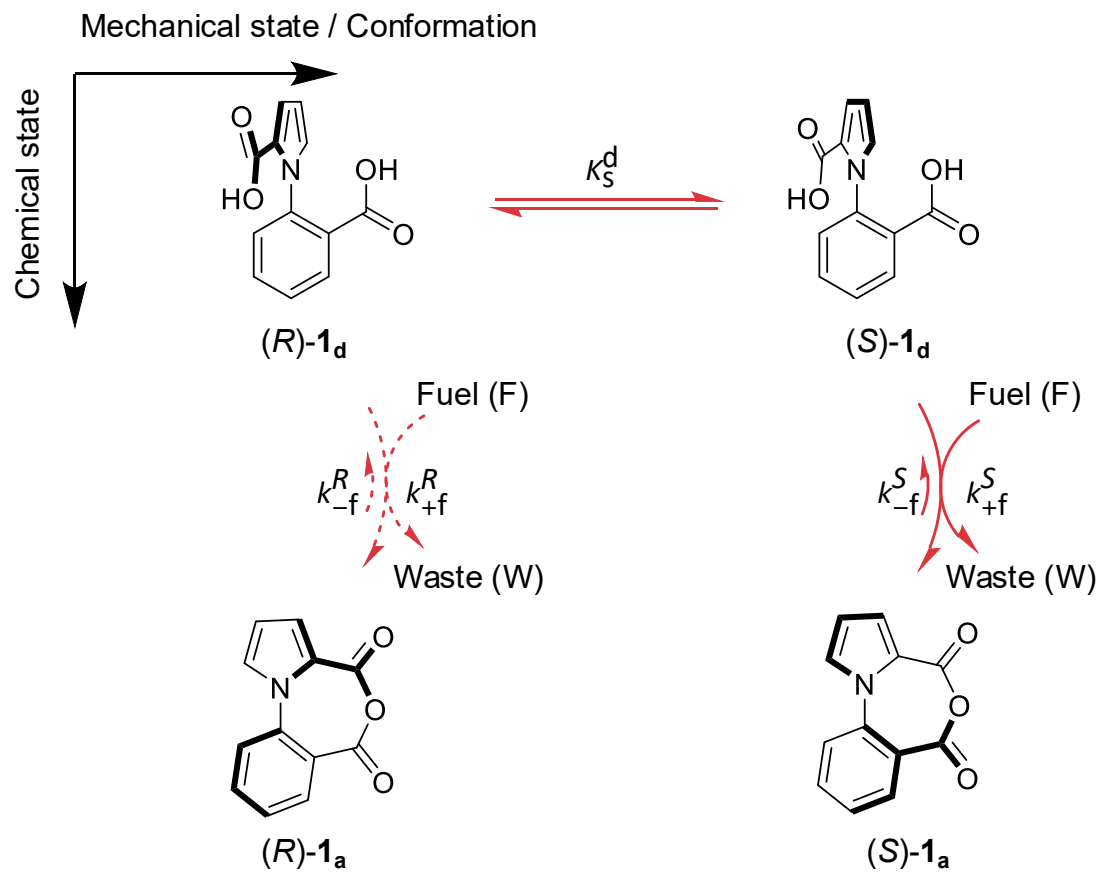


$$\frac{[D]}{[C]} \approx \frac{k_2}{k_1} K$$

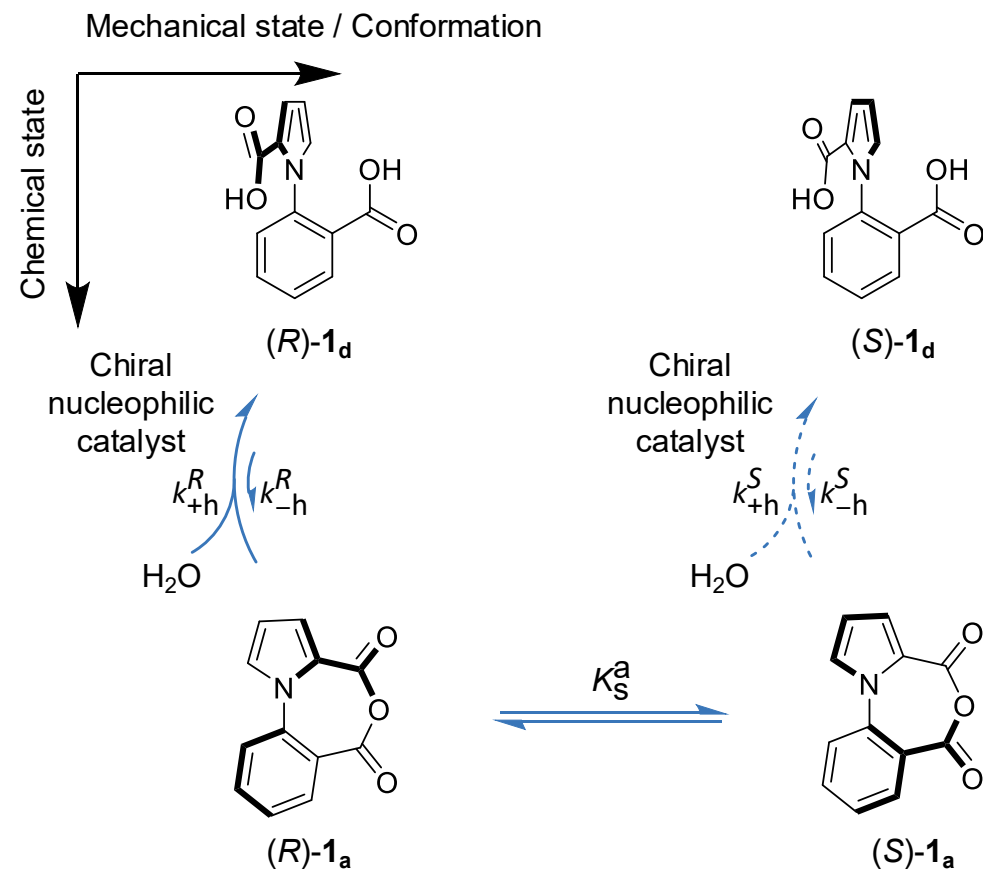


$$\frac{[(S) - 1_a]}{[(R) - 1_a]} \approx \frac{k_{+f}^S}{k_{+f}^R} K_s^d$$

# Simpler Principle: Curtin–Hammett Asymmetry Factor ( $F_{C-H}$ )

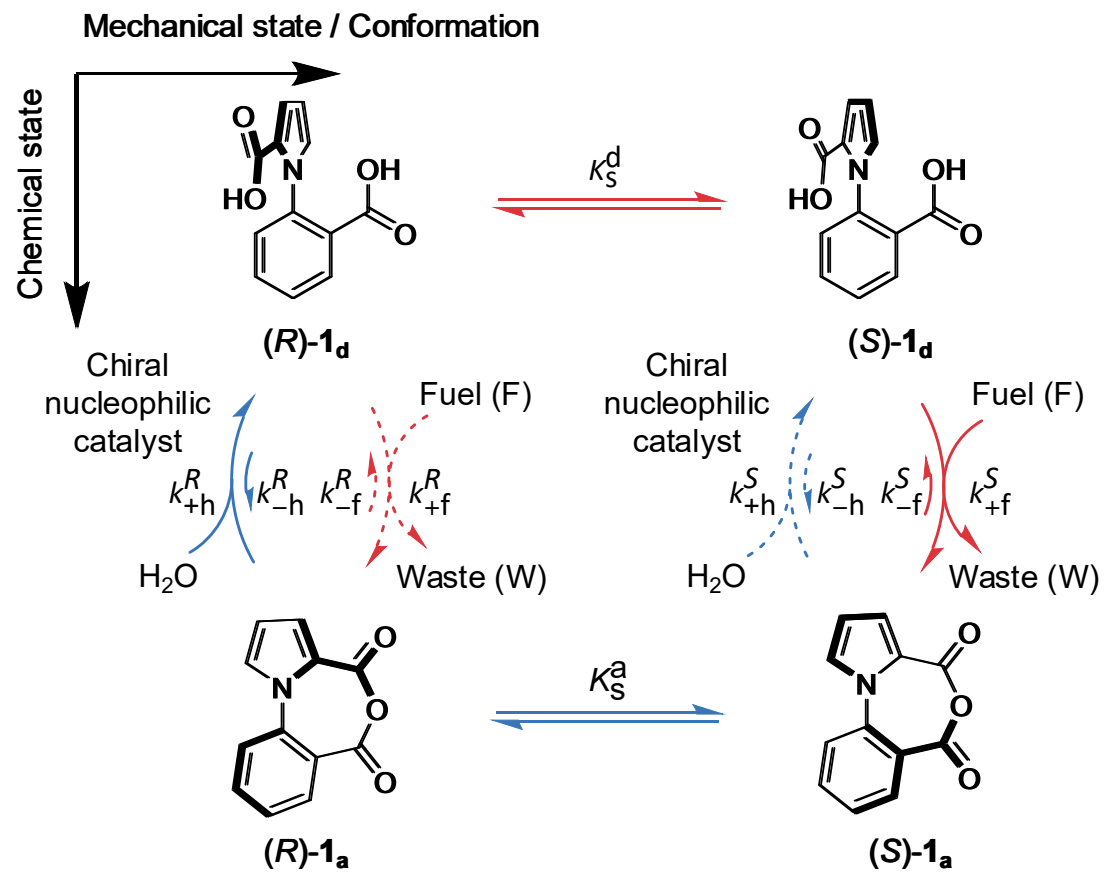


$$\frac{[(S) - 1_a]}{[(R) - 1_a]} \approx \frac{k_{+f}^S}{k_{+f}^R} K_S^d$$



$$\frac{[(R) - 1_d]}{[(S) - 1_d]} \approx \frac{k_{+h}^R}{k_{+h}^S} K_S^a$$

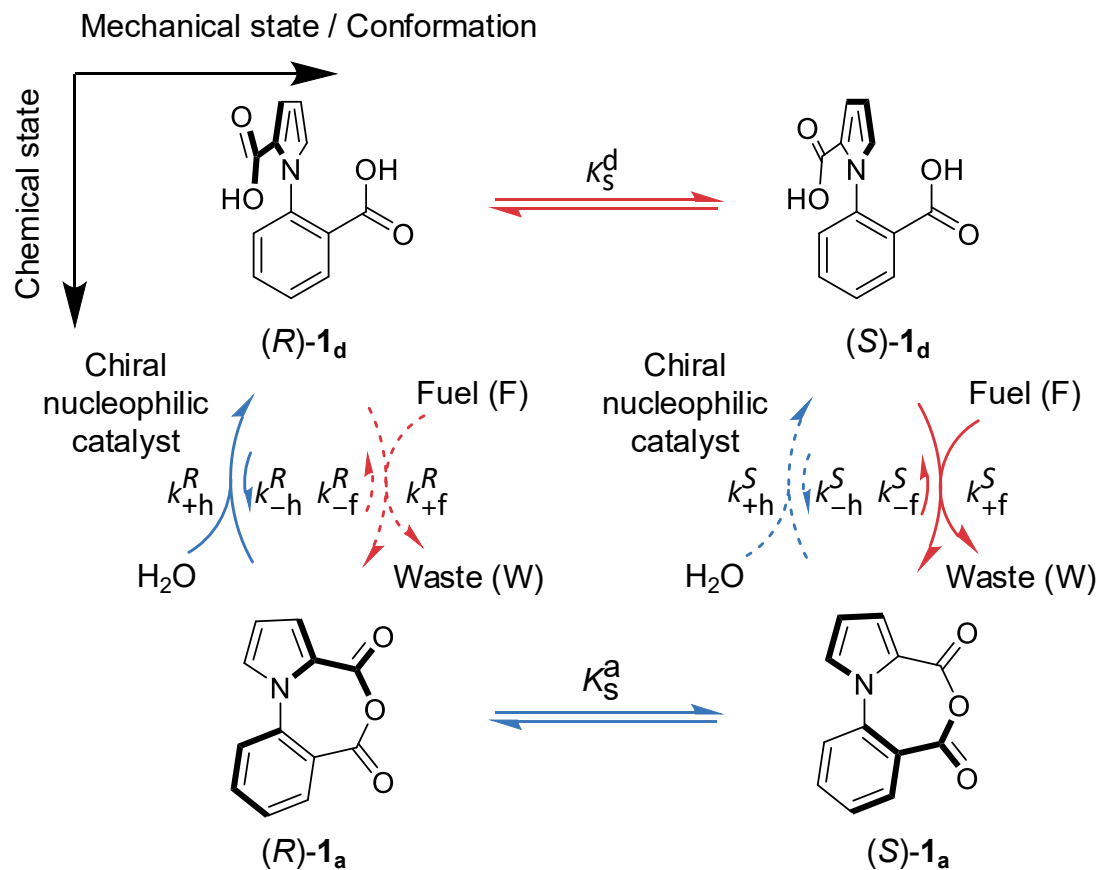
# Simpler Principle: Curtin–Hammett Asymmetry Factor ( $F_{C-H}$ )



$$\frac{[(S) - 1_a]}{[(R) - 1_a]} \approx \frac{k_{+f}^S}{k_{+f}^R} K_S^d$$

$$\frac{[(R) - 1_d]}{[(S) - 1_d]} \approx \frac{k_{+h}^R}{k_{+h}^S} K_S^a$$

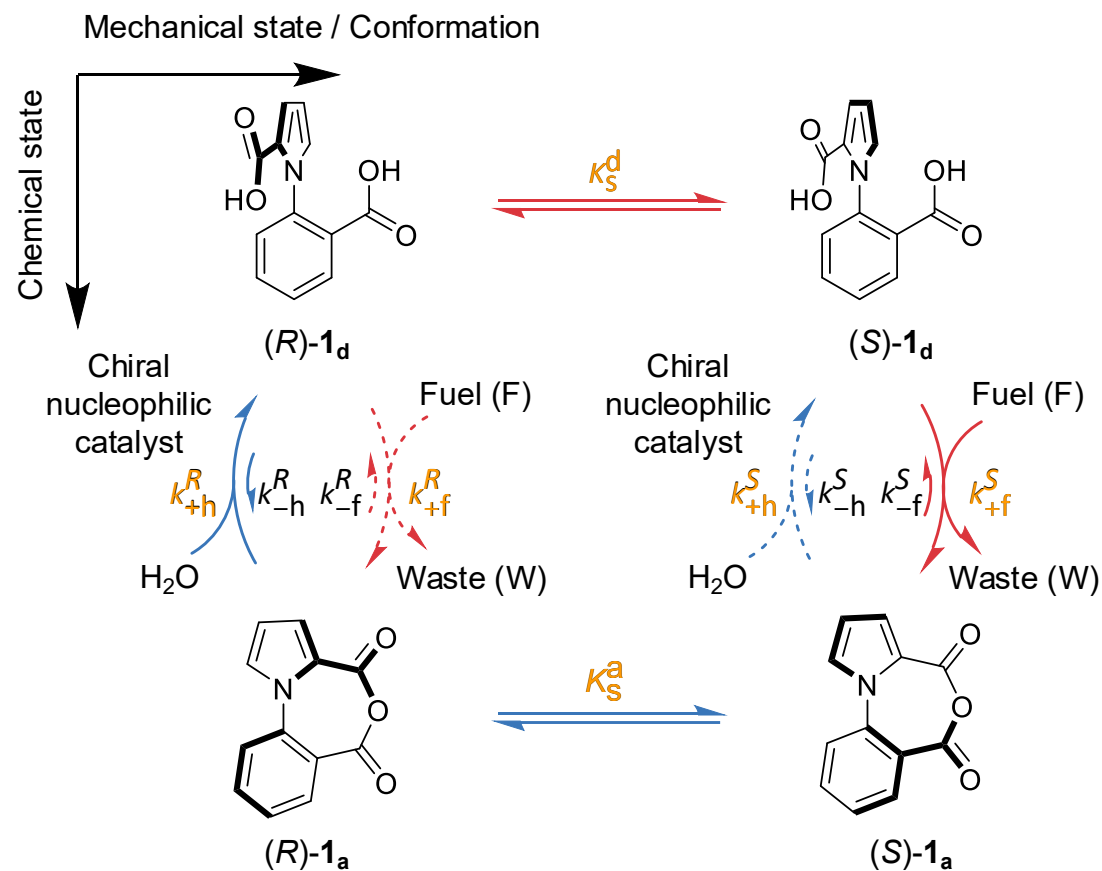
# Simpler Principle: Curtin–Hammett Asymmetry Factor ( $F_{C-H}$ )



$$\frac{[(S) - \mathbf{1}_a]}{[(R) - \mathbf{1}_a]} \approx \frac{k_{+f}^S}{k_{+f}^R} K_S^d$$

$$\frac{[(R) - \mathbf{1}_d]}{[(S) - \mathbf{1}_d]} \approx \frac{k_{+h}^R}{k_{+h}^S} K_S^a$$

# Simpler Principle: Curtin–Hammett Asymmetry Factor ( $F_{C-H}$ )



$$\left( \frac{k_{+f}^S}{k_{+f}^R} K_S^d \right) \left( \frac{k_{+h}^R}{k_{+h}^S} K_S^a \right) = \frac{k_{+f}^S k_{+h}^R}{k_{+f}^R k_{+h}^S} K_S^d K_S^a \equiv F_{C-H}$$

