

What Specifies a Classical Gravitational Scattering State?

Radu Roiban
Penn State University & ETH-ITS

with Asaad ELKhidir and Donal O'Connell

Two of the many threads running through Lance's work:

- Gauge invariance: physics from on-shell, gauge-invariant data
- Observables: IR-safe observables (e.g. energy correlators, ...)

What do we want to measure/can we observe in (classical) gravity?

- Are they gauge invariant? Are they IR-safe?

Related: What are three-point S-matrix elements with real momenta & are they unique?

Story for today: large gauge transformations

- affect things we think we'd like to observe
- change 3-pt S-matrix elements with real momenta

Dream: define sufficiently local observables, manifestly free of large gauge ambiguities

At the moment, gravitational observables are not as well-developed as in QCD

Next best things:

- Asymptotically Minkowski: Differential cross sections; a proxy – scattering matrix

$$S \sim \langle \psi_{\text{fin}} | \psi_{\text{in}} \rangle$$

- Asymptotically (A)dS: boundary/cosmological correlators

Maldacena;
Gubser, Klebanov, Polyakov; Witten

- Inspired by QCD: final-state expectation values

$$\langle \mathcal{O} \rangle = \langle \psi_{\text{fin}} | \mathcal{O} | \psi_{\text{fin}} \rangle - \langle \psi_{\text{in}} | \mathcal{O} | \psi_{\text{in}} \rangle$$

Kosower, Maybee, O'Connell
Building on extensive QCD work:
Basham, Brown, Ellis, Love, Belitsky, Korchemsky,
Sterman, Dixon, Moul, Zhu ...

- Relational observables

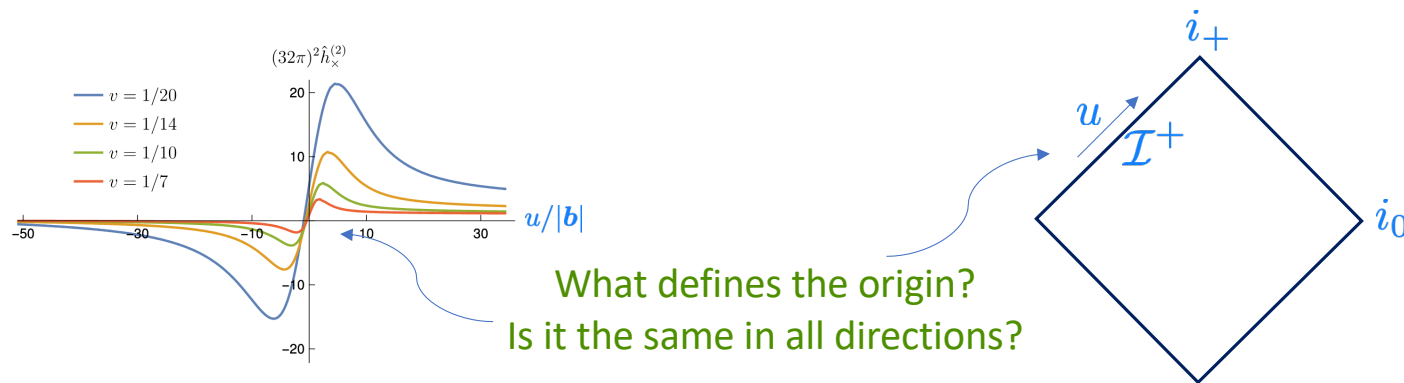
Cheung, Sivaramakrishnan, Wilson-Gerow, Zhou

Some desirable features:

- Invariant under gauge transformations that fall off at infinity
- Inclusive ones – impulse, energy & angular momentum loss – are IR safe

At the moment, gravitational observables are not as well-developed as in QCD

- Not all are IR safe; aspects of all-order factorization remain to be worked out
- Local ones, e.g. **waveforms**, are not fully gauge invariant: $F(x + \delta x) \neq F(x)$



