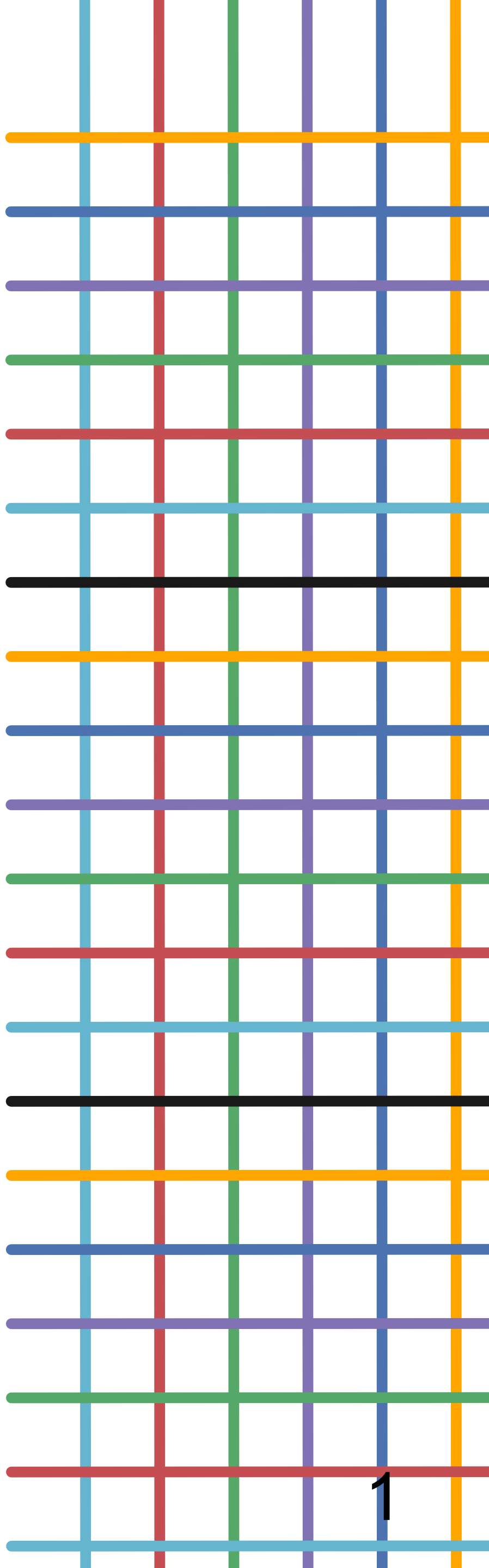
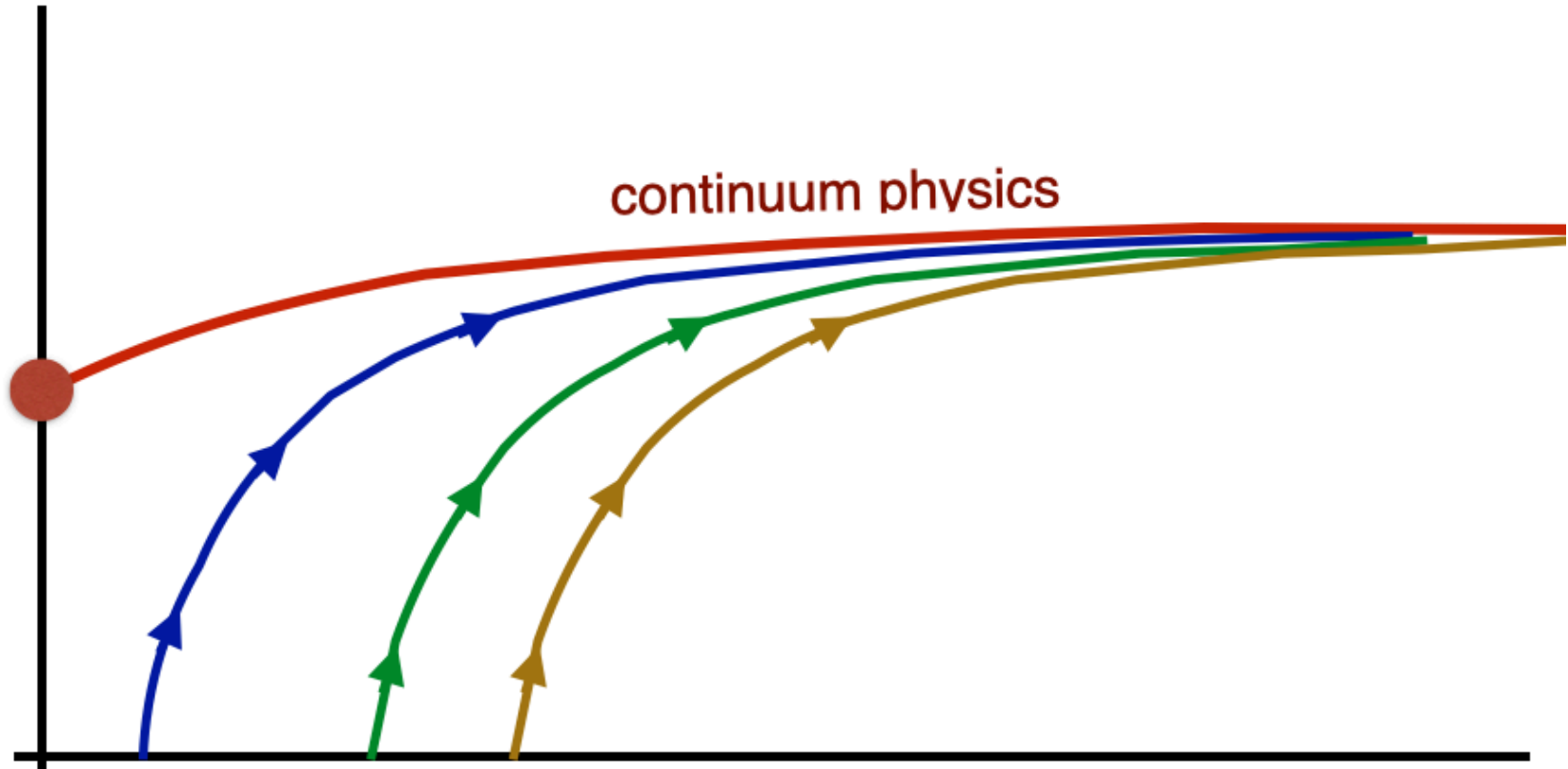


# Lattice Gradient Flow

Anna Hasenfratz  
University of Colorado Boulder

*Gradient Flow Workshop, Edinburgh  
May 13 2026*

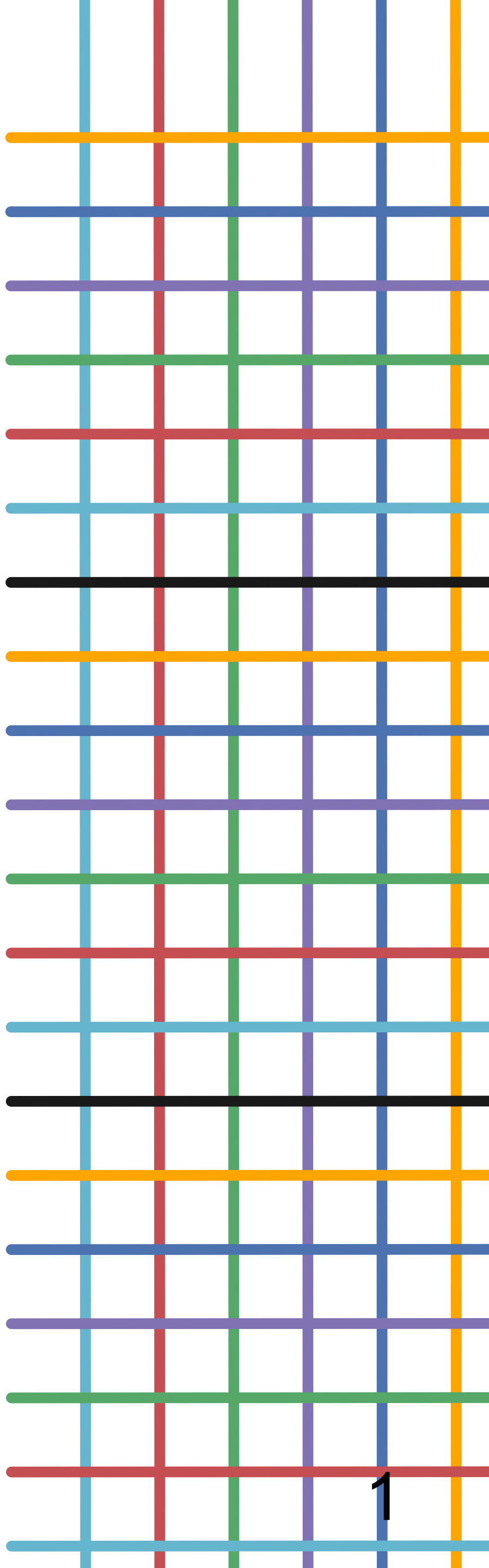
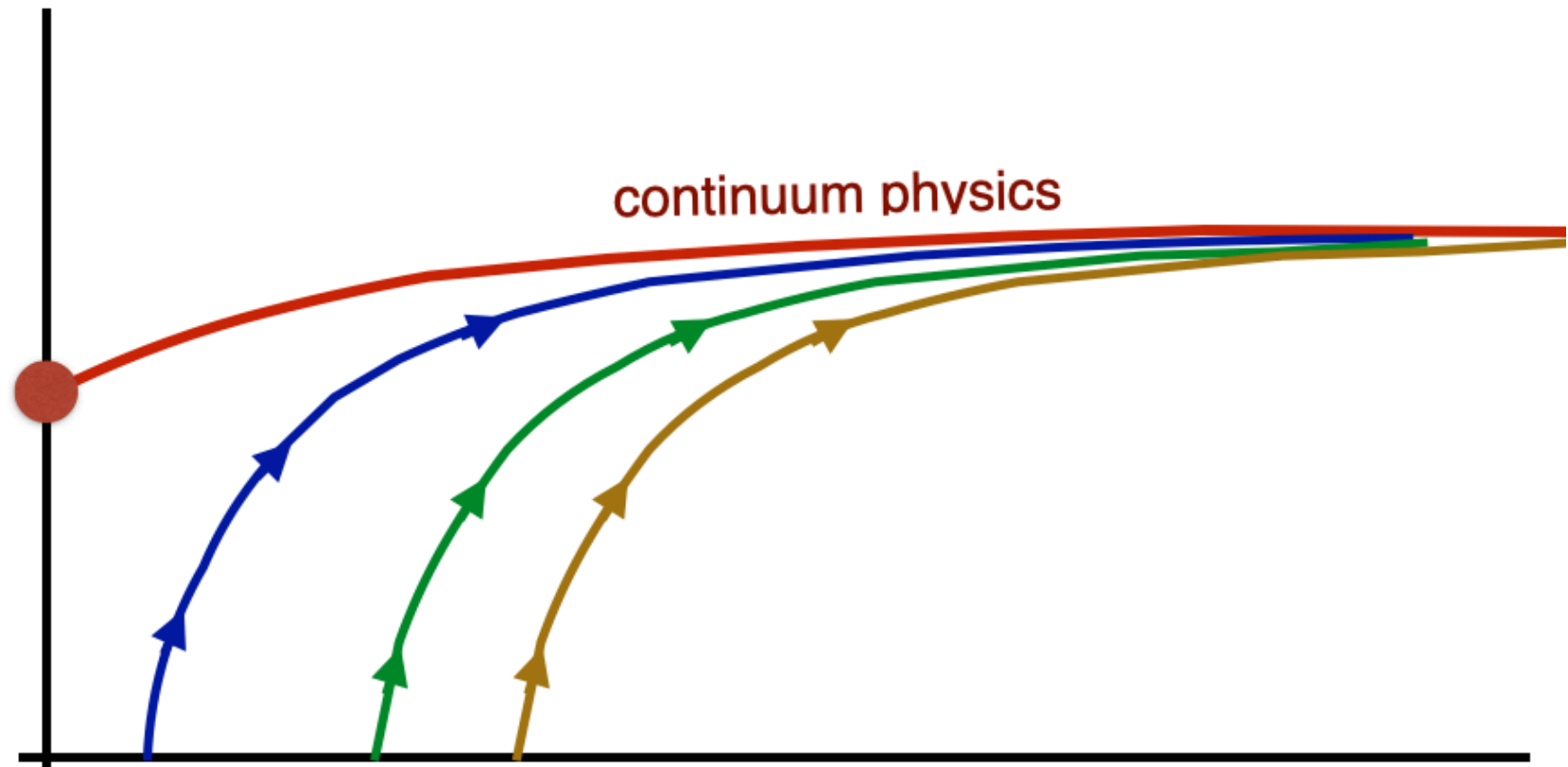


# Lattice Gradient Flow

*as a nonperturbative  
renormalization scheme*

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# Gradient flow

- 0907.5491 M. Luscher: Trivializing maps, the Wilson flow and the HMC algorithm  $\implies$  algorithmic developments  
( $\longrightarrow$  normalizing flow)
- 1006.4518 M. Luscher: Properties and uses of the Wilson flow in lattice QCD  $\implies$  flowed gauge field is renormalized
- 1101.0963 M. Luscher, P. Weisz: Perturbative analysis of the gradient flow in non-abelian gauge theories  $\implies$  formal all-orders proof of renormalizability
- 1302.5246 M. Luscher: Chiral symmetry and the Yang--Mills gradient flow  $\implies$  GF for fermions

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- Gradient flow (GF): continuous, invertible smearing transformation (flow) for gauge fields

$$\partial_\tau B_\mu(\tau; x) = D_\nu G_{\nu\mu}(\tau; x), \quad B_\mu(0; x) = A_\mu(x)$$

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In this talk I will use  $\tau$  to denote the gradient flow time,  
to distinguish it from the Euclidean time  $t$

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$$g_{GF}^2(\tau) = \mathcal{N} \tau^2 \langle E \rangle_\tau$$
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- Scale setting:  $g_{GF}^2(t_0) = 0.3\mathcal{N}$
- RG  $\beta$  function : two equivalent lattice approaches
  - Step scaling function
  - Continuous  $\beta$  function

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- Renormalization: only wave function renormalization needed

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# Gradient flow as real-space RG

A. Carosso, AH, E. Neil,  
PRL 121,201601 (2018)

H.Sonoda, H.Suzuki,  
PTEP,023B05 (2021)

GF is a continuous smearing transformation of radius  $\rho \propto \sqrt{8\tau}$  :  
➔ defines “block spins” or “block links”



GF does not have coarse graining - not an RG transformation

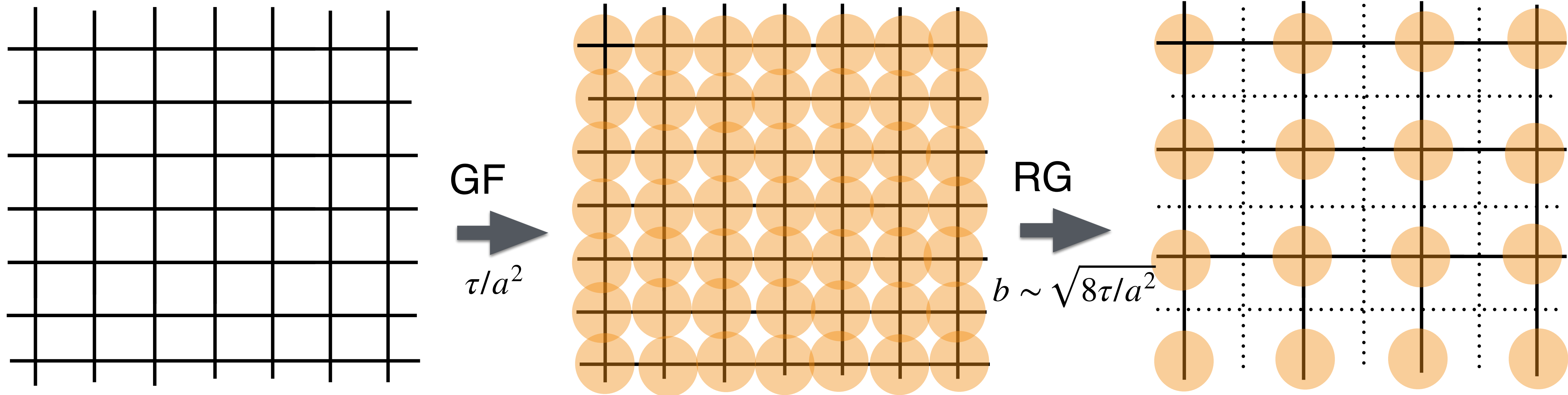
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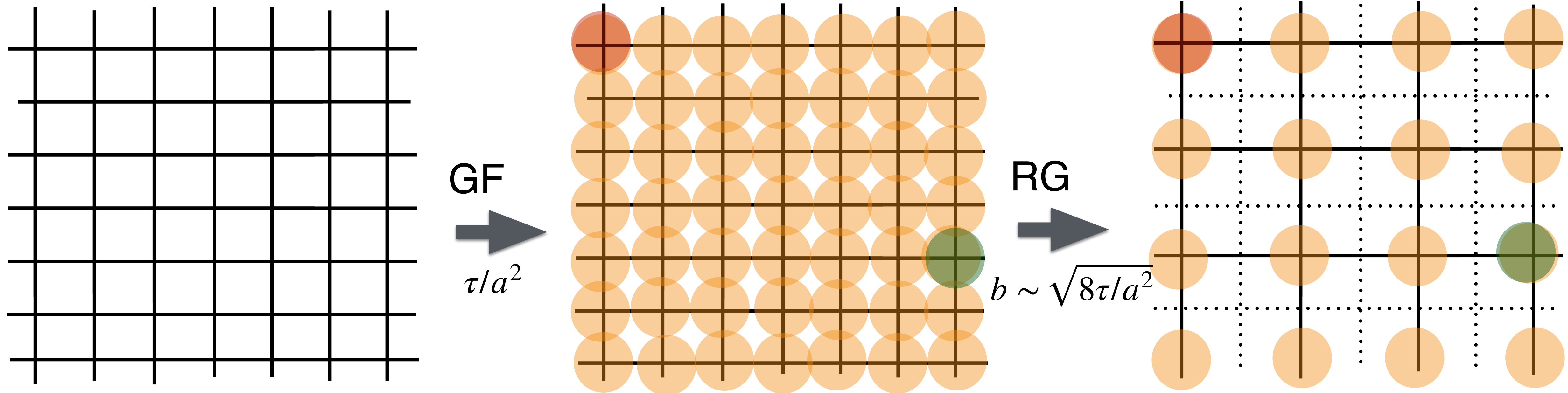
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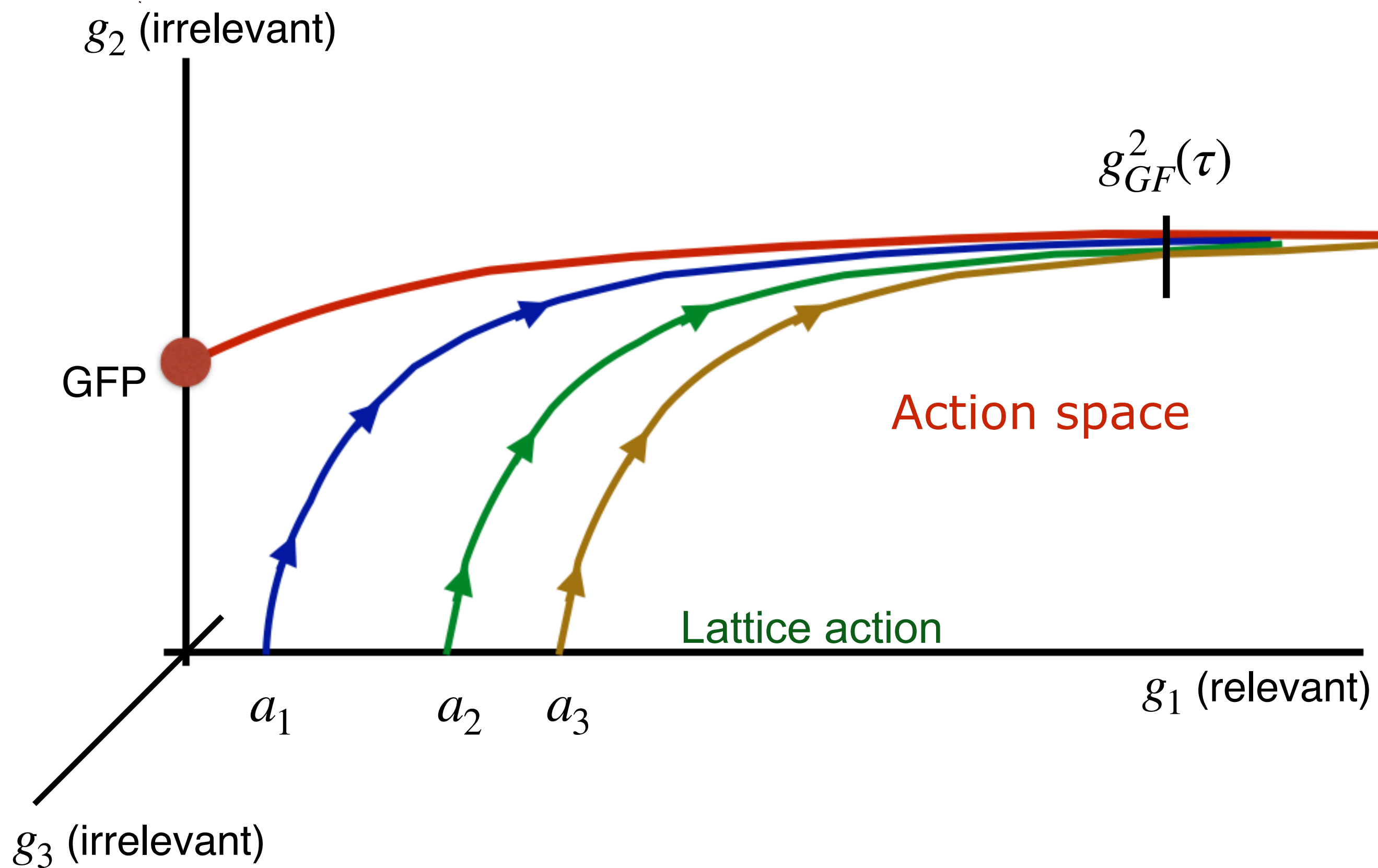
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$$\langle \text{red circle} \quad \text{green circle} \rangle = \langle \text{red circle} \quad \text{green circle} \rangle$$

