CDT overviev 00000 0000 spectral methods 000000 00 numerical results

conclusions

# Spectral Methods in Causal Dynamical Triangulations

#### **Giuseppe Clemente**

giuseppe.clemente@pi.infn.it

Talk based on the paper 1804.02294, written in collaboration with Massimo D'Elia (massimo.delia@unipi.it)



Istituto Nazionale di Fisica Nucleare



Università di Pisa

"Numerical approaches to holography, quantum gravity and cosmology" workshop Edinburgh, 22 May 2018

CDT overview 00000 0000 spectral methods 000000 00 numerical results

conclusions

#### Overview

#### introduction

#### CDT overview

formalism phase diagram and standard results

#### spectral methods

physical motivation Weyl's law and effective dimension

#### numerical results

spectral gap and spectral density Scaling and effective dimension for slices full spectral density

#### conclusions

CDT overviev 00000 0000 spectral methods 000000 00 numerical results 0 0000000 00 conclusions

## The QG problem

Manifest difficulties:

- Standard perturbation theory fails divergences arise at short scale
- Gravitational quantum effects unreachable on lab:  $E_{Pl} = \sqrt{\frac{\hbar c}{G}}c^2 \simeq 10^{19}GeV$  (big bang or black holes)

#### Two lines of direction in QG approaches

- non-conservative: introduce new short-scale physics
- conservative: do not give up on the Einstein theory

Causal Dynamical Triangulations (CDT): conservative approach of non-perturbative renormalization of the Einstein gravity via Monte-Carlo simulations.

CDT overview

spectral methods 000000 00 numerical result 0 0000000 00 conclusions

# **CDT** overview

CDT overview • 0 0 0 0 • 0 0 0 spectral methods 000000 00 numerical results 0 0000000 00

#### conclusions

### Lattice regularization

A regularization makes the renormalization procedure well posed.

- discretize spacetime introducing a minimal lattice spacing 'a'
- · localize dynamical variables on lattice sites
- study how quantities diverge for a 
  ightarrow 0
- Cartesian grids approximate Minkowski space
- **Regge triangulations** approximate generic manifolds







CDT overview 0000 000 spectral methods

numerical results 0 0000000 00 conclusions

### Causality condition

A Lorentzian (causal) structure on  $\mathcal{T}$  can be enforced by using a *foliation* of spatial **slices** of constant proper time

- Vertices "live" in slices.
- *d*-simplexes fill spacetime between slices.
- Links can be spacelike with  $\Delta s^2 = a^2$ , or timelike with  $\Delta s^2 = -\alpha a^2$ .
- Only a finite number of simplex types.
- The  $\alpha$  parameter is used later to perform a Wick-rotation from Lorentzian to Euclidean



CDT overview

spectral methods 000000 00 numerical results 0 0000000 00 conclusions

#### Regge formalism: action discretization

Also the EH action must be discretized accordingly ( $g_{\mu
u} 
ightarrow {\cal T}$ ):

$$\begin{split} S_{EH}[g_{\mu\nu}] &= \frac{1}{16\pi G} \Biggl[ \underbrace{\int d^d x \sqrt{|g|} R}_{\text{Total curvature}} -2\Lambda \underbrace{\int d^d x \sqrt{|g|}}_{\text{Total volume}} \Biggr] \\ & \Downarrow \quad \text{discretization} \quad \Downarrow \quad \text{S}_{Regge}[\mathcal{T}] = \frac{1}{16\pi G} \Biggl[ \sum_{\sigma^{(d-2)} \in \mathcal{T}} 2\varepsilon_{\sigma^{(d-2)}} V_{\sigma^{(d-2)}} - 2\Lambda \sum_{\sigma^{(d)} \in \mathcal{T}} V_{\sigma^{(d)}} \Biggr], \end{split}$$

where  $V_{\sigma^{(k)}}$  is the *k*-volume of the simplex  $\sigma^{(k)}$ .

Wick-rotation  $iS_{Lor}(\alpha) \rightarrow -S_{Euc}(-\alpha)$ 

 $\implies$  Monte-Carlo sampling  $\mathcal{P}[\mathcal{T}] \equiv \frac{1}{Z} \exp\left(-S_{Euc}[\mathcal{T}]\right)$ 

CDT overview

spectral methods 000000 00 numerical results 0 0000000 00 conclusions

### Wick rotated action in 4D

At the end of the day [Ambjörn et al., arXiv:1203.3591]:

$$S_{CDT} = -k_0 N_0 + k_4 N_4 + \Delta (N_4 + N_4^{(4,1)} - 6N_0)$$

- New parameters:  $(k_0, k_4, \Delta)$ , related respectively to G,  $\Lambda$  and  $\alpha$ .
- New variables:  $N_0$ ,  $N_4$  and  $N_4^{(4,1)}$ , counting the total numbers of vertices, pentachorons and type-(4,1)/(1,4) pentachorons respectively ( $\mathcal{T}$  dependence omitted).