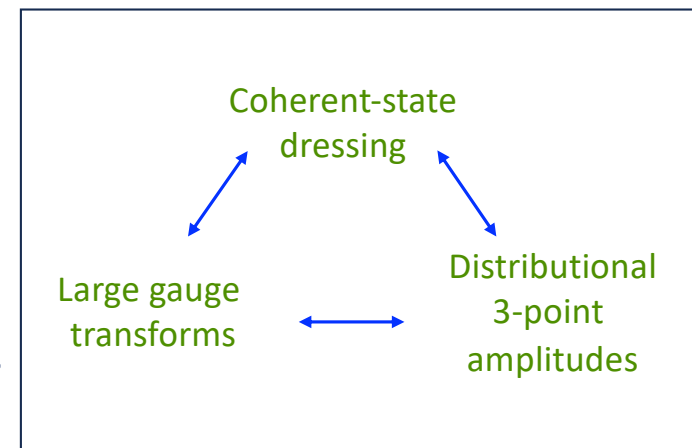
Came up in the comparison of QFT and GR-based computation of $h_{\mu\nu}$ at future null infinity \mathcal{I}^+

Bini, Damour, De Angelis, Geralico, Herderschee, RR, Teng; Georgoudis, Heissenberg, Russo

- (almost) time-independent metric fluctuations/zero-frequency gravitons are important
- Technically difficult to keep track of, in general

Kind of a plan

- ▶ Charged-matter single-particle states as frame data
- ▶ Observables and frames; track gauge data
canonical frame, intrinsic frame, XXX-frame, etc
- ▶ Three-point amplitudes and large gauge transformations
- ▶ Some consequences
 - Conservation laws; BMS supertranslations
 - Gauge dependence of mechanical/field split; conservation of total angular momentum



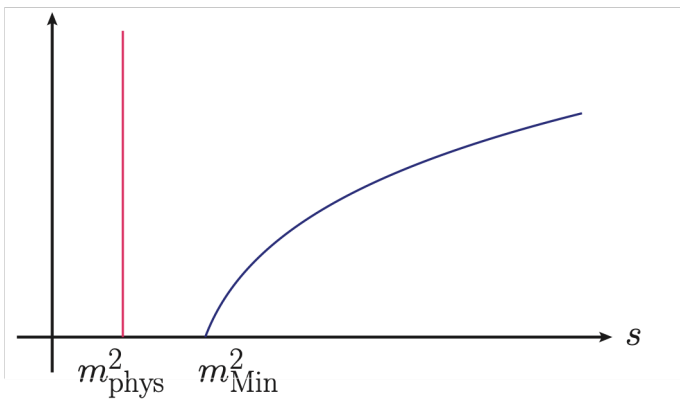
Charged massive single-particle states are not unique

$$i\Delta_F(p) \equiv \int d^4x e^{ip \cdot x} \langle 0 | T \phi(x) \phi(0) | 0 \rangle = \int_0^\infty ds \frac{\rho(s)}{p^2 - s + i\epsilon}$$

$$\rho(s) = \sum_n |\langle p, n | \phi(0) | 0 \rangle|^2 \delta(s - m_n^2) \quad \text{Källén Lehmann}$$

Gapped theories

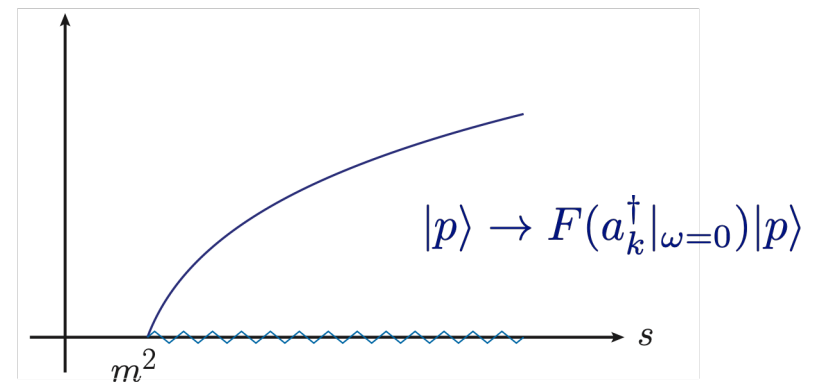
$$\rho(s) = Z \delta(s - m_{\text{phys.}}^2) + \rho_{\text{cont}}(s) \Theta(s - m_{\text{Min}}^2)$$