# GF/RG from lattice to continuum

Bare action parameter space:

GF/RG:  $\tau = 0 : S(g_i, m_i; a) : \text{RG flow to } \tau : S(g_i(\tau), m_i(\tau))$

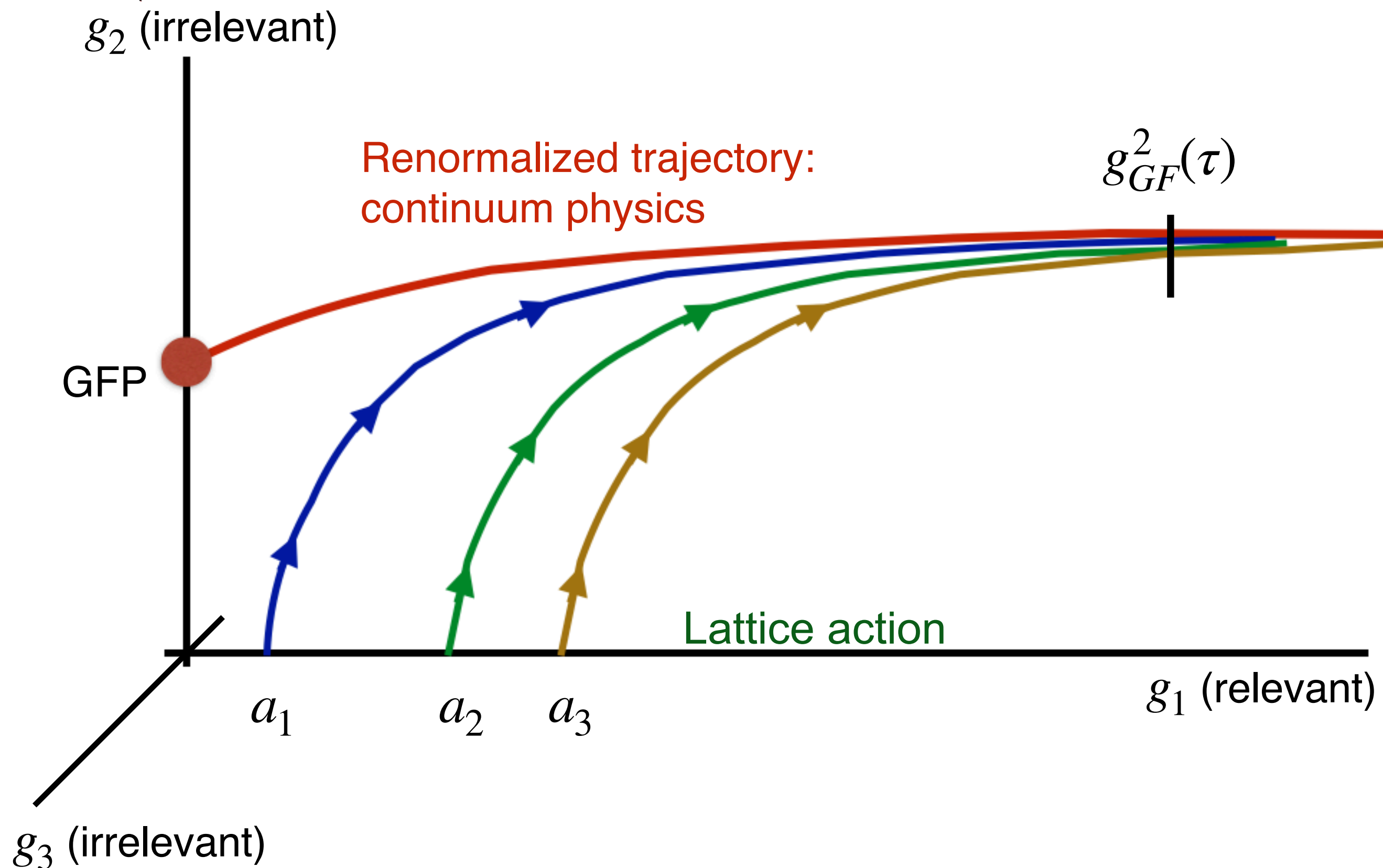


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- In the chiral limit RT is characterized by a single parameter  $g_{GF}^2(\tau)$

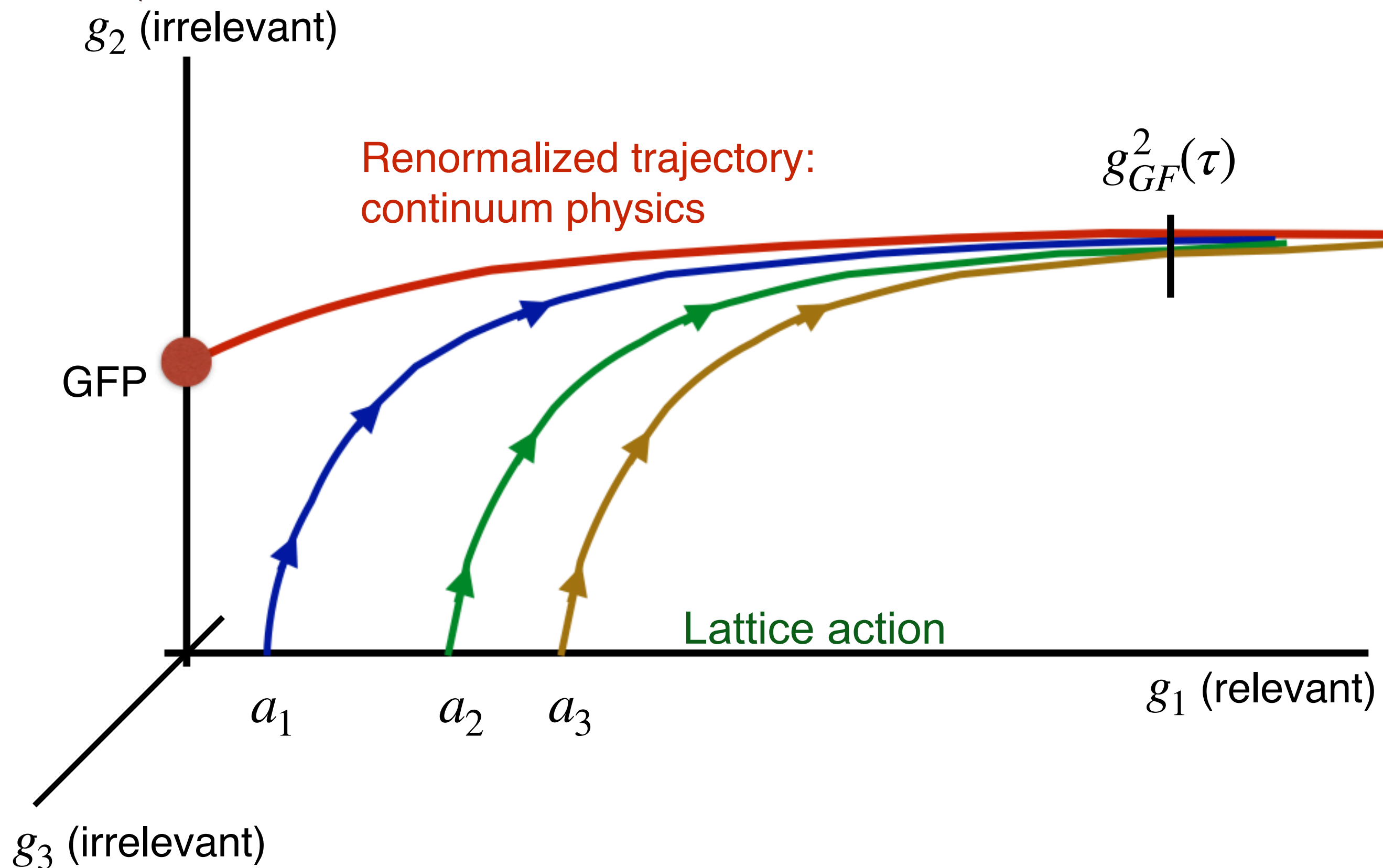


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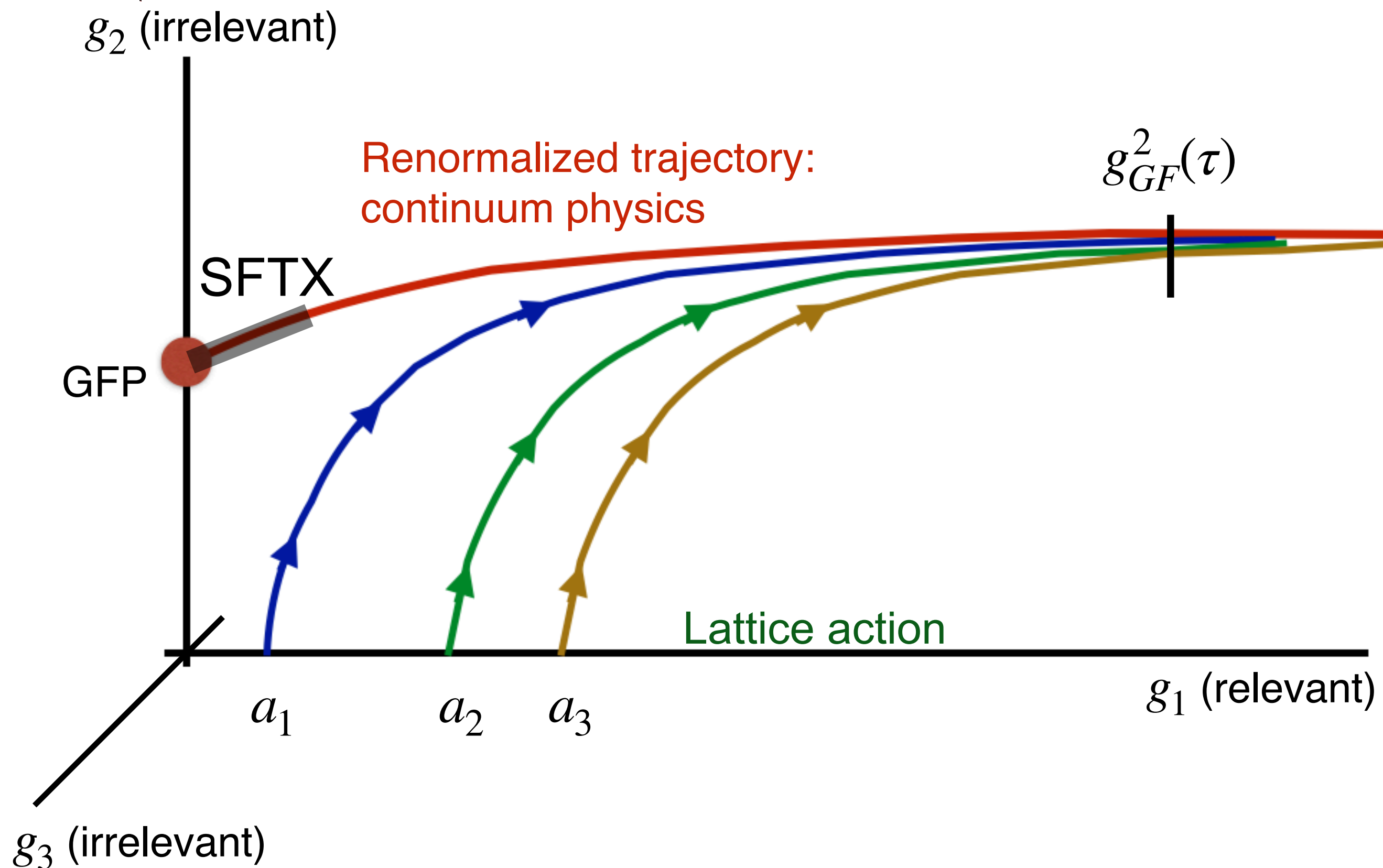
$$\beta_{GF}(g^2) = -\tau \frac{d, g_{GF}^2}{d\tau}$$

