

# The Perturbative Ricci Flow In Gravity

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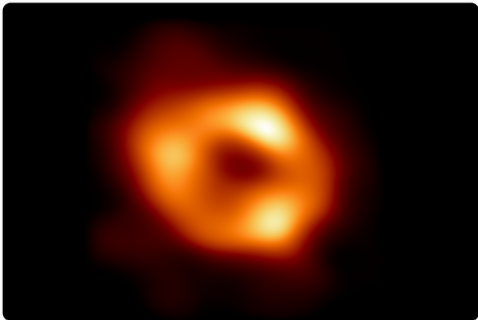
**Henry Werthenbach**

May 12, 2026

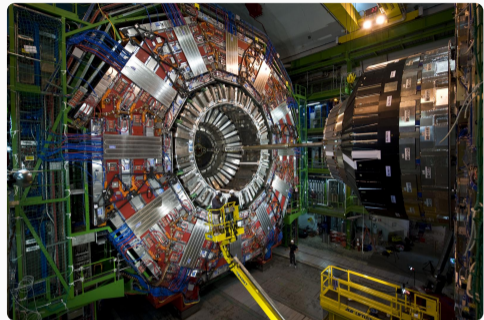
TTK, RWTH Aachen University

In collaboration with: R. Harlander, Y. Kluth, and J. Kohnen

# The Current Landscape of Physics

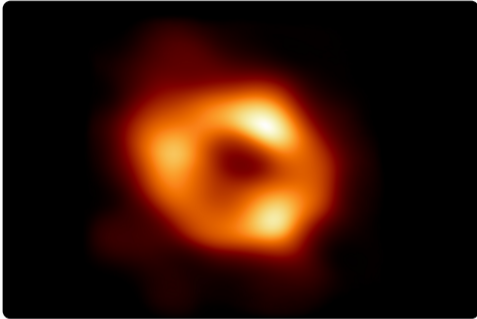


GENERAL RELATIVITY  
[EHT, 2019]

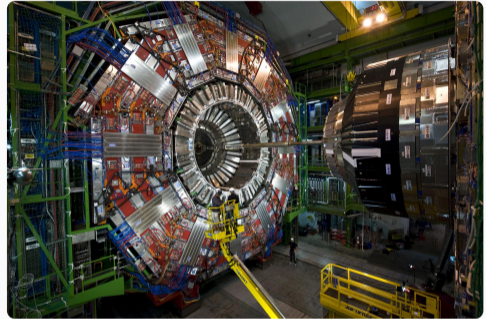


STANDARD MODEL  
[CMS]

# The Current Landscape of Physics



GENERAL RELATIVITY  
[EHT, 2019]



STANDARD MODEL  
[CMS]

## Theory of Quantum Gravity?

# Non-renormalizability and Predictivity Crisis

**Perturbative Quantisation:**

$$S = \frac{1}{16\pi G_N} \int d^d x \sqrt{-g} (R - 2\Lambda)$$

Classical GR,  $[G_N] = -2$

# Non-renormalizability and Predictivity Crisis

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$$S = \frac{1}{16\pi G_N} \int d^d x \sqrt{-g} (R - 2\Lambda) \\ + \int d^d x \sqrt{-g} [c_1 R^2 + c_2 R_{\mu\nu} R^{\mu\nu}]$$

Classical GR,  $[G_N] = -2$

On-shell finite  
[ 't Hooft & Veltman, 1974]

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$$+ \int d^d x \sqrt{-g} [c_{GS} R_{\mu\nu}{}^{\rho\sigma} R_{\rho\sigma}{}^{\alpha\beta} R_{\alpha\beta}{}^{\mu\nu} + \dots]$$

On-shell divergence  
[Goroff & Sagnotti, 1986]

+ ...