isolated pole
defines the on-shell renormalization scheme, etc

Gapless theories

$$\rho(s) \sim (s - m^2)^{\delta-1} \theta(s - m^2)$$



may include arbitrary amounts of
low-energy massless particles

Charged massive particle states are ambiguous to dressing with massless particles

Dressed charged massive single-particle states

- Respect degeneracy – dressing must preserve the quantum numbers

$$\begin{aligned} \text{dressed}_{\text{in}} \langle p | p \rangle_{\text{in}}^{\text{dressed}} &= 1 & \text{in} \langle p | \mathbb{P}^\mu | p \rangle_{\text{in}} &= p^\mu = \text{dressed}_{\text{in}} \langle p | \mathbb{P}^\mu | p \rangle_{\text{in}}^{\text{dressed}} \\ \text{Dressing is: } & \mathbf{1. a phase} & \text{and} & \mathbf{2. localized on zero-frequency mediators} \end{aligned}$$

$$|p\rangle_{\text{in}}^{\text{dressed}} = e^{iQ_s[f_p]} |p\rangle_{\text{in}} \quad A^\dagger(p) \rightarrow A^\dagger(p)_{\text{dressed}} = e^{iQ_s[f_p]} A^\dagger(p) e^{-iQ_s[f_p]}$$

- Connection to classical physics \longrightarrow coherent state

$$Q_s[f_p] = \sum_\eta \int d\Phi(k) (\bar{f}_p^\eta(k) a_\eta^\dagger(k) + \bar{f}_p^{\eta*}(k) a_\eta(k)) A^\dagger A(p) \quad \bar{f}_p^\eta(k) = \delta(\omega) f_p^\eta(\mathbf{n}) \quad k^\mu = \omega n^\mu = \omega(1, \mathbf{n})^\mu$$

- Similar w/ Faddeev/Kulish states, *but* with no contributions to IR divergences

- New asymptotic density of states:

$$\rho_{\text{dressed}}(s) = \sum_n |\langle p, n | \phi_{\text{dressed}}(0) | 0 \rangle|^2 \delta(s - m_n^2) = \sum_n \left| \int \hat{d}q \Phi(q) \langle p, n | e^{iQ_s[f_p]} A^\dagger(q) | 0 \rangle \right|^2 \delta(s - m_n^2)$$

Vanishing frequency is an idealization; assumes states at strict infinite distance!

Observables & their values

Kosower, Maybee, O'Connell

- Difference between the corresponding operator in the final and initial state

$$\langle \mathcal{O} \rangle = \langle \psi_{\text{out}} | \mathcal{O} | \psi_{\text{out}} \rangle - \langle \psi_{\text{in}} | \mathcal{O} | \psi_{\text{in}} \rangle \quad |\psi_{\text{out}}\rangle = \mathbb{S} |\psi_{\text{in}}\rangle \quad \text{where} \quad \mathbb{S} = \mathbb{I} + i\mathbb{T}$$

- Out state as the time evolution of the initial state:

$$|\psi_{\text{out}}\rangle = \mathbb{S} |\psi_{\text{in}}\rangle \quad \mathbb{S} = \mathbb{I} + i\mathbb{T} \quad \mathbb{S} = e^{i\mathbb{N}} \quad S = e^{i\chi(b,p)}$$

Herrmann, Moul, Kologlu;...