It is convenient to "fix" the total spacetime volume  $N_4 = V$  by fine-tuning  $k_4 \implies$  actually free parameters  $(k_0, \Delta, V)$ .

Simulations at different volumes V allow finite-size scaling analysis.

CDT overview

spectral methods 000000 00 numerical results 0 0000000 00

### Monte-Carlo: sum over causal geometries

Configuration space in CDT: triangulations with causal structure

Lorentzian (causal) structure on  $\mathcal{T}$  enforced by means of a *foliation* of spatial **slices** of constant proper time.



Path-integral over causal geometries/triangulations  $\mathcal{T}$  using Monte-Carlo sampling by performing local updates. E.g., in 2D:

$$\longleftrightarrow$$

flipping timelike link



 $creating/removing \ vertex$ 

CDT overview 00000 0000 spectral methods

numerical results 0 0000000 00 conclusions

#### Ultimate goal

Find a second order critical point in the phase diagram

 $\implies$  renormalize the theory.

### Continuum limit

The system must forget the lattice discreteness: second-order critical point with divergent correlation length  $\hat{\xi} \equiv \xi/a \rightarrow \infty$ 

Asymptotic freedom (e.g. QCD):

$$ec{g}_c\equiv \lim_{a
ightarrow 0}ec{g}(a)=ec{0}$$

Asymptotic safety (maybe QG):

$$\vec{g}_c \equiv \lim_{a \to 0} \vec{g}(a) \neq \vec{0}$$





### Phase diagram of CDT in 4D

 $k_4$  "tuned" to fix  $V \implies$  remaining free parameters:  $(k_0, \Delta)$ 

phase spatial volume per slice



possible 2nd order lines have been found [1108.3932,1704.04373]  $C_b$  and  $C_{dS}$  differ by the geometry of slices (discussed later)

CDT overview

spectral methods 000000 00 numerical results 0 0000000 00 conclusions

### *C*<sub>dS</sub>: "de Sitter" phase

- Time-extended distribution of the triangulation/Universe (blob)
- Average of blob profiles over configurations has the same distribution of the de Sitter cosmological model: the best description of the physical Universe dominated by dark energy!
- Fluctuations of spatial volume interpreted as quantum effects

Lorentzian: 
$$-x_0^2 + x_1^2 + x_2^2 + x_3^2 + x_4^2 = R^2$$
  

$$\Downarrow \qquad \text{analytic continuation} \qquad \Downarrow$$
  
Euclidean: 
$$+x_0^2 + x_1^2 + x_2^2 + x_3^2 + x_4^2 = R^2$$
  
De Sitter spatial volume distribution  

$$V_s^{(dS)}(t) = \frac{V_{tot}}{2} \frac{3}{4} \frac{1}{\tilde{s}(V_{tot})^{\frac{1}{4}}} \cos^3\left(\frac{t}{\tilde{s}(V_{tot})^{\frac{1}{4}}}\right)$$



CDT overview

spectral methods 000000 00 numerical results 0 0000000 00 conclusions

### Problem: lack of observables

A proper investigation of the continuum limit should require a possibly complete set of geometric observables.

Observables currently employed in CDT

- Spatial volume per slice: V<sub>s</sub>(t) (number of spatial tetrahedra at the slice labeled by t)
- Order parameters for transitions:
  - $\operatorname{conj}(k_0) = N_0/N_4$  for the  $A|C_{dS}$  transition
  - $\operatorname{conj}(\Delta) = (N_4^{(4,1)} 6N_0)/N_4$  for the  $B|C_b$  transition
  - OP<sub>2</sub> for the C<sub>b</sub>|C<sub>dS</sub> transition [Ambjorn et al. arXiv:1704.04373]
- Fractal dimensions: (actually give some info at different scales)
  - spectral dimension
  - Hausdorff dimension

#### No observable characterizing geometries at all lattice scales!!

CDT overview 00000 0000 spectral methods

numerical result

conclusions

# spectral methods

CDT overvie 00000 0000 spectral methods •00000 00 numerical results 0 0000000 00 conclusions