$F_{C-H}$  shows directionality, and simpler

$$F_{C-H} > 1$$



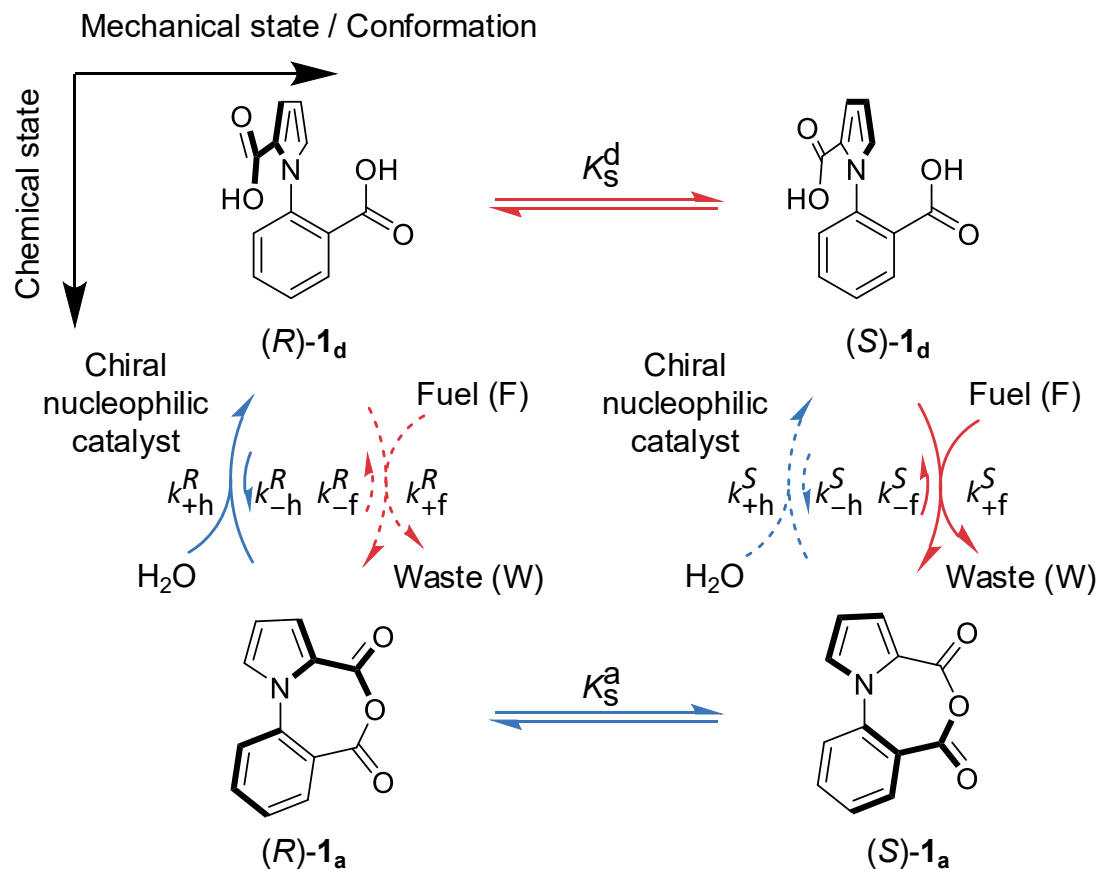
$$F_{C-H} < 1$$



$$F_{C-H} = 1$$

✗ No directional motion

# Two Design Elements for Directionality

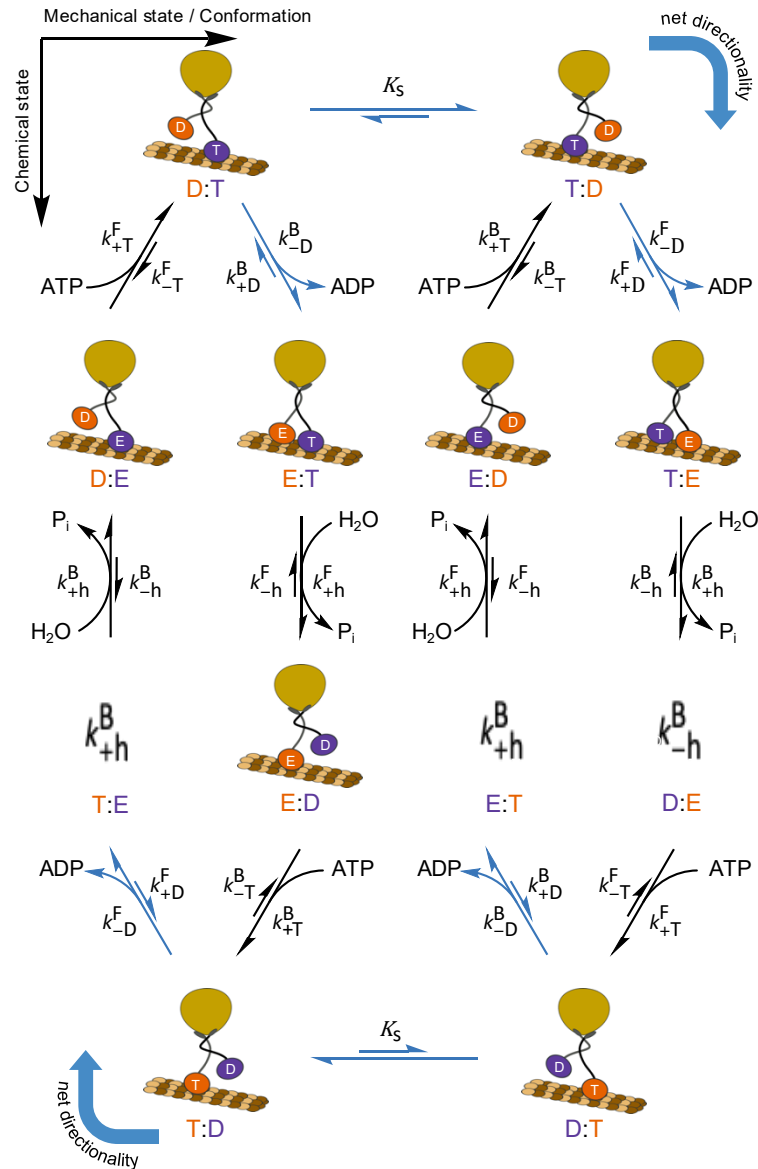


*Chemical gating*    *Power stroke*

$$\frac{k_{+f}^S k_{+h}^R}{k_{+f}^R k_{+h}^S} K_S^d K_S^a \equiv F_{C-H}$$

*Power strokes contribute to directionality*  
*BUT*  
*do not determine it*

# Power Strokes in a Biological Molecular Machine



Chemical gating Power stroke

$$F_{C-H}^{(kin)} = \frac{k_{+T}^F k_{+h}^B k_{-D}^F}{k_{+T}^B k_{+h}^F k_{-D}^B} K_S$$

$$k_{+T}^F \approx k_{+T}^B, k_{+h}^B \approx k_{+h}^F, k_{-D}^F \approx k_{-D}^B$$

$$F_{C-H}^{(kin)} \approx K_S \approx 1.25 \times 10^6$$

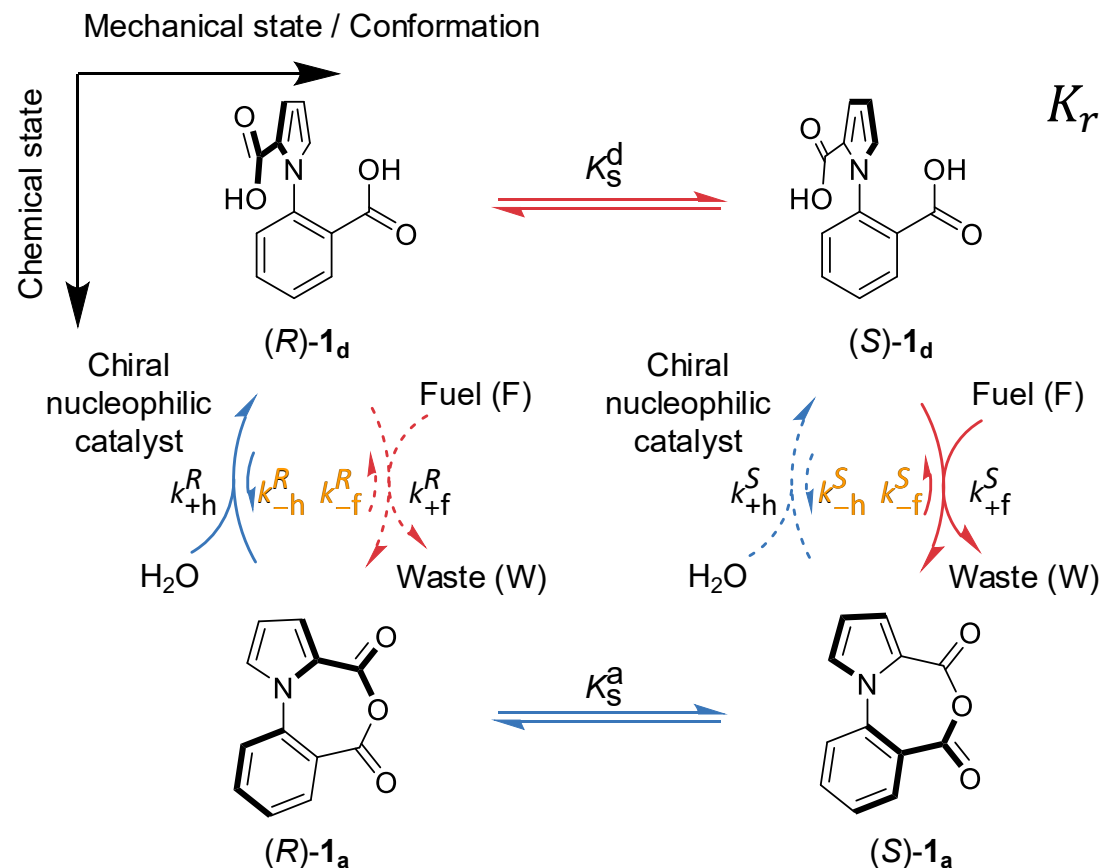
Power strokes are a dominant factor

Liepelt and Lipowsky, *Phys. Rev. Lett.* **98**, 258102 (2007).

Schief *et al.*, *Proc. Natl. Acad. Sci. U.S.A.* **101**, 1183–1188 (2004).

Carter and Cross, *Nature* **435**, 308–312 (2005).

# Connection between $K_r$ and $F_{C-H}$

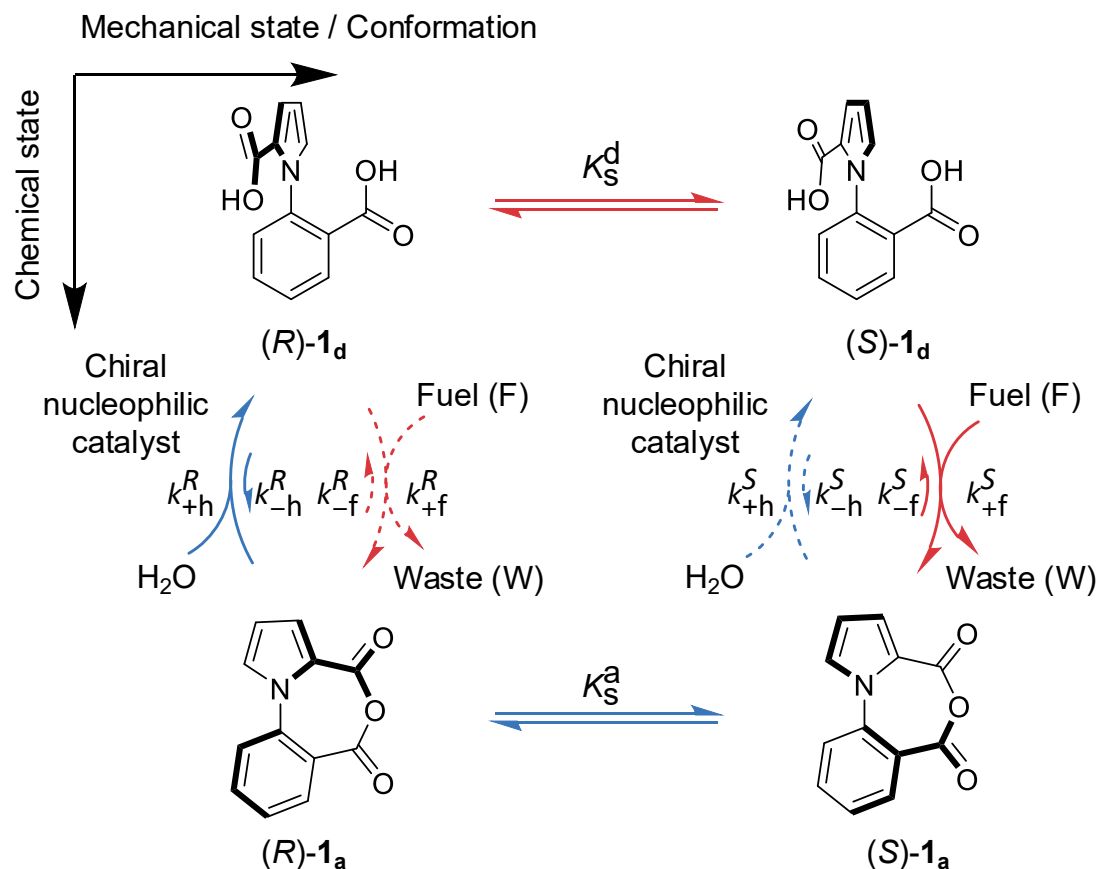


$$K_r = \frac{([F]k_{+f}^S + \cancel{k_{-h}^S})}{([F]k_{+f}^R + \cancel{k_{-h}^R})} \times \frac{([W]k_{-f}^R + [H_2O]k_{+h}^R)}{([W]k_{-f}^S + [H_2O]k_{+h}^S)} \times K_S^d \times K_S^a$$

$$F_{C-H} = \frac{k_{+f}^S k_{+h}^R}{k_{+f}^R k_{+h}^S} K_S^d K_S^a$$

$K_r$  can be approximated as  $F_{C-H}$

# Stronger Connection between $K_r$ and $F_{C-H}$



$$K_r = \frac{([F]k_{+f}^S + k_{-h}^S)}{([F]k_{+f}^R + k_{-h}^R)} \times \frac{([W]k_{-f}^R + [H_2O]k_{+h}^R)}{([W]k_{-f}^S + [H_2O]k_{+h}^S)} \times K_S^d \times K_S^a$$

$$= \frac{\gamma + 1 + F_{C-H} \times e^{\Delta\mu/RT}}{\gamma + F_{C-H} + e^{\Delta\mu/RT}} \quad (\Delta\mu = \mu_F + \mu_{H_2O} - \mu_W)$$

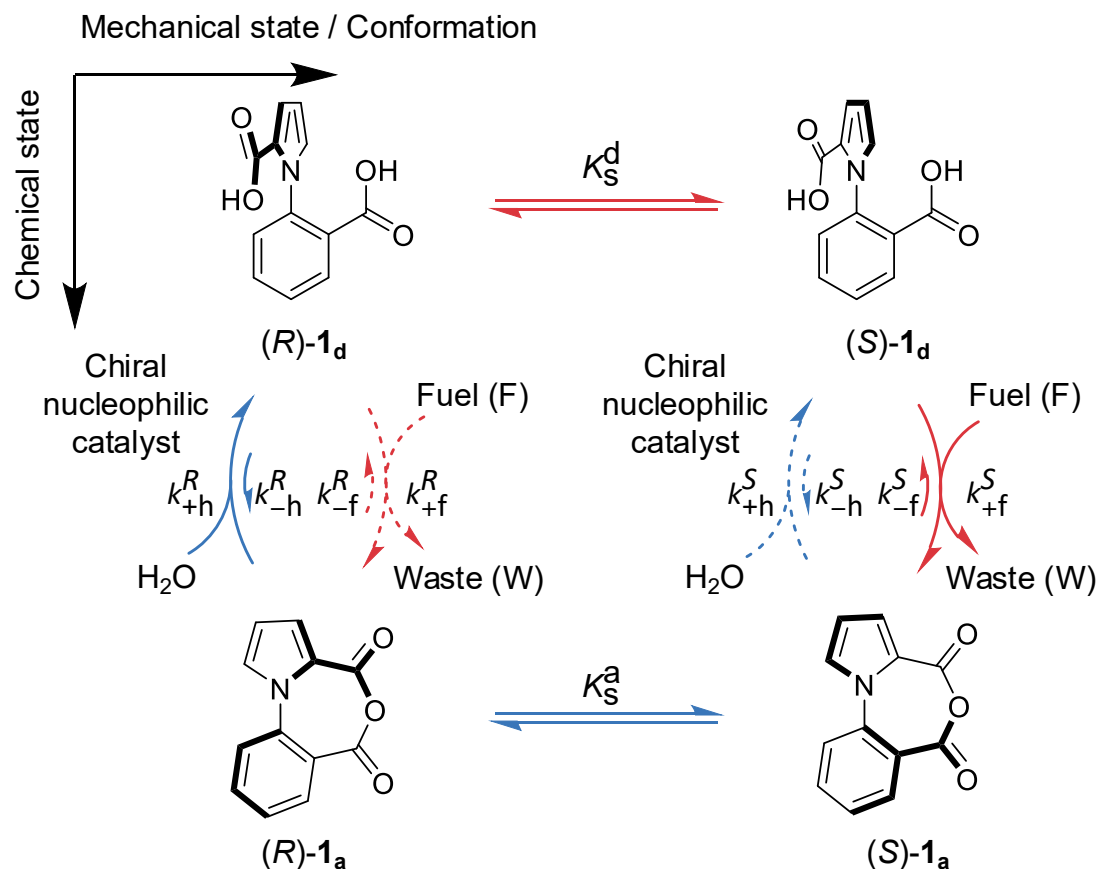
(numerator) – (denominator)

$$= (F_{C-H} - 1) \times (e^{\Delta\mu/RT} - 1)$$

$$\Delta\mu = 0$$

$$K_r = 1 \quad \text{No directional motion}$$

# Stronger Connection between $K_r$ and $F_{C-H}$



$$K_r = \frac{([F]k_{+f}^S + k_{-h}^S)}{([F]k_{+f}^R + k_{-h}^R)} \times \frac{([W]k_{-f}^R + [H_2O]k_{+h}^R)}{([W]k_{-f}^S + [H_2O]k_{+h}^S)} \times K_S^d \times K_S^a$$

$$= \frac{\gamma + 1 + F_{C-H} \times e^{\Delta\mu/RT}}{\gamma + F_{C-H} + e^{\Delta\mu/RT}} \quad (\Delta\mu = \mu_F + \mu_{H_2O} - \mu_W)$$

(numerator) – (denominator)

$$= (F_{C-H} - 1) \times (e^{\Delta\mu/RT} - 1)$$

$$\Delta\mu > 0$$

$$F_{C-H} > 1 \quad K_r > 1$$

$$F_{C-H} < 1 \quad K_r < 1$$

$$F_{C-H} = 1 \quad K_r = 1 \quad \text{No directional motion}$$

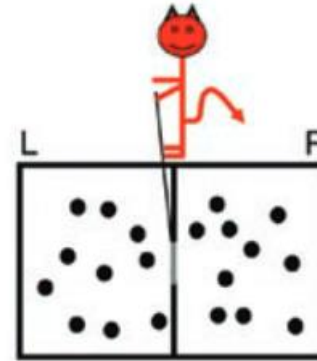
# Maxwell's Demon: Violation of the Second Law of Thermodynamics?

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James Clerk Maxwell  
1831–1879

*High entropy*

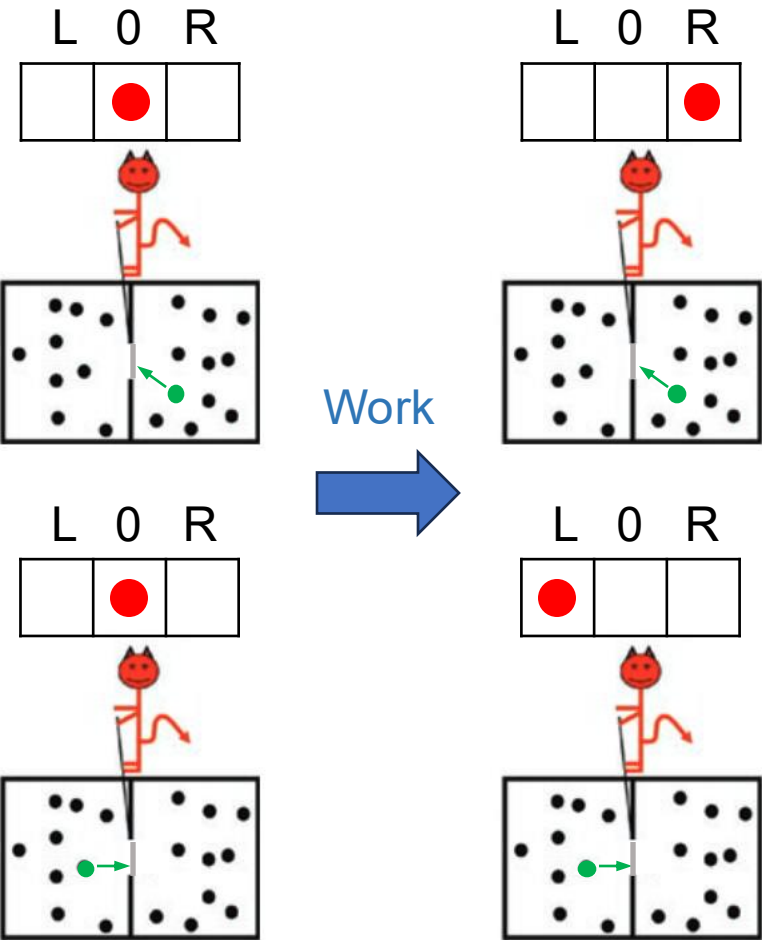


*Low entropy*

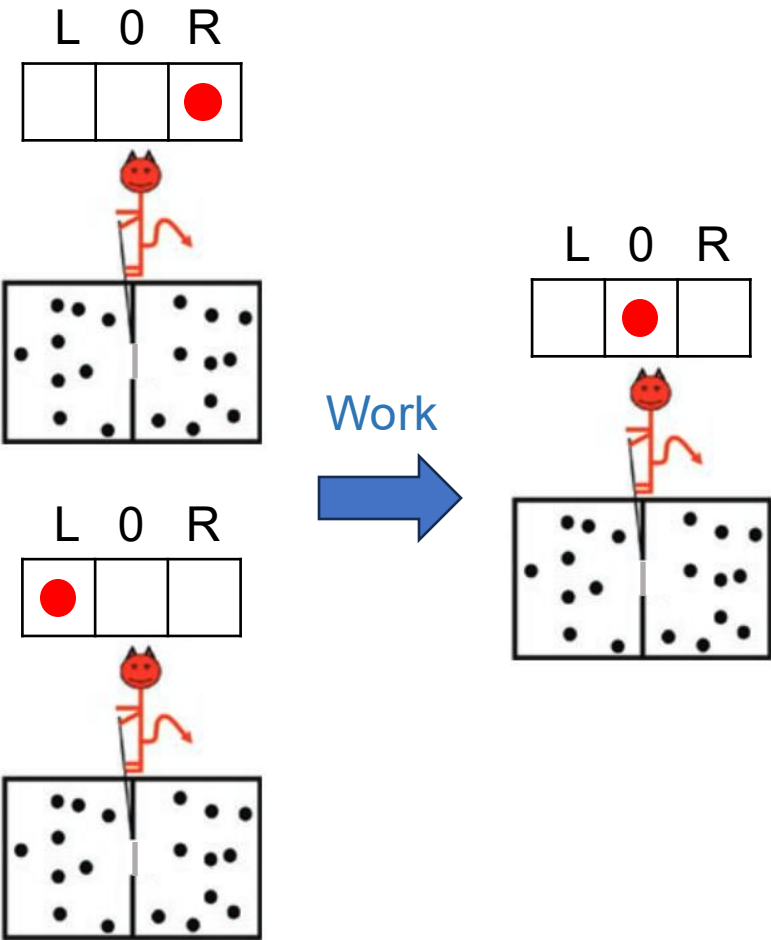
*Entropy of the system can be decreased without performing work?*