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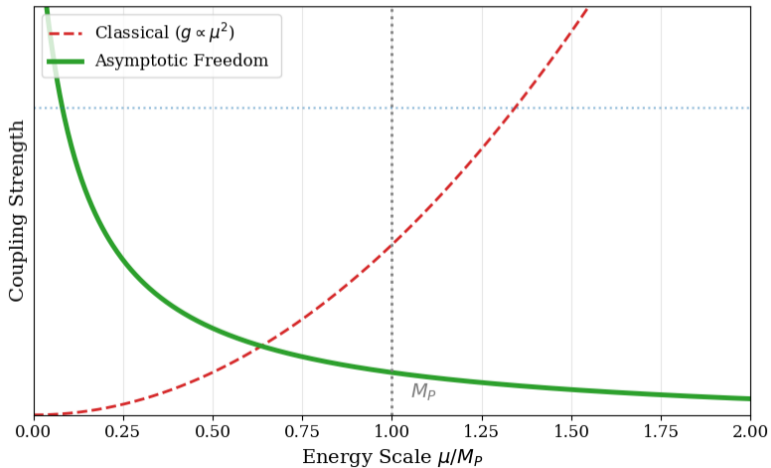
$$+ \dots$$

**Infinitely many couplings  $\implies$  Loss of predictivity**

# The Asymptotic Safety Conjecture

Conjecture: The RG flow hits a non-Gaussian fixed point (NGFP) [Weinberg, 1976]

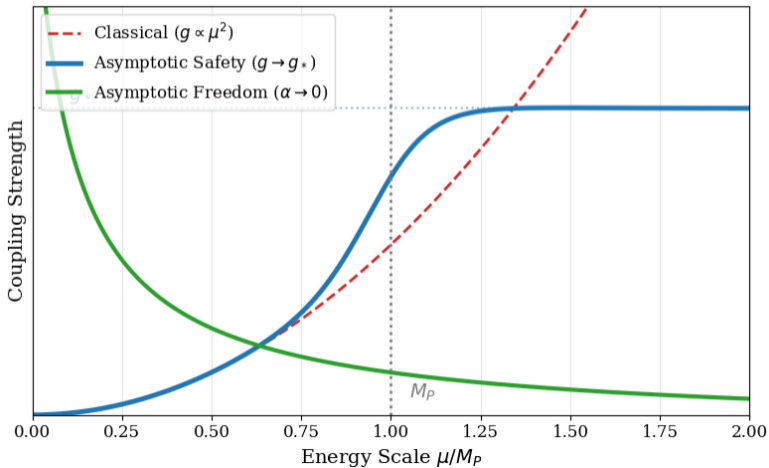
$$g(\mu) = G_N \mu^2$$



# The Asymptotic Safety Conjecture

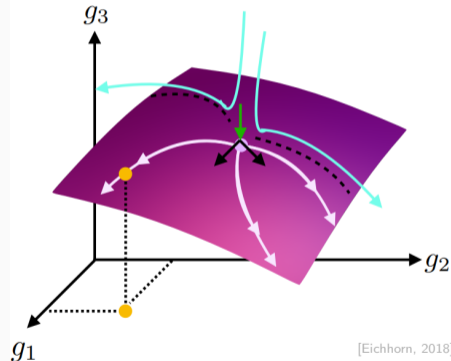
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# Restoring Predictivity I: The Critical Surface

- **UV Completion:**  
Require all couplings to hit the FP in the UV.
- **The Critical Surface:**  
Only RG trajectories lying on the **purple surface** are asymptotically safe.