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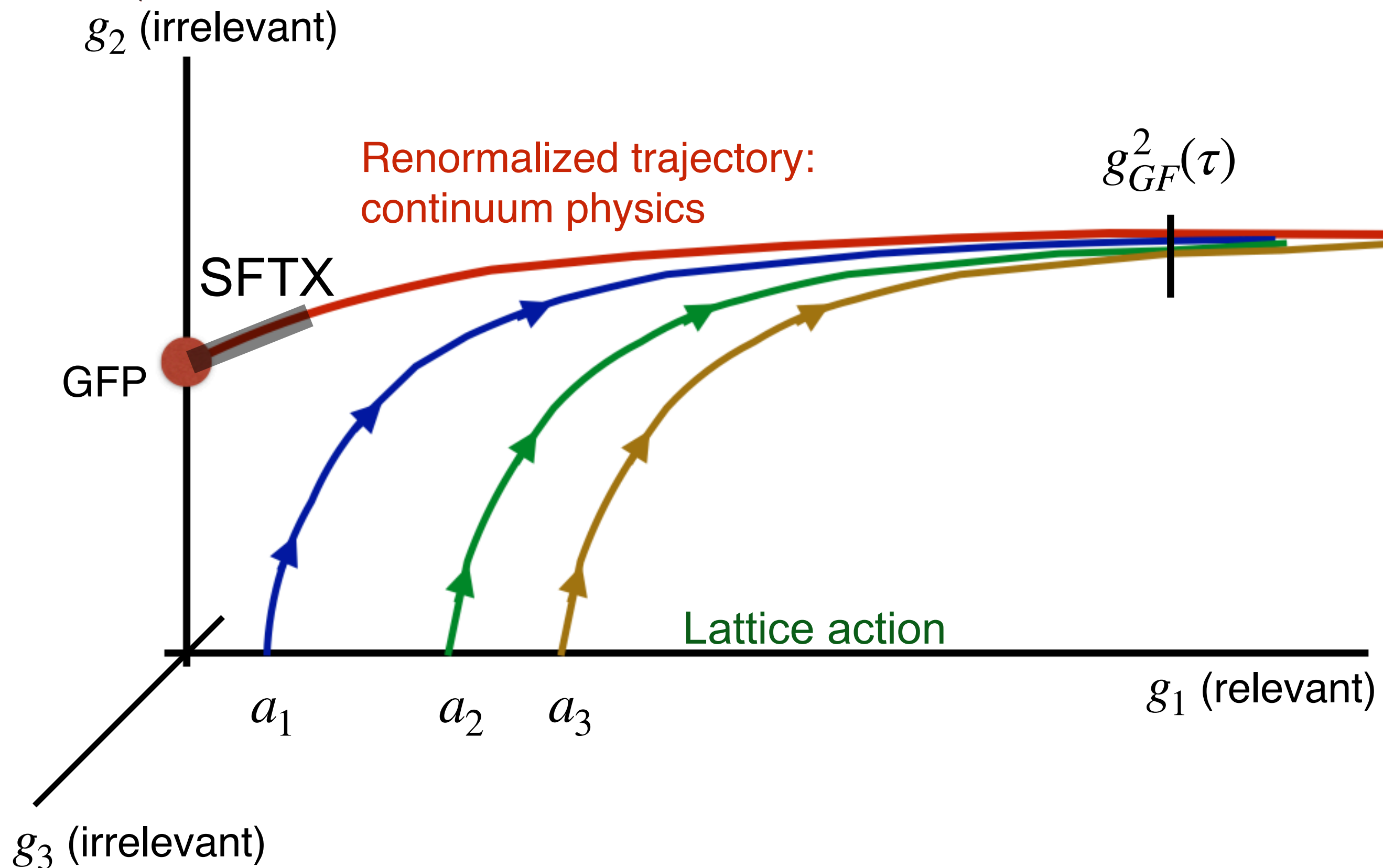
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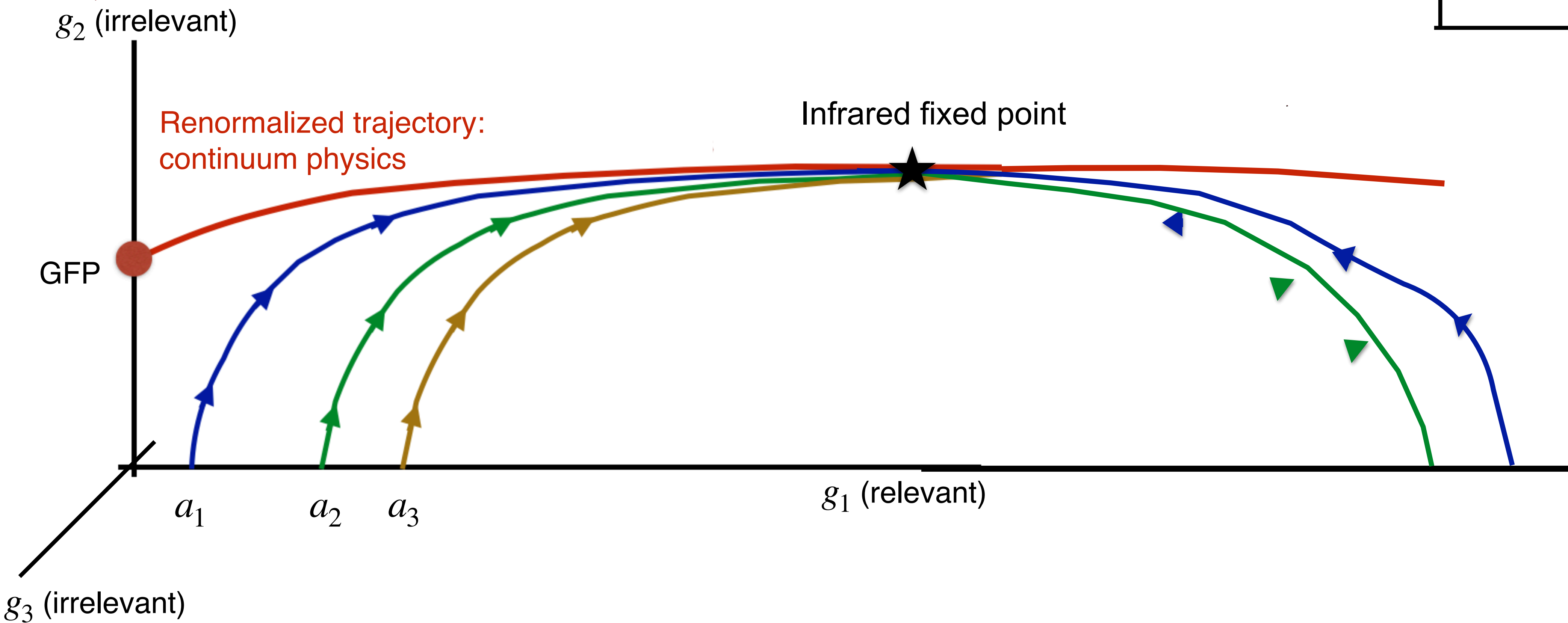
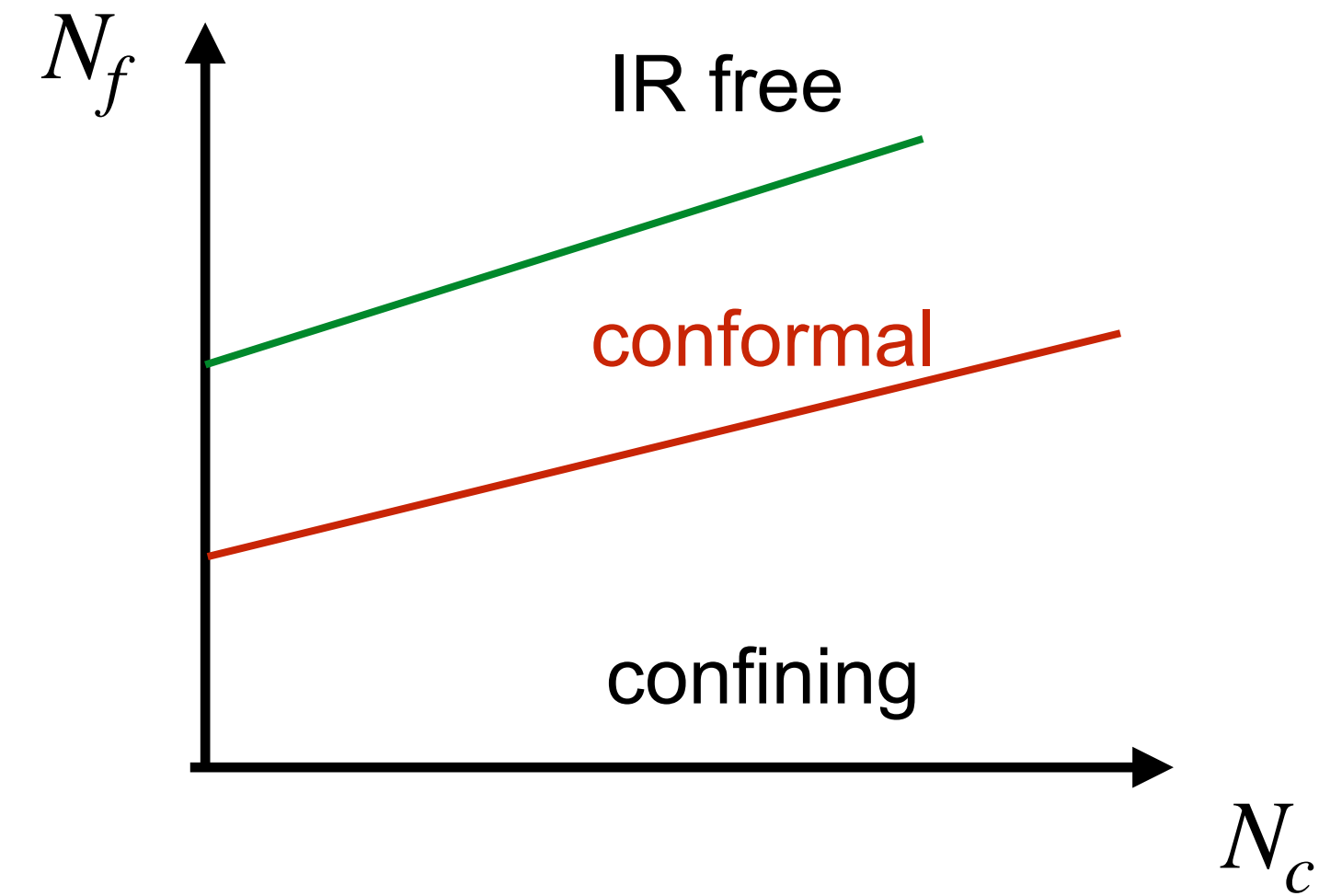
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Wave function renormalization is equivalent to the  $\eta$  exponent of critical phenomena

# GF/RG in the conformal window

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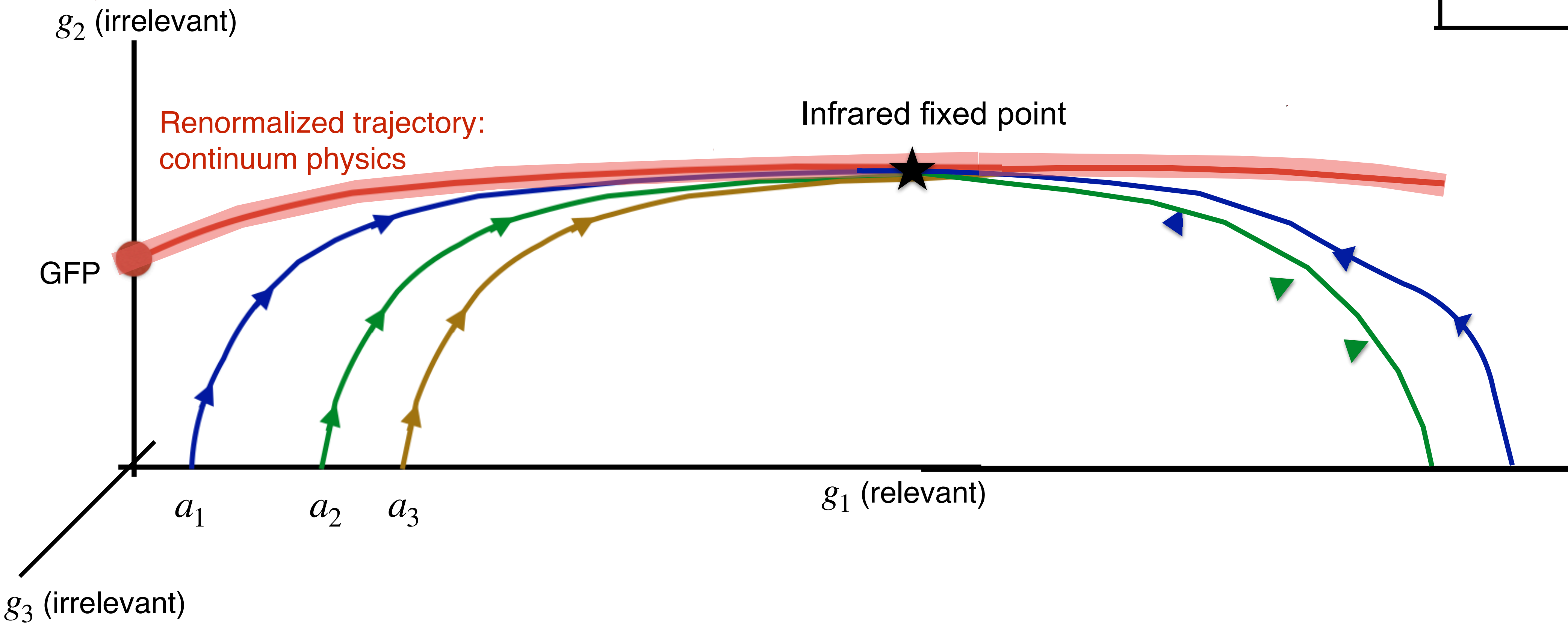
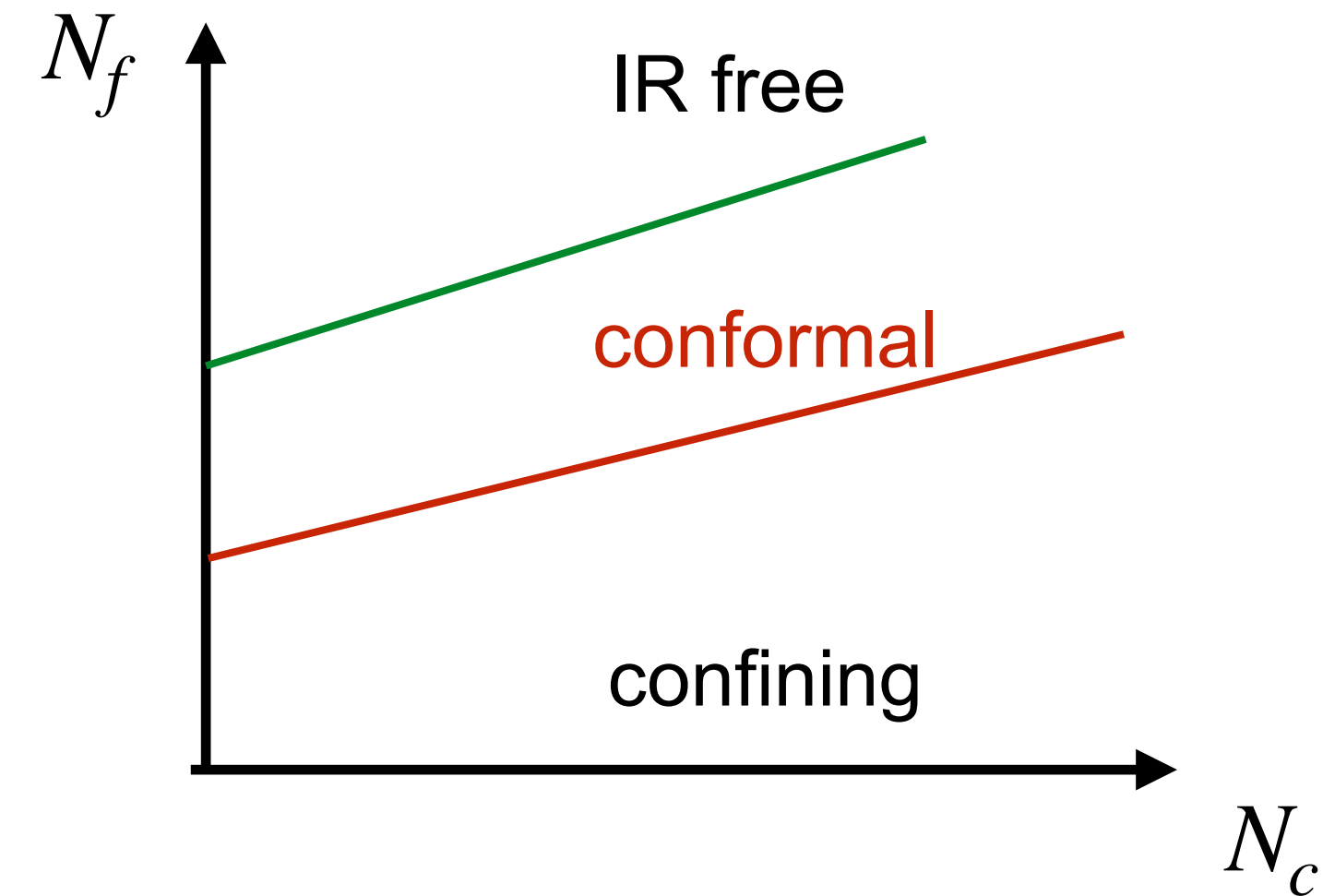
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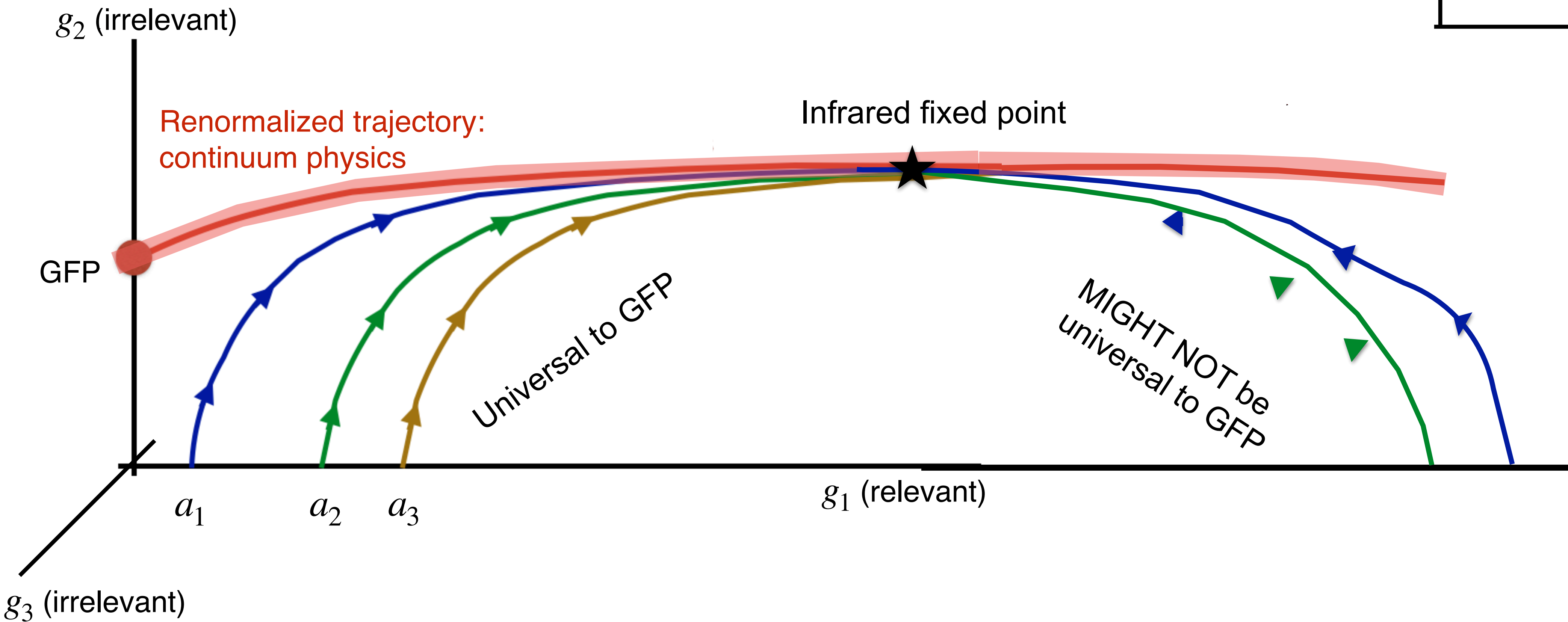
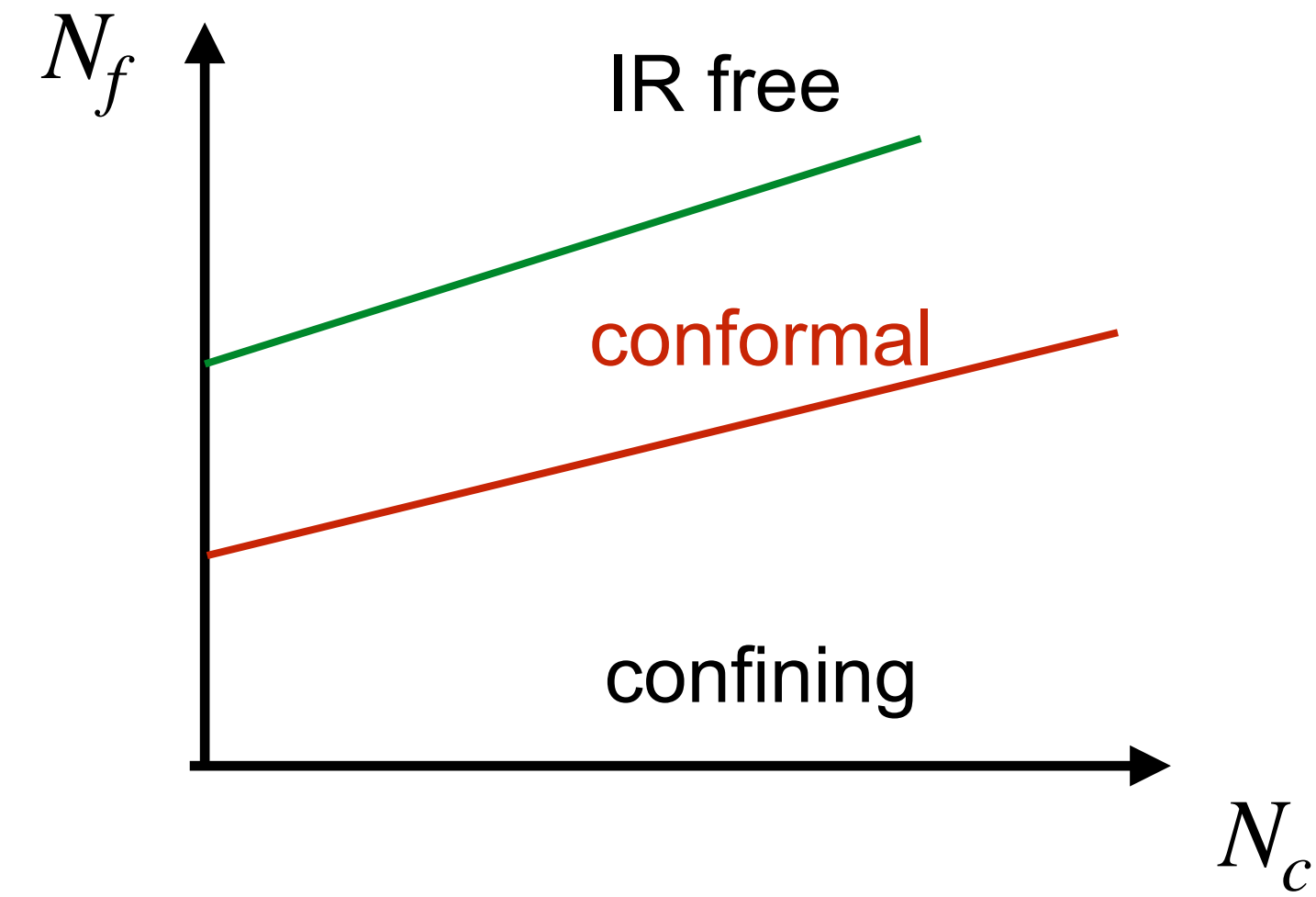
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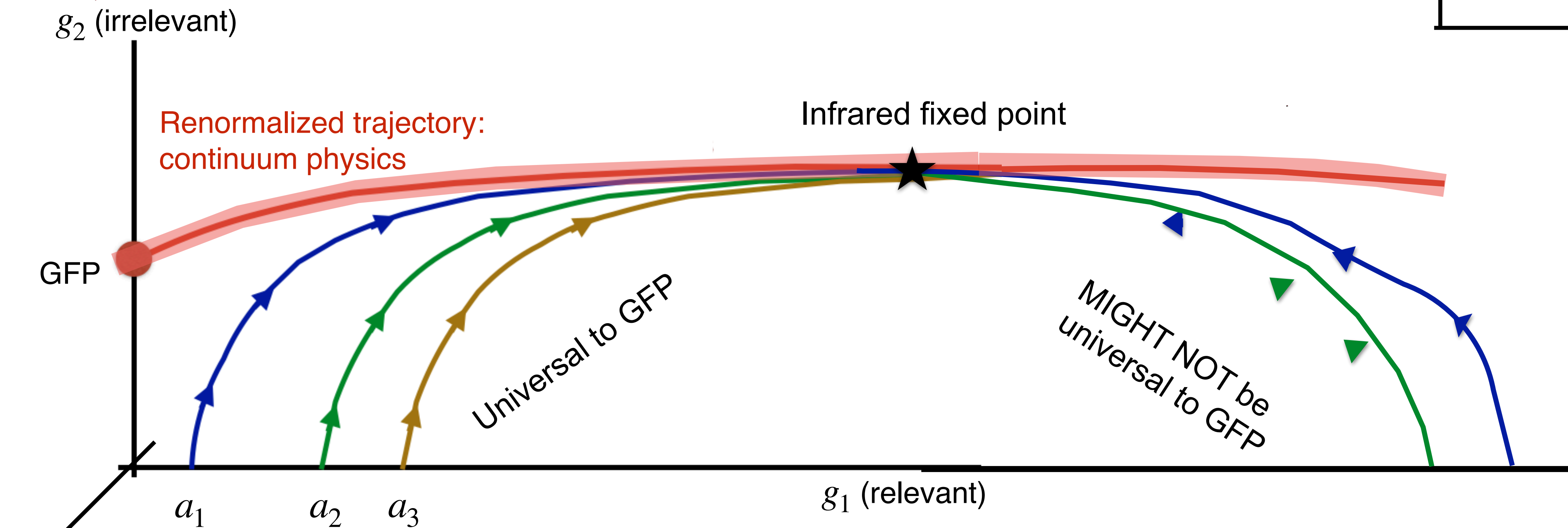
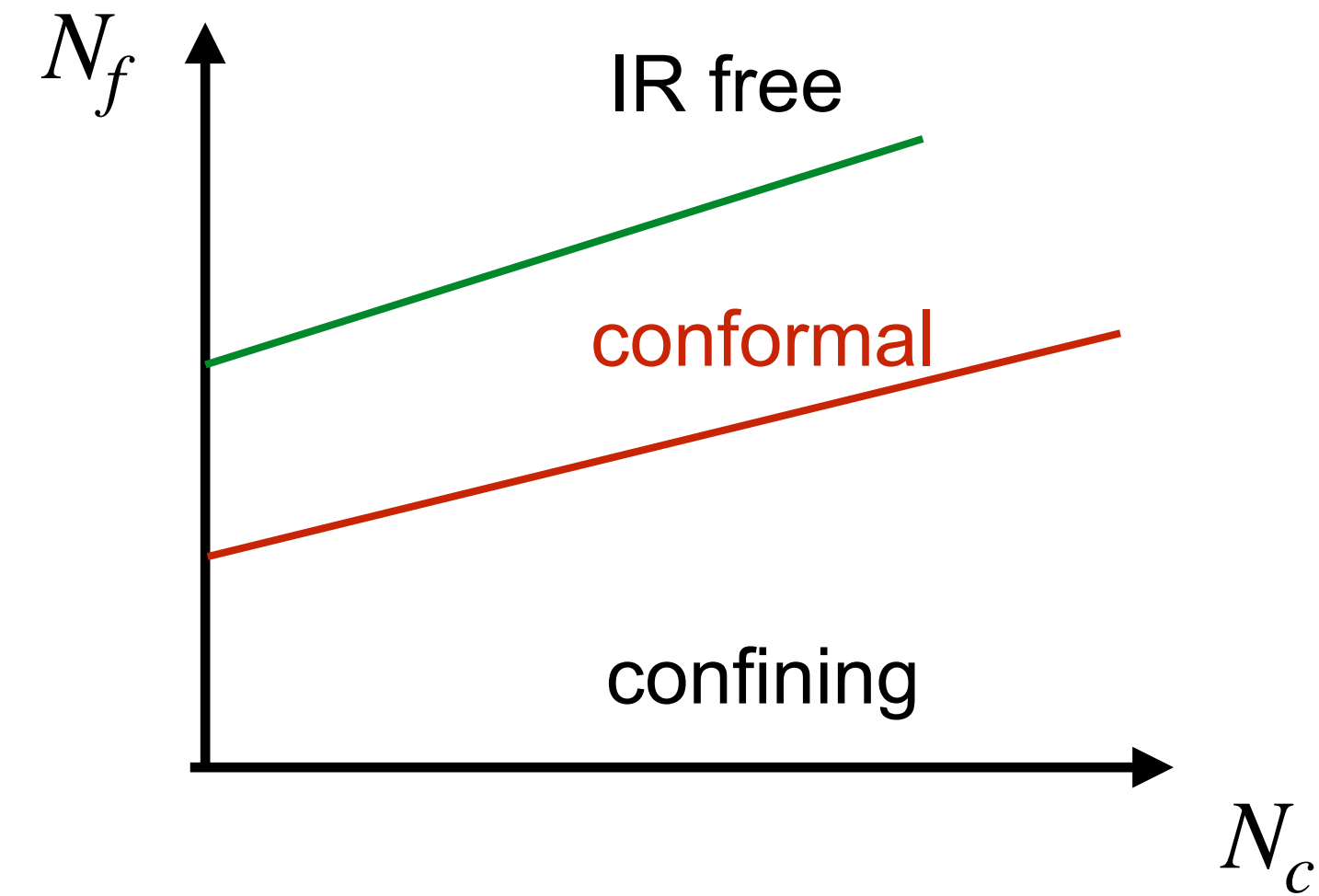
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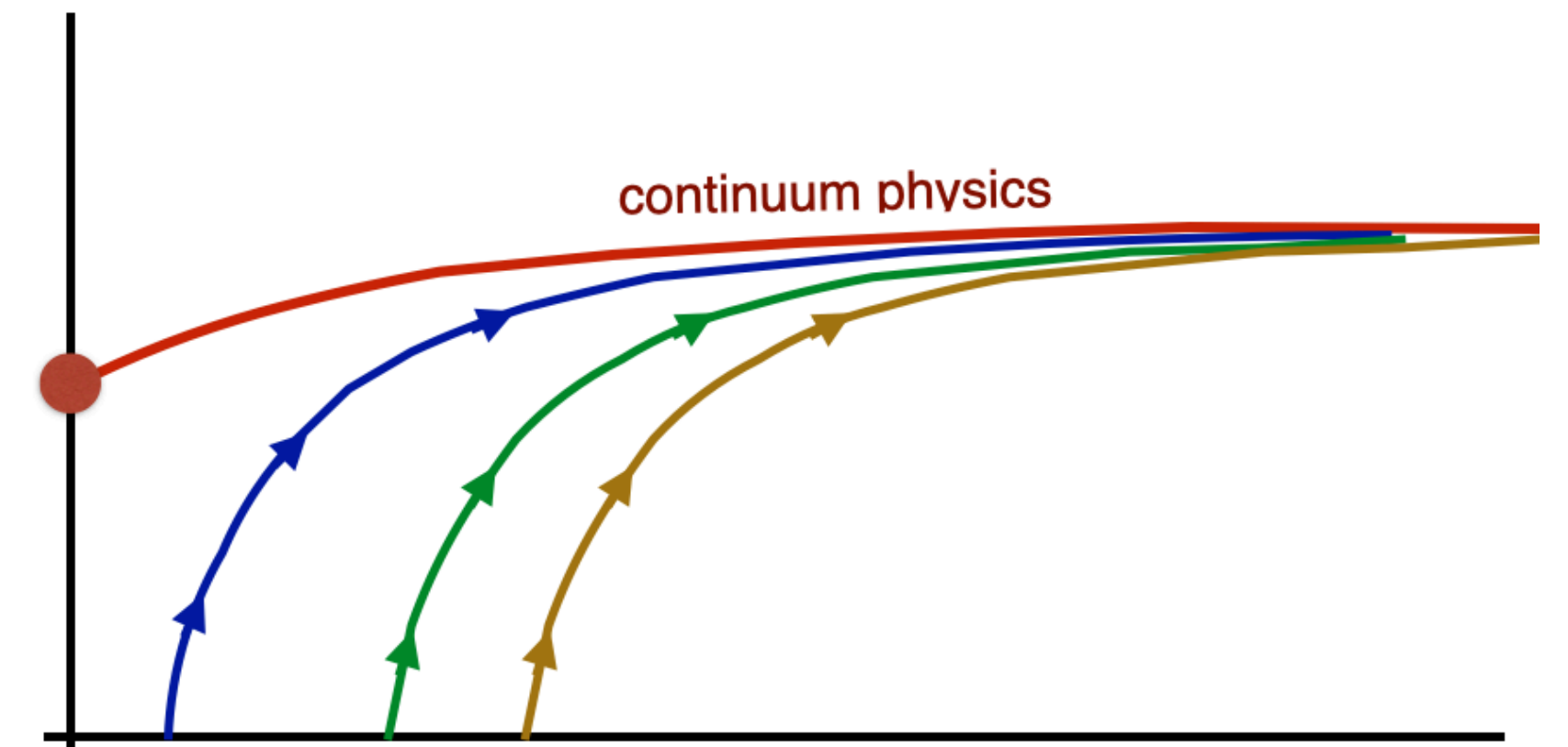