# Resolution: Energetic Cost of Information Processing

➤ Measurement

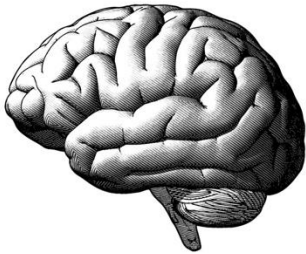
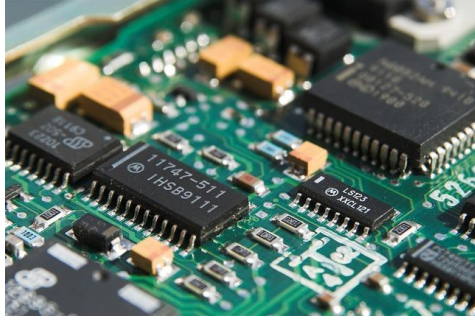


➤ Memory erasure



# Advent of Information Thermodynamics

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- Attempts to resolve the paradox of Maxwell's demon

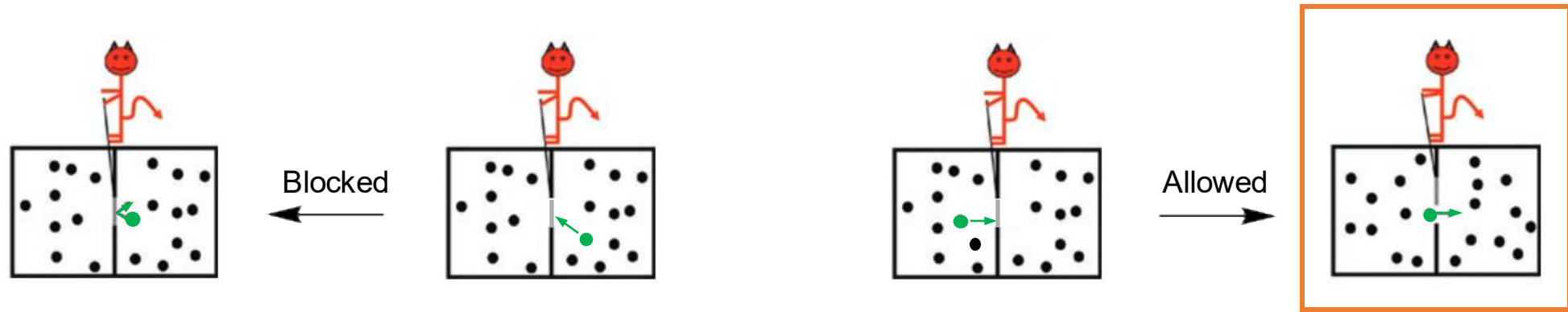
- “Information is physical.” R. Landauer, *Phys. Today* **44**, 23–29 (1991).

- Connection between entropy and quantity of information

$$S = k_B \log W \qquad I(E) = -\log P(E)$$

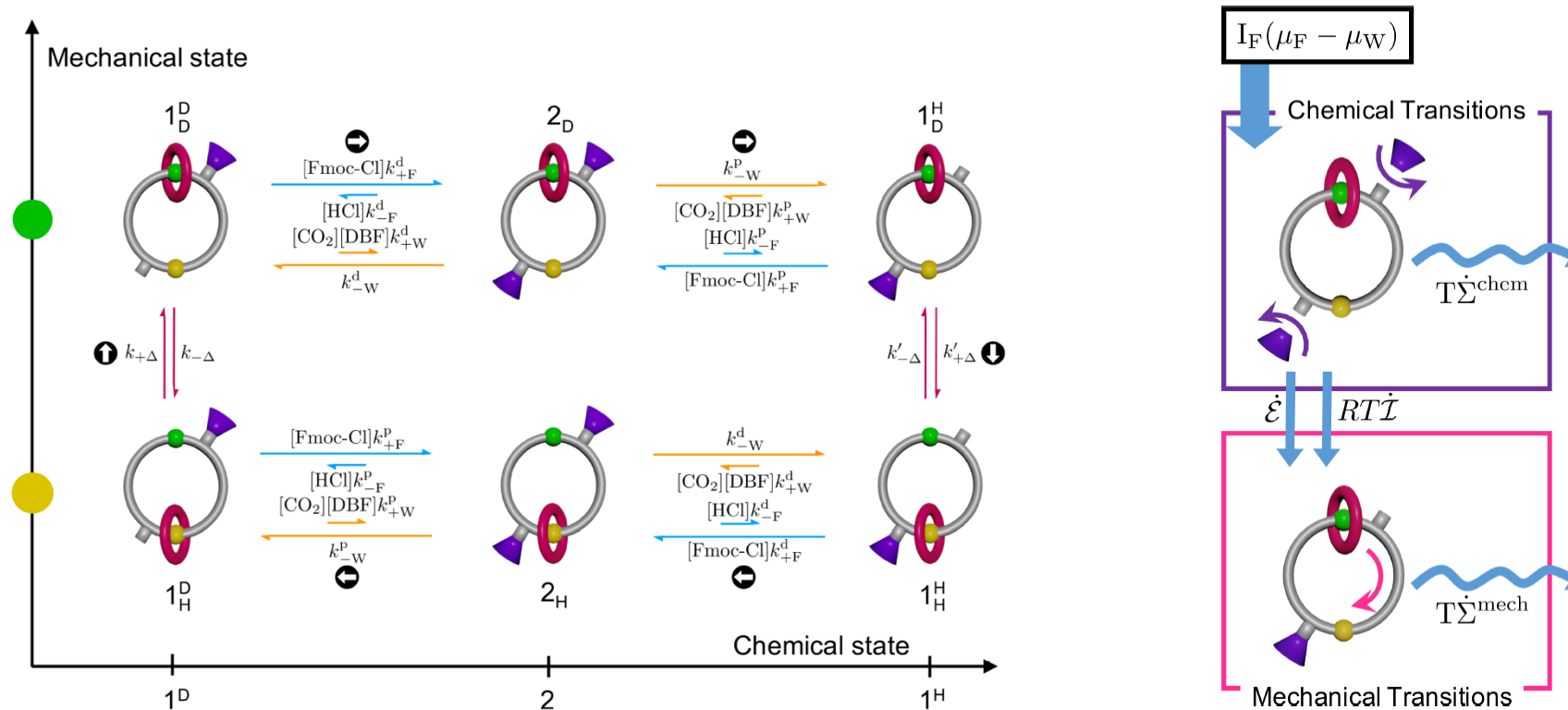
Parrondo et al., *Nat. Phys.* **11**, 131–139 (2015).

# Similarity of Maxwell's Demon and Brownian Ratchets



*Can information thermodynamics be applied to analysis of Brownian ratchets?*

# Energy Transduction to Mechanical Transitions Drives Directional Motion



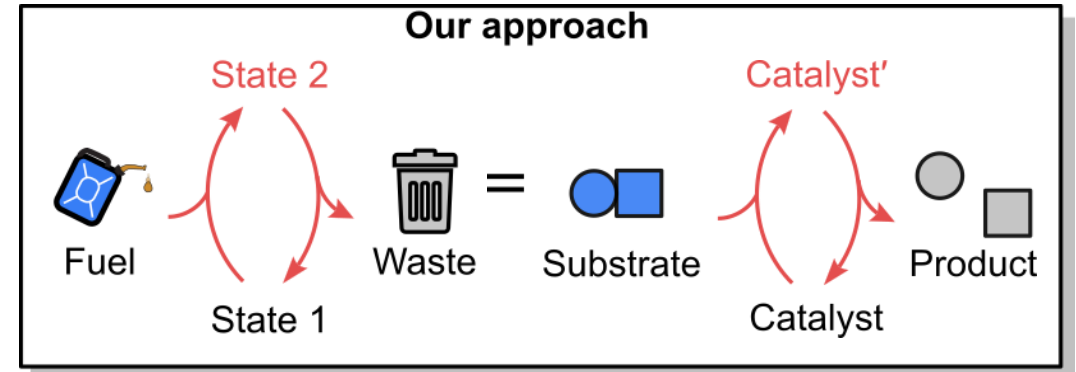
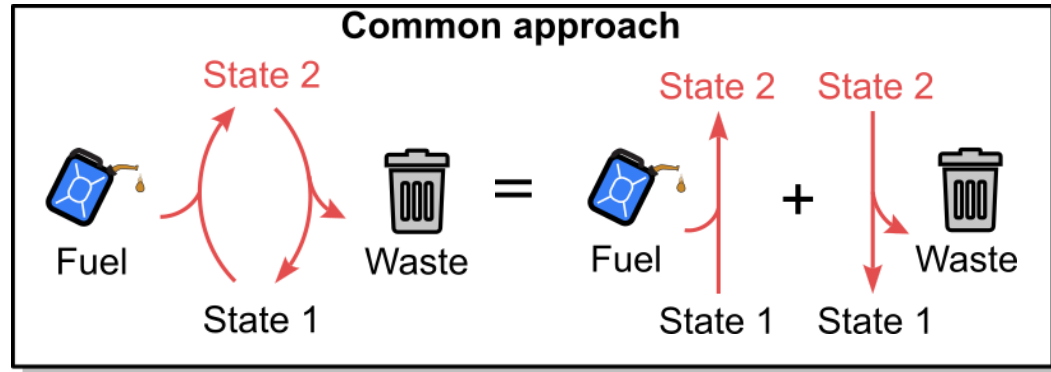
$$\dot{\mathcal{E}} = J(\mu_{1_H^D}^\circ - \mu_{1_D^D}^\circ + \mu_{1_H^H}^\circ - \mu_{1_D^H}^\circ)$$

Energy flow

$$RT\dot{\mathcal{I}} = JRT \log \frac{[1_H^D][1_D^H]}{[1_D^D][1_H^H]}$$

Information flow

# Simple and General Approach for Developing a Chemical Reaction Cycle



✗ *Difficult to find compatible reactions*

✓ *Compatibility of multiple processes*

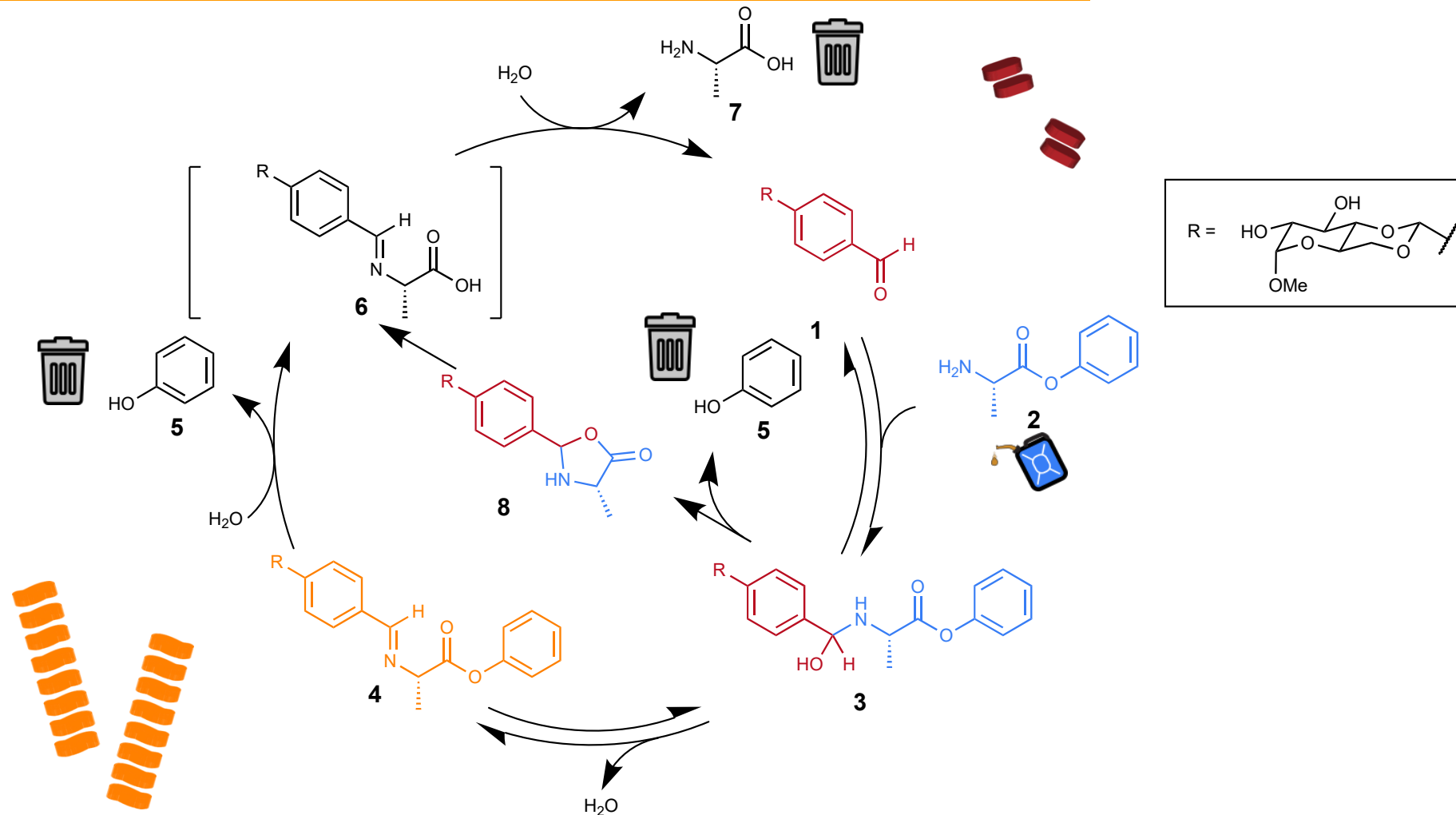
✗ *Background fuel decomposition*

✓ *Suppress background fuel decomposition*

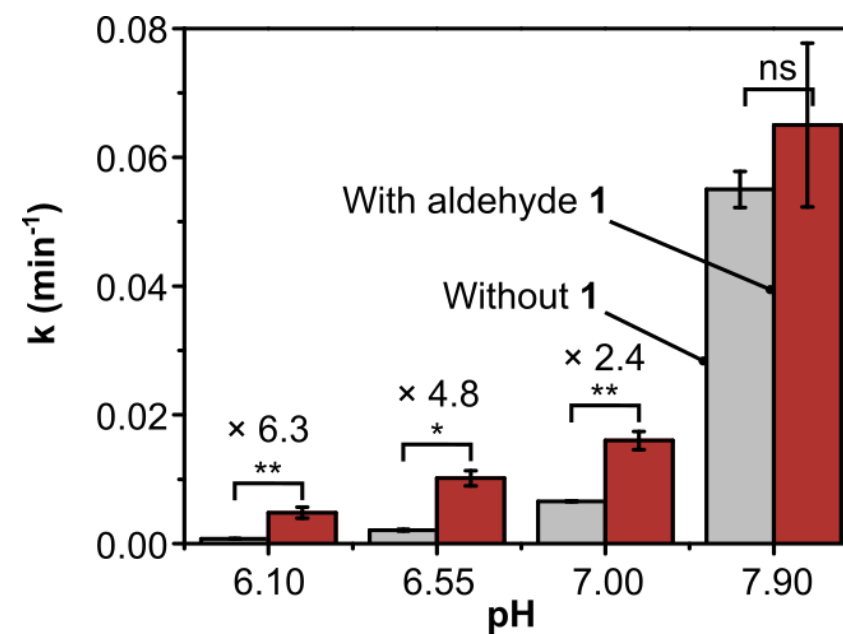
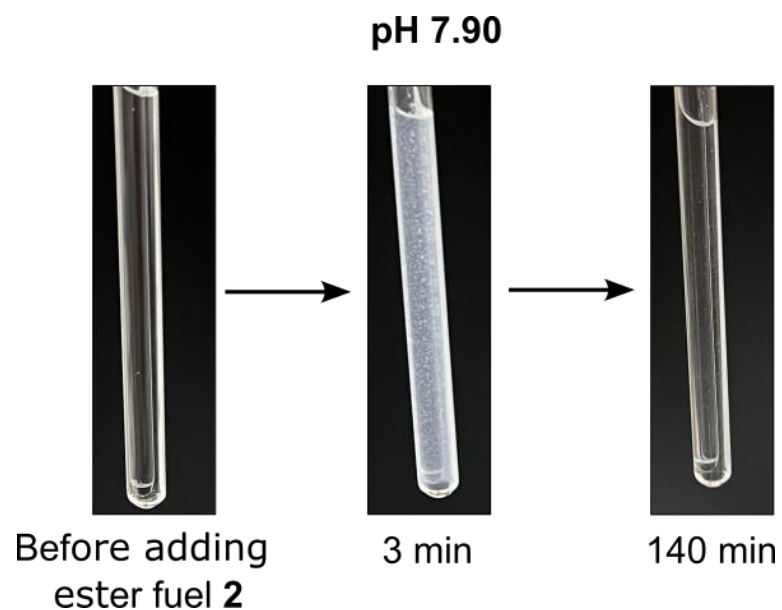
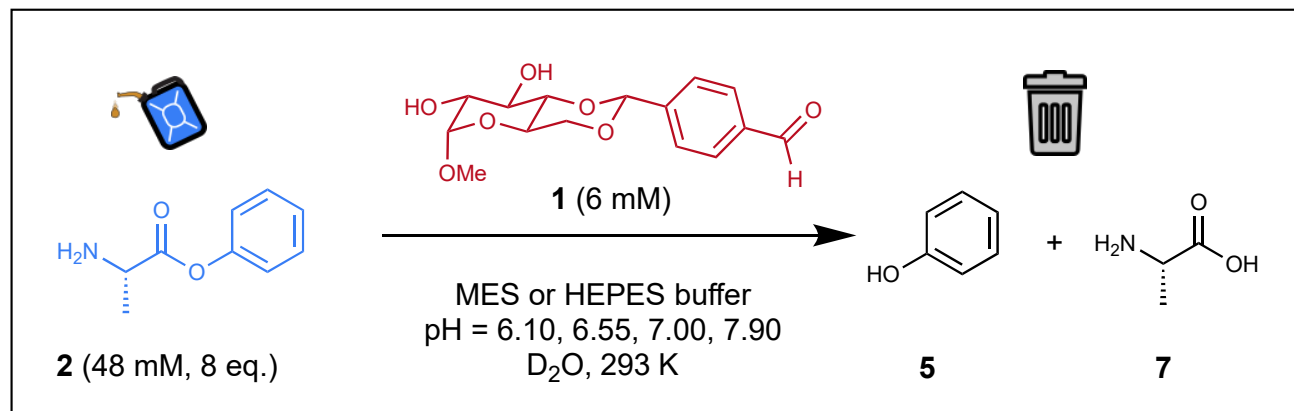
Amano et al., *Nat. Nanotechnol.* **16**, 1057–1067 (2021)

Amano, Hermans, *J. Am. Chem. Soc.* **146**, 23289–23296 (2024)

