

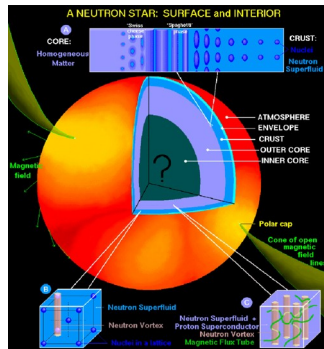
Nuclear Theory: Lecture 2

Alex Gezerlis



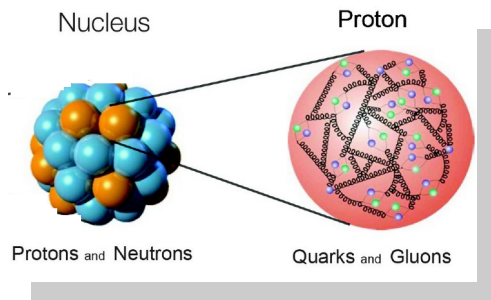
23rd STFC Nuclear Physics Summer School
University of Aberdeen
August 2026

Outline

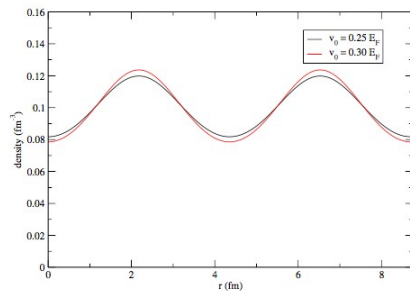


Credit: Dany Page

Motivation



Nuclear forces



Many-body physics

Textbook many-body physics: liquid-drop formula

Liquid-drop formula

Binding-energy systematics

$$\frac{E_B}{N + Z} = a_V - \frac{a_S}{(N + Z)^{1/3}} - a_C \frac{Z(Z - 1)}{(N + Z)^{4/3}} - a_{sym} \frac{(N - Z)^2}{(N + Z)^2} - \lambda \frac{a_p}{(N + Z)^{3/2}}$$

volume

surface

Coulomb

symmetry

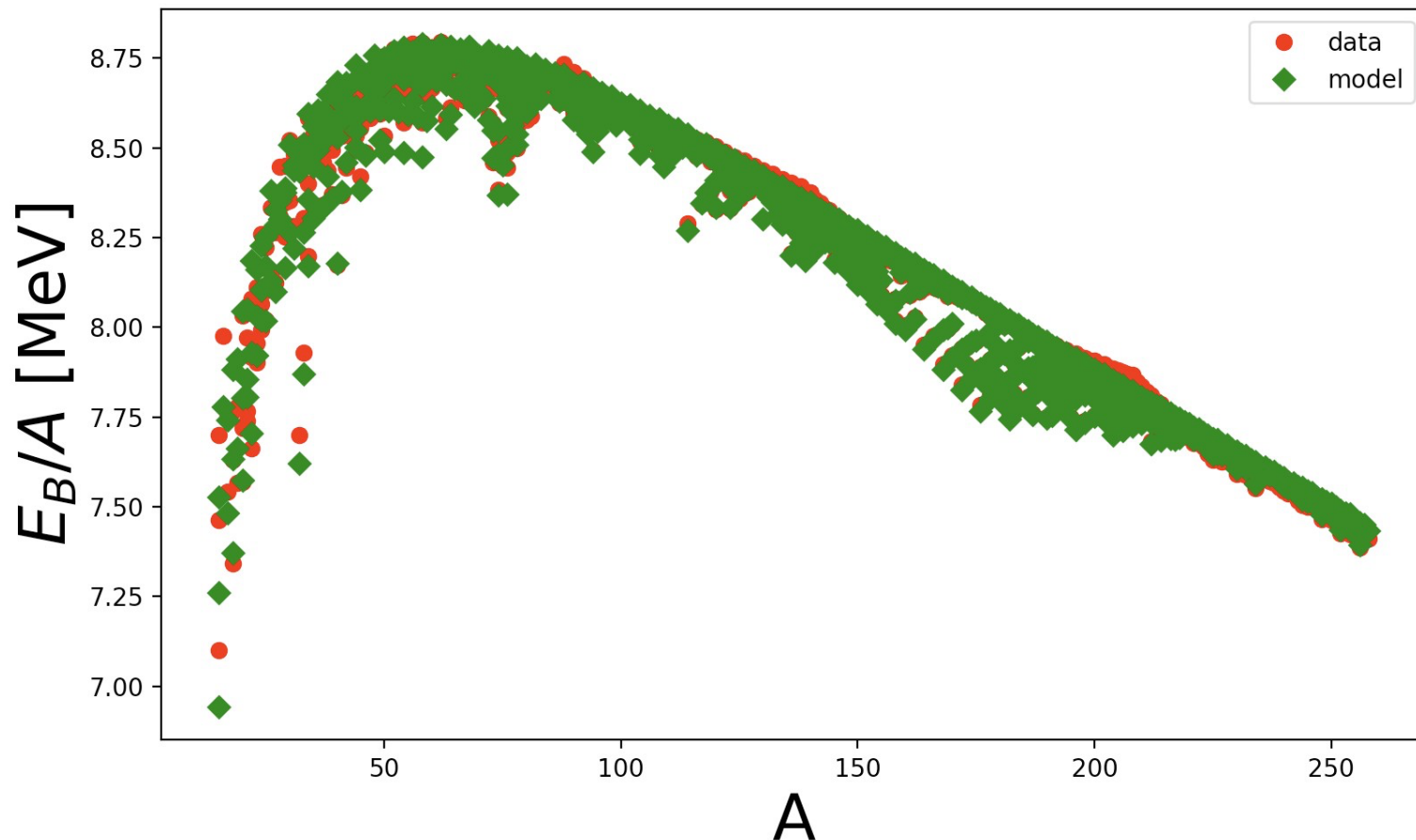
pairing

$$\lambda = \begin{cases} +1, & \text{odd } N\text{-odd } Z \\ 0, & \text{odd } N\text{-even } Z, \text{ or even } N\text{-odd } Z \\ -1, & \text{even } N\text{-even } Z \end{cases}$$

Liquid-drop formula

Model checking

You'll do this
during the
skills session



What are you supposed to do with the interactions introduced in the previous lecture?