# Restoring Predictivity II: Critical Exponents

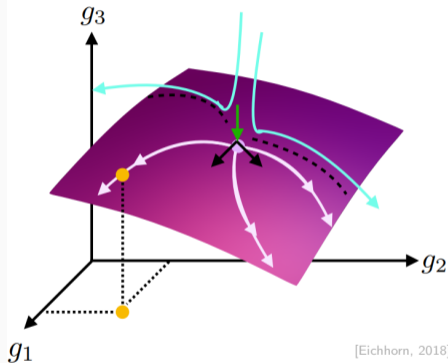
**Fixed Point Condition:**

$$\beta_i(\mathbf{g}^*) = 0$$

**Stability Matrix & Exponents:**

$$\left. \frac{\partial \beta_i}{\partial g_j} \right|_{\mathbf{g}^*} v_j = -\theta_i v_i$$

- **Relevant Directions:**  $\theta_i > 0$
- **Irrelevant Directions:**  $\theta_i < 0$



# Restoring Predictivity II: Critical Exponents

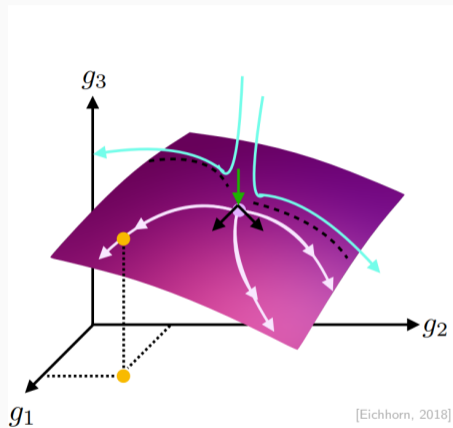
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**Finite relevant directions  $\implies$  Predictive Theory**

# Could AS be Perturbative?

## The Dimension 6 Truncation

$$S = \int d^d x \sqrt{g} \left[ \frac{R - 2\Lambda}{16\pi G_N} + c_{GS} R_{\mu\nu}{}^{\rho\sigma} R_{\rho\sigma}{}^{\alpha\beta} R_{\alpha\beta}{}^{\mu\nu} \right]$$

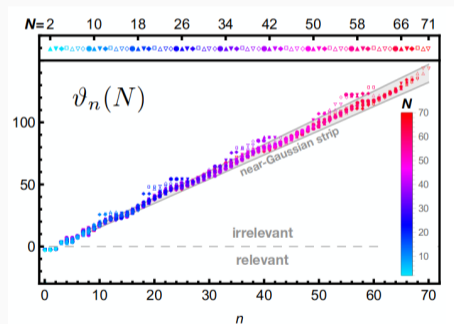
$$c_{GS}^* \propto 10^{-7}$$

order	$\theta_1$	$\theta_2$
$\mathcal{O}(\partial^2)$	2.239	—
$\mathcal{O}(\partial^4)$	2.228	—
$\mathcal{O}(\partial^6)$	2.225	-3.850

[Baldazzi, Falls, Kluth, Knorr, 2023]

## Polynomial Truncations

$$S = \int d^d x \sqrt{g} \sum_{n=0}^N a_n R^n$$



[Falls, Litim, Schröder, 2019]

# Perturbative?

# Running of $G_N$ and Power-Law Divergences

$$G_0 = G_N + \underbrace{\delta G_N}_{1\text{-loop}} \quad \text{where} \quad \delta G_N \sim (\text{scale})^2 G_N^2$$

## Cutoff

### Scale without Symmetry

- Captures power-law effects
- Breaks symmetry

## DimReg

### Symmetry without Scale

- Respects symmetry
- Only log-divergencies

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**Goal: A definition of  $G_N$  that:**

Maintains symmetry & captures PLD & extends to higher orders

# PLD from DimReg

**Idea:** Subtracting poles in  $d \neq 4$  is equivalent to a **mass-dependent renormalization scheme**

**QCD: Threshold effects in the running coupling** [Kluth, 2026]

$$\beta(\alpha) = (d - 4)\alpha + \frac{\alpha^2}{4\pi} \left[ -\frac{22}{3} C_A + \frac{4}{3} \sum_{q=1}^{n_F} e^{-m_q/\mu} \right]$$