#### Proposed solution: spectral analysis

#### Spectral analysis

analysis of eigenvalues and eigenvector of the Laplace-Beltrami operator:  $-\nabla^2$ Familiar examples:

- Fourier analysis in [0, T] or ℝ<sup>N</sup>: eigenvalues: λ<sub>k</sub> = k<sup>2</sup>
   eigenvectors: sines and cosines
- On the unit sphere  $S^2$ :

eigenvalues:  $\lambda_l = l(l+1)$ degeneracy:  $\mu_l = 2l + 1$ eigenvectors: spherical harmonics  $Y_{l,m}$ 



CDT overview 00000 0000 spectral methods

numerical results 0 0000000 00 conclusions

### Hearing the shape of a manifold

• Spectral analysis on smooth manifolds  $(\mathcal{M}, g_{\mu\nu})$ :

 $-\nabla^2 f \equiv -\frac{1}{\sqrt{|g|}} \partial_\mu (\sqrt{|g|} g^{\mu\nu} \partial_\nu f) = \lambda f$ , with boundary conditions

Can one hear the shape of a drum? Almost: beside spectra you need also eigenvectors.



CDT overviev 00000 0000 spectral methods

numerical results 0 0000000 00 conclusions

### Spectral graph analysis

**Graph**: tuple G = (V, E) where V set of **vertices** v E set of **edges**, unordered pairs of adjacent vertices  $e = (v_1, v_2)$ 

Laplace matrix acting on functions of vertices  $\vec{f} = (f(v)) \in \mathbb{R}^{|V|}$ :

$$L = D - A$$

- D<sub>v,v</sub> = "order of the vertex v (number of departing edges)"
- $A_{v_1,v_2}=1$  if  $(v_1,v_2)\in E$ , zero otherwise



CDT overvie 00000 0000 spectral methods

numerical results 0 0000000 00 conclusions

### Spectral graph analysis of CDT slices

#### Observation

Spatial slices in CDT are made by identical (d-1)-simplexes

- $\implies$  a *d*-regular undirected graph is associated to any spatial slice.
- Spatial tetrahedra become vertices of associated graph
- Adjacency relations between tetrahedra become edges
- Laplace matrix: L = D A, where D = d1 is the degree matrix and A is the adjacency matrix.
- Eigenvalue problem  $L\vec{f} = \lambda \vec{f}$  solved by numerical routines



2D slice and its dual graph

CDT overview 00000 0000 spectral methods

numerical results 0 0000000 00 conclusions

Physical interpretation of LB eigenvalues and eigenvectors Heat/diffusion equation on a manifold (or graph) *M*:

$$\partial_t u(x;t) - \Delta u(x;t) = 0.$$

General solution in a basis  $\{e_n\}$  of LB eigenvectors  $(\lambda_n \leq \lambda_{n+1})$ :

$$u(x;t) = \sum_{n=0}^{|\sigma_M|-1} e^{-\lambda_n t} \widetilde{u}_n(0) e_n(x).$$

consequences:

- $\lambda_n$  is the diffusion rate for the (eigen)mode  $e_n(x)$
- smallest eigenvalues ↔ slowest diffusion directions.
- a large **spectral gap**  $\lambda_1$  implies a fast overall diffusion, geometrically meaning a highly connected graph.

CDT overviev 00000 0000 spectral methods

numerical results 0 0000000 00 conclusions

#### Interpretation of the spectral gap

#### spectral gap and eigenvector (Fiedler vector)

First (non-zero) eigenvalue  $\lambda_1$  and its associated eigenvector  $e_1$ . The **spectral gap**,  $\lambda_1$  measures the connectivity of the graph: the larger, the more connections between vertices.

Applications of the Fiedler vector  $e_1$ :

- Min-cut: minimal set of edges disconnecting the graph if cut
- Fiedler ordering on regular graphs (like CDT slices): core of the Google Search engine, and paramount reason for the Google's rise to success.
- many others...



CDT overview 00000 0000 spectral methods

numerical results 0 0000000 00 conclusions

#### Weyl's law and effective dimension

For a manifold M with LB spectrum  $\sigma_M$  define:  $n(\lambda) \equiv \sum_{\overline{\lambda} \in \sigma_M} \theta(\overline{\lambda} - \lambda) =$  "number of eigenvalues below  $\lambda$ ".

#### Weyl's law

Well known asymptotic result from spectral geometry:

$$n(\lambda) \sim \frac{\omega_d}{(2\pi)^d} V \lambda^{d/2},$$

being  $\omega_d$  the volume of a unit *d*-ball and *V* the manifold volume.