Nuclear many-body problem

$$H\Psi = E\Psi$$

where
$$H = \sum_i K_i + \sum_{i<j} v_{ij} + \sum_{i<j<k} V_{ijk} + \dots$$

so

$$H\Psi(\mathbf{r}_1, \dots, \mathbf{r}_A; s_1, \dots, s_A; t_1, \dots, t_A) = E\Psi(\mathbf{r}_1, \dots, \mathbf{r}_A; s_1, \dots, s_A; t_1, \dots, t_A)$$

i.e. $2^A \binom{A}{Z}$ complex coupled second-order differential equations

**Let's take infinite nucleonic matter
as an example**

Degeneracy pressure

One-dimensional box

Periodic boundary conditions:

$$\psi(x) = \psi(x + L)$$

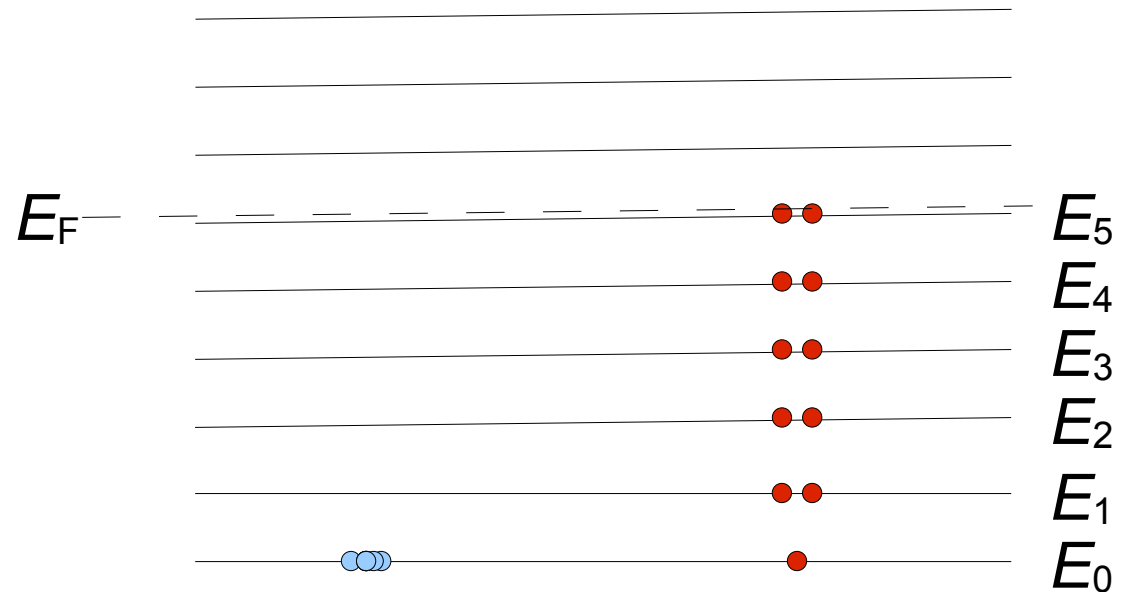
Wave function is:

$$\psi(x) = \frac{1}{\sqrt{L}} e^{ik_x x}$$

Characterized by:

$$k_x = \frac{2\pi}{L} n_x$$

$$E = \sum_{k_x \leq k_F} \frac{\hbar^2 k_x^2}{2m}$$



bosons

fermions

you *can* place as many as you want in the same state

you *cannot* place as many as you want in the same state

Degeneracy pressure

Three-dimensional box

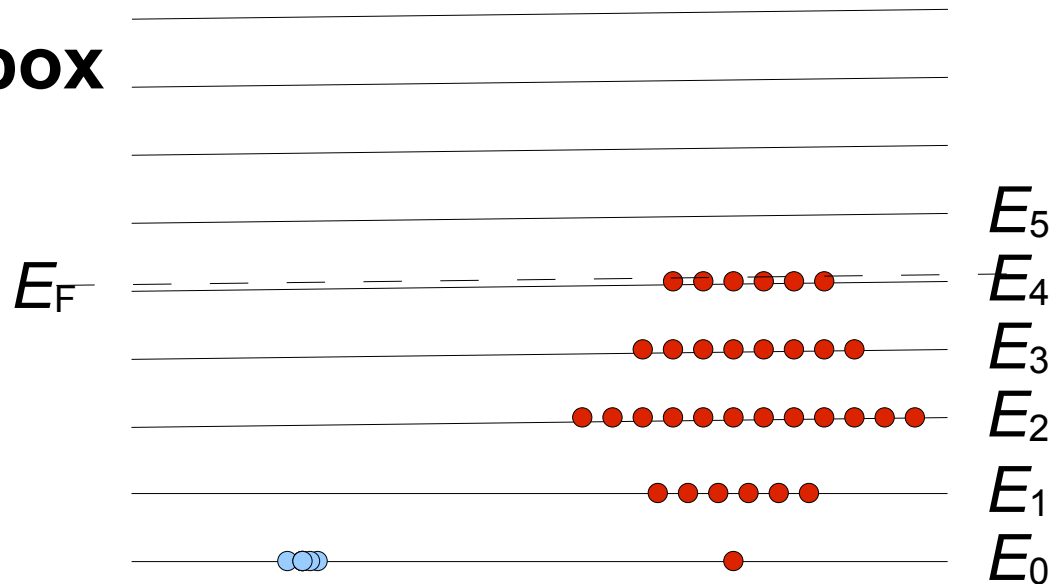
In periodic boundary conditions, the wave function is:

$$\psi(\mathbf{r}) = \frac{1}{\sqrt{L}} e^{i\mathbf{k} \cdot \mathbf{r}}$$

characterized by:

$$\mathbf{k} = \frac{2\pi}{L} (n_x, n_y, n_z)$$

$$E = \sum_{k_x, k_y, k_z}^{|k| \leq k_F} \frac{\hbar^2 \mathbf{k}^2}{2m}$$



bosons

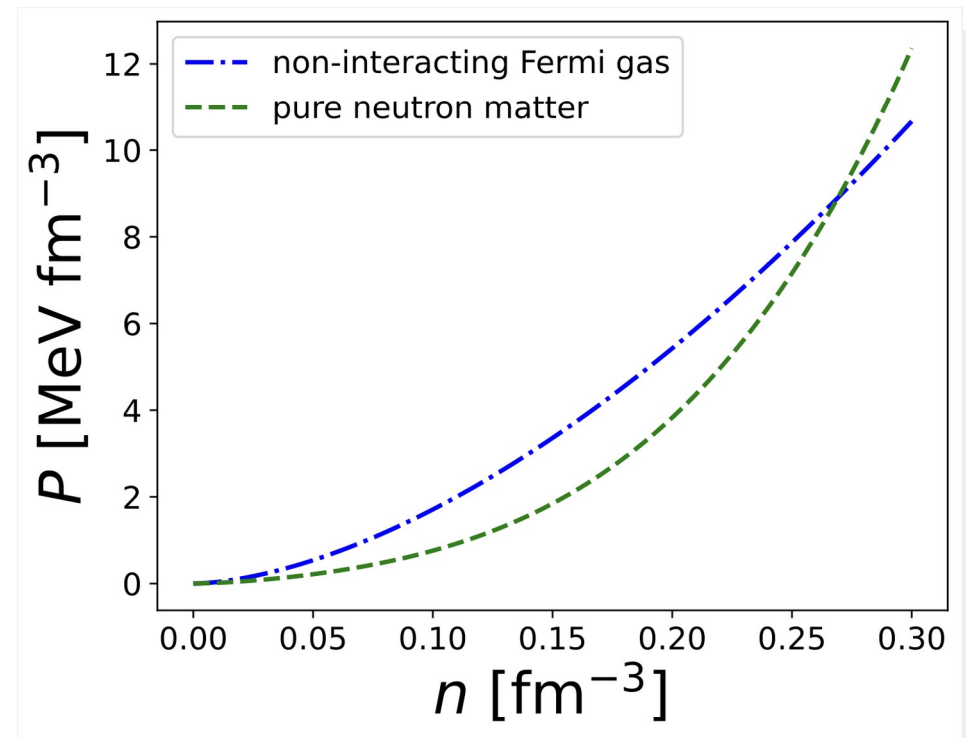
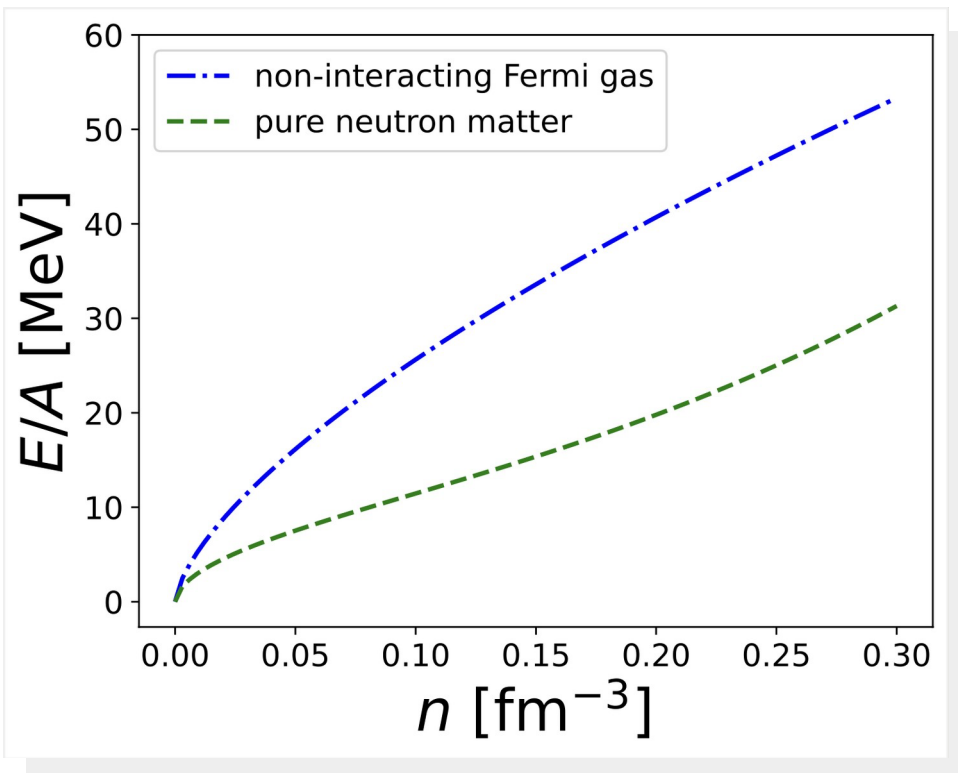
fermions