\mathcal{O} = 'measurement function' / detector operators

Dixon, Moul, Zhu; Belitsky, Korchemsky, Sterman;...

e.g. EE, here impulse, field momentum, angular momentum, gravitational field, etc

$$\begin{aligned} \mathbb{h}_{\mu\nu}(x) &= \sum_{h=\pm} \int \hat{d}\Phi(k) \left[\varepsilon_{\mu\nu}^{(hh)*}(k) e^{-ik \cdot x} \hat{a}_{hh}(k) + \varepsilon_{\mu\nu}^{(hh)}(k) e^{+ik \cdot x} \hat{a}_{hh}^\dagger(k) \right] && \text{idealization } |\mathbf{x}| \rightarrow \infty \\ \mathbb{h}_{\mu\nu}(x) &= -\frac{i}{4\pi|\mathbf{x}|} \sum_{\eta} \int_0^\infty \hat{d}\omega_K e^{-i\omega_K x} \varepsilon_{\mu\nu}^{(\eta)}(K) \hat{a}_{\eta}(K) \Big|_{K=\omega_K(1, \mathbf{x}/|\mathbf{x}|)} + \text{cc} && \text{idealization } \omega_K |\mathbf{x}| \gg 1 \end{aligned}$$

- Initial state: $|\psi_{\text{in}}\rangle = \int d\Phi[p_1] \dots \phi(p_1) \dots e^{ip_1 \cdot b_1 + \dots} |p_1 \dots\rangle$ ← Fock state

What else is/should be/could be in the initial state $|\psi_{\text{in}}\rangle$?

Asymptotic field (shear) of a massive particle: $h_{\mu\nu}(x) = \langle\psi_{\text{in}}|\mathbb{h}_{\mu\nu}(x)|\psi_{\text{in}}\rangle = 0$

What else is/should be/could be in the initial state $|\psi_{\text{in}}\rangle$?

Asymptotic field (shear) of a massive particle: $h_{\mu\nu}(x) = \langle \psi_{\text{in}} | h_{\mu\nu}(x) | \psi_{\text{in}} \rangle = 0$

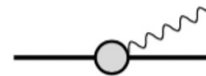
That's odd... - a free point particle has a "radiative" field (boosted lin. Schwarzschild)

$$h_{\mu\nu}^{\text{TT}}(\omega, \mathbf{x}) \propto \frac{1}{4\pi|\mathbf{x}|} \frac{\Pi_{\mu\nu}^{\alpha\beta} p_\alpha p_\beta}{n \cdot p} \delta(\omega) \quad n = (1, \mathbf{x}/|\mathbf{x}|) \quad \sum_{\eta} \epsilon_{\eta, \mu\nu} \epsilon_{\eta}^{\alpha\beta} = \Pi_{\mu\nu}^{\alpha\beta}$$

- slight contradiction with $\omega|\mathbf{x}| \gg 1$

- depends on the choice of coordinates

- KMOC formalism: give by the on-shell 3-point amplitude w/ real momenta



Both the 'radiative' field of a point particle & on-shell 3-point amplitude w/ real momenta must be a (large) gauge transformation

T(T) field and on-shell 3-point amplitude w/ real momenta are gauge DoFs -- QED illustration

- Asymptotic on-shell vector potential/on-shell 3-point amplitude $k = \omega n$

$$W_{\text{const}}(\omega, n) = \delta(2k \cdot p) 2(\varepsilon(k) \cdot p) = \delta(\omega) \frac{\varepsilon(k) \cdot p}{n \cdot p} \longleftrightarrow \begin{aligned} A_\mu dx^\mu &= dn^\mu \partial_\mu \ln n \cdot p \\ &= dF(n \cdot p) \end{aligned}$$

- Obeys the usual Ward identity (anything proportional to $\delta(\omega)$ does: $k^\mu \delta(\omega) \propto \omega \delta(\omega) = 0$)

$$W_{\text{const}}(\omega, n) \Big|_{\varepsilon(k) \rightarrow k} = 0 \quad \delta(\omega) \varepsilon(k) \cdot \partial f(n, \dots) \Big|_{\varepsilon(k) \rightarrow k} = 0$$

► Gauge invariant but also a (large) gauge transform!