The nonperturbative RG interpretation is still valid!

# Limitations of GF

- The GF/RG picture is valid in infinite volume:
  - take the  $1/L \rightarrow 0$  limit  
( at weak coupling  $g^2(L = \infty) = g^2(L) + \frac{c}{L^4} + \dots$ )
- Step scaling uses finite volume ✓
- Need to cancel small-flow time cutoff effects
  - take the  $a^2/\tau \rightarrow 0$  continuum limit (forces the bare coupling  $g_0^2$  UVFP)
- Finite volume is OK at Gaussian FP and up to IRFP
  - not OK at an emerging UVFP or merged UV-IRFP

Yet there could be interesting strongly coupled physics!  
(E.g. SU(2) with  $N_f = 4$  or SU(3) with  $N_f = 8$  flavors)



# RG $\beta$ function

The GF coupling :  $g_{GF}^2(\tau, L; \beta) = \mathcal{N} \tau^2 \langle E \rangle_\tau$

- Step scaling function:  $\beta_{c,s}(g_{GF}^2(\tau, L; \beta_b)) = \frac{g_{GF}^2(s^2\tau, sL; \beta_b) - g_{GF}^2(\tau, L; \beta_b)}{\log(2s^2)}$

L provides the length scale,  $\tau = (cL)^2/8$

Continuum limit:  $L/a \rightarrow \infty$

- Continuous  $\beta$  function:  $\beta(g_{GF}^2(\tau)) = -\tau \frac{d g_{GF}^2}{d \tau}$  ,  $L/a \rightarrow \infty$

Continuum limit :  $a^2/\tau \rightarrow 0$

- The two approaches are equivalent in the  $s \rightarrow 0, c \rightarrow 0$  limits
- Require different analysis and have different numerical challenges

Luscher et al *Nucl.Phys.B* 413 (1994) 481  
Fodor et al *JHEP* 11 (2012) 007

Fodor et al [1711.04833](#)  
AH, Witzel, *PRD*101 (2020) 3

$\beta(g^2)$  can be determined in small volume (deconfined) simulations:

- $\beta_{GF}(g_{GF}^2)$  is known for  $g_{GF}^2 \in (0+, 20+)$
- direct determination  $g_{GF}^2(\tau)$  is possible only  $g_{GF}^2 \gtrsim 8$

# RG $\beta$ function - recent results:

$N_f$  small : QCD like

Goal: determine the GF  $\beta$  function; predict  $\Lambda_{QCD}, \alpha_s$   
( M. Luscher colloquium: " $\alpha_s$  demystified" )

## - Continuous $\beta$ function studies:

SU(3) gauge, no fermions

AH, Peterson, VanSickle, Witzel, arXiv: [2303.00704](https://arxiv.org/abs/2303.00704)

Wong et al, 2301.06611

SU(3) gauge +  $N_f = 3$  fundamental fermions; staggered (R. Wong's talk)

SU(3) gauge +  $N_f = 4$  fundamental fermions; staggered (Y. Mandlecha talk)

## - Step scaling + decoupling

Alpha collaboration

Recent publication (A. Ramos' talk )

[Dalla Brida et al, Nature volume 652, 328–334 \(2026\)](https://doi.org/10.1038/s41586-025-0478-4)

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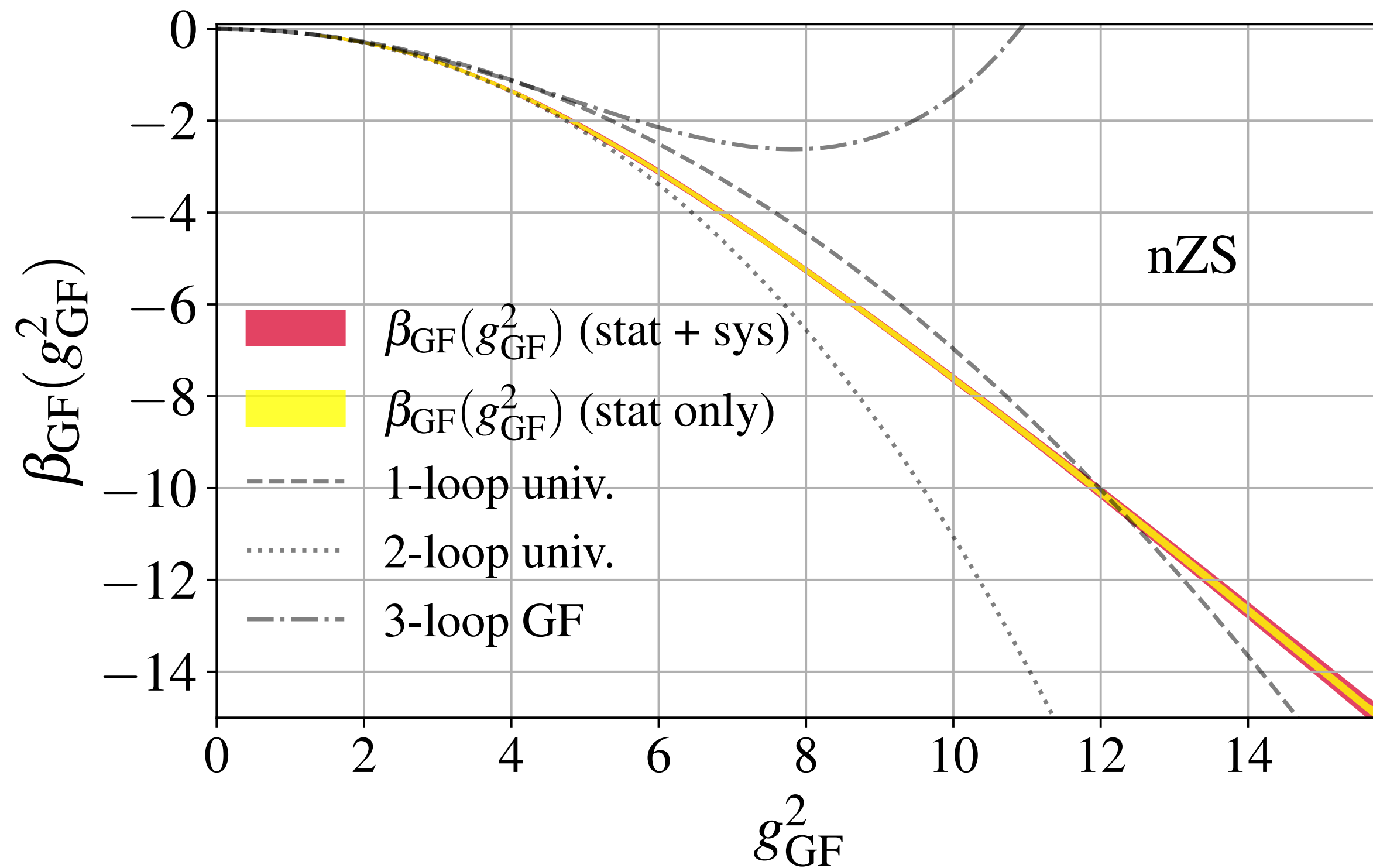
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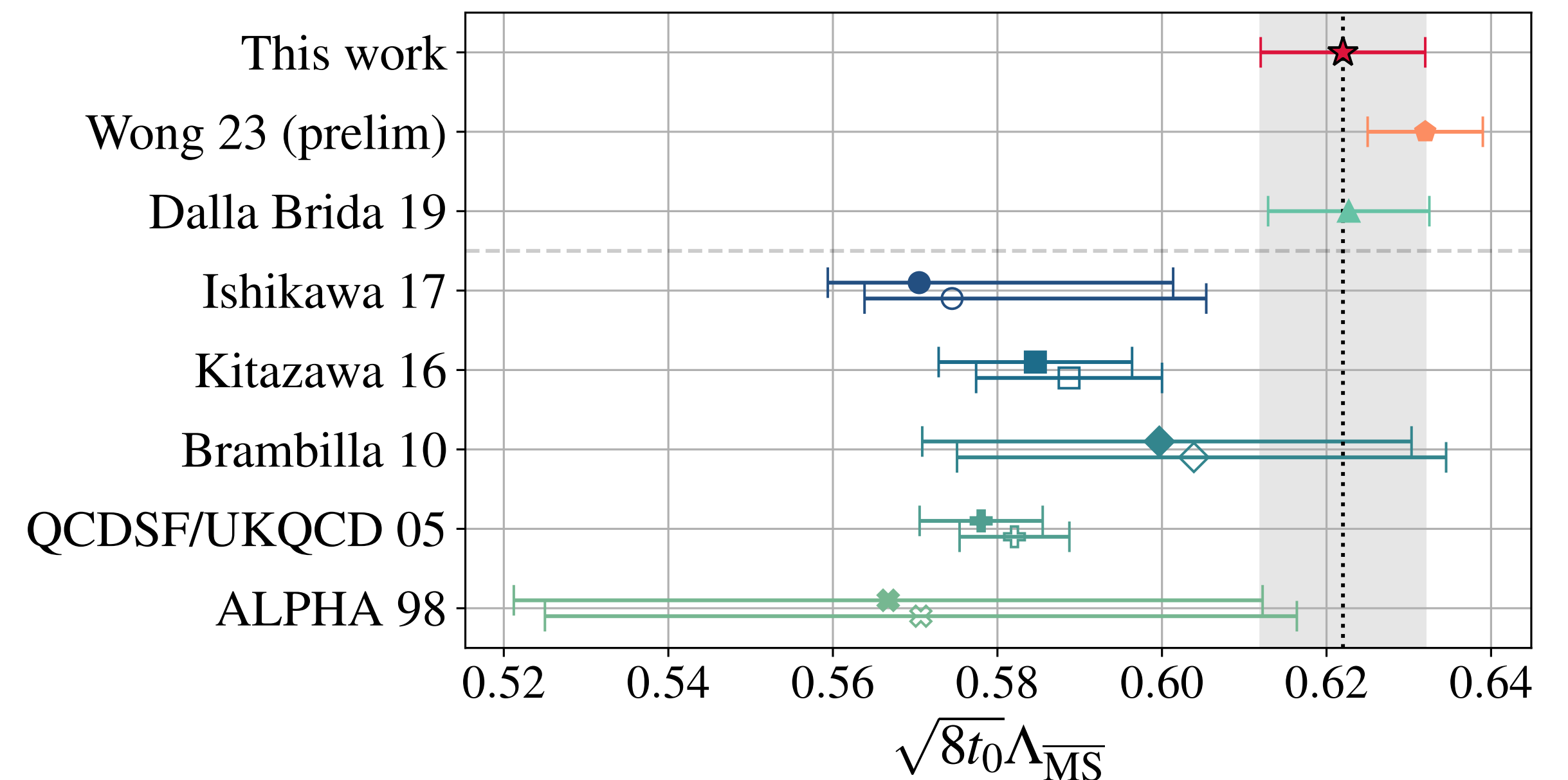
AH, Peterson, VanSickle, Witzel, arXiv: [2303.00704](https://arxiv.org/abs/2303.00704)