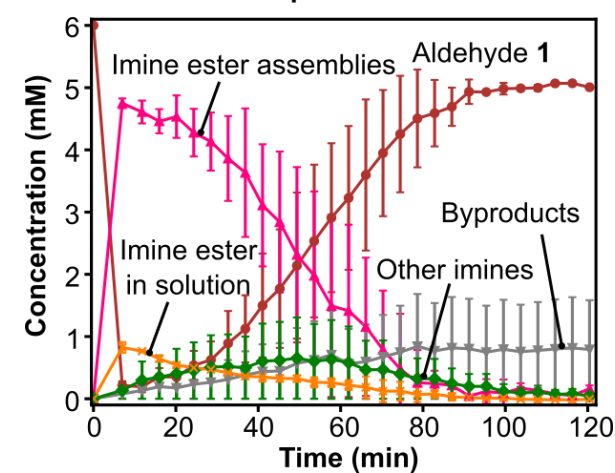
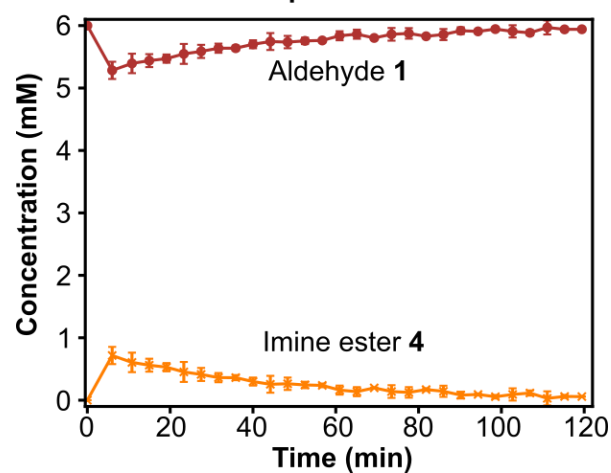
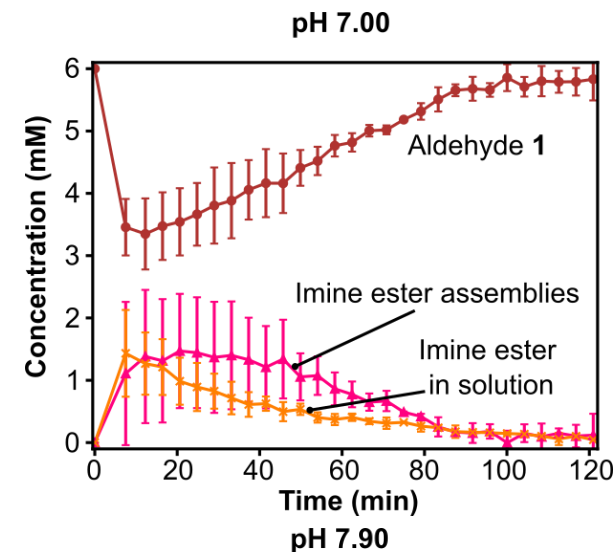
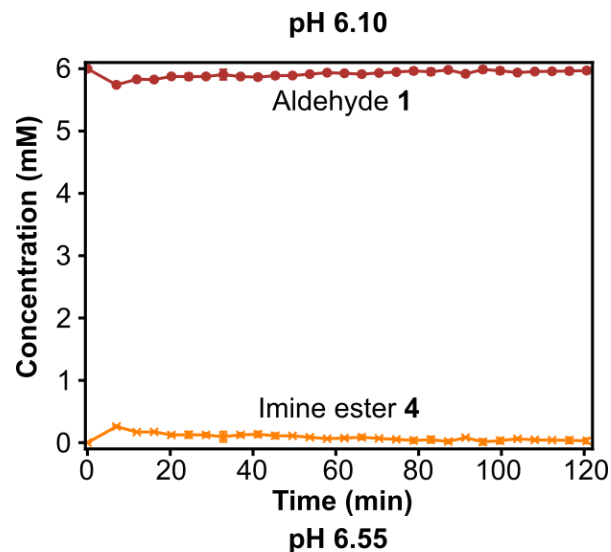
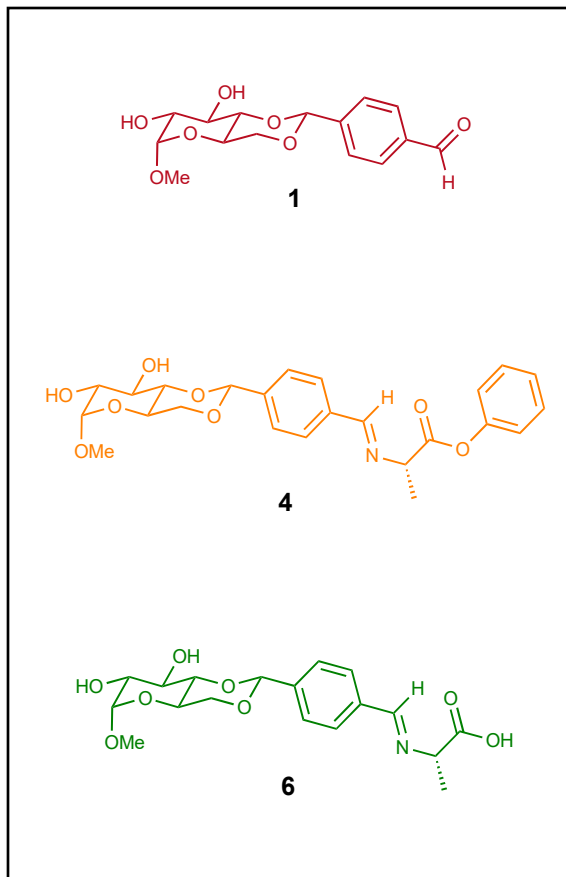
# Aim: Transient Self-Assembly



# Observation of Transient Self-Assembly

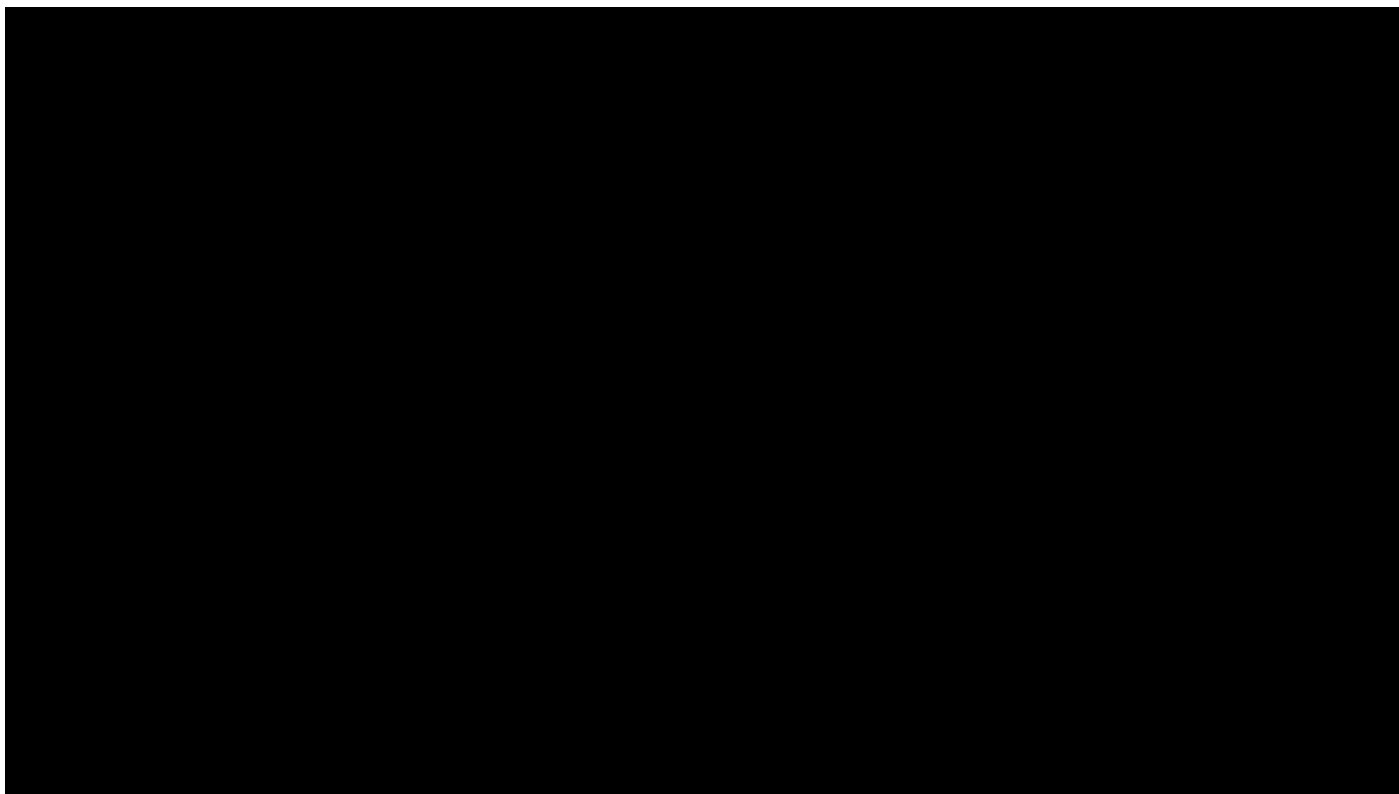
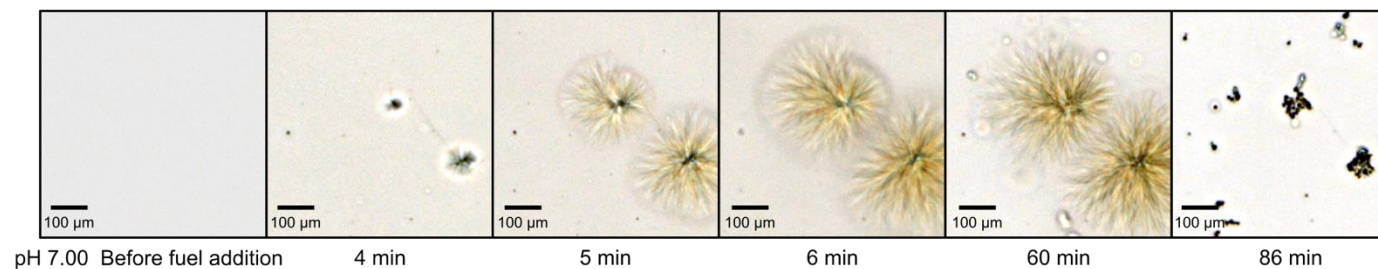


# Effect of pH to Imine Ester 4 Formation

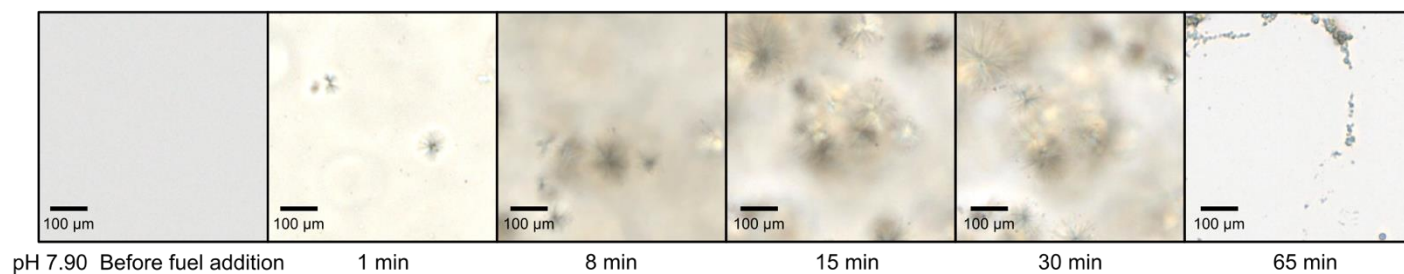


*Higher pH, more imine ester 4*

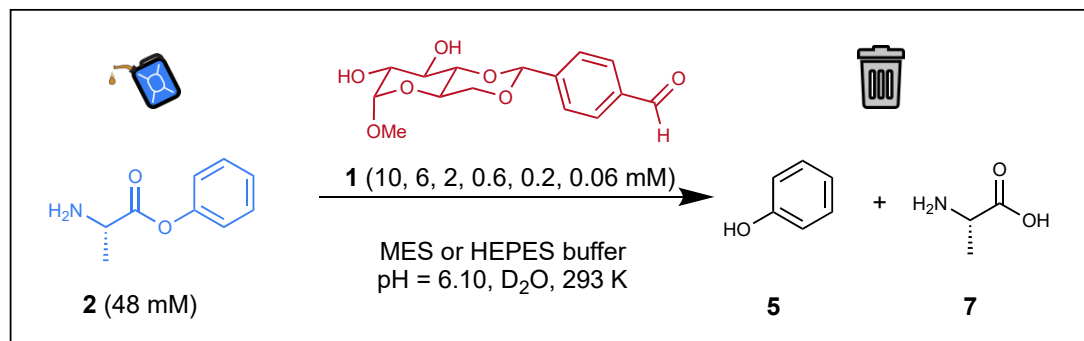
# Observation by Optical Microscope – pH 7.00



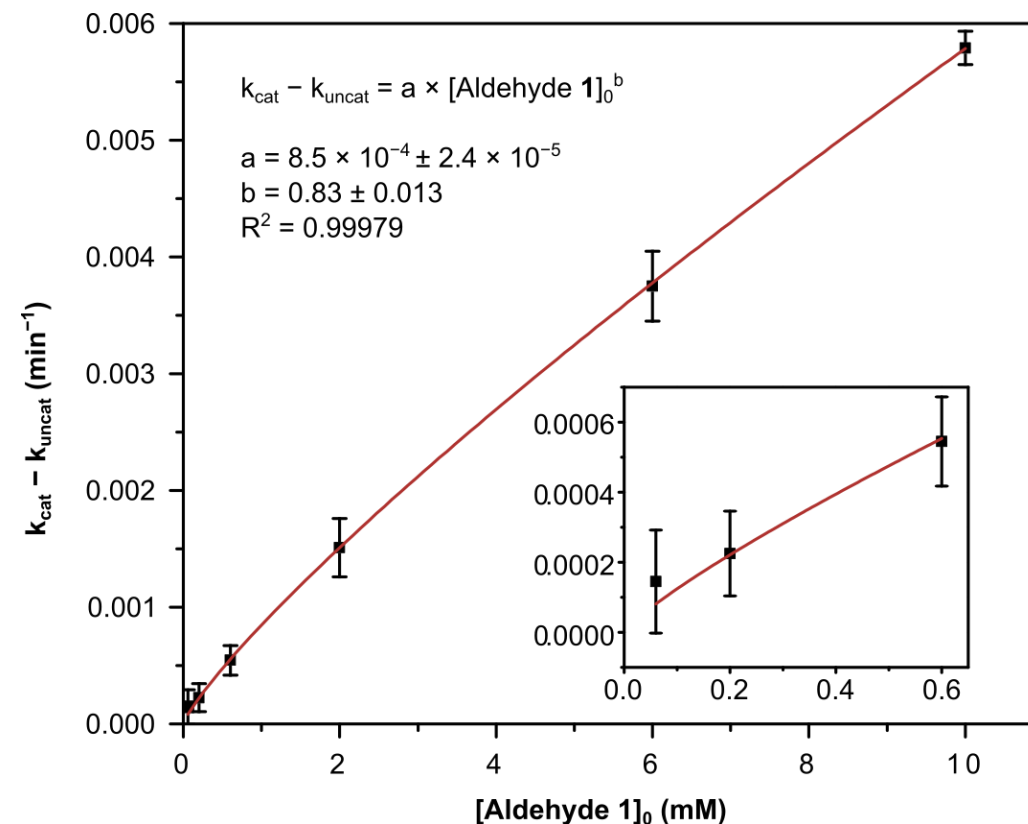
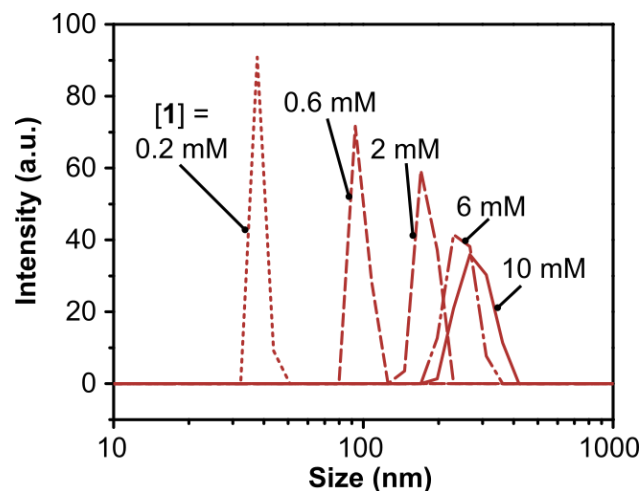
# Observation by Optical Microscope – pH 7.90