- From classical physics: $A = A_u du + A_r dr + A_A d\theta^A$

$$\begin{aligned} v_0 = (1, \mathbf{0}) & \longrightarrow v = \gamma(1, \mathbf{v}) \\ A_\mu dx^\mu = \frac{Q}{4\pi} \frac{dt}{|\mathbf{x}|} = \frac{Q}{4\pi} \frac{v_0 \cdot dx}{\sqrt{(v_0 \cdot x)^2 - x^2}} & \longrightarrow A_\mu dx^\mu = \frac{Q}{4\pi} \frac{v \cdot dx}{\sqrt{(v \cdot x)^2 - x^2}} \\ A_A = 0 & \qquad \qquad \qquad A_A = \partial_A \ln v \cdot n \end{aligned}$$

Vocab: 'frame' = configuration of 0-energy mediators at \mathcal{I}^-

Coherent state dressing \longleftrightarrow (supertranslation of the) shear

Elkhidir, O'Connell, RR

- The dressed single-particle wave packet:

$$|\psi\rangle_{\text{in}} \rightarrow |\psi\rangle_{\text{in}}^{\text{dressed}} = \int d\Phi(p) \varphi(p) e^{iQ_s[f]} |p\rangle = e^{iQ_s[f]} |\psi\rangle_{\text{in}}$$

- The single-particle shear:

$$h_{\mu\nu} = \langle \psi | \mathbb{h}_{\mu\nu}(x) | \psi \rangle_{\text{in}}^{\text{dressed}} = \frac{1}{4\pi|\mathbf{x}|} \sum_{\eta} \epsilon_{\eta\mu\nu} \bar{f}_p^{\eta}(n) + \text{h.c.} \quad \bar{f}_p^{\eta}(n) = \bar{\partial}^2 T_p(n)$$

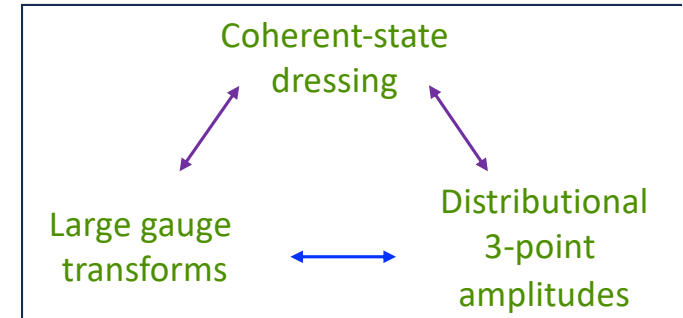
Spin-2 function = $\epsilon_{\alpha\beta}^{\eta} \partial^{\alpha} \partial^{\beta} T_p(n)$

$$h_{\mu\nu} dx^{\mu} dx^{\nu} = |\mathbf{x}| d\theta^A d\theta^B (\Omega_{AB} D^2 - 2D_A D_B) T_p(n) \quad \text{i.e. the metric change of a general supertranslation}$$

- For $T_p(n) = 2GM(n \cdot v) \ln(n \cdot v)$ yields the shear in Schwarzschild coordinates

Coherent-state dressing can generate any shear and any 3-pt on-shell amp. w/ real momenta

\longrightarrow Choose it to vanish, and reinstate it at the end of calculations



The remaining part of the supertranslation: $u \rightarrow u + T_p(n)$

-- main source of non-invariance of GR asymptotic local observables

Final state expectation values:

$$\langle p | \mathbb{S}^\dagger \mathcal{O} \mathbb{S} | p \rangle_{\text{in}}^{\text{dressed}} = \langle p | e^{-iQ_s[T_p]} \mathbb{S}^\dagger \mathcal{O} \mathbb{S} e^{iQ_s[T_p]} | p \rangle_{\text{in}}$$