you *can* place as many as you want in the same state

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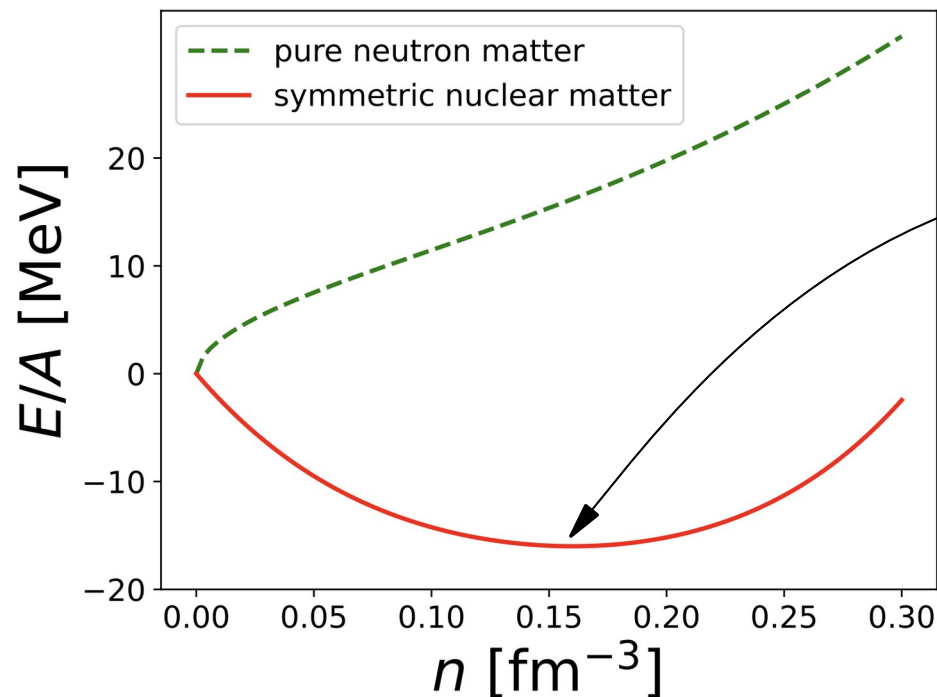
From free fermions to neutron matter

$$P = n^2 \frac{\partial(E/A)}{\partial n}$$



Pressure always positive

From the liquid drop to nuclear matter

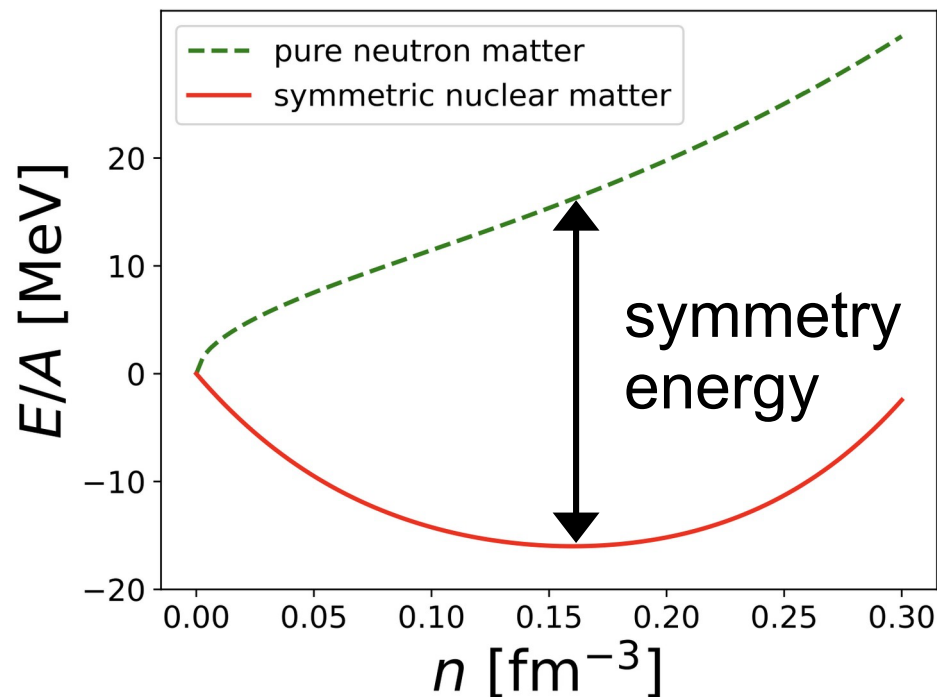


Saturation point (at 0.16 fm^{-3}) has an energy per nucleon of -16 MeV, consistent with taking N and Z to infinity in the liquid-drop formula:

$$\frac{E_B}{N + Z} = a_V - \frac{a_S}{(N + Z)^{1/3}}$$

Energy always negative,
exhibits a minimum

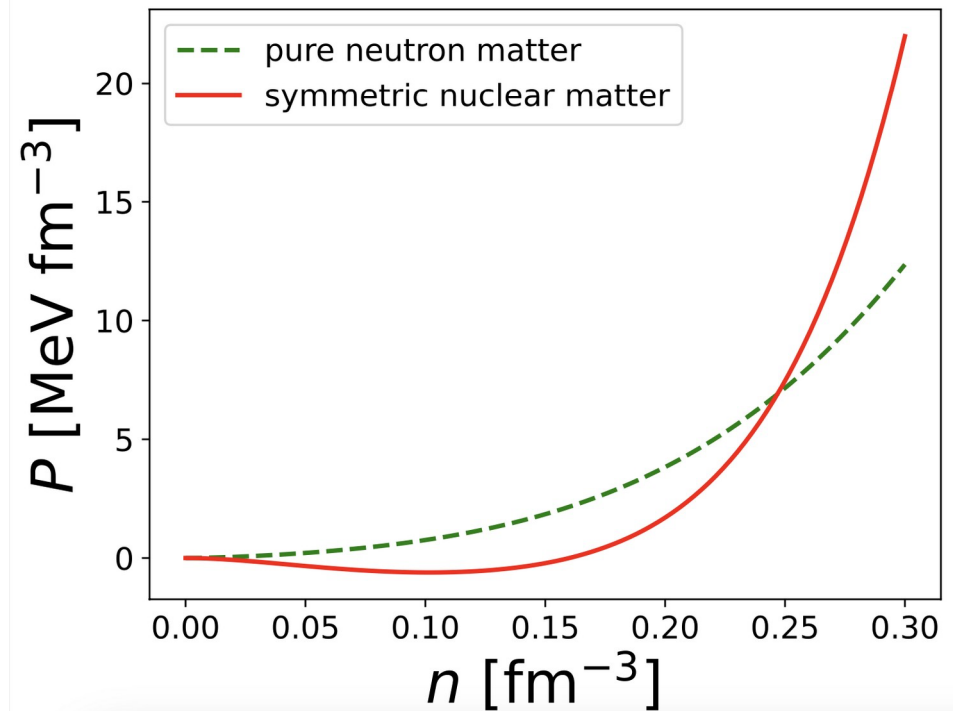
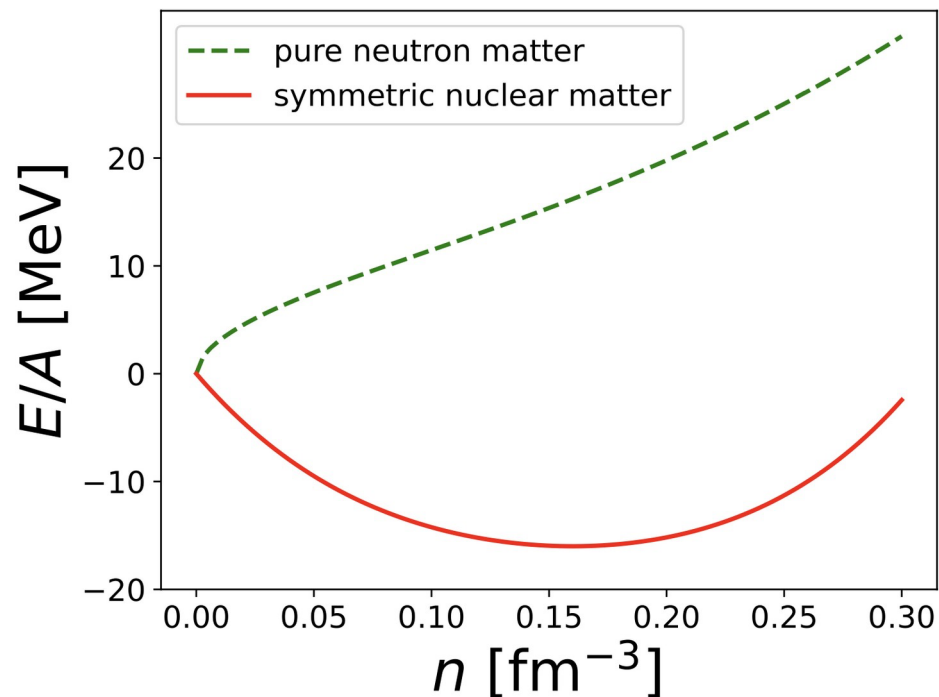
From the liquid drop to nuclear matter