$N_f = 0$  GF  $\beta$  function,  $\Lambda_{QCD}$



RG  $\beta$  function

- maps into perturbative curves
- almost linear in the strong coupling



$\Lambda_{\overline{MS}}$  consistent with other GF results  
in tension with other (older) results

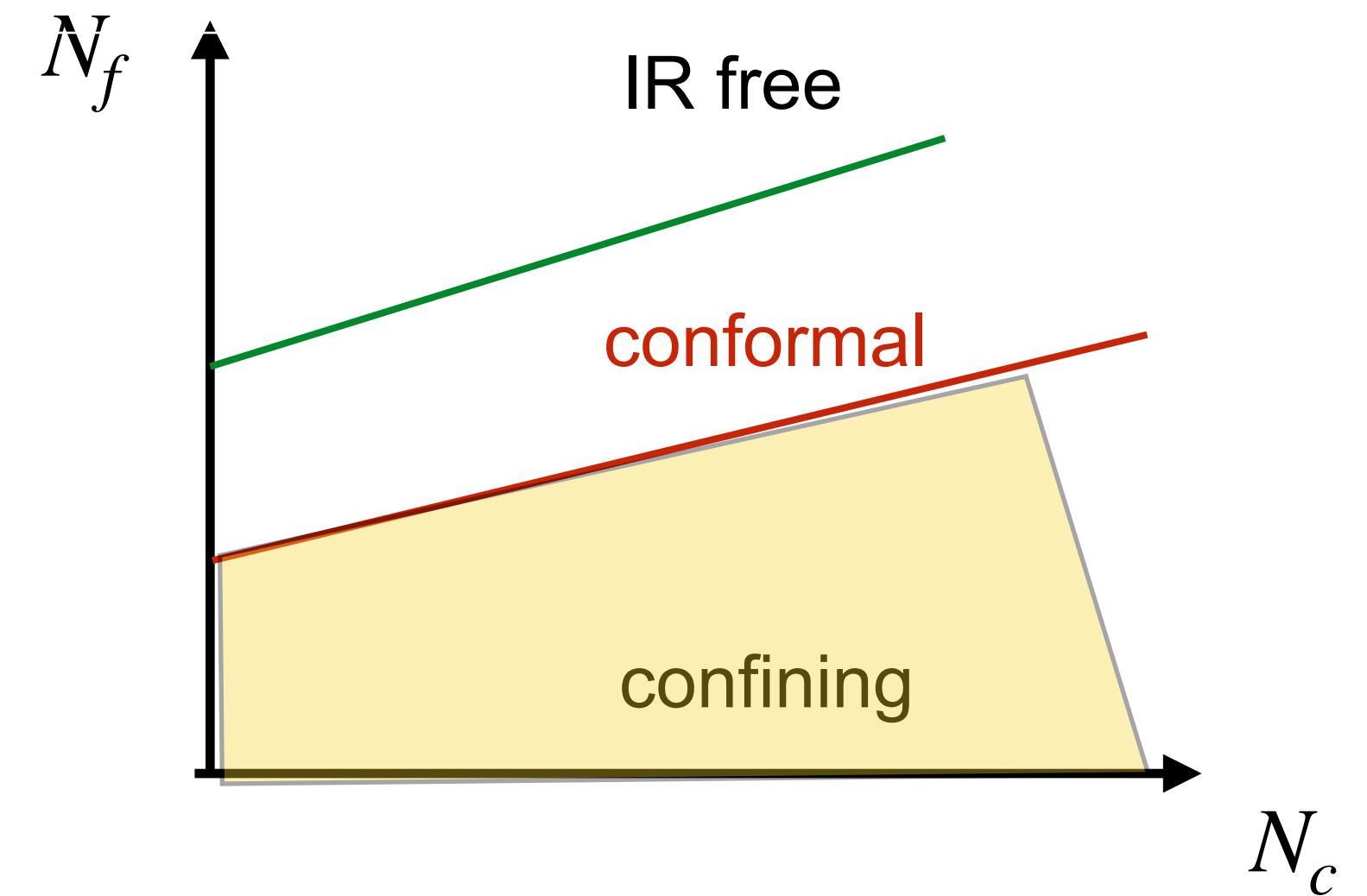
# RG $\beta$ function -conformal systems

$N_f > N_f^*$  : within the conformal window

Goal:

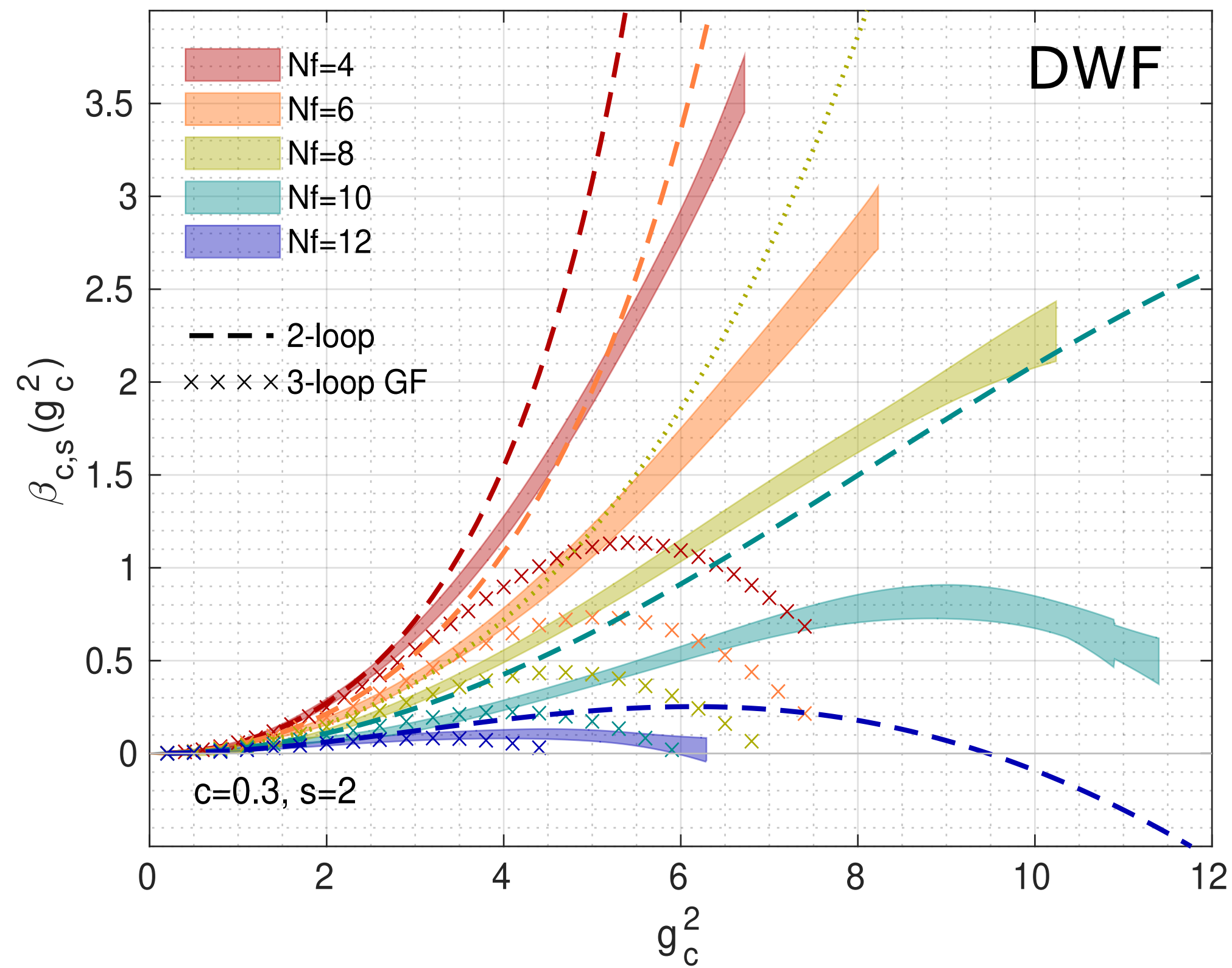
- Identify the IRFP
- Find the opening of the conformal window
- Find universal critical exponents

- SU(3) gauge  $N_f = 2 - 12$  domain wall
- SU(3) gauge +  $N_f = 12$  staggered lattice fermions
- SU(3) gauge +  $N_f = 10$  Wilson&staggered lattice fermion (N. Mackey's talk)
- SU(4) gauge +  $N_f = 4 + 4$  fundamental+sextet fermions; Wilson lattice fermions
- SU(3) gauge +  $N_f = 8$  staggered lattice fermions - conformal + new SMG phase!
- SU(2) gauge +  $N_f = 4$  staggered- conformal + new SMG phase!



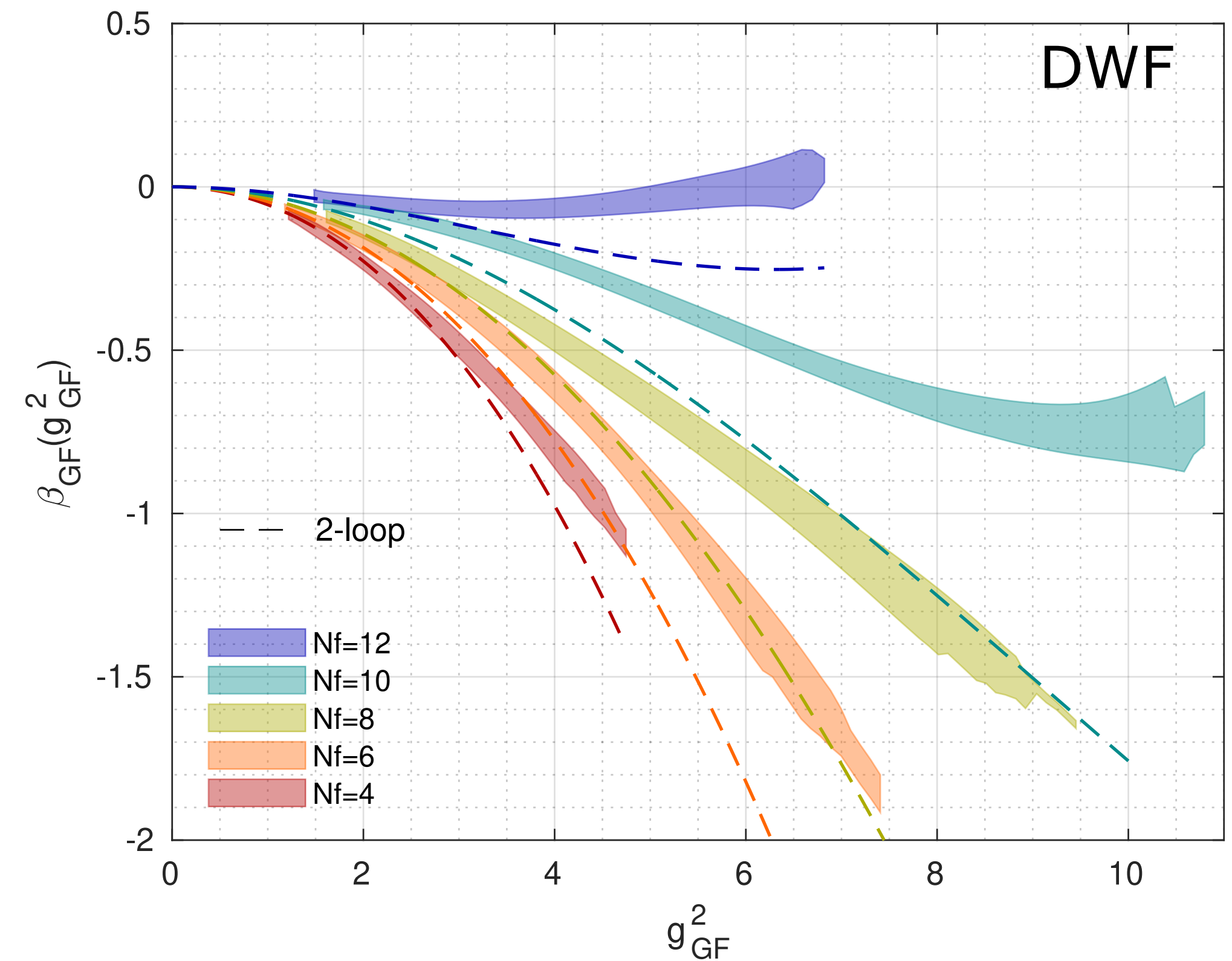
# RG $\beta$ function - compare $N_f = 2 - 12$