Hope: $\left\{ \begin{array}{l} = \langle \psi | e^{iQ_h} e^{-i(Q_s[T_p]+Q_h)} \mathbb{S}^\dagger \mathcal{O} \mathbb{S} e^{i(Q_s[T_p]+Q_h)} e^{-iQ_h} | \psi \rangle_{\text{in}} \\ = \langle \psi | e^{iQ_h} \mathbb{S}^\dagger e^{-i(Q_s[T_p]+Q_h)} \mathcal{O} e^{i(Q_s[T_p]+Q_h)} \mathbb{S} e^{-iQ_h} | \psi \rangle_{\text{in}} \end{array} \right.$

Aim to find Q_h from the commutator $[\mathbb{S}, Q_s[T_p]]$

The hope for the shear:
$$h_{\mu\nu}(x) = -\frac{i}{4\pi|\mathbf{x}|} \sum_{\eta} \int_0^{\infty} \hat{d}\omega_K e^{-i\omega_K} \epsilon_{\mu\nu}^{(\eta)}(K) \hat{a}_{\eta}(K) \Big|_{K=\omega_K(1, \mathbf{x}/|\mathbf{x}|)} + \text{cc}$$

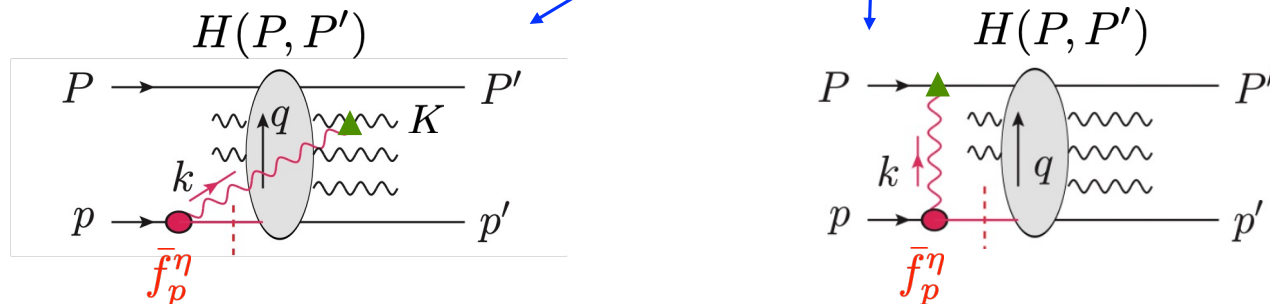
$$e^{-i(Q_s[T_p]+Q_h)} h_{\mu\nu}(\omega_K) e^{i(Q_s[T_p]+Q_h)} \stackrel{?}{=} \hat{\delta}(\omega_K) h_{\mu\nu}^{\text{Schwz., TT}}(x) + e^{-i\omega_K T_p} h_{\mu\nu}(\omega)$$

Pushing Q_s past \mathbb{S} \longrightarrow can Q_s be completed to a symmetry generator?

- $e^{-iQ_s} \mathbb{S} e^{iQ_s}$ governed by $[\mathbb{S}, Q_s]$; focus on one term
- $Q_s \equiv \sum_{\eta} \int d\Phi(k) \hat{\delta}(\omega) \bar{f}_p^{\eta} a_{\eta}^{\dagger}(k) + \text{h.c.}$ talks *individually* to each external graviton of \mathbb{S}
- Q_s localized on zero-energy gravitons; picks out each term of the leading soft limit

$$C \equiv \sum_{\eta} \int d\Phi(k) \hat{\delta}(\omega) \bar{f}_p^{\eta}(k) [\mathbb{S}, a_{\eta}^{\dagger}(k)] = C_0 + C_M$$

E.g.