Energy always negative,
exhibits a minimum

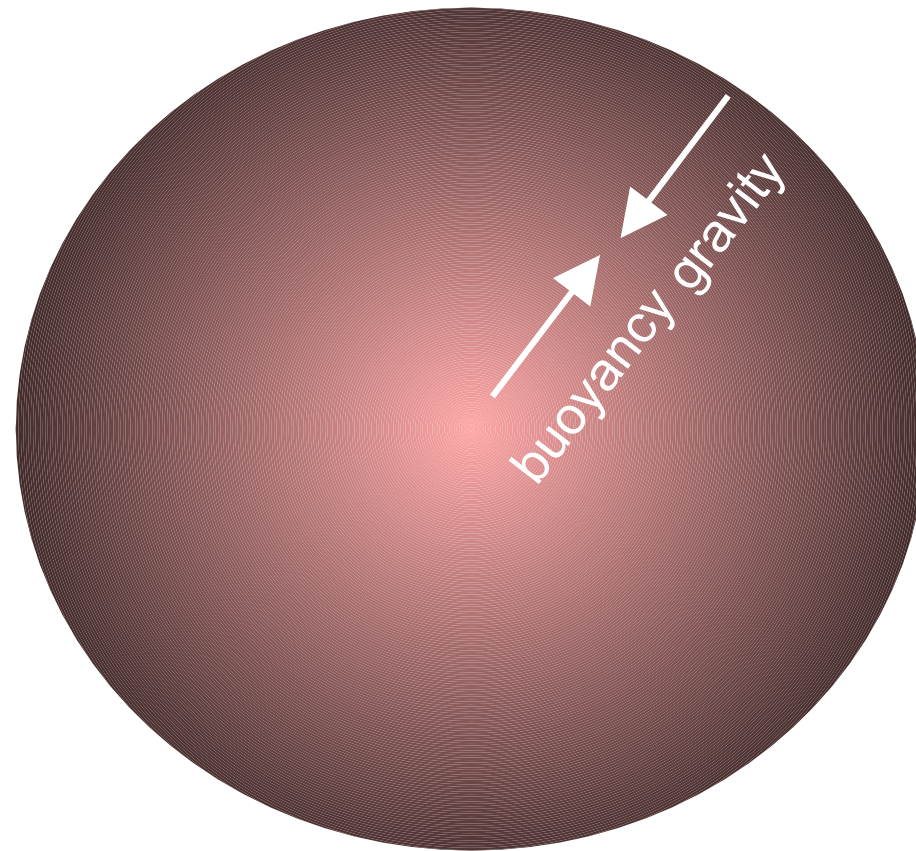
From the liquid drop to nuclear matter

$$P = n^2 \frac{\partial(E/A)}{\partial n}$$

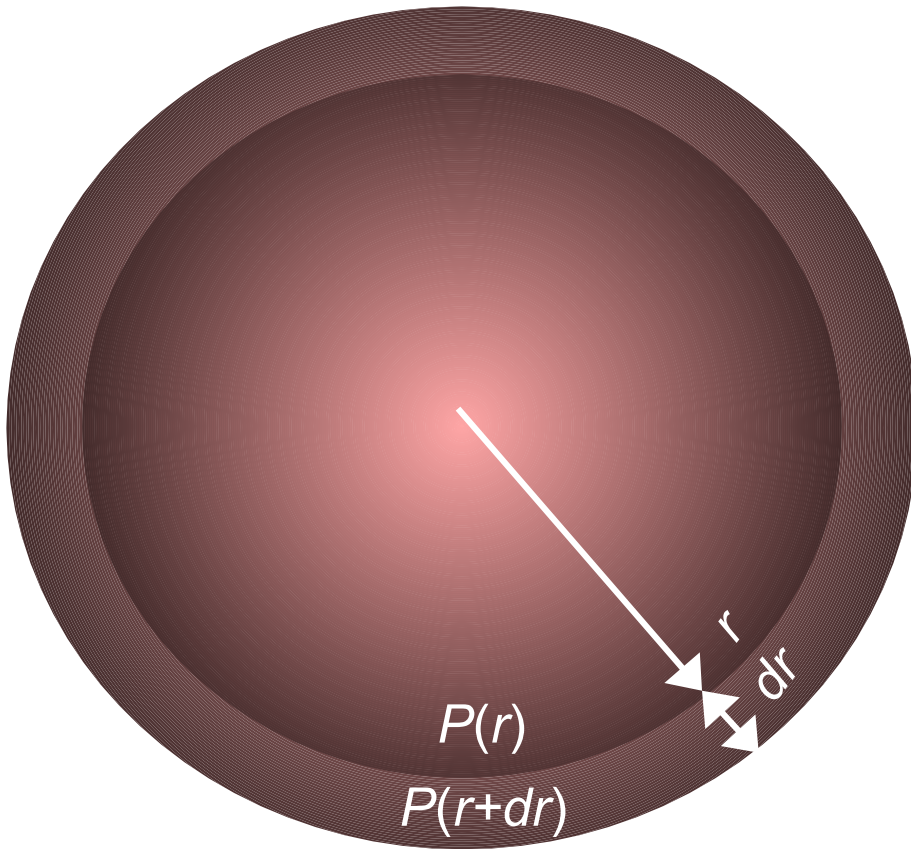


Pressure zero at saturation point

Stars



Hydrostatic equilibrium



Distinguish between the mass within r :

$$m(r) = \int_0^r 4\pi r'^2 \rho(r') dr'$$

and that of the thin shell: $4\pi r^2 \rho(r) dr$

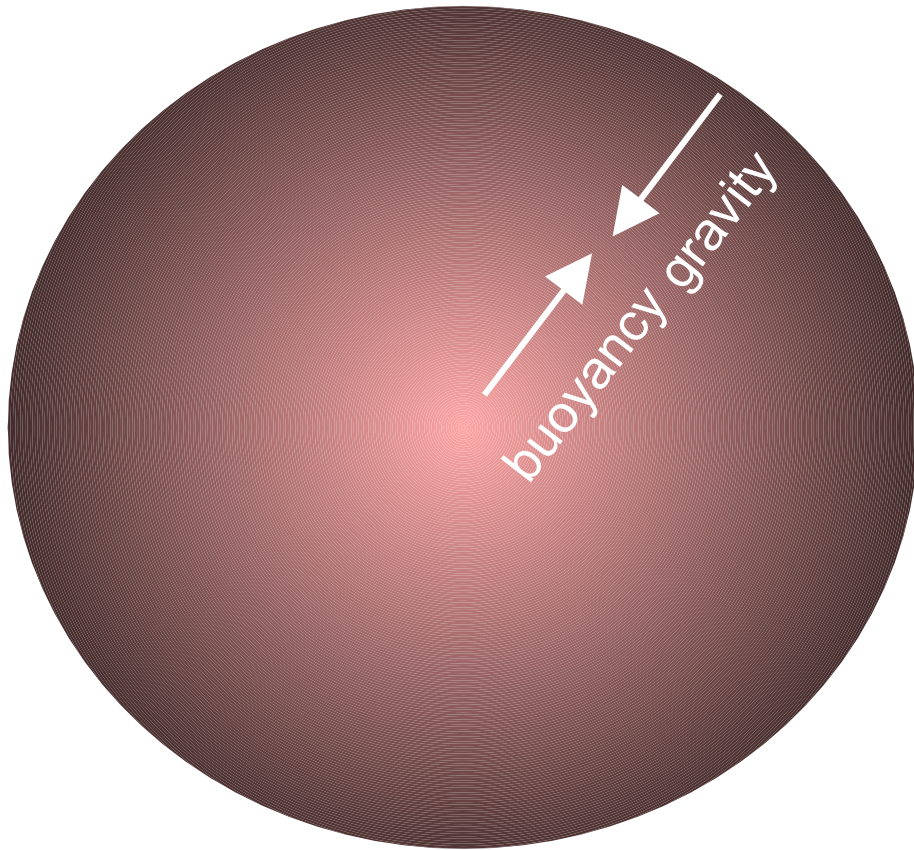
Shell experiences gravitation:

$$F_{\text{gravitational}} = -G \frac{4\pi r^2 \rho(r) dr m(r)}{r^2} = -4\pi G \rho(r) m(r) dr$$

and buoyancy:

$$F_{\text{buoyant}} = 4\pi r^2 [P(r) - P(r + dr)] = -4\pi r^2 P'(r) dr$$

Hydrostatic equilibrium



At equilibrium the sum of the two forces vanishes, so:

$$\frac{dP(r)}{dr} = -\frac{Gm(r)\rho(r)}{r^2}$$

To be solved together with:

$$m(r) = \int_0^r 4\pi r'^2 \rho(r') dr'$$

or, equivalently:

$$\frac{dm(r)}{dr} = 4\pi r^2 \rho(r)$$

Hydrostatic equilibrium

$$\frac{dP(r)}{dr} = -\frac{Gm(r)\rho(r)}{r^2}$$

Our two equations:

$$\frac{dm(r)}{dr} = 4\pi r^2 \rho(r)$$

seem to involve three quantities $P(r)$, $m(r)$, and $\rho(r)$.

To make further progress, use *equation-of-state* (EOS):

$$P = P(\rho, T)$$

Neutron stars: gravity

Static spherically
symmetric metric

$$g_{\mu\nu} = \begin{pmatrix} A(r) & 0 & 0 & 0 \\ 0 & r^2 & 0 & 0 \\ 0 & 0 & r^2 \sin^2 \theta & 0 \\ 0 & 0 & 0 & -B(r) \end{pmatrix}$$

Einstein field equations

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = \frac{8\pi G}{c^4}T_{\mu\nu}$$

Ricci tensor

Energy-momentum tensor

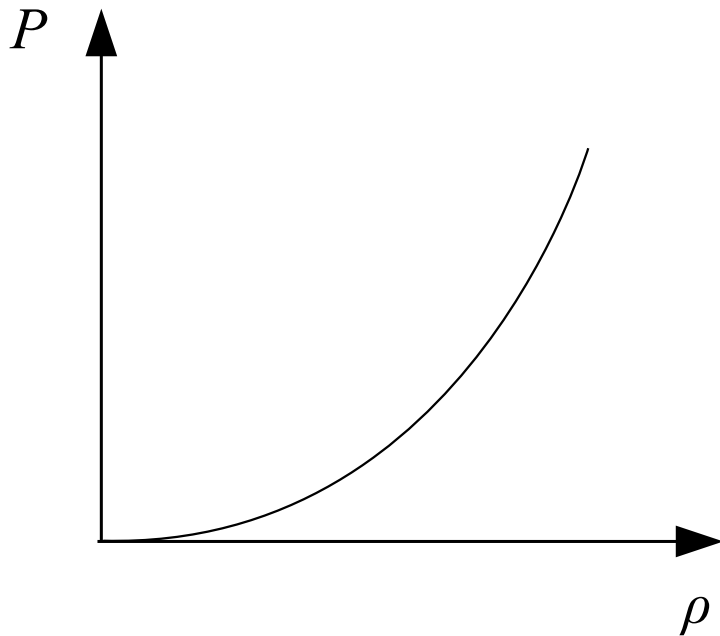
Inside the source (for isotropic fluid with no shear forces):
Tolman-Oppenheimer-Volkoff (TOV) equation(s)

$$\frac{dP(r)}{dr} = -\frac{Gm(r)\rho(r)}{r^2} \left[1 + \frac{P(r)}{\rho(r)c^2} \right] \left[1 + \frac{4\pi r^3 P(r)}{m(r)c^2} \right] \left[1 - \frac{2Gm(r)}{c^2 r} \right]^{-1}$$

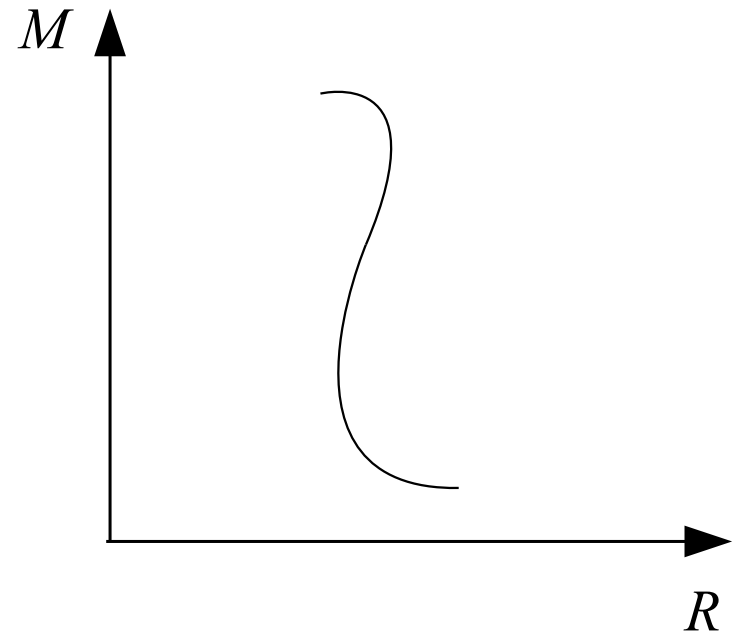
Neutron stars: micro-macro

TOV equations (or Hartle-Thorne, etc)