## Step scaling



AH,Rebbi,Witzel, PRD107 (2022) 11

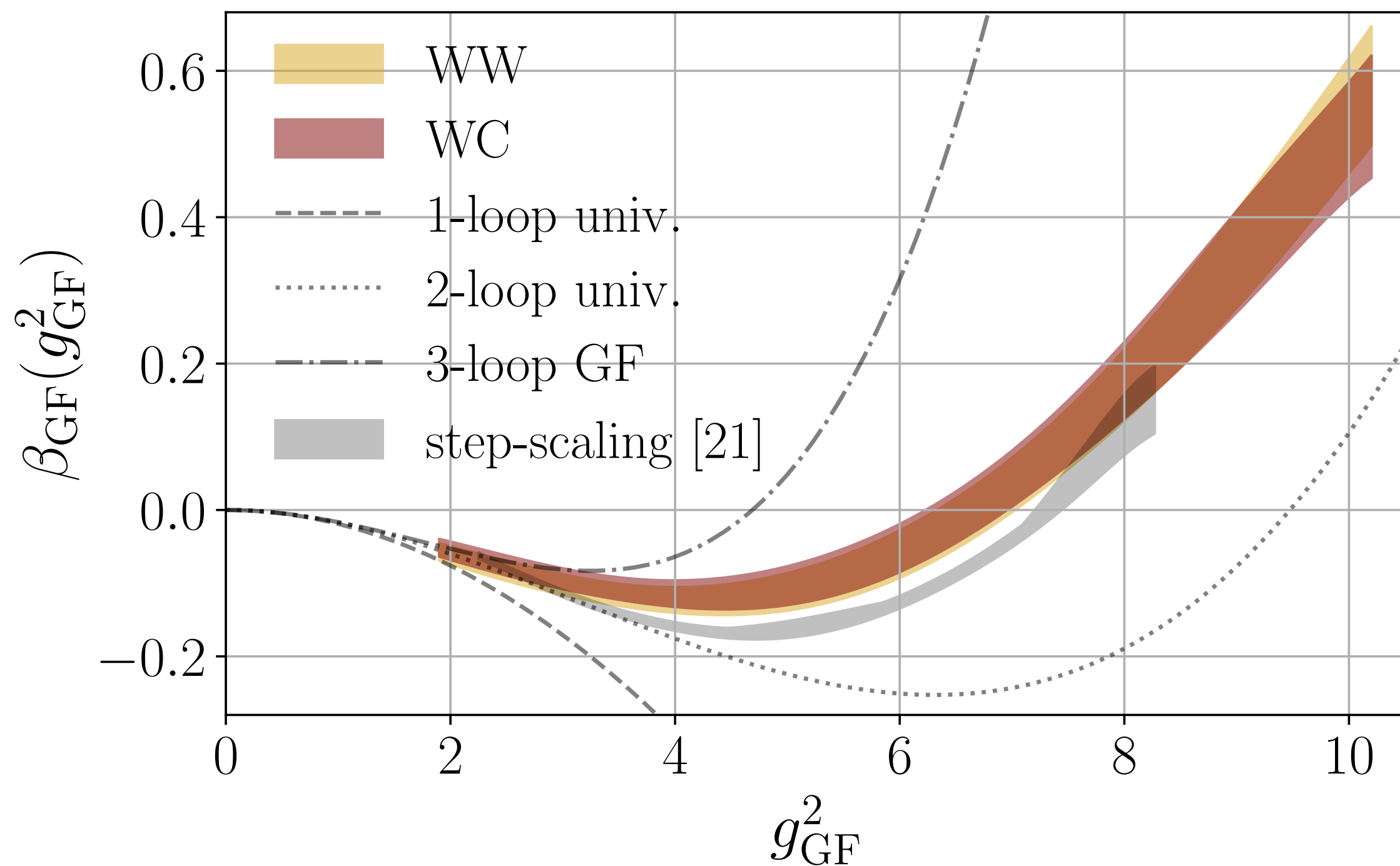
## Continuous $\beta$ function



AH,Witzel, Lattice 2023

# RG $\beta$ function - $N_f = 10,12$

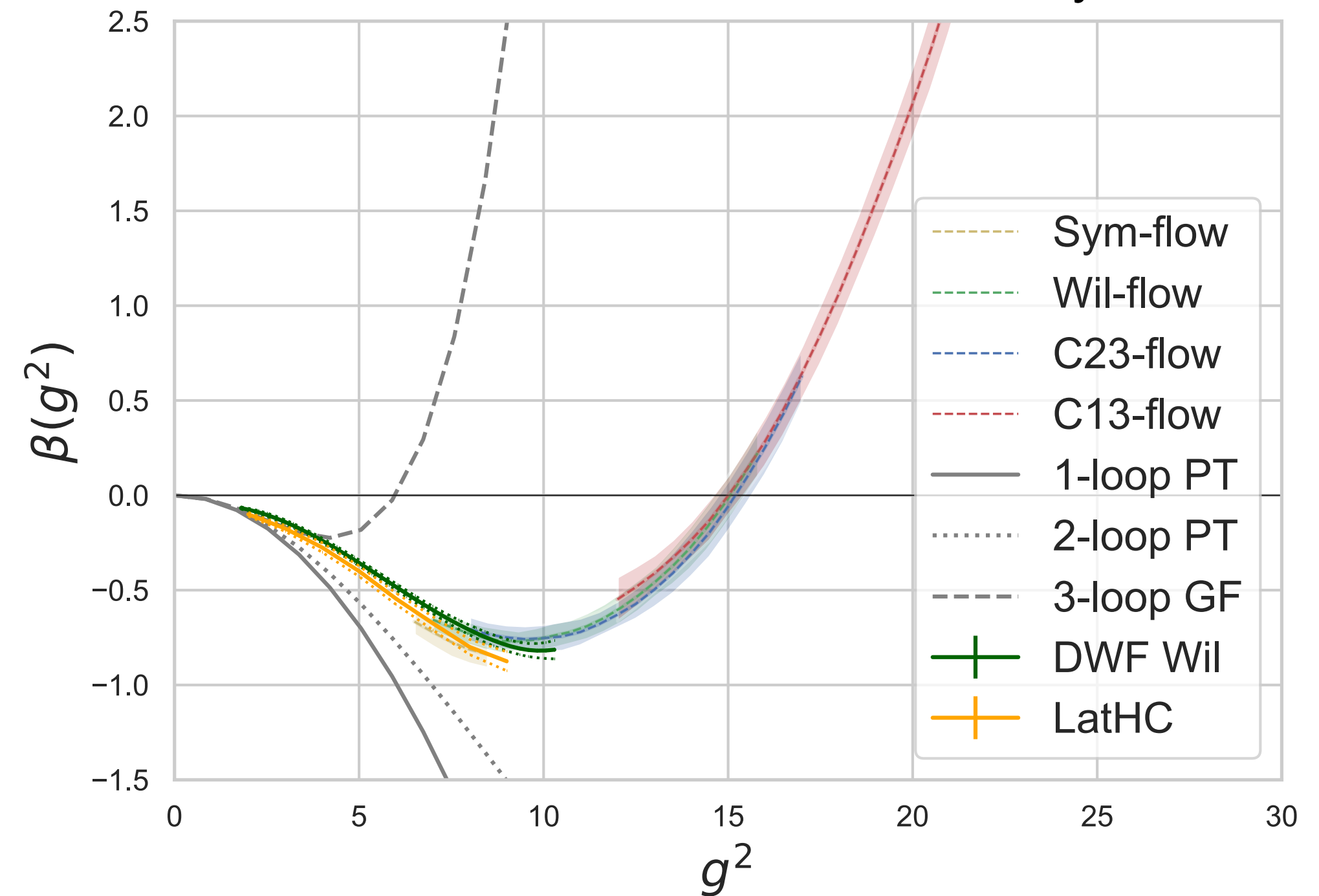
$N_f = 12$  : step scaling & continuous  $\beta$



Peterson, Hasenfratz, PRD109 (2024) 11  
 [21] Hasenfratz, Schaich *JHEP* 02 (2018) 132  
 (step scaling - different scheme!)

$N_f = 10$  : continuous  $\beta$  fn; Wilson fermions

See N. Mackey's talk for staggered



Hasenfratz, Neil, Shamir, Svetitsky, Witzel,  
 PRD 108 (2023) 7

# Gradient flow for fermions

- Fermion flow on gauge background

$$\partial_\tau \chi(\tau; x) = \Delta \chi(\tau; x), \quad \partial_\tau \bar{\chi}(\tau; x) = \bar{\chi}(\tau; x) \overleftarrow{\Delta}$$

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Correlators  $\langle O(\tau; x) O_{probe} \rangle$  are straightforward to calculate

Renormalized correlators  $\langle O_{GF}(\tau; x) O_{probe} \rangle = Z_\chi \langle O(\tau; x) O_{probe} \rangle$  require  $Z_\chi$

- If only ratios are considered,  $Z_\chi$  is not needed:

- Lifetime, bag parameter ( A. Rago talk)
- Quark masses (O. Witzel, A. Chauhan talk)
- PDFs ( A. Shindler)

- If absolute normalization is needed, we need  $Z_\chi$

# GF renormalization schemes

Different  $Z_\chi$  definitions lead to different renormalization schemes

Ringed scheme:

Makino, Suzuki, *PTEP* 2014 (2014) 063B02

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$\mathring{\zeta}_O(\mu, \tau)$  from SFTX

Borgulat et al, *JHEP* 05 (2024) 179

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The vector current is conserved :

▸ in  $\overline{MS}$  scheme  $V_{\overline{MS}}(\mu) = V_{\text{bare}}$

Reproduce that in GF

▸  $\tilde{Z}_\chi^{(V)}(\tau) \langle V(\tau) V_{\text{probe}} \rangle = \langle V_{\text{bare}} V_{\text{probe}} \rangle$

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$\tilde{Z}_\chi^{(V)}(\tau)$  is straightforward to calculate on the lattice  
 Matches to  $\overline{MS}$  with existing SFTX coefficients

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Axial scheme:  $V \rightarrow A_0$

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GF renormalized operator :

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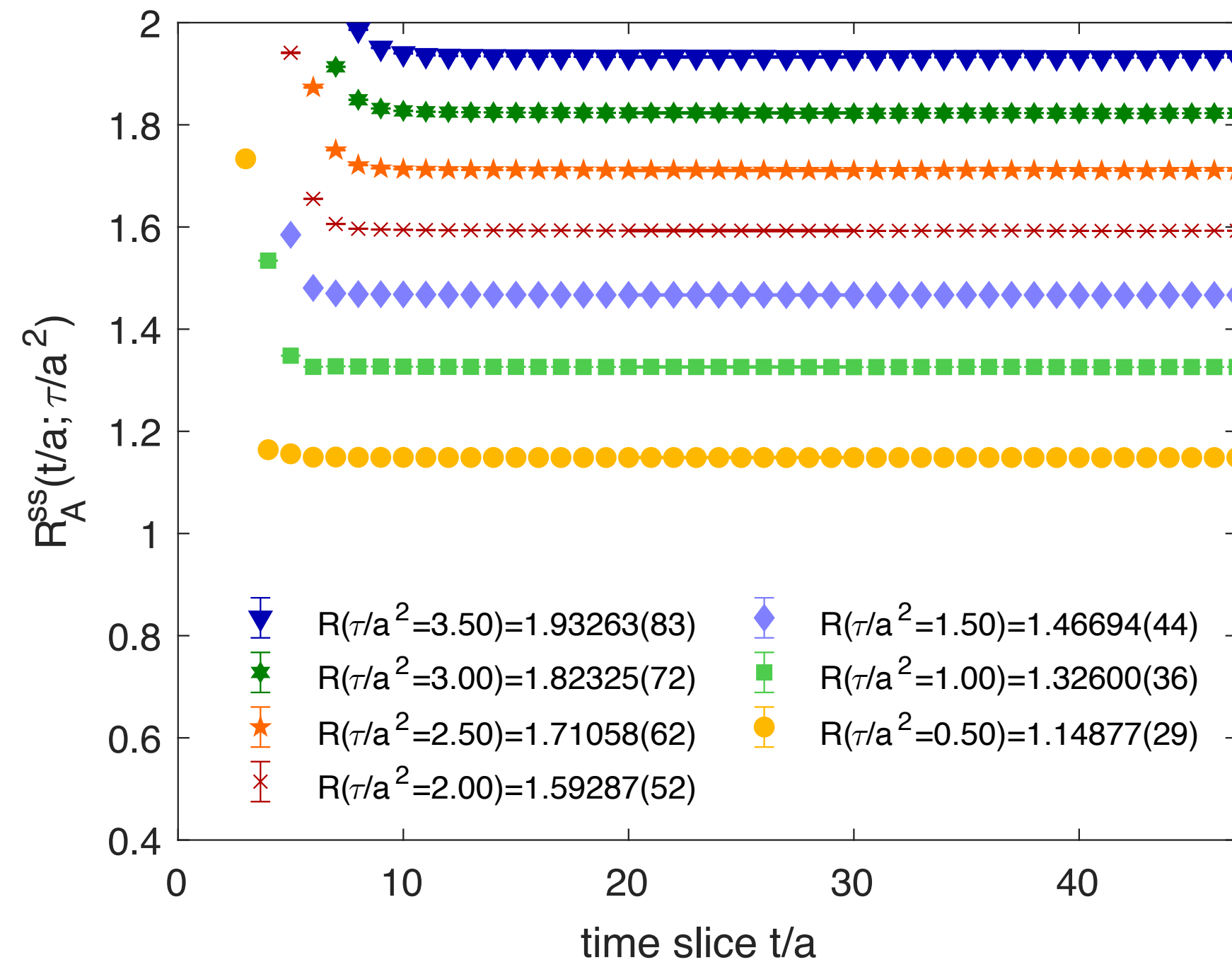
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$R_A(\tau)$  is independent of Euclidean separation if  $t \rightarrow \infty$  since both numerator and denominator couples to the same ground state

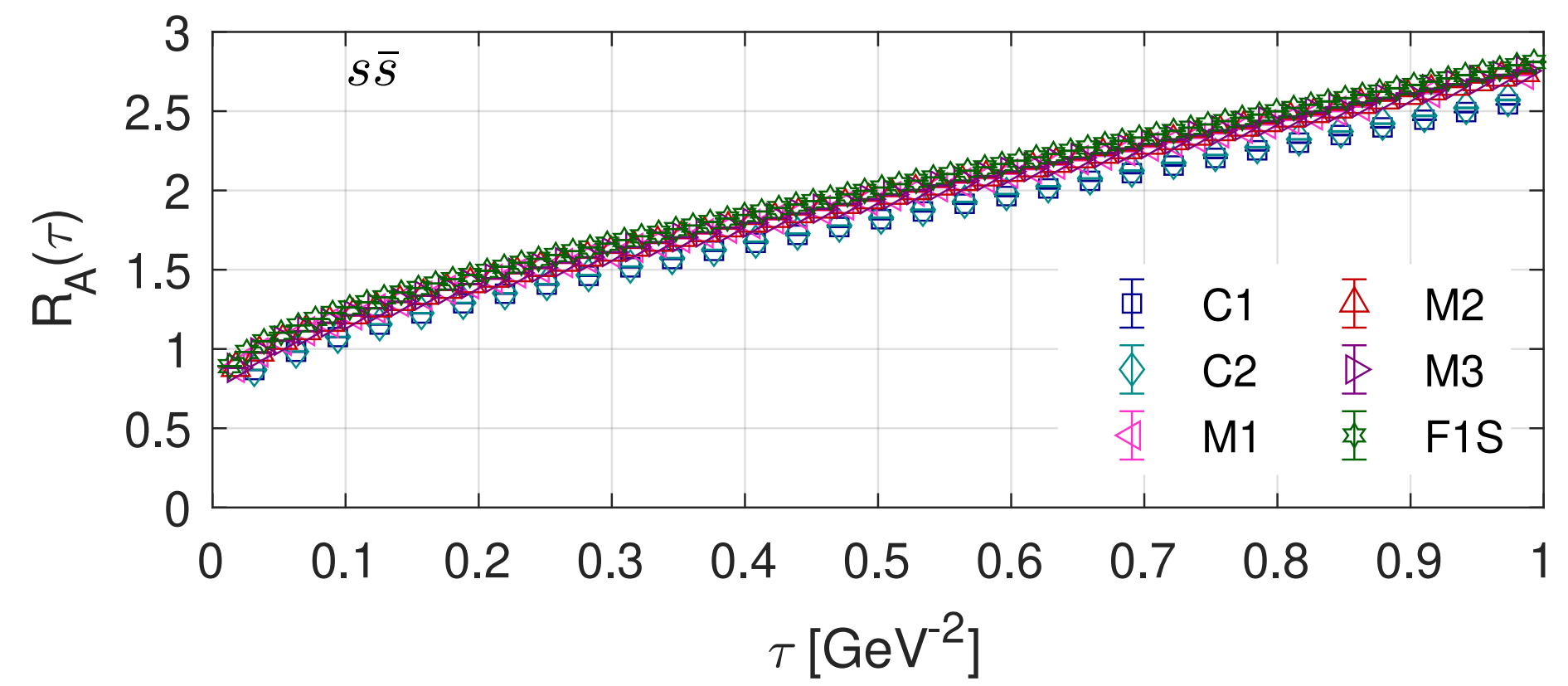
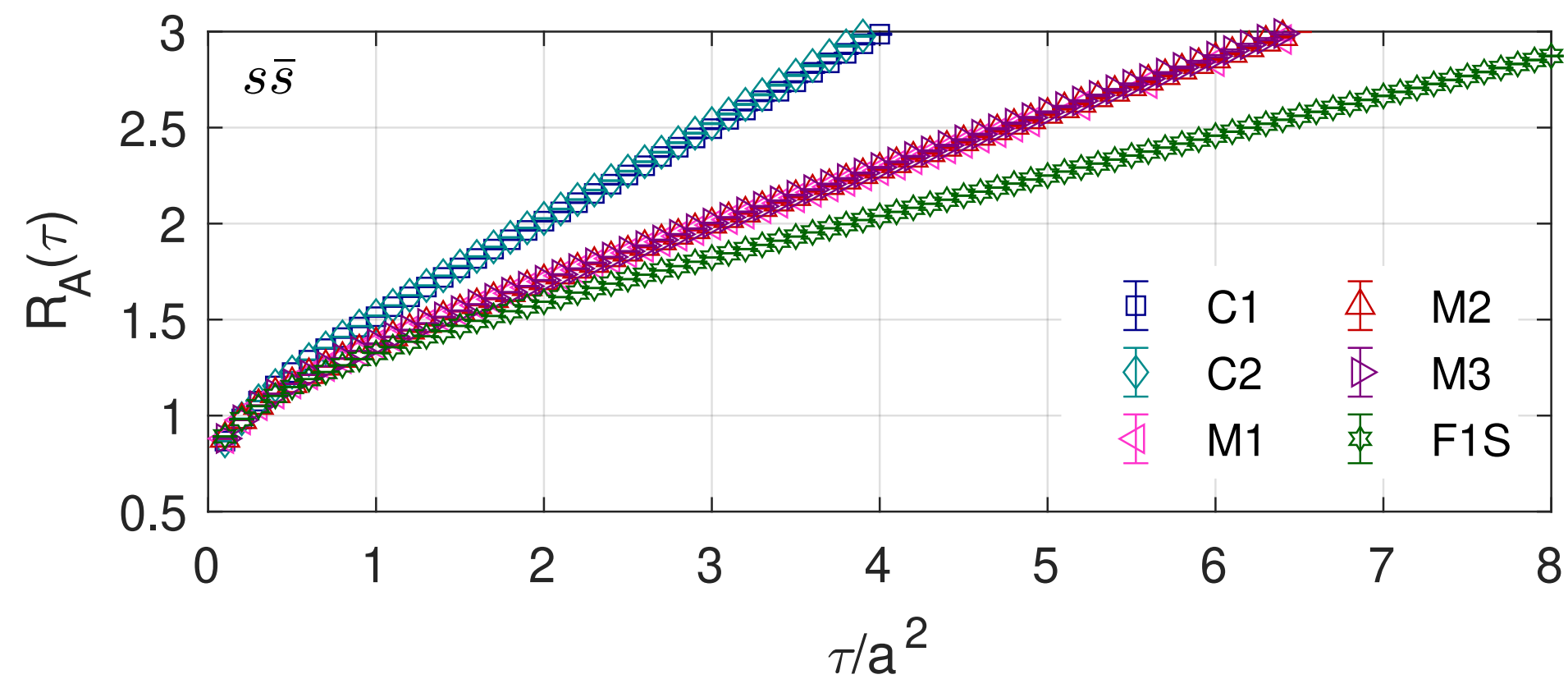
Numerical study: 2+1 flavor DWF fermions as in Black et al 2506.16327, 2603.28516, 2603.28517  
See O. Witzel talk