**Gravity: Quadratic divergencies** [Kluth, 2025], [Falls, Ferrero, 2025]

$$G_0 = G + A \frac{\mu^{d-2}}{d-2} G^2$$

**Propagator of Gravity**

$$\mathcal{P}_{\mu\nu\rho\sigma}(p) = \frac{\kappa^2}{p^2} \left( \delta_{\mu(\rho} \delta_{\sigma)\nu} - \frac{1}{d-2} \delta_{\mu\nu} \delta_{\rho\sigma} \right)$$

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**Goal: A definition of  $G_N$  that:**

Maintains symmetry & captures PLD & extends to higher orders & avoids gravity in  $d = 2$

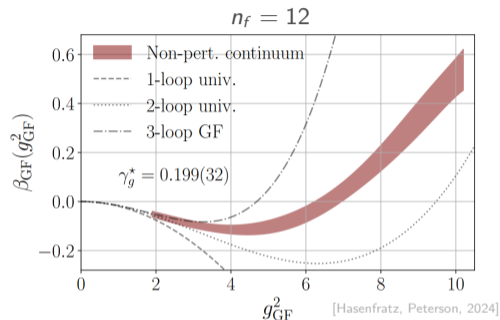
# Gradientflow

## Definition of $\alpha_s$

$$\langle E(t) \rangle = \frac{1}{4} \langle G_{\mu\nu}^a G_{\mu\nu}^a \rangle \propto \alpha_{GF}(t)$$

## Key Properties

- **UV Finiteness:** Operators at  $t > 0$  are finite [Lüscher, Weisz, 2011]
- **Internal Cutoff:**  $e^{-tp^2}$  smooth momentum cutoff
- **Symmetry:** Maintains BRST/Gauge invariance



## Applications:

- Lattice Scale Setting.
- EMT Matrix Elements.
- Parton Densities (PDFs).
- ...

## Reminder: Perturbative Gradient Flow

Evolve the gauge field  $A_\mu(x)$  along an artificial flow-time  $t$  [Lüscher, 2010]:

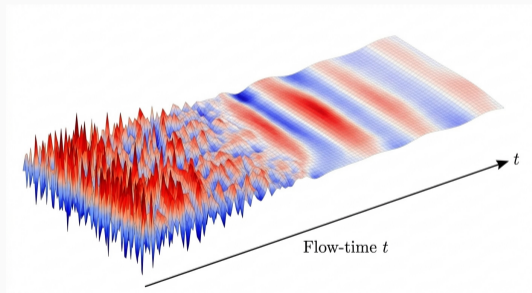
$$\partial_t B_\mu(t, x) = D_\nu G_{\nu\mu}, \quad B_\mu(0, x) = A_\mu(x)$$

- **Diffusion :**

$$\partial_t B_\mu = \partial^2 B_\mu + \mathcal{O}(g)$$

- **LO Solution:**

$$\tilde{B}_\mu(t, p) = e^{-tp^2} \tilde{A}_\mu(p) + \mathcal{O}(g)$$



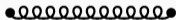
# Reminder: Perturbative Gradient Flow

**Trick:**  $S = S_{YM} + S_{flow}$

$$S_{flow} = \int_0^\infty dt \int d^d x L_\mu (\partial_t B_\mu - D_\nu G_{\nu\mu})$$

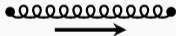
**Propagator  $BB$**

$$\langle BB \rangle \sim \frac{e^{-(t+s)p^2}}{p^2}$$



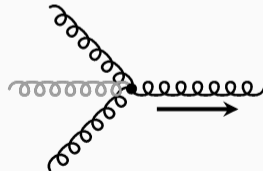
**Flow Line  $LB$**

$$\langle LB \rangle \sim \theta(t-s)e^{-(t-s)p^2}$$



**Vertices**

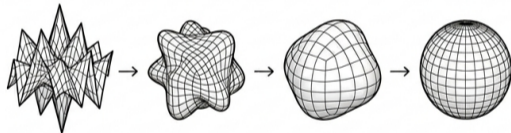
$LBB, LBBB$



# The Ricci Flow in Gravity

Evolve the metric  $\hat{g}_{\mu\nu}(t)$  along the Ricci flow:

$$\partial_t \hat{g}_{\mu\nu} = -2\hat{R}_{\mu\nu}, \quad \hat{g}_{\mu\nu}(0, x) = g_{\mu\nu}(x)$$