# Can Assemblies of Aldehyde 1 Catalyze Fuel Decomposition?

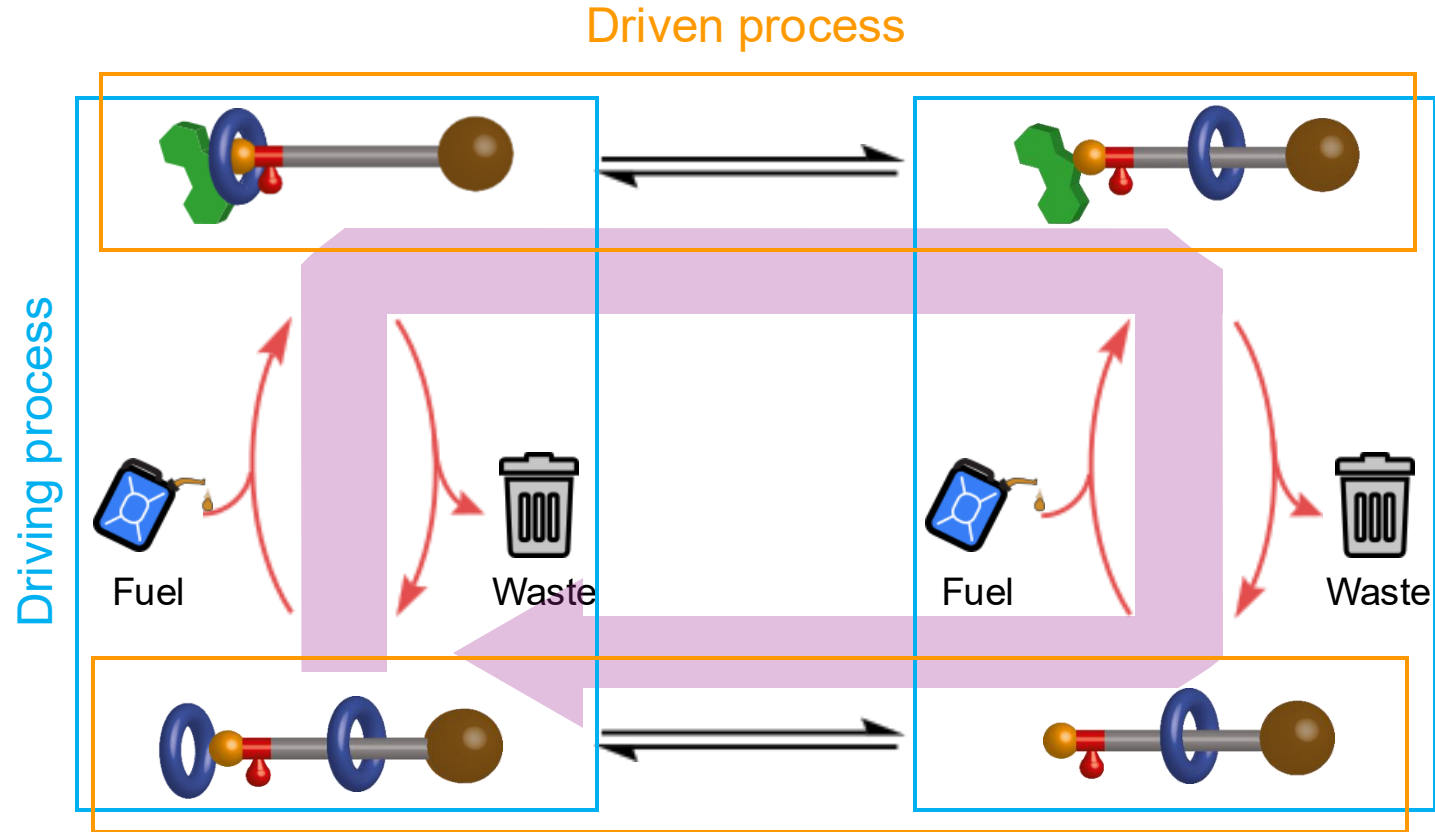


## Dynamic Light Scattering



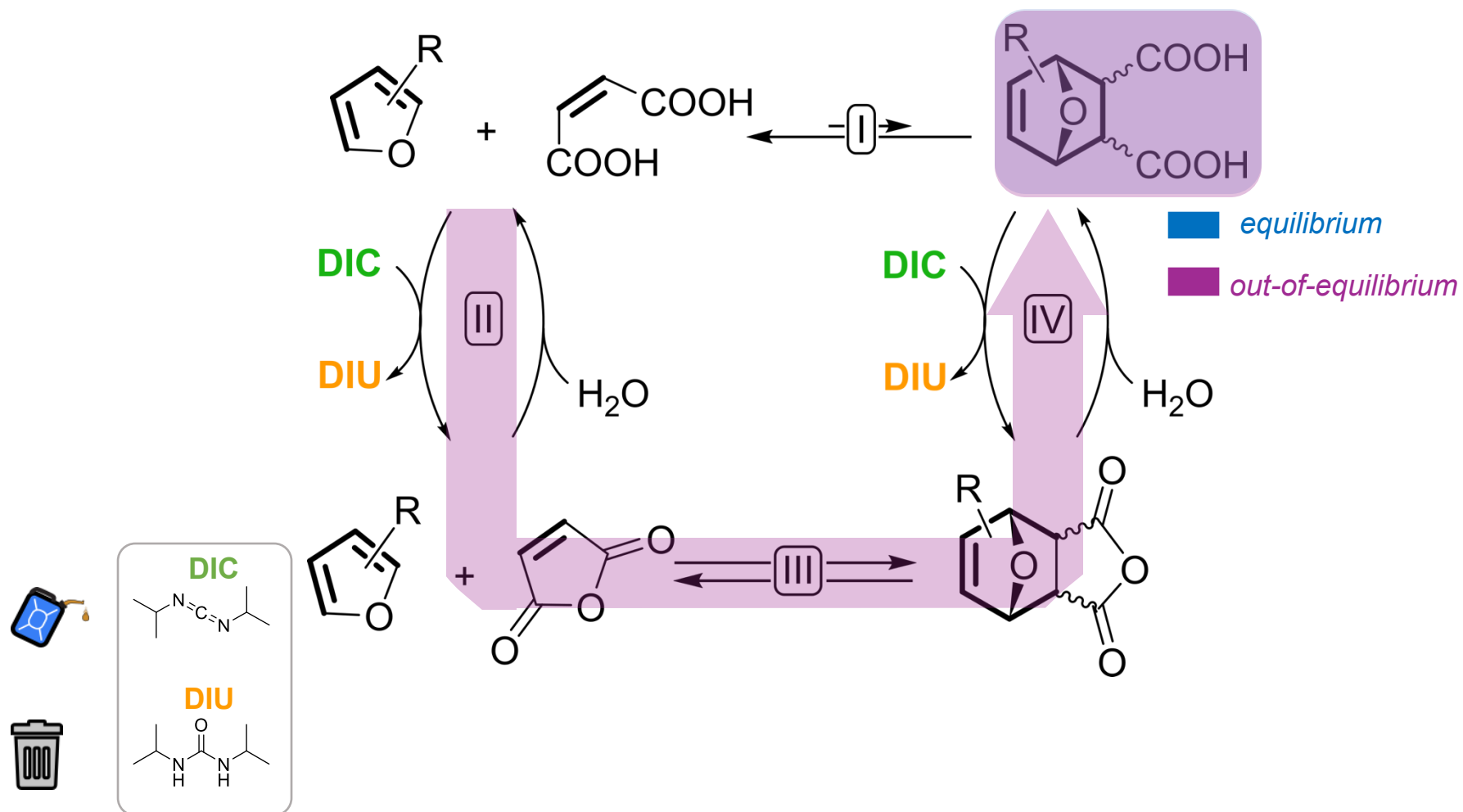
*Assemblies of 1 also catalyse fuel decomposition*

# Application of Ratchet Mechanism to Other Systems

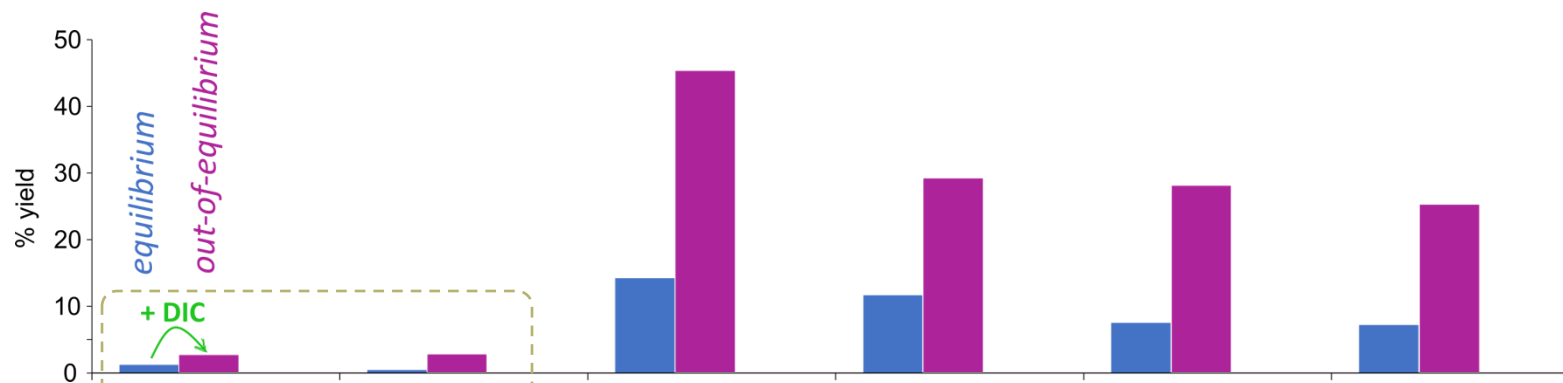
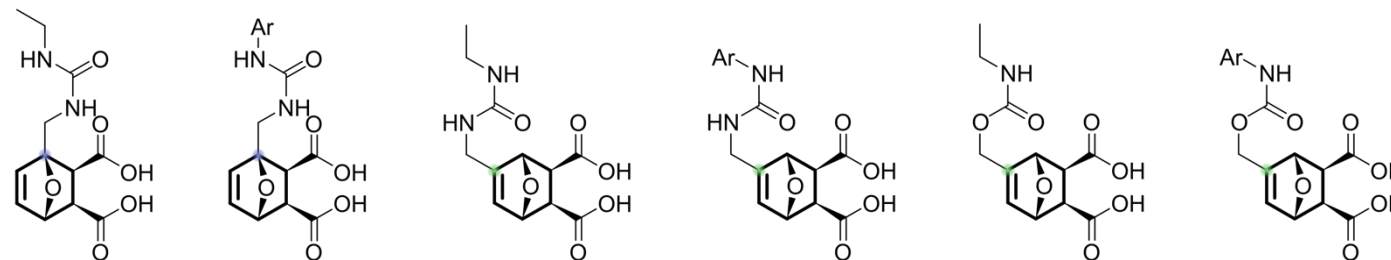


*Can ratchet mechanisms drive other processes?*

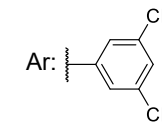
# Endergonic Synthesis: Synthesis away from Equilibrium



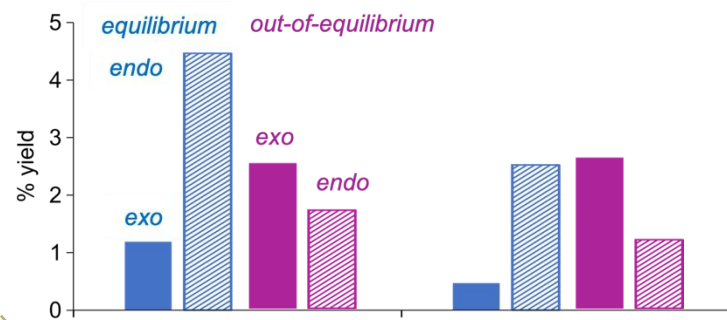
# Single-Batch Fuel Addition



100 mM of addends, 300 mM of DIC, CD<sub>3</sub>CN:D<sub>2</sub>O (85:15), 40°C



*Inversion of stereoselectivity*

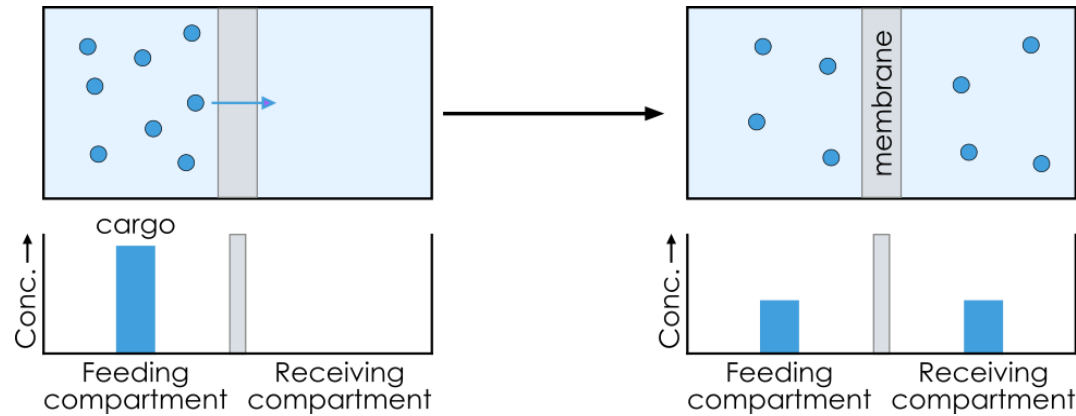


*Accumulation of high-energy products*

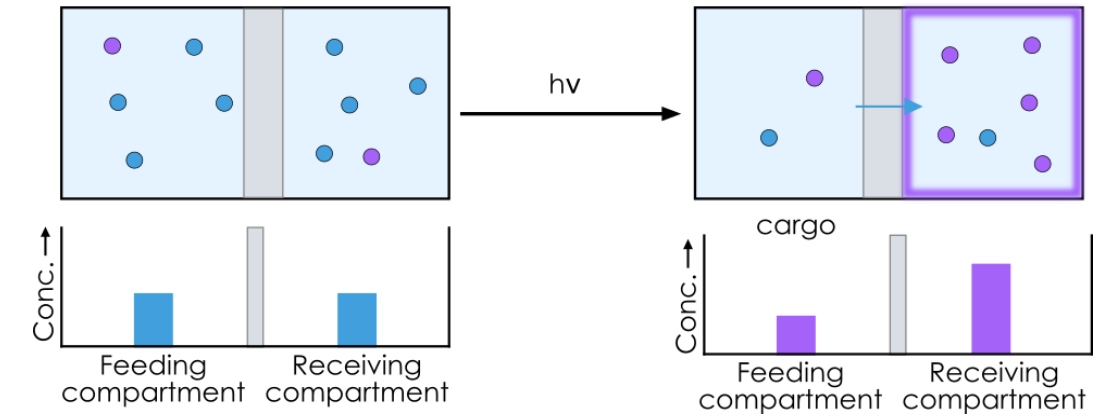
Al Shehimi *et al.*, *Angew. Chem. Int. Ed.* **63**, e202411554 (2024)

# Active Transport: Transport against a Concentration Gradient

## ➤ Passive transport



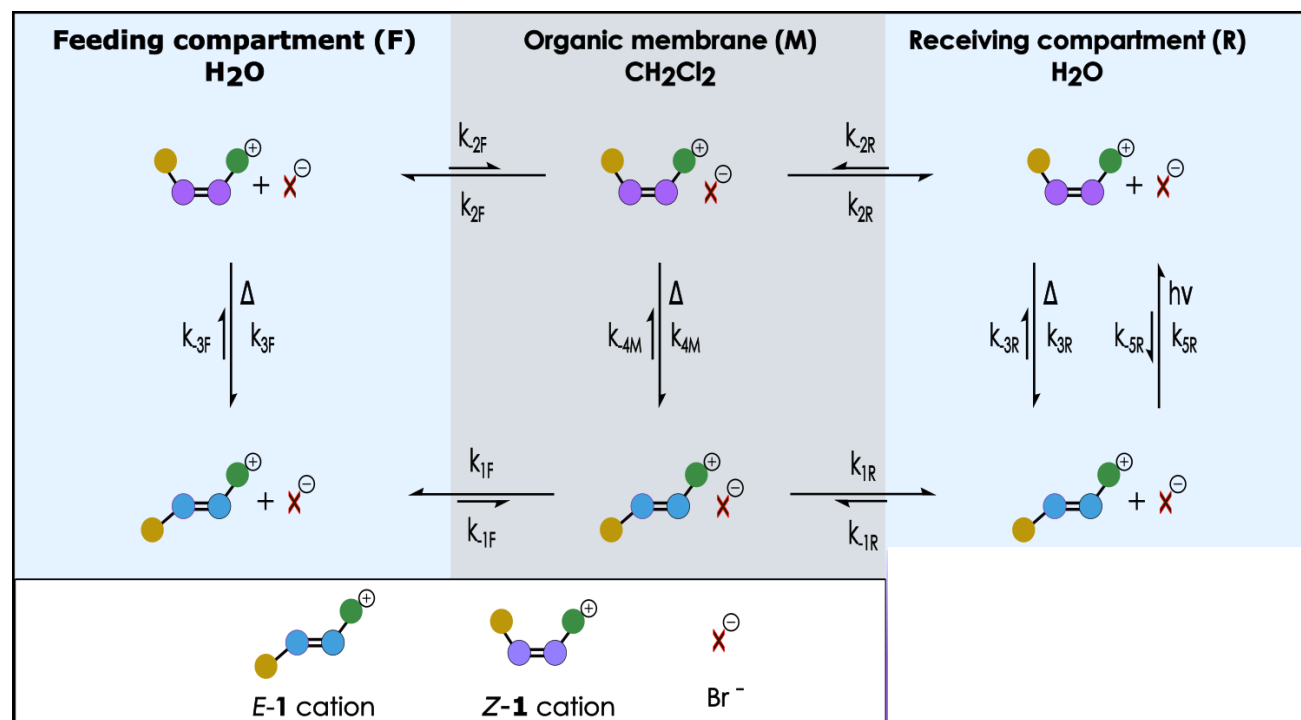
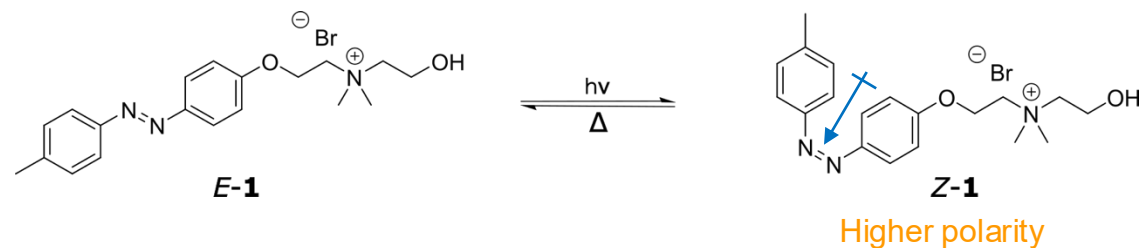
## ➤ Active transport



➤ Significant role in biology (e.g., energy conversion, signaling)

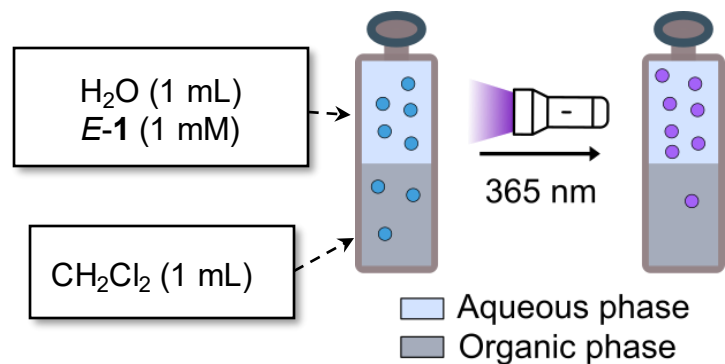
➤ Key requirement: supply of energy

# Azobenzene Photoisomerization Coupled to Mass Transport

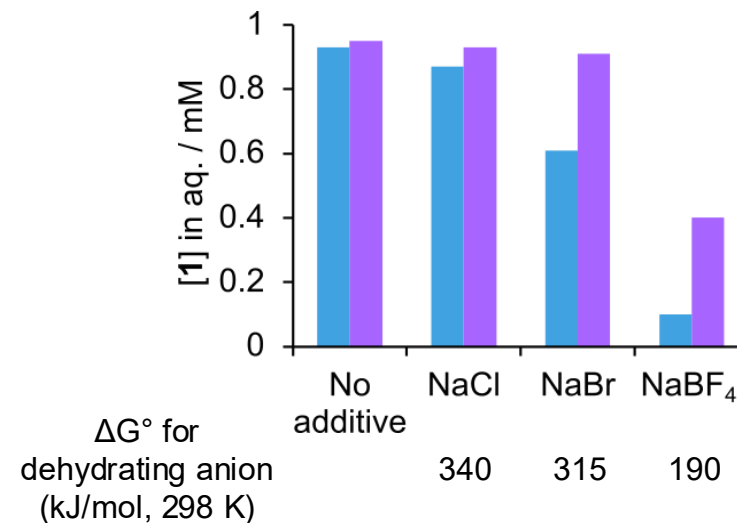


# Repartition Study in Biphasic Systems

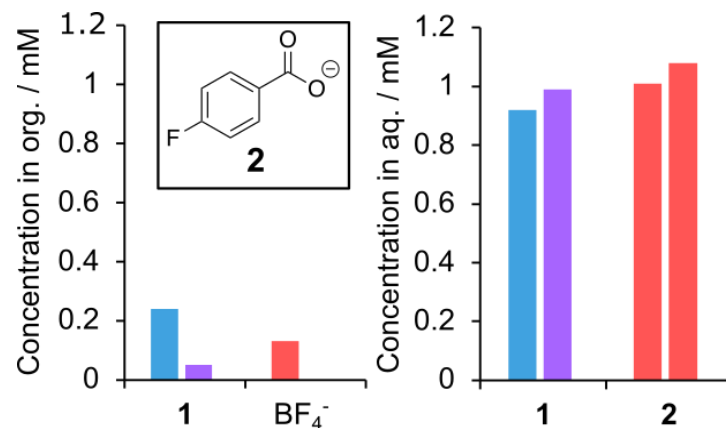
## ➤ Setup



## ➤ Effect of additives (100 mM)



## ➤ Co-transport of **1** and counter anions

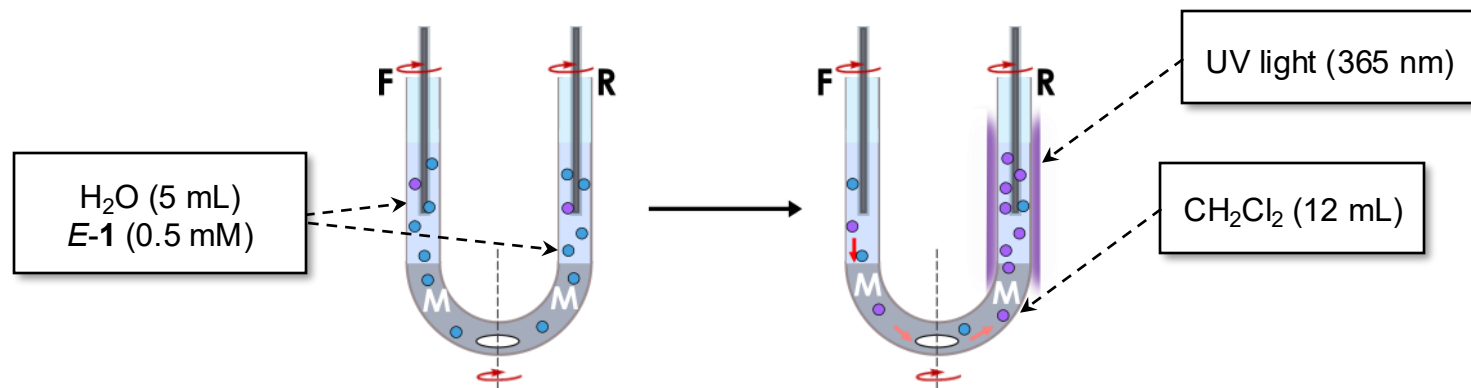


*Additive affects distribution of azobenzene **1***

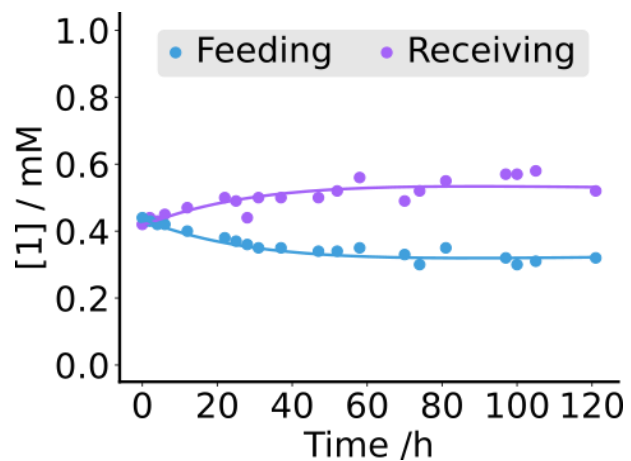
***1** and added anions are transported together*