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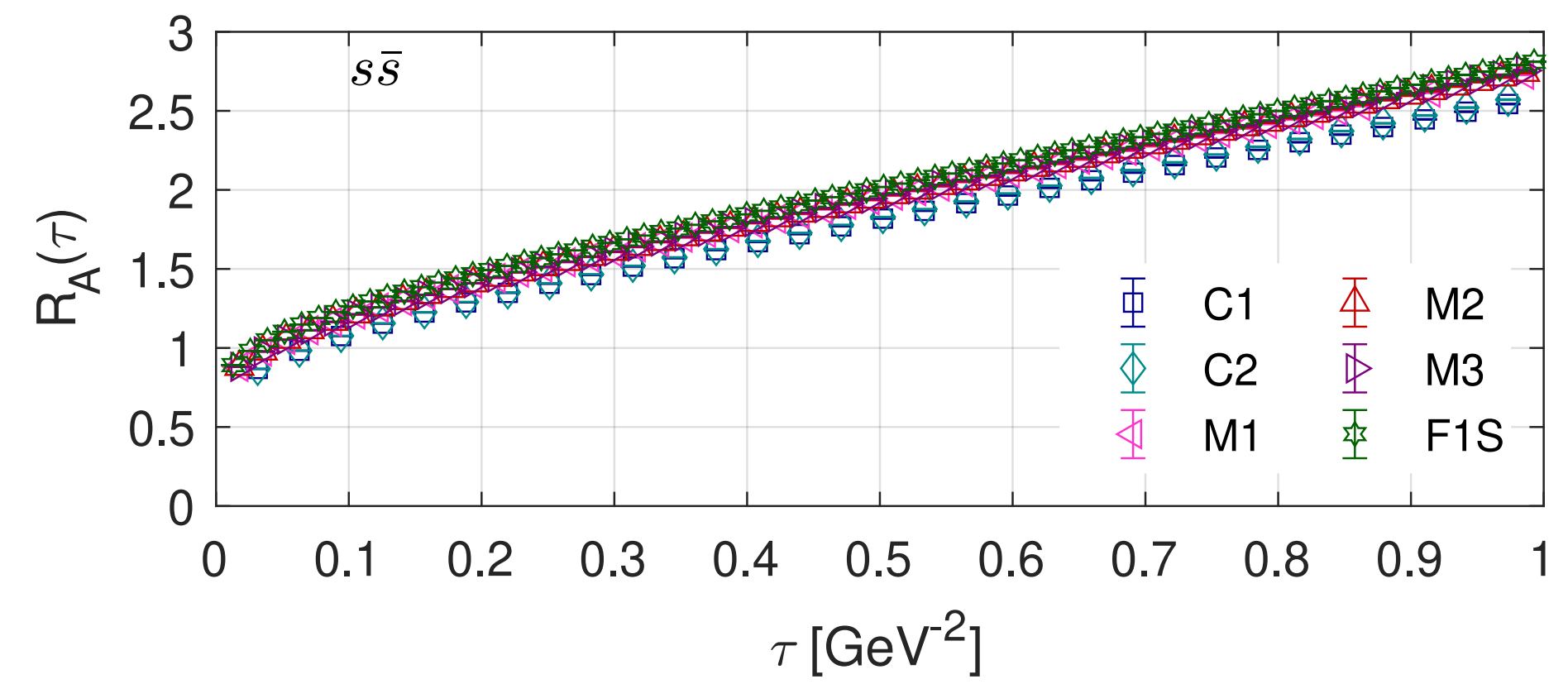
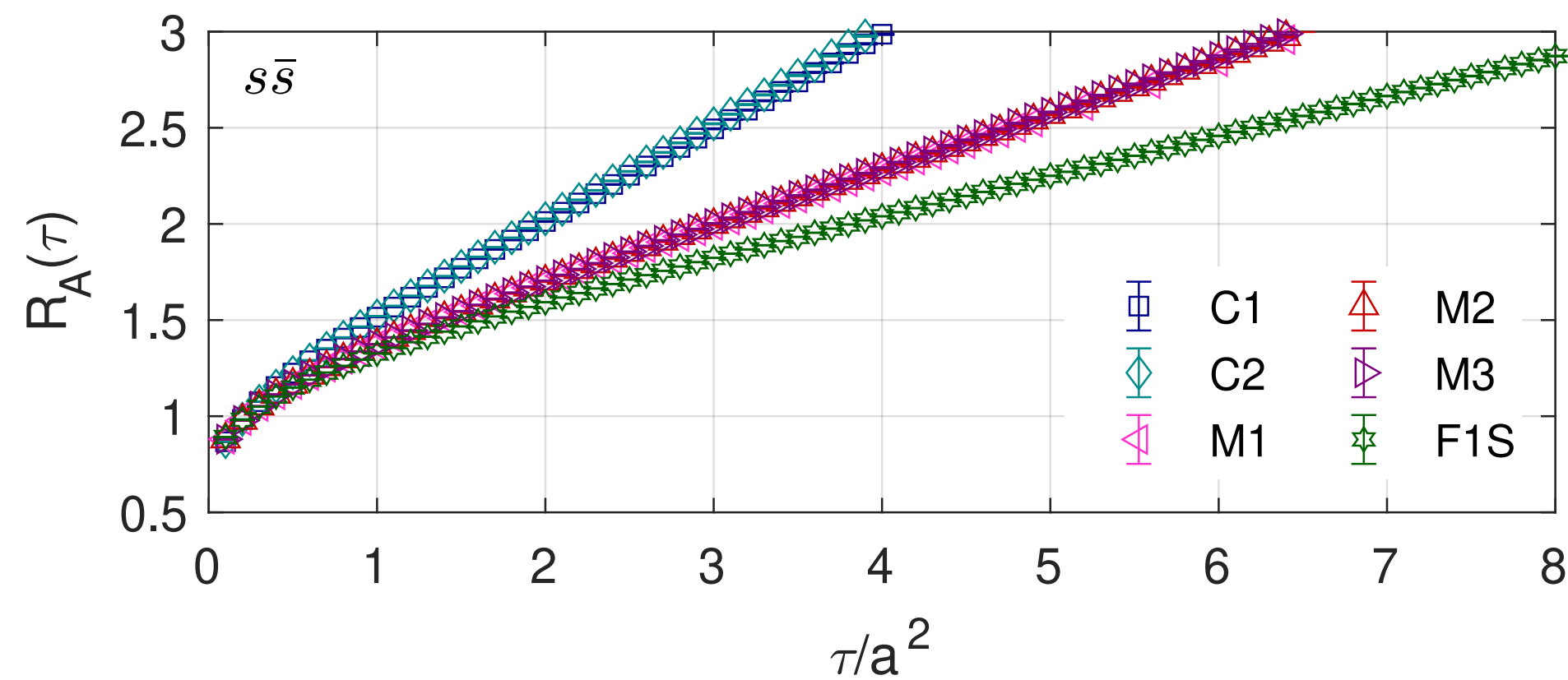


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The ratio  $\frac{\langle \widetilde{O}_{GF}^{(A)}(\tau) O(0) \rangle}{\langle O(0) O(0) \rangle} = \frac{Z_A R_A}{R_O}$  measures the overlap of  $O_{GF}^{(A)}(\tau)$  with  $O(0)$ ;

Its  $\tau$  dependence is the anomalous dimension :  $\gamma_{O/A}(\tau) = \tau \frac{d \log(R_A(\tau)/R_O(\tau))}{d\tau}$

Combine with the coupling  $g_{GF}^2(\tau)$  to predict  $\gamma_{O/A}(g_{GF}^2)$

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$\gamma_{O/A}(g_{GF}^2)$  can be determined in small volume (deconfined) simulations:

▸ direct determination  $\gamma_{O/A}(\tau)$  is possible only for large  $g_{GF}^2$  (confining lattices)

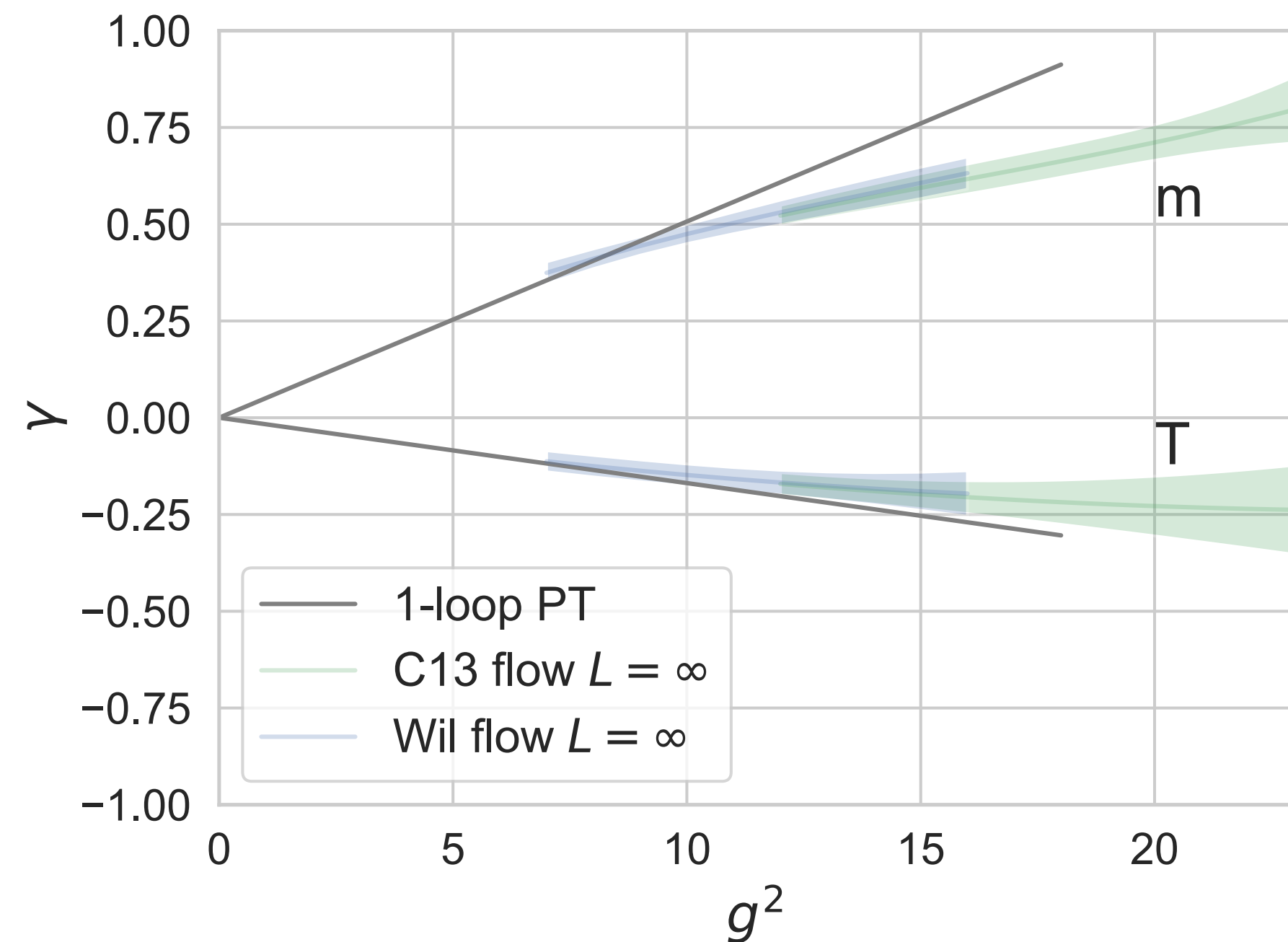
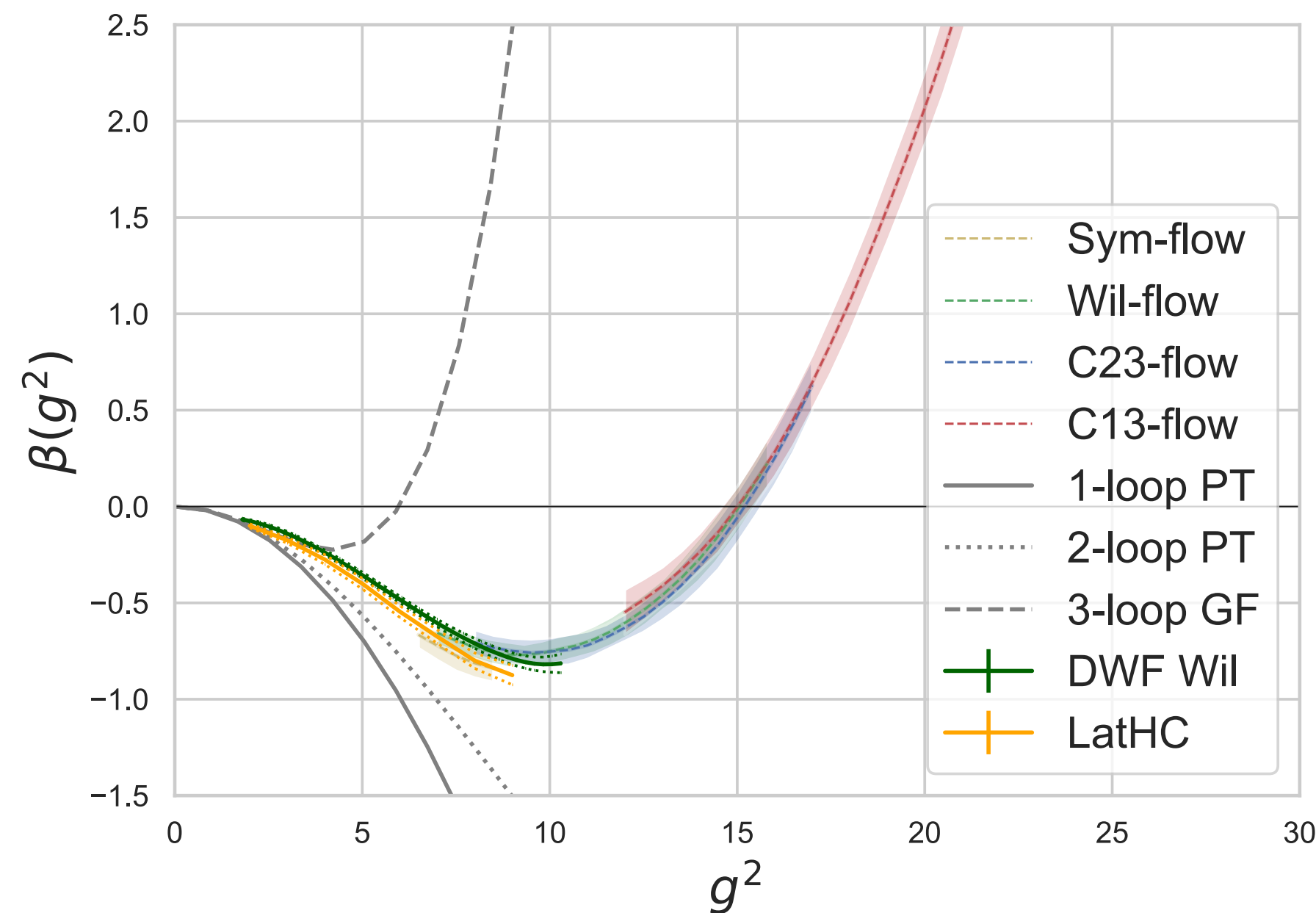
V-scheme is similar; just replace  $A_0$  with  $V_k$

# Anomalous dimension - examples

Hasenfratz, Neil, Shamir, Svetitsky, Witzel,  
*Phys.Rev.D* 108 (2023) 7

$SU(3)$  with  $N_f = 10$  massless flavors; Wilson fermions, V-scheme

Conformal system, the anomalous dimension is universal at the IRFP



$\gamma(g^2)$  is not special at the IRFP; find  $g_{IRFP}^2$  from the beta function, then find  $\gamma_{IRFP}$   
(See N. Mackey's talk for staggered results)