Contributions to the commutator:

Elkhidir, O'Connell, RR

$$C \equiv \sum_{\eta} \int d\Phi(k) \hat{\delta}(\omega) \bar{f}_p^{\eta}(k) [\mathbb{S}, a_{\eta}^{\dagger}(k)] = C_0 + C_M \quad \bar{f}_p^{\eta}(k) = e_{\eta}^{AB}(k) (\Omega_{AB} D^2 - 2D_A D_B) T_p(n)$$

Soft graviton attached to external graviton
Soft graviton attached to external matter

1. Massless hard charge: $C_0 + [\mathbb{S}, Q_{h,0}] = 0$

proves a recent conjecture of Bini, Damour, Geralico

$$C_0 \sim \sum_{\eta} \int d\Phi(l) \hat{\delta}_{\beta}(\omega_l) \frac{K^{\mu} K^{\nu} e(l)_{\mu\nu}^{\eta} e(l)_{\eta}^{AB} (D_A D_B - \frac{1}{2} \Omega_{AB} D^2) T_p(n_l)}{-2K \cdot l + i\epsilon} = \frac{1}{2\pi} \omega_K T_p^{\ell \geq 2}(\hat{K})$$

► $Q_{h,0} = \sum_{\eta} \int d\Phi(k) Q_h^0(k) a_{\eta}^{\dagger}(k) a_{\eta}(k)$, $Q_{h,0}(k) = \frac{1}{2\pi} \omega_k \sum_p T_p^{\ell \geq 2}(\hat{k})$

2. Massive hard charge: $C_M + [\mathbb{S}, Q_{h,M}] = 0$

► $Q_{h,M} = \sum_i \int d\Phi(p) Q_{h,M,i}(p) a_i^{\dagger} a_i(p)$ $Q_{h,M,i}(p, \dots) = \dots$

Q_s has a completion, $Q = Q_s + Q_{h,0} + Q_{h,M}$, which is conserved $[\mathbb{S}, Q] = 0 \ (\forall) T_p(n)$

Two consequences of Q_s completion to a conservation law $[\mathbb{S}, Q_s + Q_h] = 0$ Elkhidir, O'Connell, RR

1. The hope for the shear is realized and the second part of supertranslations is as desired

$$e^{-i(Q_s[T_p]+Q_h)} h_{\mu\nu}(\omega_K) e^{i(Q_s[T_p]+Q_h)} \stackrel{\checkmark}{=} \hat{\delta}(\omega_K) h_{\mu\nu}^{\text{Schwz., TT}}(x) + e^{-i\omega_K T_p} h_{\mu\nu}(\omega) \implies u \rightarrow u + T_p(n)$$

2. Frame independence of total angular momentum; an example

$$\langle p | \mathbb{S}^\dagger \mathcal{O} \mathbb{S} | p \rangle_{\text{in}}^{\text{dressed}} = \langle \psi | e^{iQ_h} \mathbb{S}^\dagger e^{-i(Q_s+Q_h)} \mathcal{O} e^{i(Q_s+Q_h)} \mathbb{S} e^{-iQ_h} | \psi \rangle_{\text{in}}$$

$$\mathcal{O} = \mathbb{J} = \mathbb{J}^{\text{mech.}} + \mathbb{J}^{\text{rad}} ; \quad \mathbb{J}_{\alpha\beta}^{\text{rad}} = -i \sum_{\eta} \int \hat{d}\Phi(k) a_{\eta}^{\dagger}(k) k_{[\alpha} \overleftrightarrow{\partial}_{\beta]} a_{\eta}(k)$$

- a. \mathbb{J}^{rad} depends on frame: - Linear in f_{η} : Damour's $\mathcal{O}(G^2)$
 - Independent of f_{η} : canonical + $\mathcal{O}(G^4)$

- b. $\mathbb{J}^{\text{mech.}}$ also depends on frame, through the state $\mathbb{S} e^{-iQ_h} | \psi \rangle_{\text{in}}$

Use eikonal representation of the S matrix & saddle-point approximation for integrals

General expressions available in terms of Q_h

An explicit LO illustration

QED: no massless hard charge; $T_p(n) = \frac{e^2}{4\pi} \ln v \cdot n$

$$\delta b^\mu = \frac{e_1 e_2}{2\pi m_1 m_2} \left(\frac{1}{3} \left(\frac{e_1 m_2}{e_2 m_1} + \frac{e_2 m_1}{e_1 m_2} \right) - \frac{1}{\gamma v^2} + \frac{\operatorname{arctanh}(v)}{\gamma^3 v^3} \right) \Delta p^\mu + \mathcal{O}(\Delta p^3),$$