Motivated by Weyl's law we define the effective dimension:

$$d_{EFF}(\lambda) \equiv 2 rac{d \log(n/V)}{d \log \lambda}$$
 .

introduction

CDT overview 00000 0000 spectral methods

numerical results 0 0000000 00 conclusions

#### A toy model: toroidal lattice

Consider a 3-d periodic lattice with sizes  $L_x \times L_y \times L_z$ .



- Three regimes observed for  $L_x \ll L_y \ll L_z$  with  $d_{EFF} = 1, 2, 3$ .
- Position of knees related to the scale of dimensional transition.

CDT overview 00000 0000 spectral methods 000000 00 numerical results

conclusions

# numerical results

CDT overview 00000 0000 spectral methods 000000 00 numerical results

# Numerical simulations of 4D CDT

Simulations performed for total spatial volumes  $N_{3s} = 20k, 40k$ 



CDT overview 00000 0000 spectral methods 000000 00 numerical results

conclusions

#### Spectral gap in different phases



 $C_{dS}$  phase (first 100 eigenvalues)

B phase (first 100 eigenvalues)

- no spectral gap in  $C_{dS}$  phase.
- non-zero spectral gap for slices in B phase (high connectivity).
- some volume dependence is present (except for  $\lambda_1$  in B phase)

CDT overview 00000 0000 spectral methods 000000 00 numerical results

Collapse of scaling curves

The volume dependence can be reabsorbed by mapping  $\lambda_n$  vs  $n/V \implies$  curves collapse into a volume independent function.



Weyl scalings for few slice in  $C_{dS}$  phase and different volumes

CDT overview

spectral methods 000000 00 numerical results

conclusions

### Scalings for different phases

By averaging over many slices ( $C_b$  discussed later):



small  $\lambda$  (large scale) behaviour:

- vanishing spectral gap and finite slope for  $C_{dS}$  and A phases
- non-zero spectral gap and vanishing slope for B phase

CDT overview 00000 0000 spectral methods 000000 00 numerical results

#### Effective dimension for different phases From the previous curves and the definition of **effective**

**dimension**:  $d_{EFF} \equiv 2 \frac{d \log(n/V)}{d \log \lambda}$ .



•  $d_{EFF} \rightarrow \infty$  at large scales for B phase

•  $d_{EFF} < 3$  for  $C_{dS}$  (and A) phase!  $\implies$  fractional dimension

CDT overviev 00000 0000 spectral methods 000000 00 numerical results

conclusions

#### The bifurcation phase $C_b$

Similarities with  $C_{dS}$ :

- configurations with time extended blob (but narrower w.r.t. C<sub>dS</sub> ones with the same k<sub>0</sub>)
- similar spatial volume per slice  $V_s(t)$

Main distinguishing feature (as known from previous literature):

 two classes of spatial slices, alternated in slice time, one of which possesses vertices with very high coordination number.

 $\implies$  Order parameter of  $C_{b}$ - $C_{dS}$  transition defined in literature as relative difference between maximal coordination numbers of vertices in adjacent slices.

CDT overview 00000 0000 spectral methods 000000 00 numerical results

#### Alternating spectra in $C_b$ configurations Comparisons between $C_{dS}$ and $C_b$ low lying spectra:



spectral gap for single configurations

selected eigenvalues averaged over many configurations

The low lying spectra capture well the alternating behaviour of slice geometries in  $C_b$  configurations, and show it is a difference in large scale properties of slices.





CDT overview 00000 0000 spectral methods 000000 00 numerical results 0 0000000 00 conclusions

#### Bifurcated scaling and class separation in $C_b$ phase

Not a single scaling curve for  $C_b$  configurations

 $\implies$  a separation into two classes of slices is required:



We called the classes B-type and dS-type (for obvious reasons).

CDT overviev 00000 0000 spectral methods 000000 00 numerical results

conclusions

# Spectral gap through phases

Spectral gap histogram for simulations with  $k_0 = 2.2$  and different  $\Delta$ :



We are currently investigating the continuum limit around  $C_{dS}$ - $C_b$ .

CDT overview

spectral methods 000000 00 numerical results

conclusions

#### Full spectral density: *B* phase



- nothing particularly interesting: almost uniform above the spectral gap
- shares similar features to random regular graphs ( $d_{EFF} \rightarrow \infty$ ), but actually differ in spectrum.





spectral methods 000000 00 numerical results

#### Full spectral density: $C_{dS}$ phase



- Peaks at integer values λ = 4,5,6 have high multiplicity and correspond to extremely localized eigenvectors. (UV artefacts ⇒ uninteresting)
- no theory on how to interpret peaks in the background...