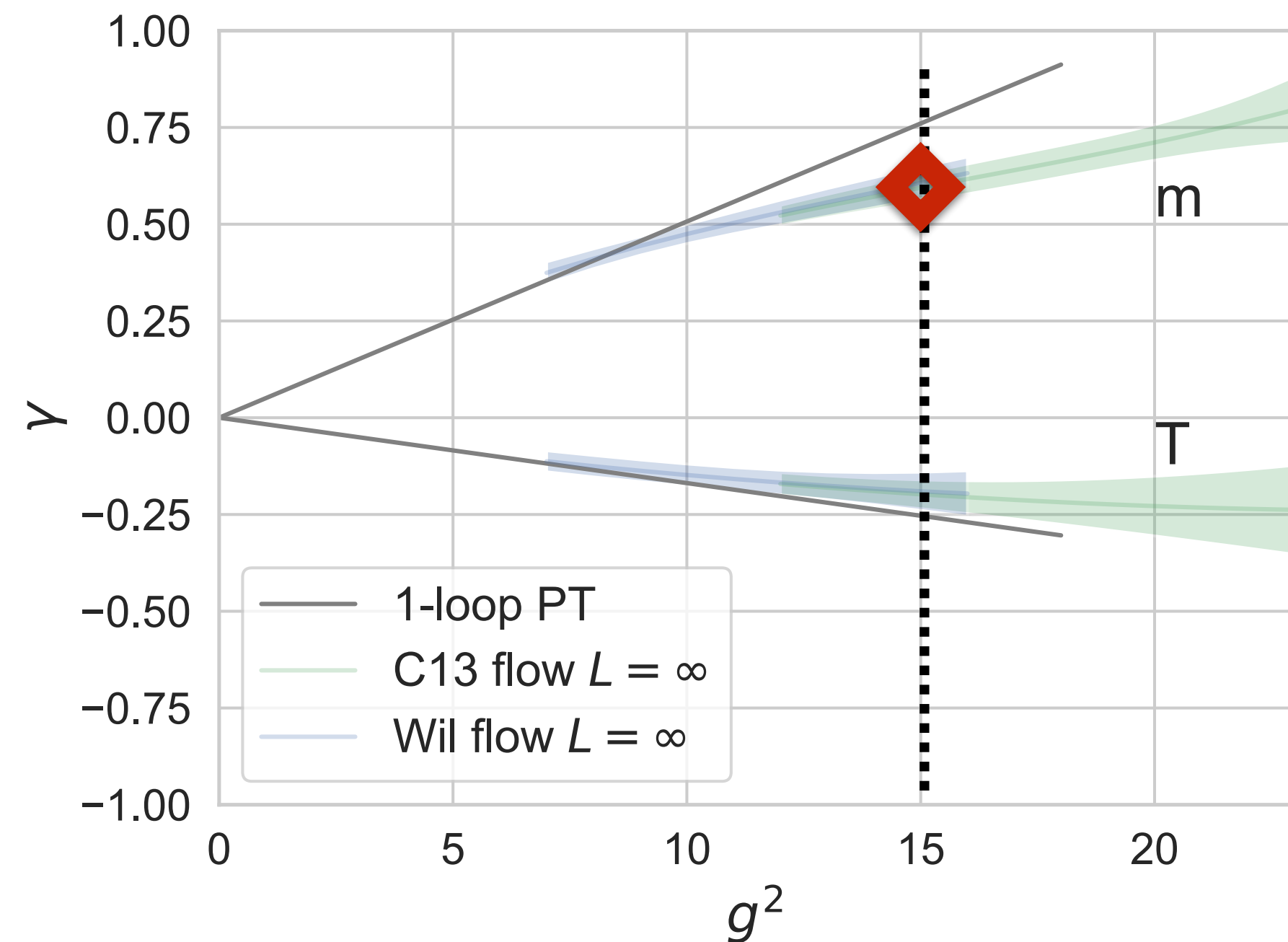
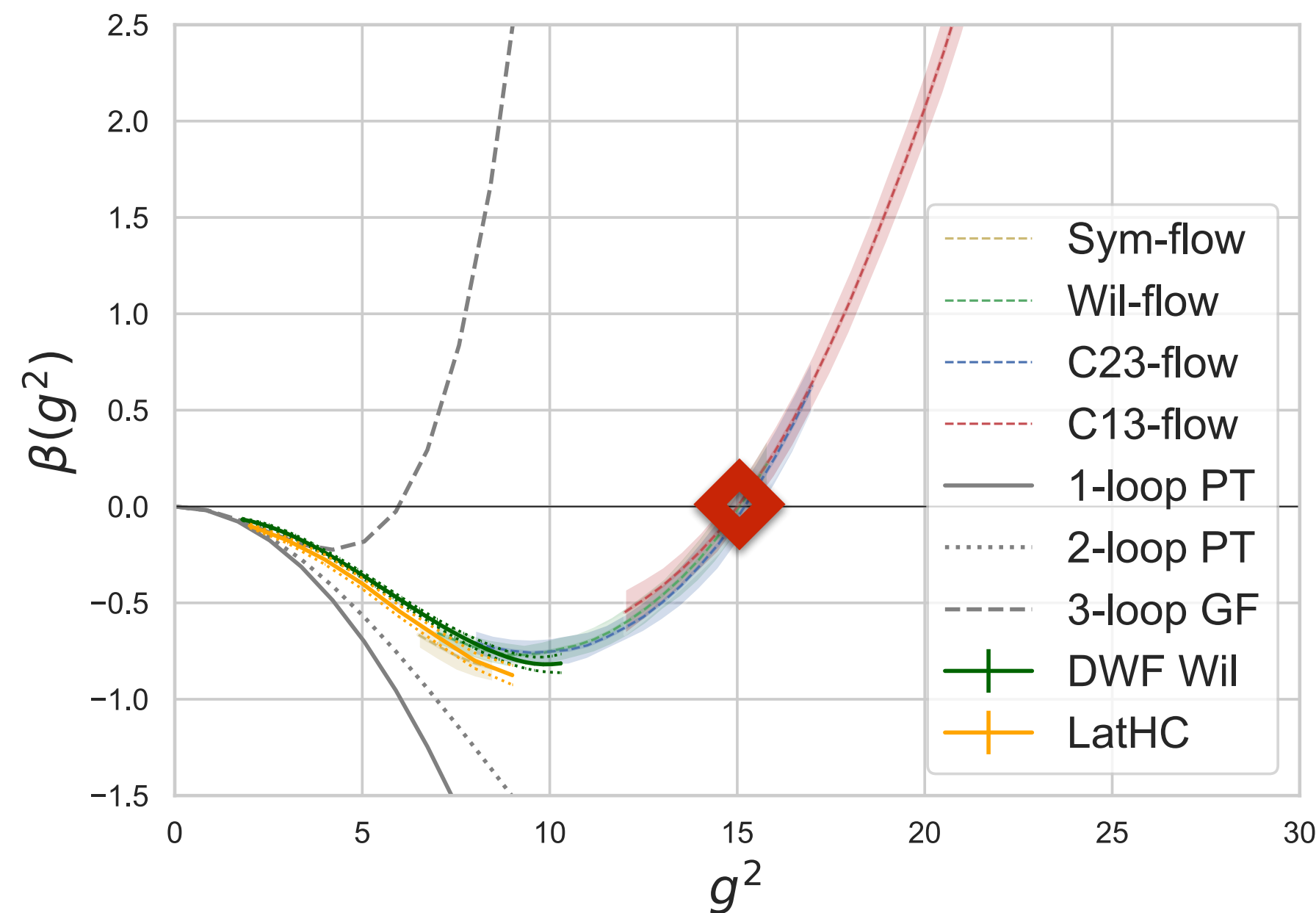
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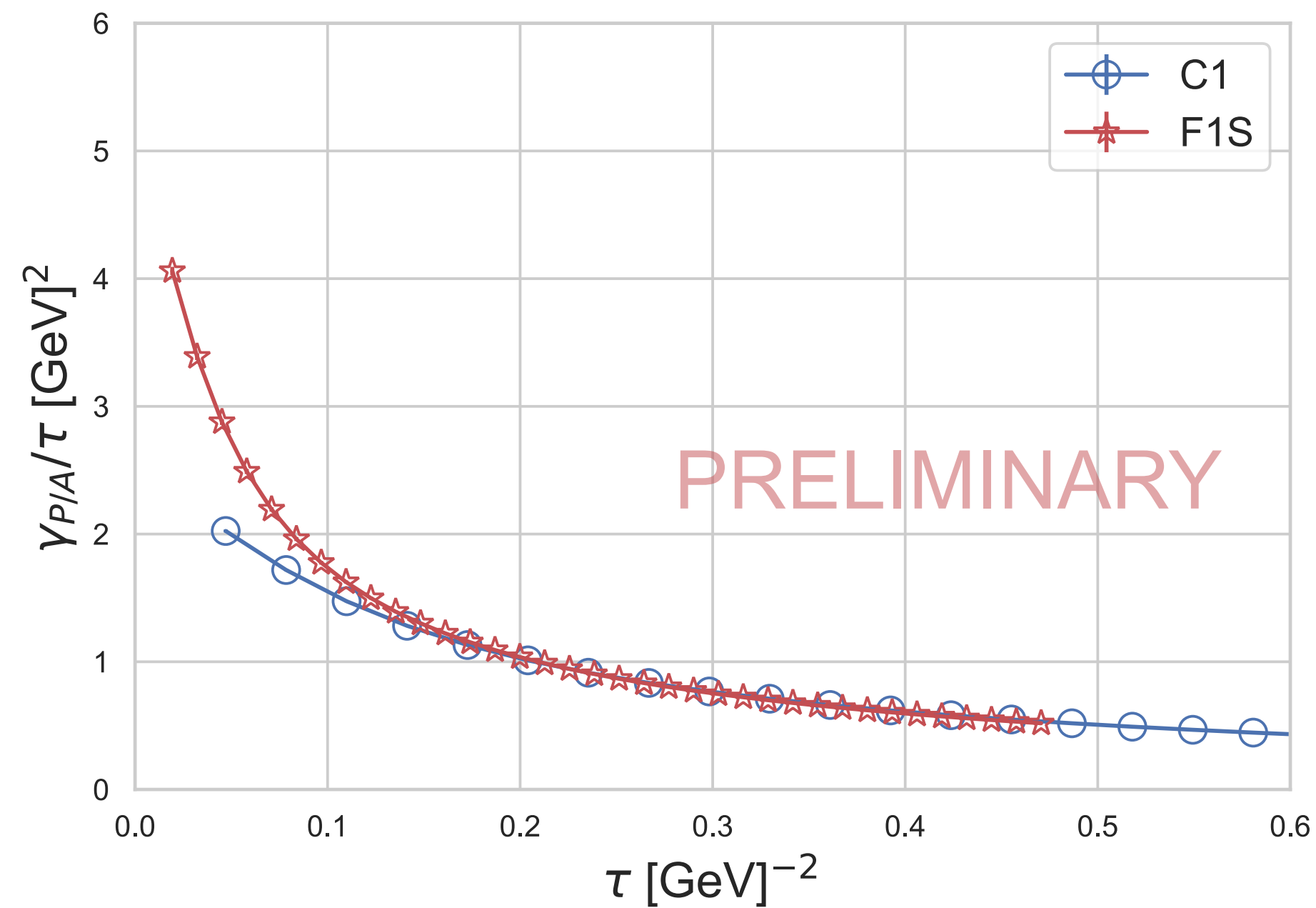
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2+1 flavor DWF fermions,  $O \rightarrow P$  (mass anomalous dimension) Large volume simulations  $\rightarrow$

$\tau$  dependent anomalous dimension :  $\gamma_{O/A} = \tau \frac{d \log(R_A(\tau)/R_O(\tau))}{d\tau}$



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Flow time evolution operator

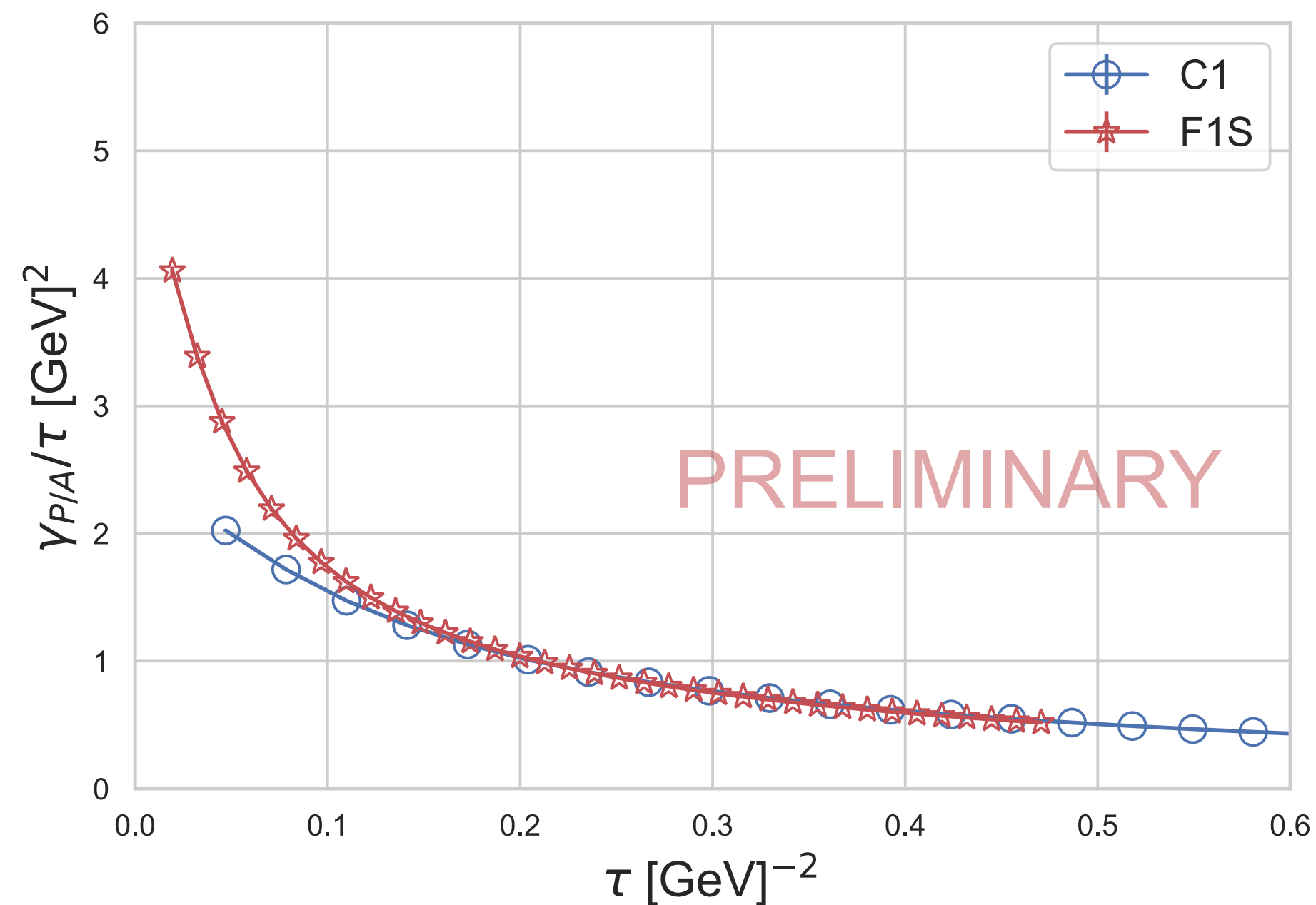
$$C(\tau^*, \tau) = \exp \left( \int_{\tau}^{\tau^*} \frac{d\tau'}{\tau'} \gamma_{O/A}(\tau') \right), \quad C(\tau^*, \tau) = C(\tau^*, \tau_1) C(\tau_1, \tau)$$

connects renormalized correlators at  $\tau^*$  and  $\tau$

$$\langle \widetilde{O}_{GF}^{(A)}(\tau^*) O(0) \rangle = C(\tau^*, \tau) \langle \widetilde{O}_{GF}^{(A)}(\tau) O(0) \rangle$$

Can we determine  $C(\tau^*, \tau)$ ?

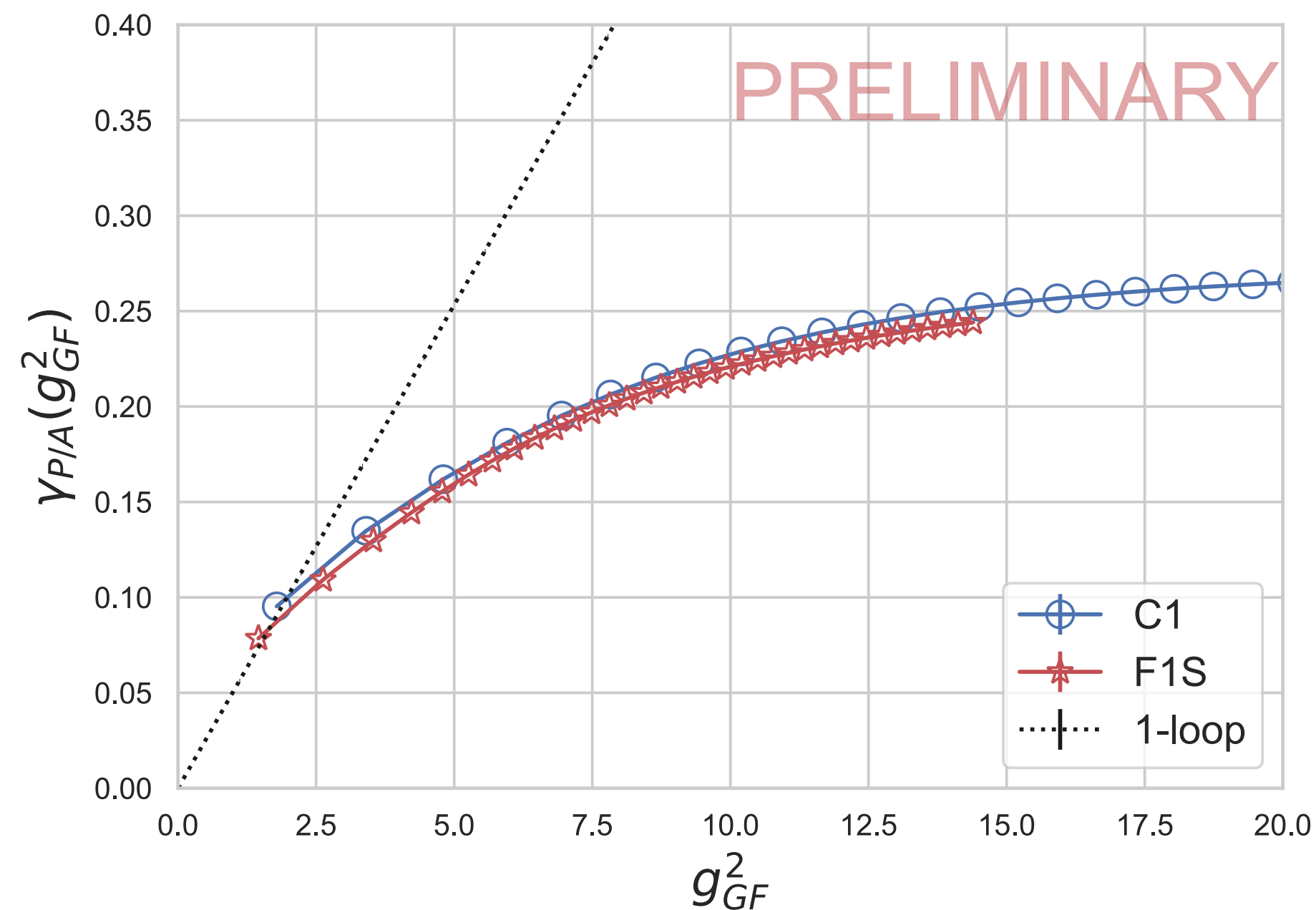
Direct measurement breaks down around  $\tau \sim 0.08 \text{GeV}^{-2}$  even on F1S. Needs finer lattices



# Anomalous dimension - examples

2+1 flavor DWF fermions,  $O \rightarrow P$  (mass anomalous dimension)  
 Alternative: determine  $\gamma_{P/A}(g_{GF}^2)$  in small volume simulations

$$C(\tau^*, \tau) = \exp \left[ - \int_{g_{GF}^2(\tau)}^{g_{GF}^2(\tau^*)} dg^2 \frac{\gamma_{O/V}(g^2)}{\beta_{GF}(g^2)} \right]$$



Small-volume simulations can fill in the gap between  $g_{GF}^2 \in (0, 5)$ .  
 Combine with perturbative  $\gamma$  to predict  $C(\tau^* \rightarrow 0, \tau)$

# In summary

- GF is a nonperturbative renormalization scheme
- Combined with SFTX it can predict matrix elements of  $\overline{MS}$  renormalized operators
- using simple flowed lattice correlators.

There are many interesting talks to come in the next four days

Stay tuned !

Extra slides

# Renormalization factors

GF renormalized operator :  $\widetilde{O}_{GF}^{(A)}(\tau) = \widetilde{Z}_\chi^{(A)}(\tau)O(\tau)$  ,  $\langle \widetilde{O}_{GF}^{(A)}(\tau)O(0) \rangle = \widetilde{Z}_\chi^{(A)}(\tau)\langle O(\tau)O(0) \rangle$

▸ Define renormalization factor  $\widetilde{Z}_O^{(A)}(\tau)$  :  $\langle \widetilde{O}_{GF}^{(A)}(\tau)O(0) \rangle = \widetilde{Z}_O^{(A)}(\tau)\langle O(0)O(0) \rangle$

▸ at  $t \rightarrow \infty$   $\widetilde{Z}_O^{(A)}(\tau) = \widetilde{Z}_\chi^{(A)}(\tau) \frac{\langle O(\tau)O(0) \rangle}{\langle O(0)O(0) \rangle}$  is independent of the probe,

▸  $\widetilde{Z}_O^{(A)}(\tau)$  connects bare correlators to renormalized ones; it is fully determined by flowed-unflowed correlators

▸  $\overline{MS}$  scheme is similar:  $\langle \widetilde{O}_{\overline{MS}}(\mu)O(0) \rangle = \lim_{\tau \rightarrow 0} (\zeta_O^{-1} \zeta_V)(\mu; \tau) \widetilde{Z}_\chi^{(A)}(\tau) \langle O(\tau)O(0) \rangle$   
 $= \lim_{\tau \rightarrow 0} (\zeta_O^{-1} \zeta_V)(\mu; \tau) \widetilde{Z}_O^{(A)}(\tau) \langle O(0)O(0) \rangle$  for the ground state

# Renormalization factors - example

The renormalized quark mass is

$$\widetilde{m}_{GF}^{(A)}(\tau) = \frac{1}{\widetilde{Z}_P^{(A)}(\tau)} m_{\text{bare}} = \frac{1}{Z_\chi^{(A)}} \frac{\langle P(0)A_0(0) \rangle}{\langle P(\tau)A_0(0) \rangle} m_{\text{bare}} \quad \text{probe: } A_0 \text{ couples to } P$$

$$= \frac{1}{Z_A} \frac{\langle A_0(\tau)A_0(0) \rangle}{\langle A_0(0)A_0(0) \rangle} \frac{\langle P(0)A_0(0) \rangle}{\langle P(\tau)A_0(0) \rangle} m_{\text{bare}}$$

$$= \frac{1}{Z_A} \frac{\langle A_0(\tau)A_0(0) \rangle}{\langle P_0(\tau)A_0(0) \rangle} \frac{\langle P(0)A_0(0) \rangle}{\langle A_0(0)A_0(0) \rangle} m_{\text{bare}}$$

notice bare and renormalized PCAC forms

$$= \frac{2\widetilde{m}_{GF}^{(A)}(\tau)}{2M_{PS}} \frac{2M_{PS}}{2m_{\text{bare}}} m_{\text{bare}} = \widetilde{m}_{GF}^{(A)}(\tau)$$

In the V-scheme we get different lattice form but identical result in the  $\tau \rightarrow 0$  limit