# Active Transport of Azobenzene Derivatives

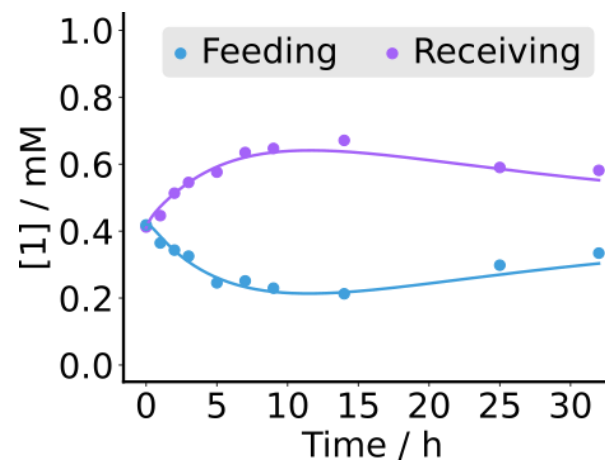
## ➤ Setup: U-Tube



## ➤ No additive

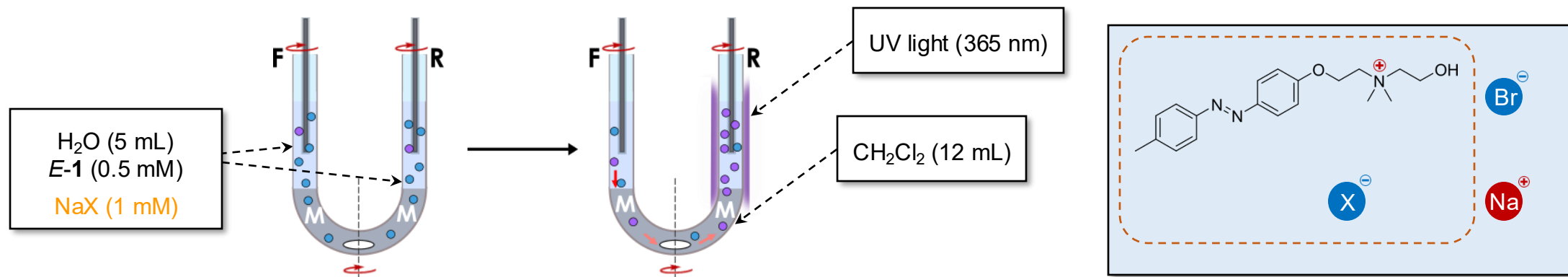


## ➤ With 10 mM NaBr in F and R

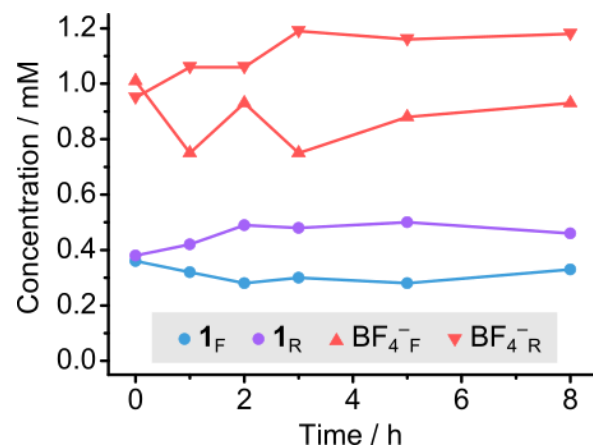


# Co-transport of Counter Anions

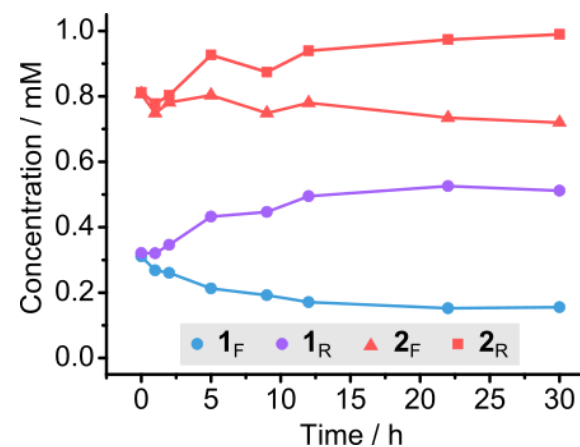
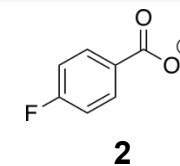
## ➤ Addition of salts



## ➤ $\text{X}^- = \text{BF}_4^-$



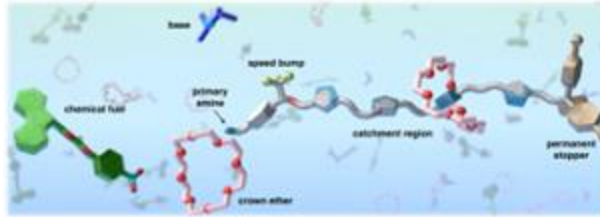
## ➤ $\text{X}^- = 4\text{-fluorobenzoate}$



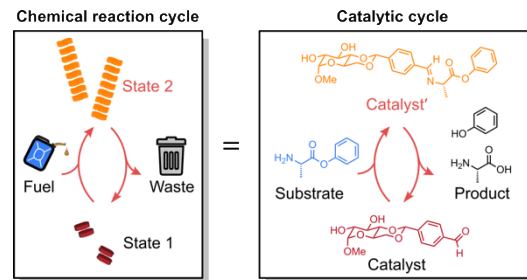
*Simple & versatile strategy for active transport of ions*

# Conclusion and Outlook

- Autonomous molecular pump

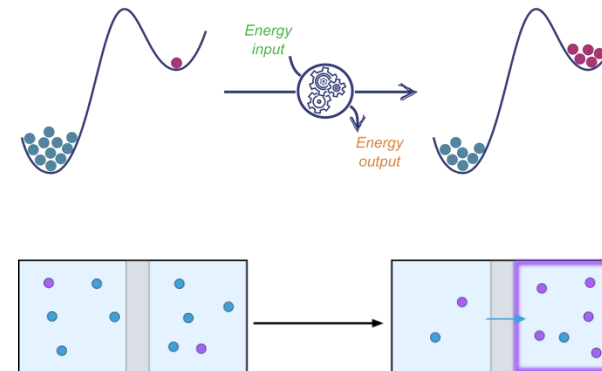


- Simple & general approach for developing chemically driven systems



- Theories for design

- Endergonic synthesis, active transport



*Practical systems?*

*Other applications?*



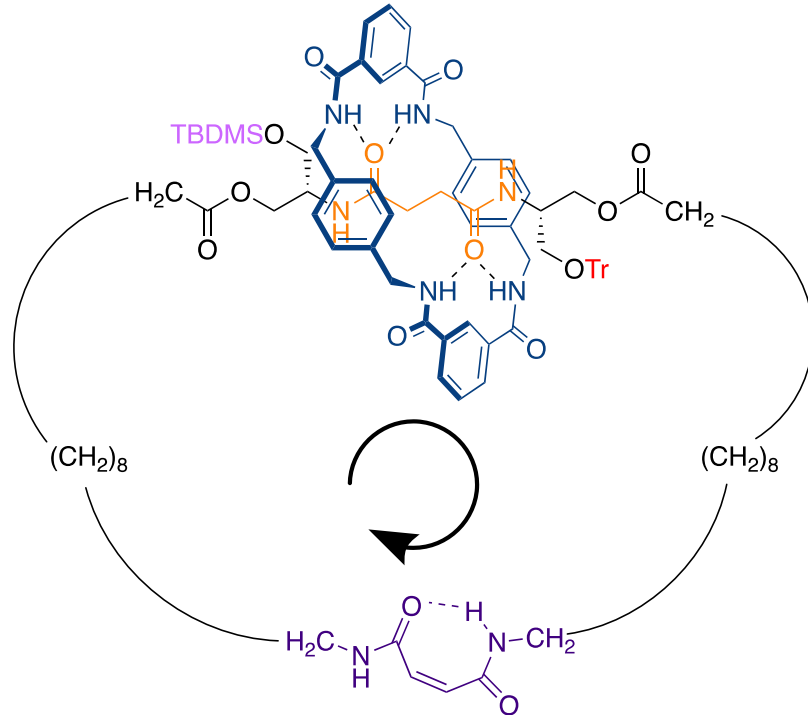
# Acknowledgements

- Prof. David Leigh
- Dr. Stephen Fielden
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- Dr. Benjamin Roberts,  
Dr. Elisabeth Kreidt,  
Dr. Emanuele Penocchio,  
Prof. Massimiliano Esposito
- Prof. Thomas Hermans
- Hermans Lab
- Dr. Giulio Ragazzon
- Dr. Shaymaa Al Shehimi,  
Hai Dang Le
- Dr. Federico Nicoli  
Sani Yahaya
- Ragazzon Lab



# Non-autonomous vs. Autonomous

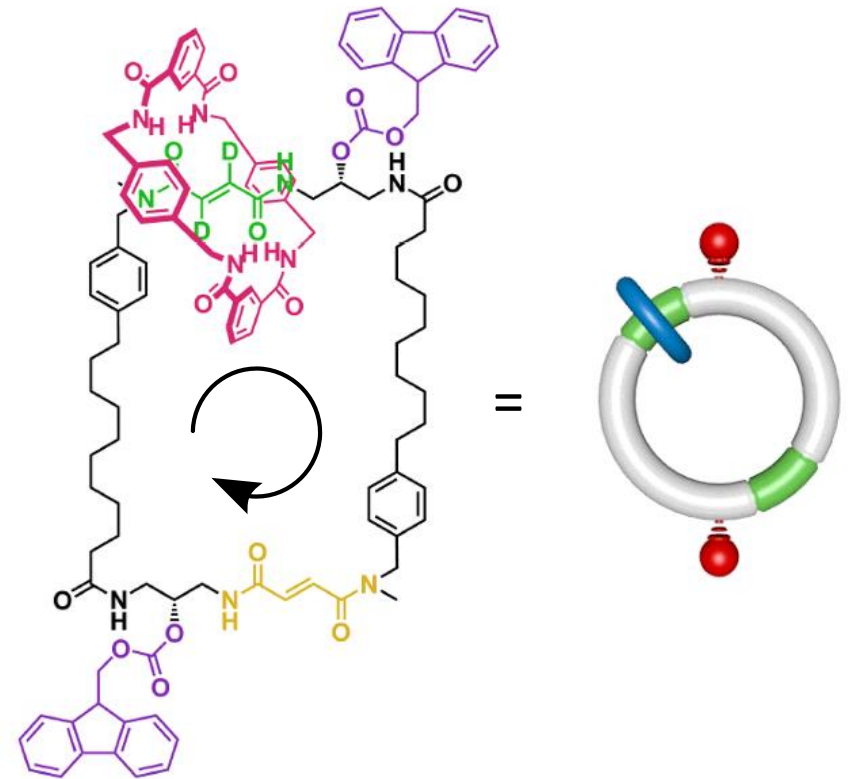
## ➤ Non-autonomous motor



*Stepwise operation*

J. V. Hernández, E. R. Kay & D. A. Leigh *Science* **306**, 1532–1537 (2004).

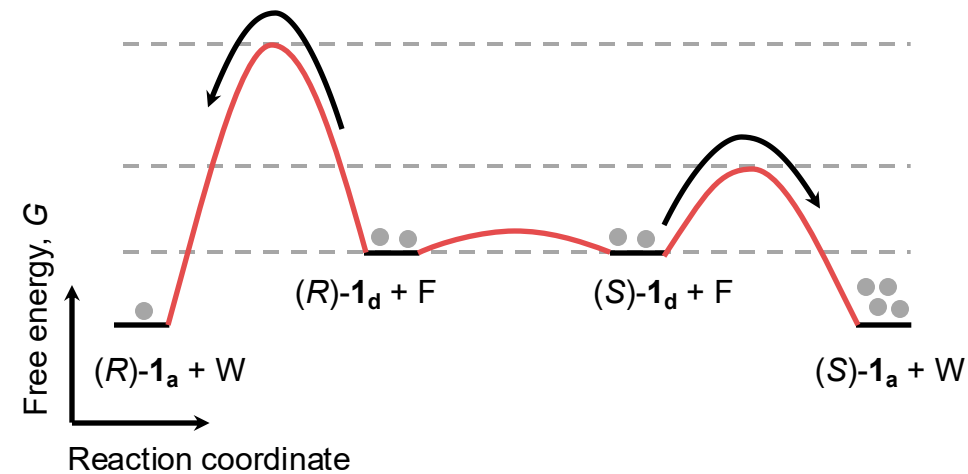
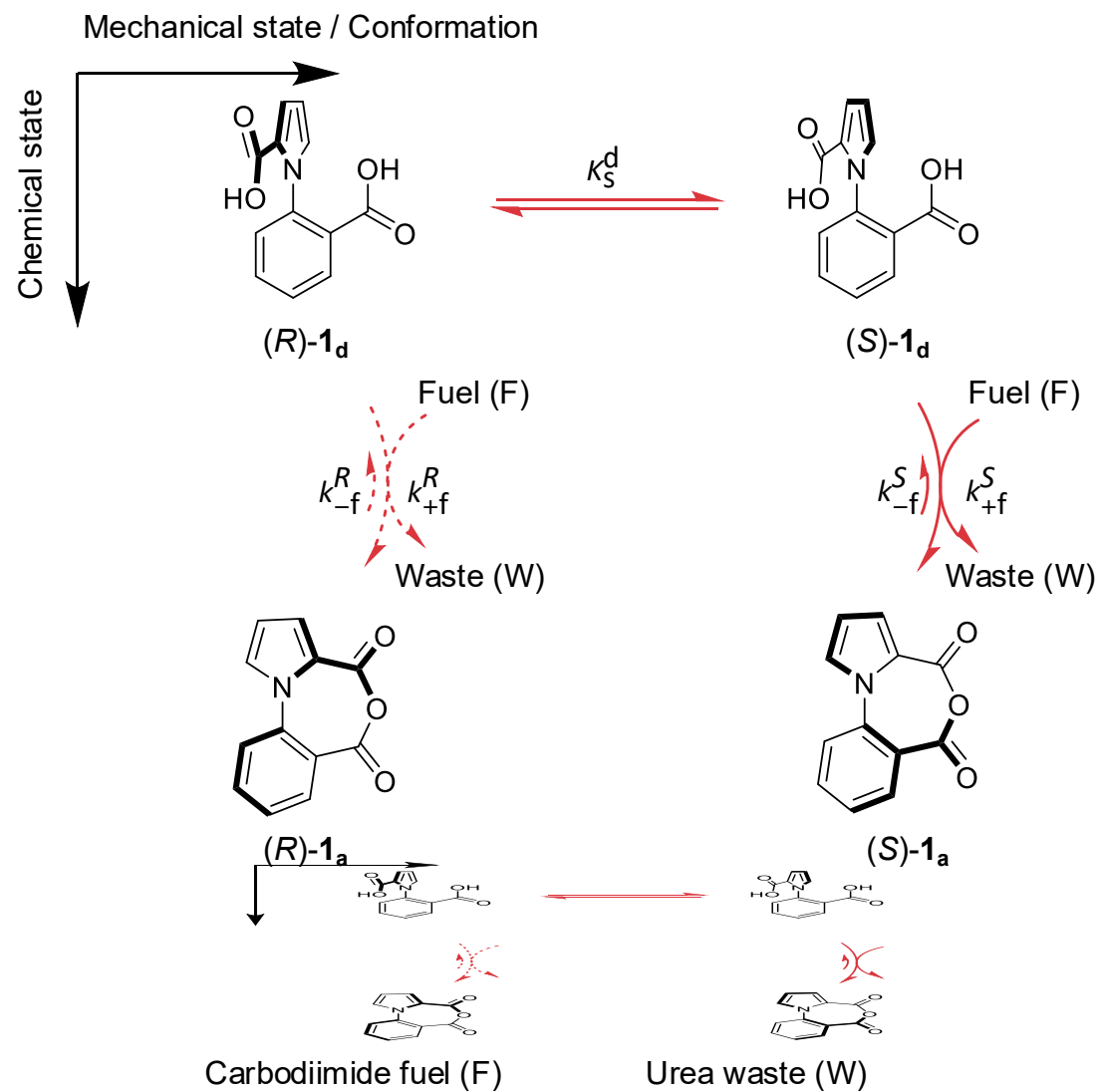
## ➤ Autonomous motor



*Operation in fixed environments*

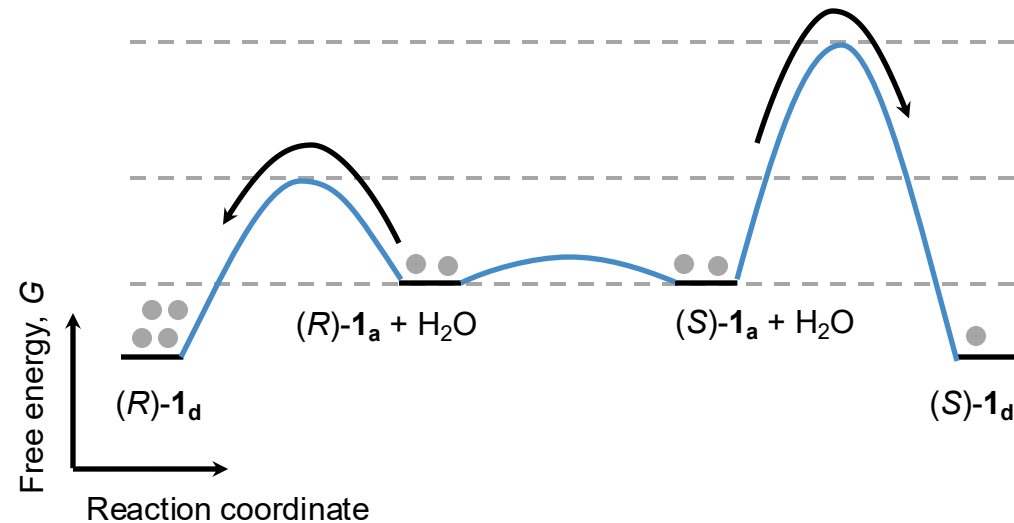
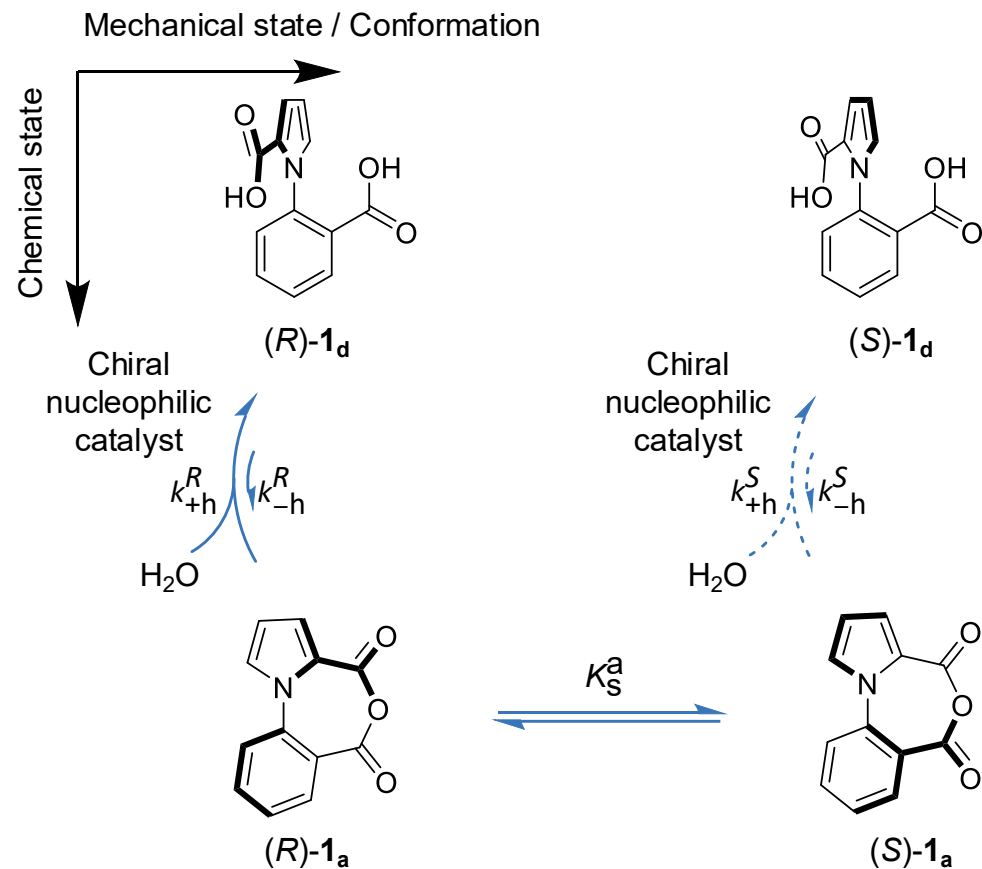
M. R. Wilson, J. Solà, A. Carlone, S. M. Goldup, N. Lebrasseur, D. A. Leigh, *Nature* **534**, 235–240 (2016).