CDT overview 00000 0000 spectral methods 000000 00

numerical result 0 0000000 00 conclusions

# conclusions



CDT overview 00000 0000 spectral methods 000000 00 numerical result 0 0000000 00 conclusions

## Summary

- CDT as a nonperturbative approach to renormalize gravity via Monte Carlo simulations
- promising results: seemingly 2nd order lines, "de Sitter" phase
- problem: continuum limit and lack of observables
- proposed spectral methods in order to obtain a hierarchy of geometric observables
- analysis of spectra of spatial slices:
  - spectral gap characterizes connectivity in different phases
  - · Weyl's scaling allow to define a running effective dimensionality
  - full spectral densities show non-trivial and interesting features

CDT overview 00000 0000 spectral methods 000000 00 numerical result

conclusions

#### Further work

- generalize to full spacetime configurations (FEM methods required)
- apply to EDT configurations ("straightforward")
- analyze all the features of eigenvectors (possessing the remaining information about the geometry), i.e. Anderson localization, Morse analysis, etc...
- investigate continuum limit (currently work in progress)

# **Backup slides**

Renyi entropy as a measure of localization Renyi entropy :  $S_{\alpha}(k) = \frac{1}{1-\alpha} \log \left( \sum_{x} (e_k(x))^{2\alpha} \right).$ 

- $\alpha \rightarrow 1$ : Shannon entropy
- $\alpha = 2$ : Inverse Partecipation Ratio (IPR)





PR for  $C_{dS}$  and B slice eigenvectors

IPR and Shannon entropy for *B* slice eigenvectors

#### Laplacian embedding

**Laplacian embedding**: embedding of graph in *k*-dimensional (Euclidean) space, solution to the optimization problem:

$$\min_{\vec{f}^1,...,\vec{f}^k} \Big\{ \sum_{(v,w)\in E} \sum_{s=1}^k [f^s(v) - f^s(w)]^2 \mid \vec{f^s} \cdot \vec{f^p} = \delta_{s,p}, \ \vec{f^s} \cdot \vec{1} = 0 \ \forall s, p = 1, \dots, k \Big\},\$$

where for each vertex  $v \in V$  the value  $f^{s}(v)$  is its *s*-th coordinate in the embedding.

The solution  $\{f^s(v)\}_{s=1}^k$  is exactly the (orthonormal) set of the first k eigenvectors of the Laplace matrix  $\{e_s(v)\}_{s=1}^k$ !

# Laplacian embedding: example torus $T^2 = S^1 \times S^1$

For each graph-vertex  $v \in V$  plot the tuple of coordinates:

2D: 
$$(e_1(v), e_2(v)) \in \mathbb{R}^2$$
  
3D:  $(e_1(v), e_2(v), e_3(v)) \in \mathbb{R}^3$ 



#### Laplacian embedding of spatial slices in $C_{dS}$ phase



The first three eigenstates are not enough to probe the geometry of substructures

# 3D Laplacian embedding of $T^3$ torus



 $T^3 \cong T^2 \times S^1 \cong S^1 \times S^1 \times S^1$ 

#### Result: spectral clustering of $C_{dS}$ spatial slices

Spectral clustering: recursive application of min-cut procedure





Qualitative picture (2D)

#### Observation: fractality

Self-similar filamentous structures in  $C_{dS}$  phase (S<sup>3</sup> topology)

### Spectral dimension $D_S$

Computed from the return probability for random-walks on manifold or graph:  $P_r(\tau) \propto \tau^{-\frac{D_s}{2}} \implies D_s(\tau) \equiv -2\frac{d \log P_r(\tau)}{d \log \tau}$ .

- Usual integer value on regular spaces: e.g.  $D_{\mathcal{S}}( au) = d$  on  $\mathbb{R}^d$
- au-independent fractional value on true fractals
- $\tau$ -dependent fractional value in general (some scale involved)

Equivalent definition of return probability:  $P_r = \frac{1}{|V|} \sum_k e^{-\lambda_n t}$ 

 $\implies$  Nice interpretation of return probability in terms of diffusion processes (random-walks): smaller eigenvalues  $\leftrightarrow$  slower modes. The smallest non-zero eigenvalue  $\lambda_1$  represents the **algebraic connectivity** of the graph.

#### The spectral dimension on $C_{dS}$ slices

Compare  $P_r$  obtained by explicit diffusion processes or by the LB eigenspectrum



fractional value  $D_S(\tau) \simeq 1.5 \implies$  fractal distribution of space.