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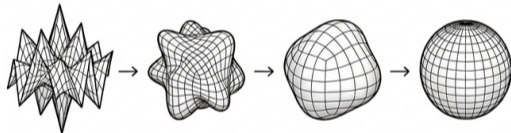
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- **Perturbative Expansion:**

$$\hat{g}_{\mu\nu} = \delta_{\mu\nu} + \hat{h}_{\mu\nu}$$

- **LO Solution:**

$$\tilde{\tilde{h}}_{\mu\nu} \sim e^{-tp^2} \tilde{\tilde{h}}_{\mu\nu} + \dots$$



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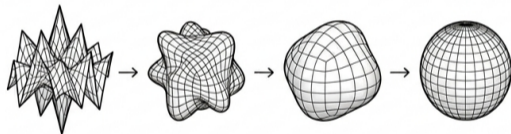
- **LO Solution:**

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- **The Goal:**

Calculate VEVs of invariant operators:

$$\left\langle \int d^d x \sqrt{\hat{g}} \right\rangle, \quad \left\langle \int d^d x \sqrt{\hat{g}} \hat{R} \right\rangle$$



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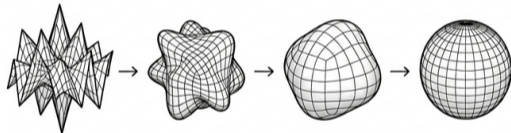
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and define a running coupling  $G_{RF}(t)$



# The $(d + 1)$ -Dimensional Formulation

The Strategy:  $(d + 1)$ -dimensional QFT

$$S = S_{grav} + S_{flow}$$

$$S_{flow} = \int_0^\infty dt \int d^d x \hat{L}^{\mu\nu} \left[ \partial_t \hat{h}_{\mu\nu} + 2\hat{R}_{\mu\nu} \right]$$

Propagator

$$\langle \hat{h}\hat{h} \rangle \sim \frac{e^{-(t+s)p^2}}{p^2}$$



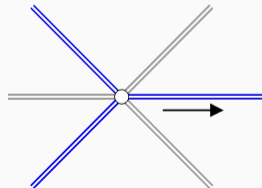
Flow Line

$$\langle \hat{L}\hat{h} \rangle \sim \theta(t - s)e^{-(t-s)p^2}$$



Flow Vertices

$$\hat{L}\hat{h}\hat{h}, \hat{L}\hat{h}\hat{h}\hat{h}, \dots$$



## Standard Gravity Sector

$$S_{\text{grav}} + S_{\text{gf}} + S_{\text{gh}}$$

- **Metric Fluctuation**

$$s h_{\mu\nu} = c^\rho \partial_\rho g_{\mu\nu} + g_{\mu\rho} \partial_\nu c^\rho + g_{\nu\rho} \partial_\mu c^\rho$$

- + ghosts

## Flow Sector

$$S_{\text{flow}} + S_{\text{f,gf}} + S_{\text{f,gh}}$$

- **Flowed Fluctuation**

$$s \hat{h}_{\mu\nu} = \hat{c}^\rho \partial_\rho \hat{g}_{\mu\nu} + \hat{g}_{\mu\rho} \partial_\nu \hat{c}^\rho + \hat{g}_{\nu\rho} \partial_\mu \hat{c}^\rho$$

- **Lagrange Multiplier**

$$s \hat{L}^{\mu\nu} = \hat{c}^\rho \partial_\rho \hat{L}^{\mu\nu} - \hat{L}^{\mu\rho} \partial_\rho \hat{c}^\nu - \hat{L}^{\nu\rho} \partial_\rho \hat{c}^\mu + \hat{L}^{\mu\nu} \partial_\rho \hat{c}^\rho$$