# Anhydride Formation



$$\frac{[(S) - 1_a]}{[(R) - 1_a]} \approx \frac{k_{+f}^S}{k_{+f}^R} K_s^d$$

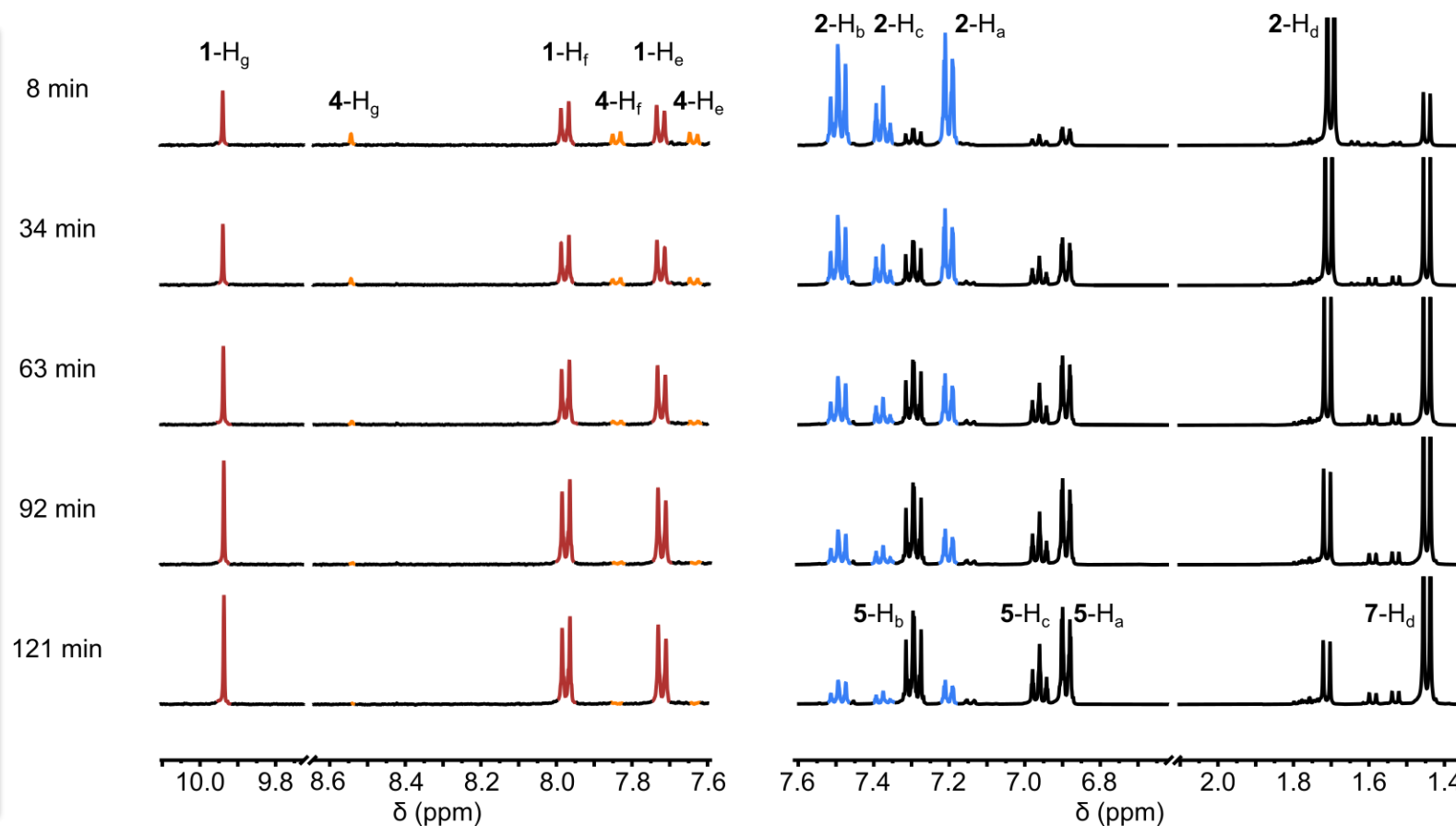
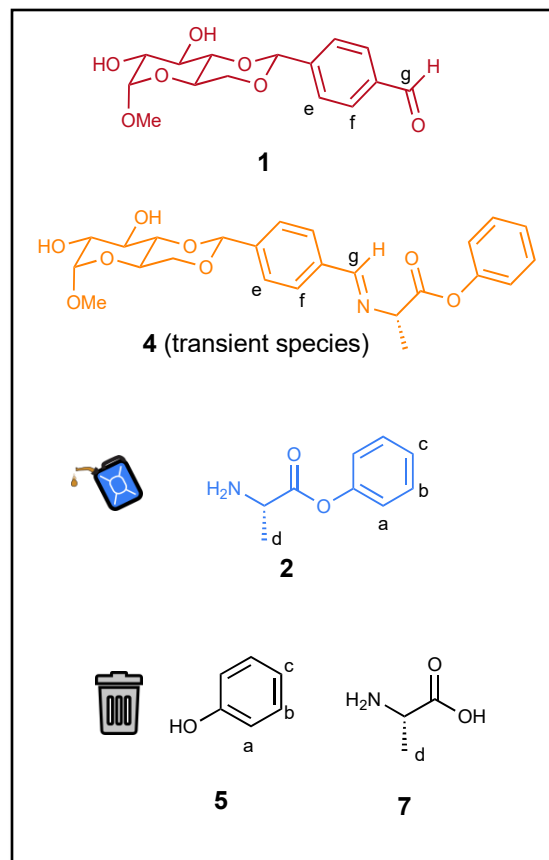
# Hydrolysis



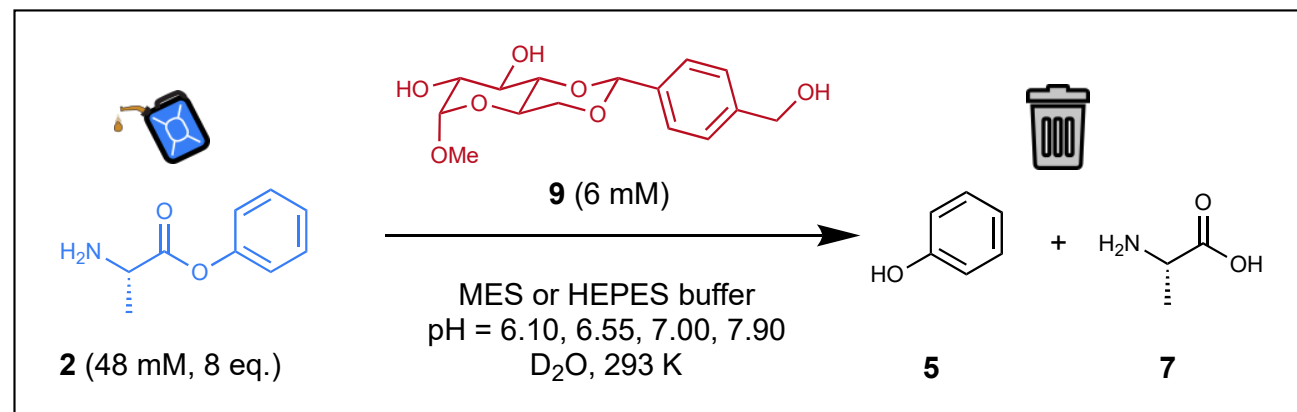
$$\frac{[(R) - 1_d]}{[(S) - 1_d]} \approx \frac{k_{+h}^R}{k_{+h}^S} K_S^a$$

# Transient Formation of Imine Ester 4

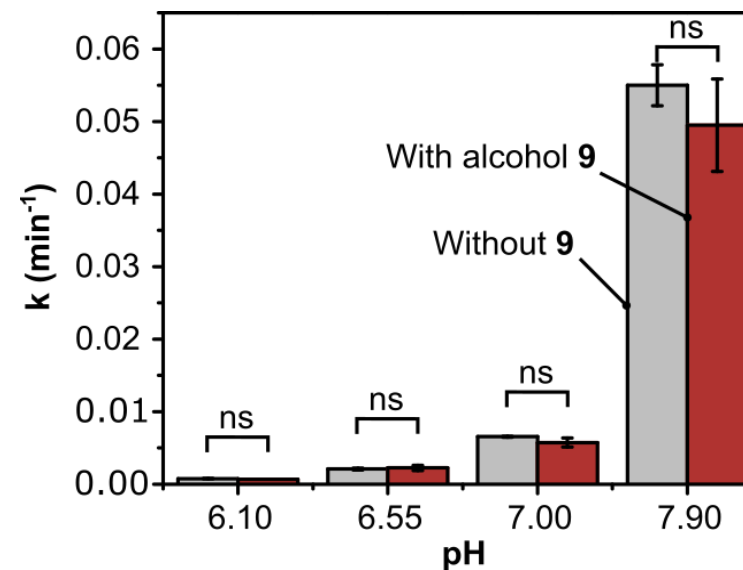
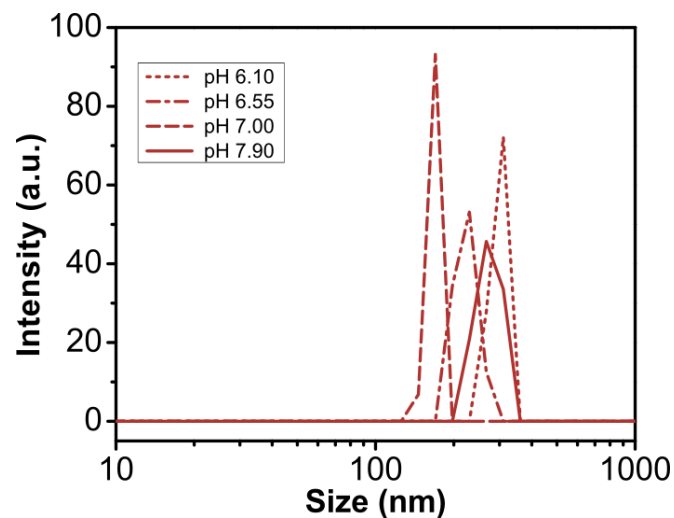
## $^1\text{H}$ NMR in $\text{D}_2\text{O}$ (pH 7.00)



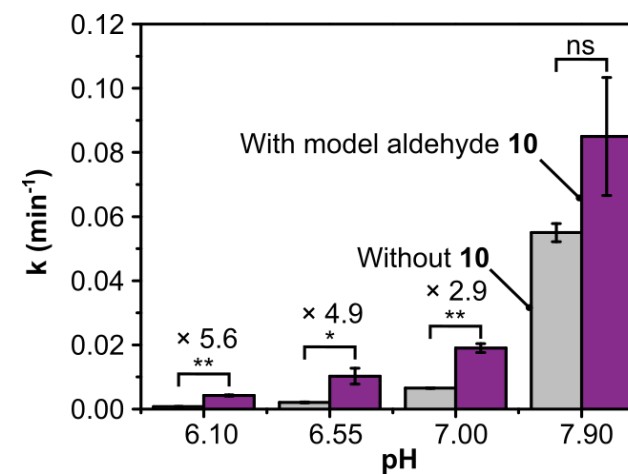
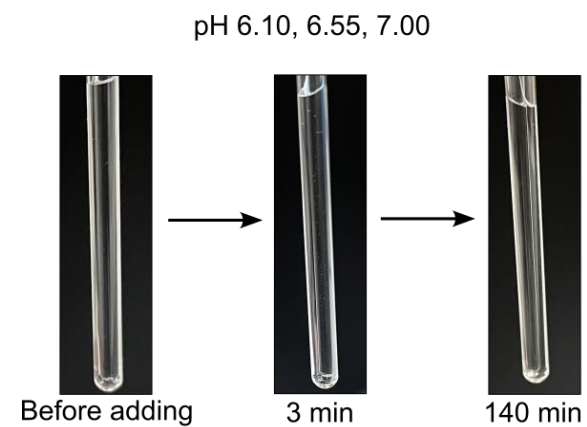
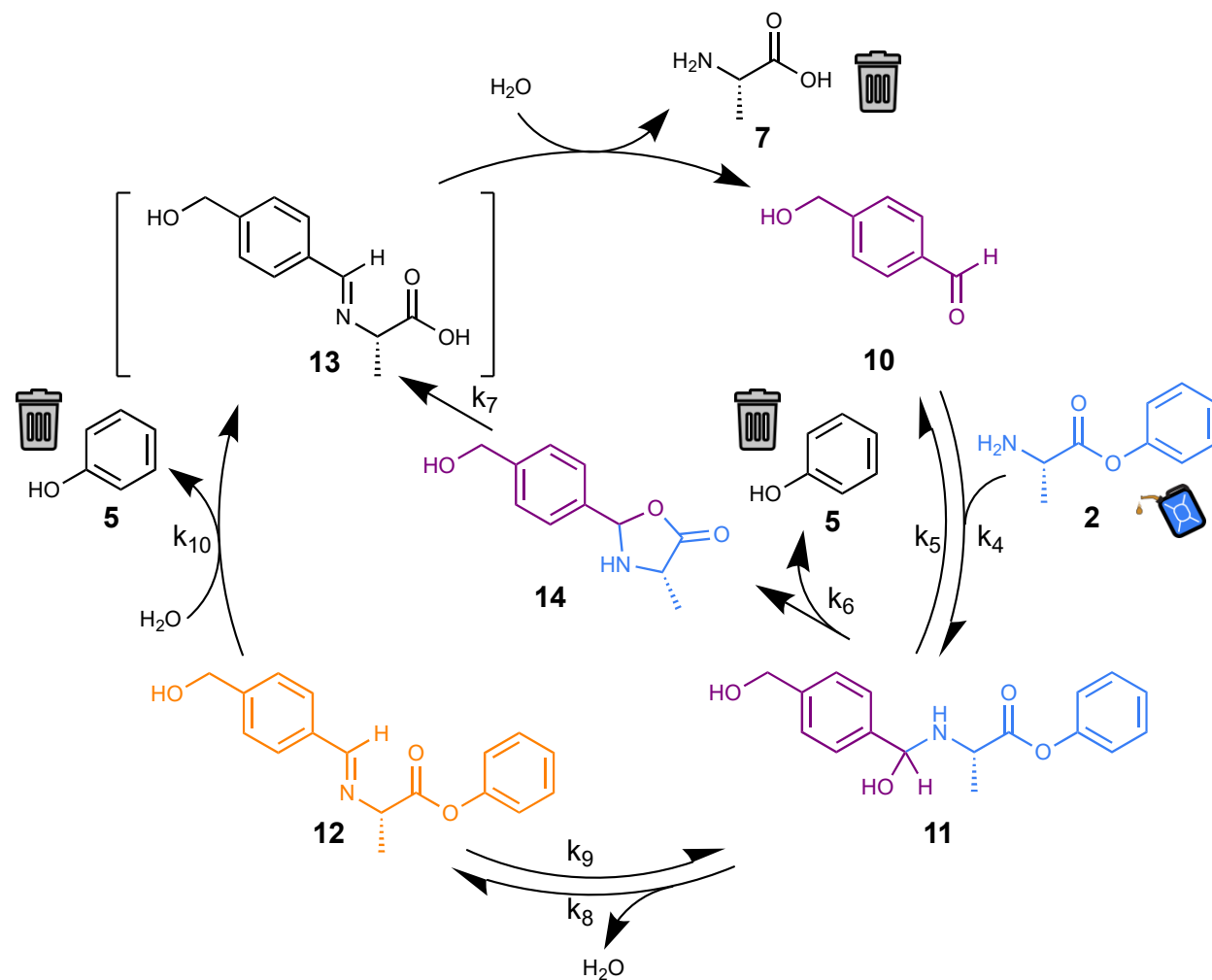
# Control Experiment with Alcohol 9



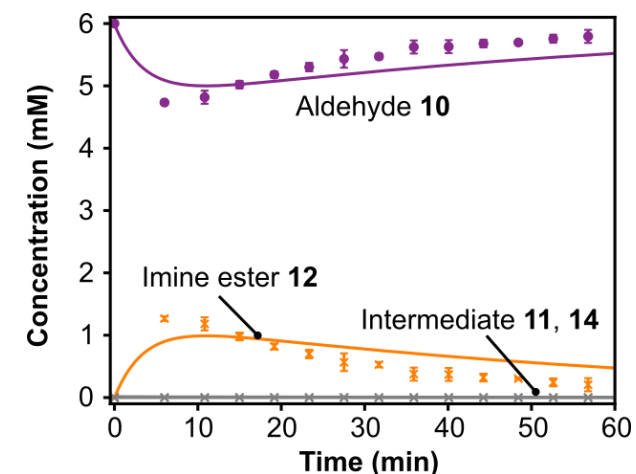
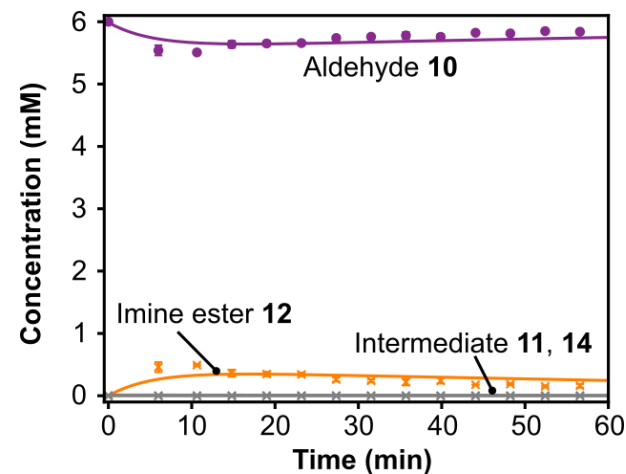
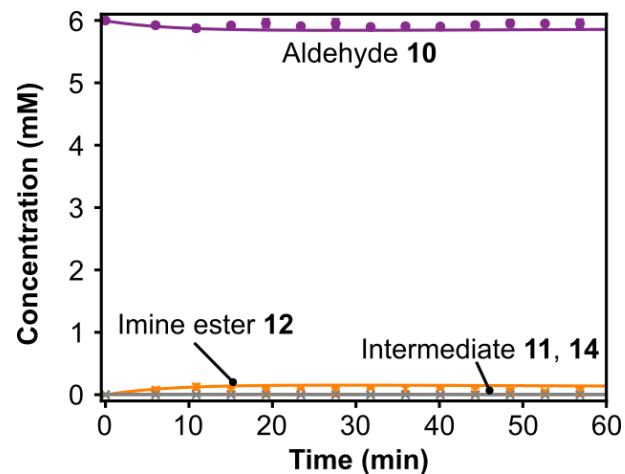
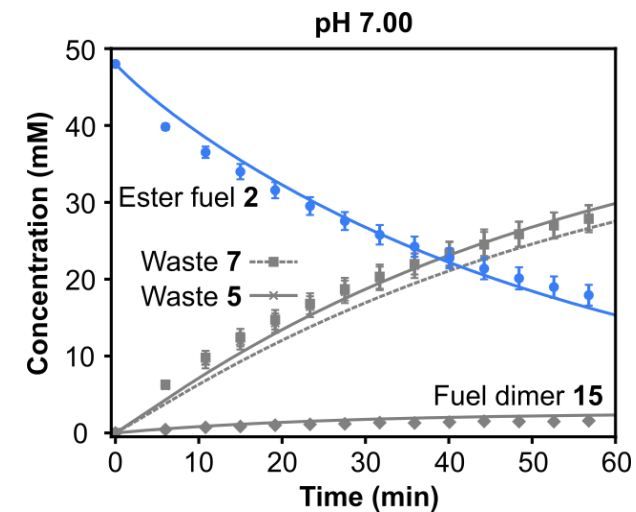
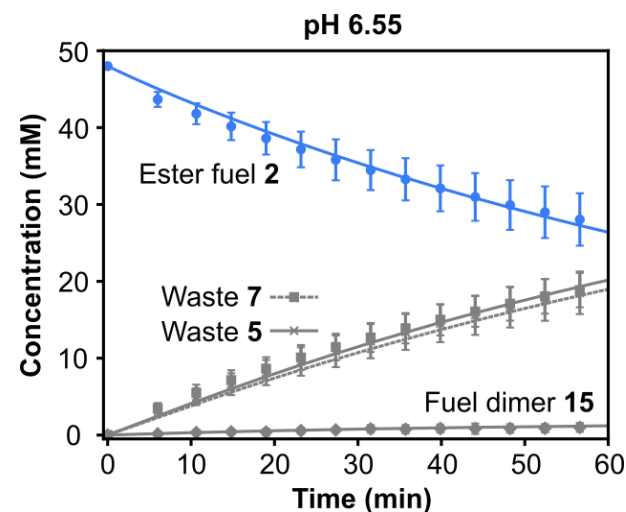
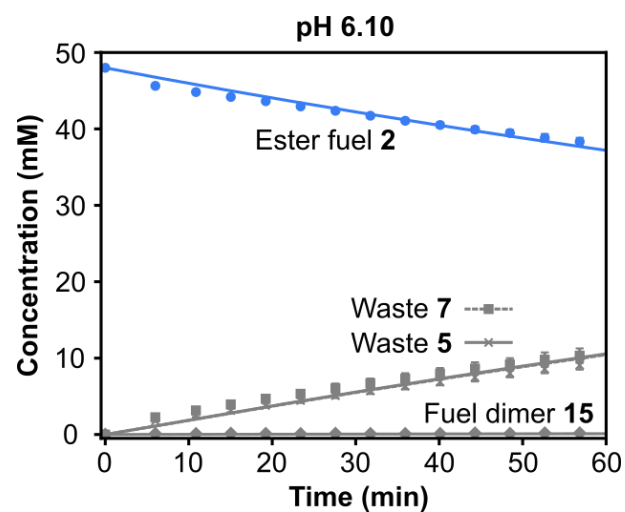
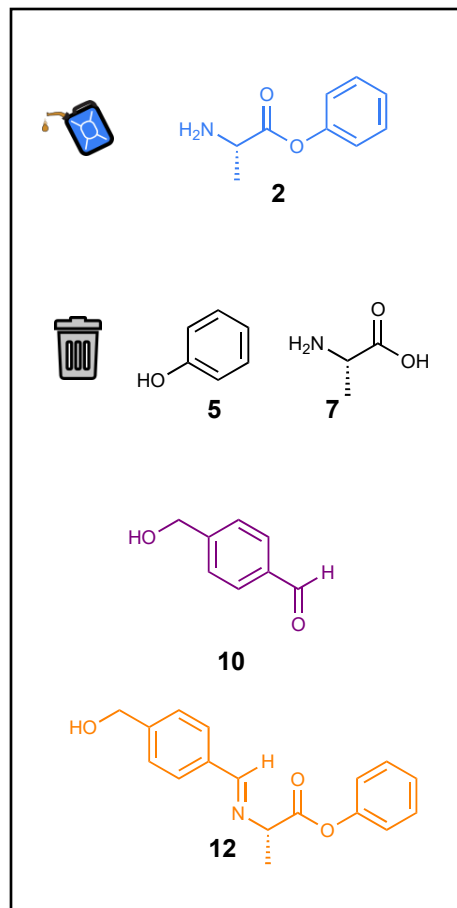
## Dynamic Light Scattering