Gravity: $T_p(n) = 2Gm v \cdot n \ln v \cdot n$

$$\delta b^\mu = \frac{\kappa^2}{8\pi} \left(\frac{16}{3} - \frac{2}{v^2} + \frac{2(1 - 3v^2)\operatorname{arctanh}(v)}{v^3} \right) \Delta p^\mu + \mathcal{O}(\Delta p^3)$$

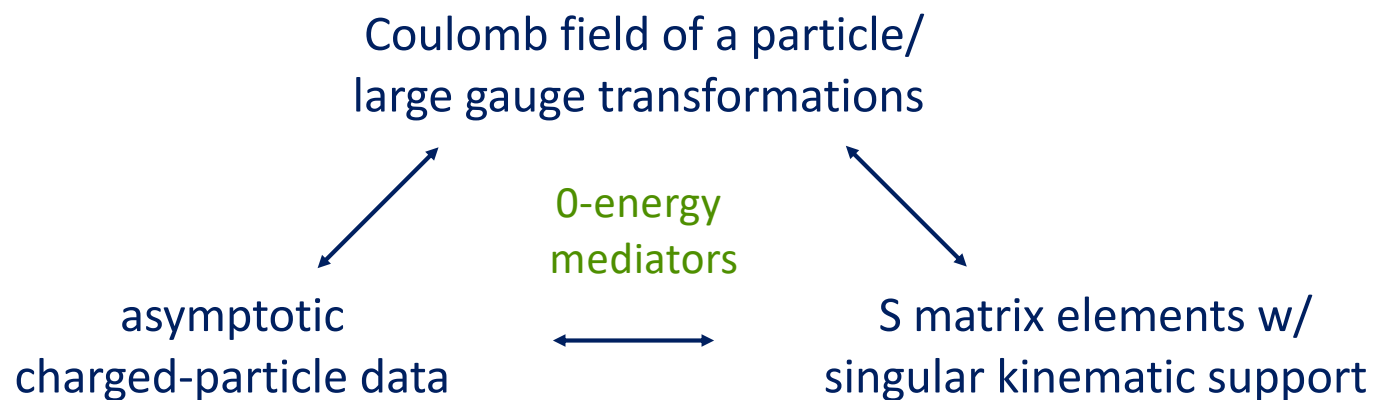
Change in mechanical angular momentum: $J^{\text{mech.}} = p b \longrightarrow \delta J^{\text{mech.}} = p \delta b = p \frac{b_\mu \delta b^\mu}{b}$

Compensates frame dependence of J^{rad}

QED LO: Saketh, Vines, Steinhoff, Buonanno
GR LO: Bini, Damour

1. Order is restored! ($[\mathcal{S}, Q_s + Q_h] = 0$ is to all orders)
2. Split mechanical-field is ambiguous

Summary: classical gravitational scattering state = hard quantum numbers + a choice of frame



- On-shell 3-point amplitudes w/ real momenta are gauge/frame dependent
- Capture the long-distance field of a particle; can be changed by coherent-state dressing
- Any coherent-state dressing Q_s can be completed to a conservation law
- Separation into “mechanical” and “field” is gauge/frame dependent

... and some questions

- Is the supertranslation frame observable?

$h(u) \longrightarrow h(u + T(n))$ e.g. via a network of distant synchronized detectors?

- Finite-distance/finite energy regularization instead of zero-energy localization?
- IR divergences cause breakdown of expected falloff of metric coefficients;
From GR perspective may interfere with Bondi coordinates. From QFT always $[Q, \mathcal{S}] = 0$
Lessons for asymptotic symmetries?
- Construction goes through for scalars, so gauge symmetries are not a prerequisite for asymptotic symmetries; what are the consequences?
- Higher dimensions?

More to learn about QFT with inspiration & prompts from classical gravity

Happy 65th, Lance, and many happy returns!



Looking forward to learning more from you, and to the new routes you'll open in the years ahead.