- + flowed ghosts

## The Localization of Divergences

- For  $t > 0$ , flow provides exponential damping  $e^{-tp^2}$
- **UV divergences** are strictly restricted to the  $t = 0$  boundary

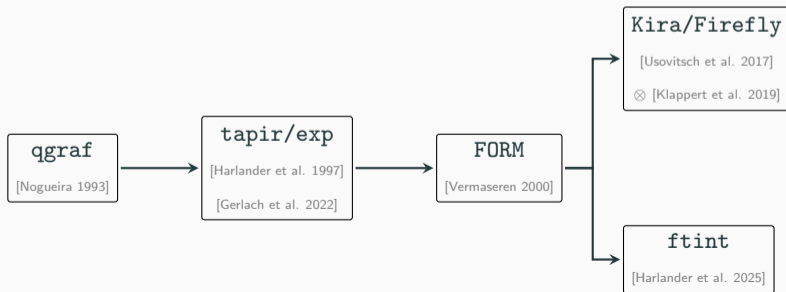
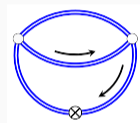
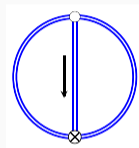
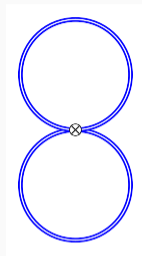
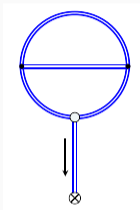
### 1. Standard One-Loop Gravity

$$g_{\mu\nu} \rightarrow g_{\mu\nu} + \frac{G_N}{4\pi} [c_1 R g_{\mu\nu} + c_2 R_{\mu\nu}]$$

### 2. Ricci Flow Initial Condition

$$\hat{g}_{\mu\nu}(t = 0) = g_{\mu\nu} + \delta\hat{g}_{\mu\nu}$$

# Computational Toolchain



# Results and Renormalization Schemes

$$\left\langle \int d^d x \sqrt{\hat{g}} \right\rangle^{\overline{\text{MS}}} = -\frac{3G_N}{4\pi t} \left[ 1 + \frac{G_N}{4\pi t} \left( 5 I_{\mu t} + 9 \ln \frac{4}{3} + \frac{1231}{120} \right) \right]$$
$$\left\langle \int d^d x \sqrt{\hat{g} \hat{R}} \right\rangle^{\overline{\text{MS}}} = -\frac{3G_N}{4\pi t^2} \left[ 1 + \frac{G_N}{2\pi t} \left( 5 I_{\mu t} + 9 \ln \frac{4}{3} + \frac{931}{120} \right) \right]$$

Gauge parameter independence

## Fixed Volume Scheme (FVS)

$$\left\langle \int d^d x \sqrt{\hat{g}} \right\rangle^{\text{FVS}} = -\frac{3G_N}{4\pi t}$$

$$\left\langle \int d^d x \sqrt{\hat{g} \hat{R}} \right\rangle^{\text{FVS}} = -\frac{3G_N}{4\pi t^2} \left[ 1 - \frac{5G_N}{4\pi t} \right]$$

Identification  $\mu \propto 1/\sqrt{t} \rightarrow$  PLD sensitivity!

$$I_{\mu t} = \ln(\mu^2 t) + \gamma_E$$

# Coupling and Fixed Point

## Ricci-Flow Coupling Definition

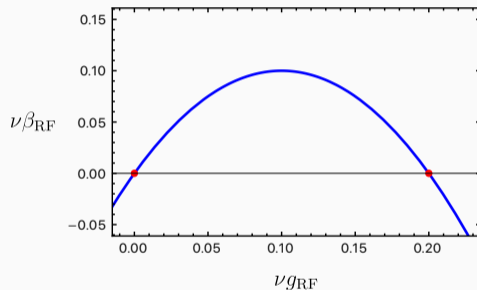
$$g_{\text{RF}}(\mu) \equiv -\frac{t}{3\nu} \left\langle \int_x \sqrt{\hat{g}} \hat{R} \right\rangle^{\text{FVS}} \Big|_{t=\rho/\mu^2}$$