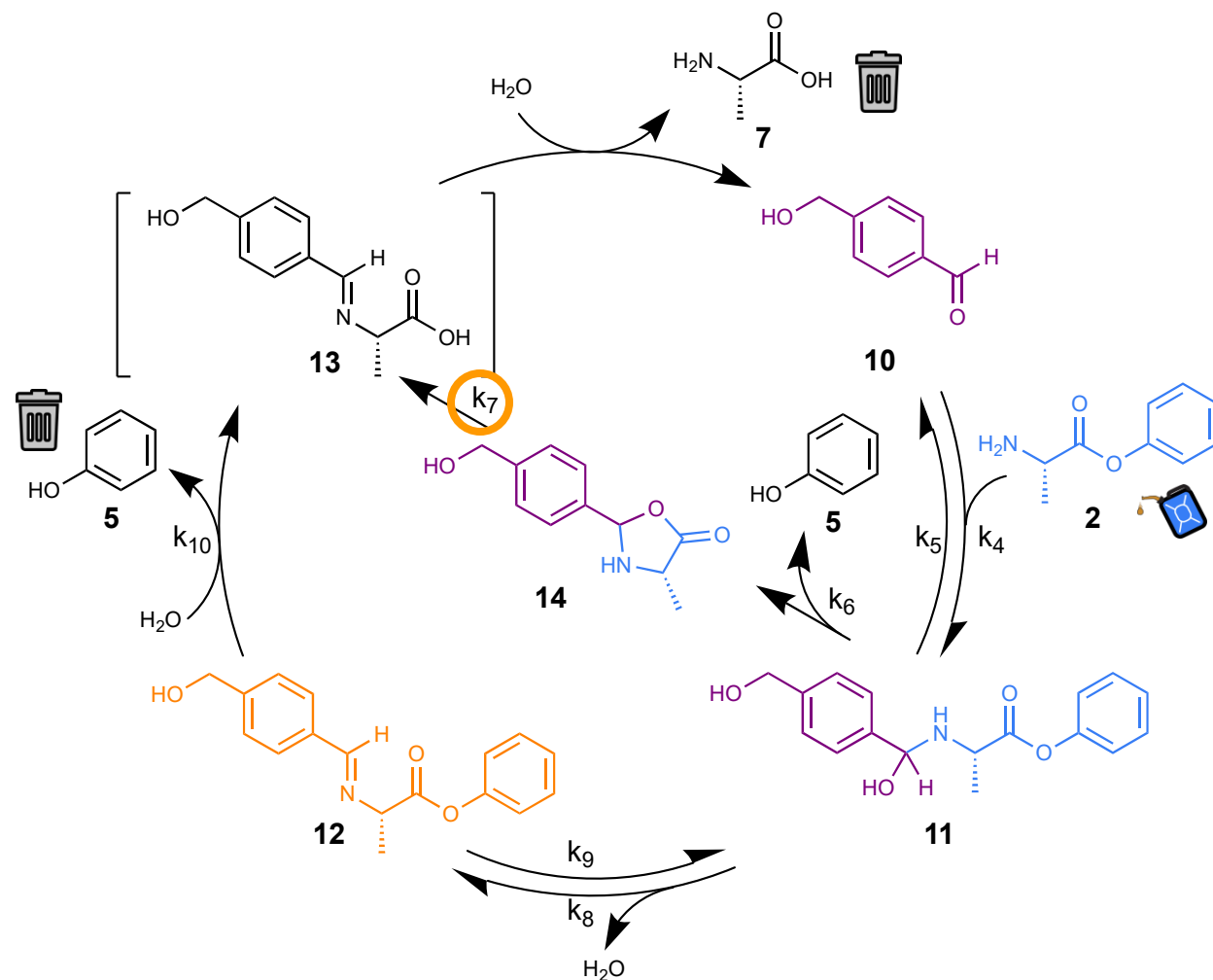
*No aldehyde, no catalysis*



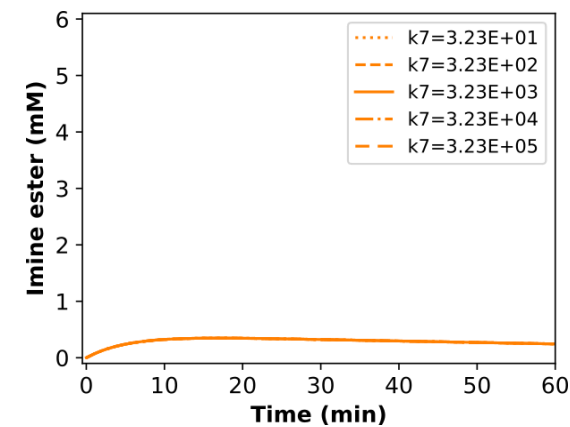
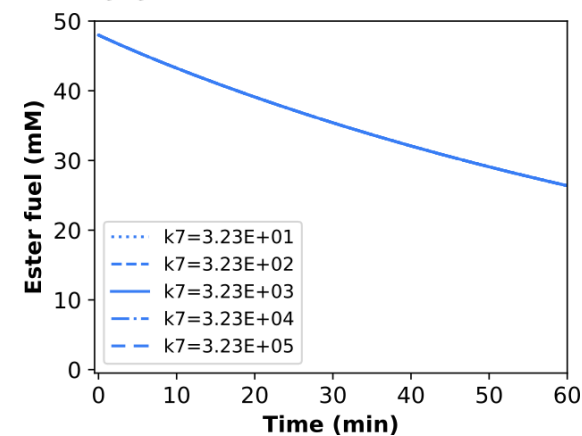
# Kinetic Modeling with Aldehyde 10



# How Does pH Affect Imine Ester Accumulation?

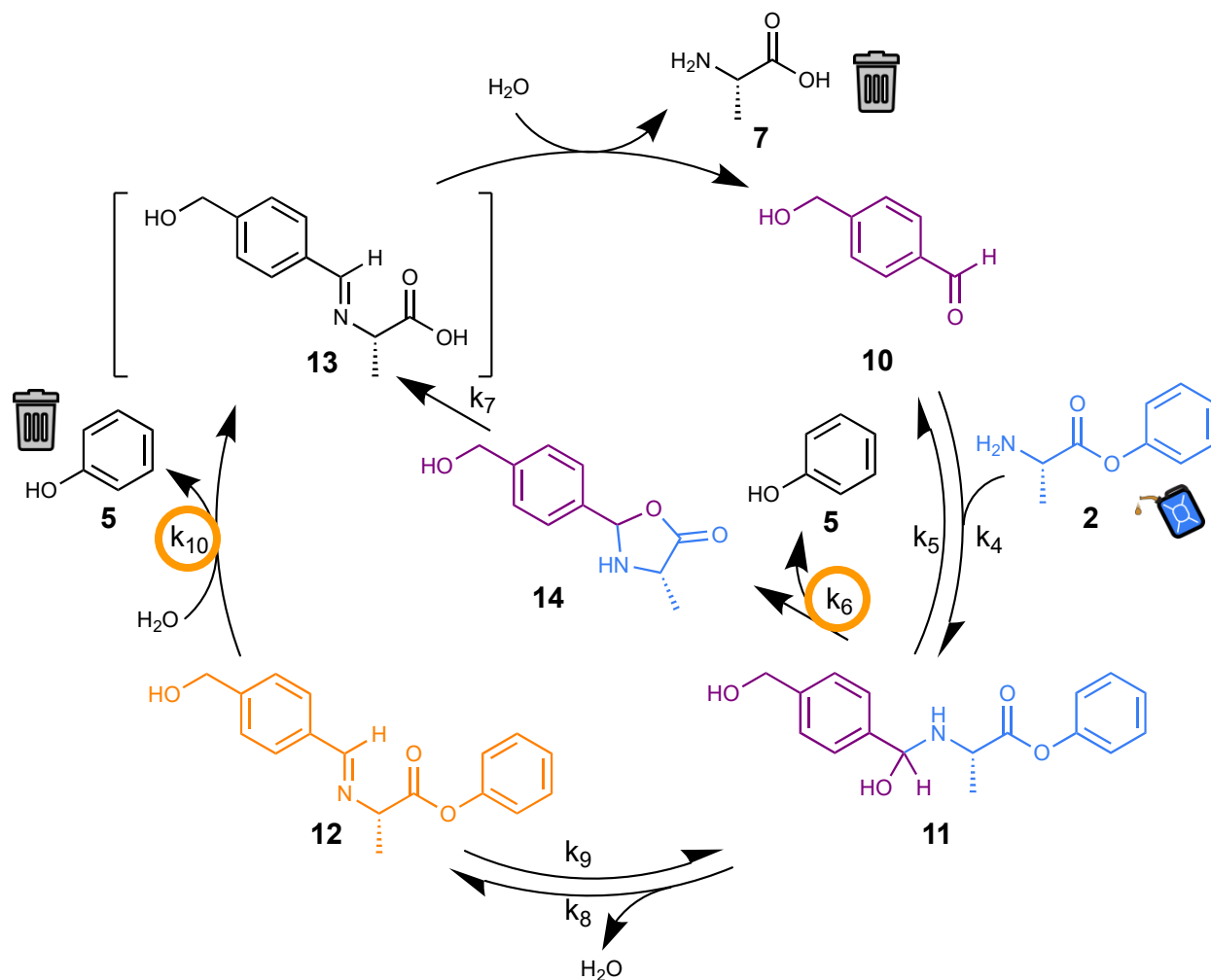


**✗ Variation in  $k_7$**

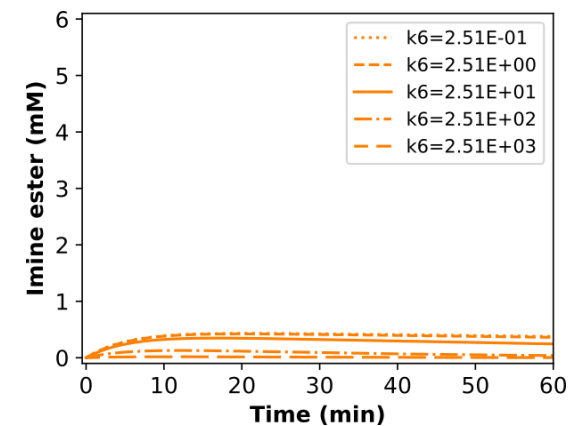
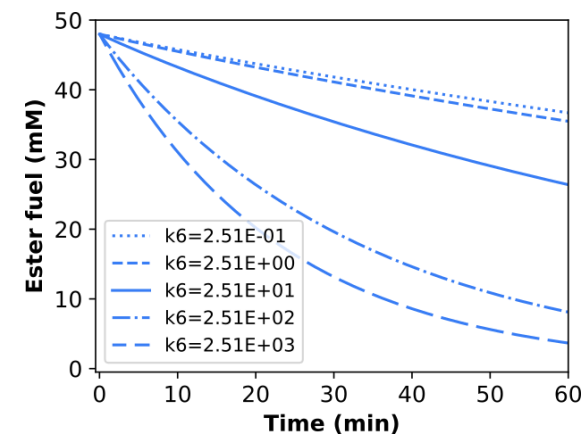


**$k_7 \uparrow$ , no effect on [Imine ester 12]**

# How Does pH Affect Imine Ester Accumulation?

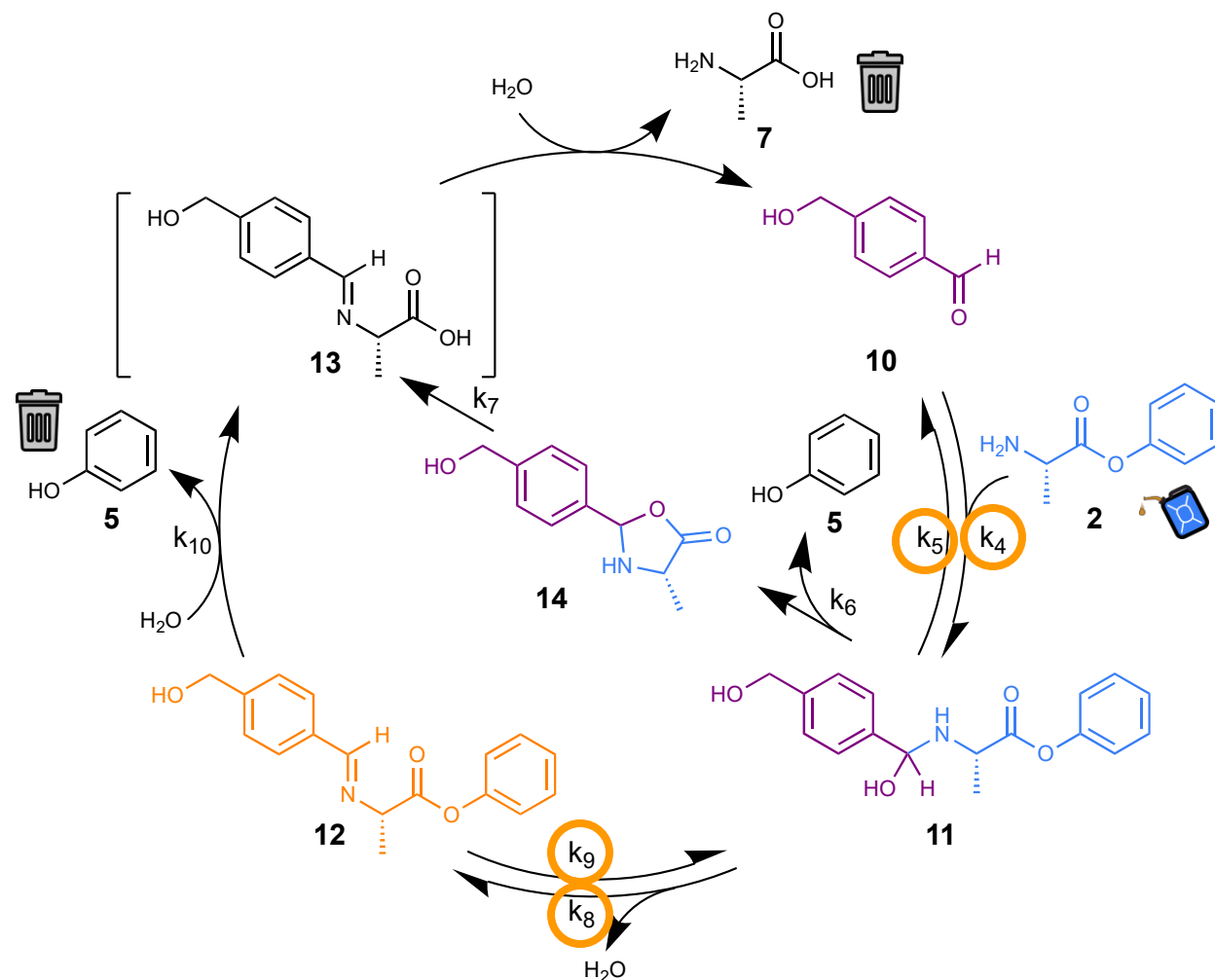


## ✗ Variation in $k_6$ , $k_{10}$

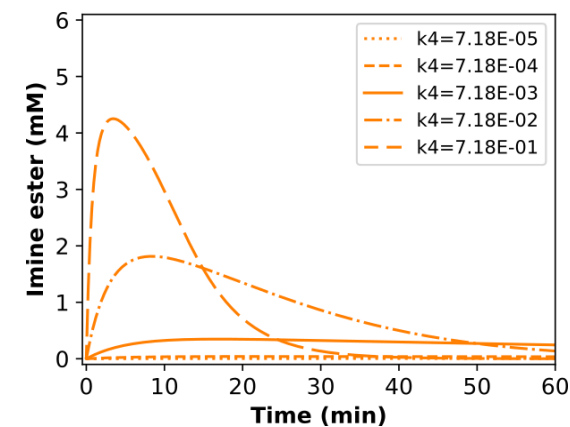
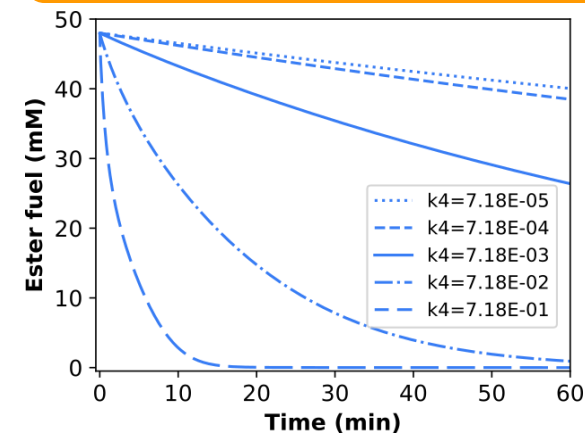


$k_6$  or  $k_{10} \uparrow$ , [Imine ester 12]  $\downarrow$

# How Does pH Affect Imine Ester Accumulation?



Variation in  $k_4$ ,  $k_5$ ,  $k_8$ ,  $k_9$



Imine formation equilibria shifts to products, [Imine ester 12]  $\uparrow$