## The $\beta$ -Function

$$\beta_{\text{RF}} \equiv \mu \frac{\partial}{\partial \mu} g_{\text{RF}} = 2g_{\text{RF}} (1 - 5\nu g_{\text{RF}})$$

## Fixed Points:

- **Gaussian:**  $g_{\text{RF}}^* = 0$
- **Non-Gaussian:**  $g_{\text{RF}}^* = \frac{1}{5\nu}$



# Coupling and Fixed Point

## Ricci-Flow Coupling

$$g_{\text{RF}}(\mu) \equiv$$

## The $\beta$ -Function

$$\beta_{\text{RF}} \equiv$$

## Fixed Points

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20 Apr 2026

## Definition

### The perturbative Ricci flow in gravity

Robert V. Harlander,<sup>1,\*</sup> Yannick Kluth,<sup>2,†</sup> Jonas T. Kohnen,<sup>1,‡</sup> and Henry Werthenbach<sup>1,§</sup>  
<sup>1</sup>TTK, RWTH Aachen University, 52067 Aachen, Germany  
<sup>2</sup>Department of Physics, University of Toronto, ON M5S 1A7, Canada

We develop a perturbative formulation of the Ricci flow in gravity. Following steps analogous to the gradient flow in QCD, we supplement the usual Feynman rules for perturbative gravity by flowed propagators and vertices as well as graviton flow lines which describe the evolution of gravity along the Ricci flow. By calculating vacuum expectation values of a number of independent operators at the two-loop level, we derive the required counterterms of the flowed action. Our results allow us to define a Ricci-flow based renormalization scheme for Newton's constant  $G_N$ . Studying its renormalization group behavior, we recover a non-Gaussian fixed point in accordance with well-known non-perturbative considerations.

*Introduction.*— Gravity still defies a unified treatment with the other three known fundamental interactions, which are described by the Standard Model to a high level of precision [1]. The main reason is that gravity, due to Newton's constant having negative mass dimension, is not Dyson renormalizable in four dimensions [2]. Subtractive tower of counterterms beyond the Einstein-Hilbert action [3, 5] However there are a number of indications

famously used by Perelman in his proof of the Poincaré conjecture [34–36]. Our motivation, however, comes from quantum gauge theories, where a similar concept, called the *gradient flow* [37–39], has been used very successfully in the context of lattice gauge theory, be it for smearing operations, scale setting, the renormalization of composite operators, etc. The gradient flow can be viewed as a renormalization scheme which is accessible both from the lattice and within perturbation theory. In fact it



F

## Achievements

- **Framework:** Ricci flow formulated as a  $(d + 1)$ -dimensional QFT
- **Renormalization:** Systematic renormalization of flowed gravity established
- **Asymptotic Safety:** Recovered via purely perturbative methods

## Future Directions:

- **Operators:** Including  $\Lambda_{cc}$ , ...
- **Precision:** Extending to higher loops
- **SM:** Including matter and gauge fields

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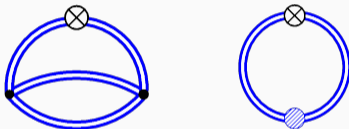
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**Thank you for your attention!**

Order	Independent Operators	Diagrams
LO	$R$	1
NLO	$R^2, R^2_{\mu\nu}$	$\sim 30$
NNLO	$R \square R, R_{\mu\nu} \square R^{\mu\nu}, R^3_{\mu\nu\rho\sigma}, R^3, \dots$ (10)	$\sim 400$

NLO



NNLO