Pressure vs density

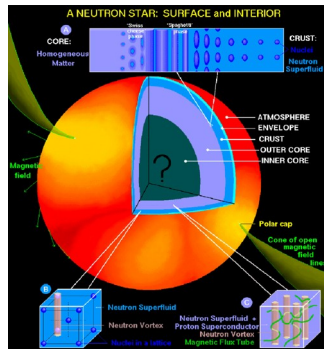


Mass vs radius



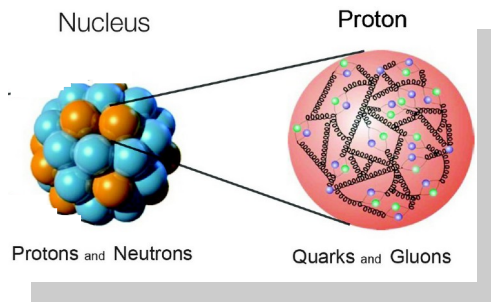
Modern goal: systematic theoretical error bars

Outline

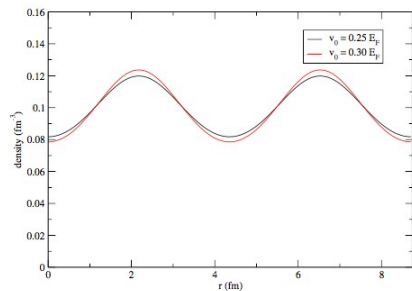


Credit: Dany Page

Motivation



Nuclear background



Modern techniques

Nuclear many-body methods

Phenomenological (fit to A-body experiment)

Ab initio (fit to few-body experiment)

Nuclear many-body methods

Phenomenological (fit to A-body experiment)

- **Shell model**
mainstay of nuclear physics, still very important
- **Hartree-Fock/Hartree-Fock-Bogoliubov (HF/HFB)**
mean-field theory, a priori inapplicable, unreasonably effective
- **Energy-density functionals (EDF)**
like mean-field but with wider applicability

Nuclear many-body methods

Ab initio (fit to few-body experiment)

- **Quantum Monte Carlo (QMC)**
stochastically solve the many-body problem “exactly”
- **Perturbative Theories (PT)**
first few orders only
- **Resummation schemes (e.g. SCGF)**
selected class of diagrams up to infinite order
- **Coupled cluster (CC)**
generate n_p - n_h excitations of a reference state
- **No-core shell model (NCSM)**
fully ab initio, in contradistinction to traditional SM

**Modern phenomenology:
Skyrme energy-density functionals**

Skyrme EDF

Energy-density functional

Slater determinant $\phi(x_1, \dots, x_N) = \frac{1}{\sqrt{N!}} \det |\phi_i(x_j)|$

Total energy $E = \langle \phi | \hat{H} | \phi \rangle = \int \mathcal{H}(\mathbf{r}) d^3r$

Energy density $\mathcal{H} = \mathcal{K} + \mathcal{H}_0 + \mathcal{H}_3 + \mathcal{H}_{\text{eff}} + \mathcal{H}_{\text{fin}}$

Skyrme EDF

Energy-density functional

Energy density $\mathcal{H} = \mathcal{K} + \mathcal{H}_0 + \mathcal{H}_3 + \mathcal{H}_{\text{eff}} + \mathcal{H}_{\text{fin}}$

$$\mathcal{K} = \frac{\hbar^2}{2m} \tau(\mathbf{r}),$$

$$\mathcal{H}_0 = (C_0^{\rho,0} + C_1^{\rho,0}) \rho^2(\mathbf{r}) = \frac{1}{4} t_0 (1 - x_0) \rho^2(\mathbf{r}),$$

$$\mathcal{H}_3 = (C_0^{\rho,\alpha} + C_1^{\rho,\alpha}) \rho^{2+\alpha}(\mathbf{r}) = \frac{1}{24} t_3 (1 - x_3) \rho^{2+\alpha}(\mathbf{r})$$

$$\begin{aligned} \mathcal{H}_{\text{eff}} &= (C_0^\tau + C_1^\tau) \rho(\mathbf{r}) \tau(\mathbf{r}) \\ &= \frac{1}{8} [t_1 (1 - x_1) + 3t_2 (1 + x_2)] \rho(\mathbf{r}) \tau(\mathbf{r}), \text{ and} \end{aligned}$$

$$\begin{aligned} \mathcal{H}_{\text{fin}} &= -(C_0^{\Delta\rho} + C_1^{\Delta\rho}) (\nabla \rho(\mathbf{r}))^2 \\ &= \frac{3}{32} [t_1 (1 - x_1) - t_2 (1 + x_2)] (\nabla \rho(\mathbf{r}))^2 \end{aligned}$$

**Modern *ab initio*:
quantum Monte Carlo**

Big picture

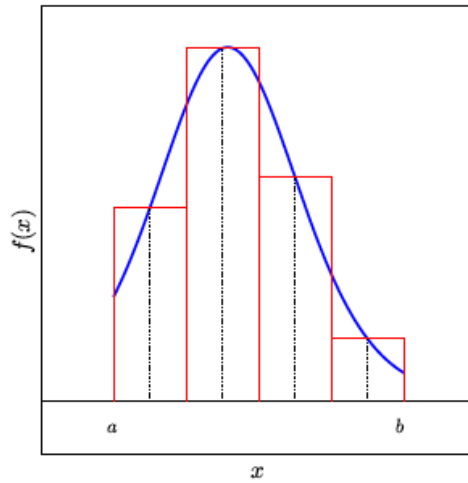
stochastically solve the many-body Schrödinger equation in a fully non-perturbative manner

Rudiments of Diffusion Monte Carlo

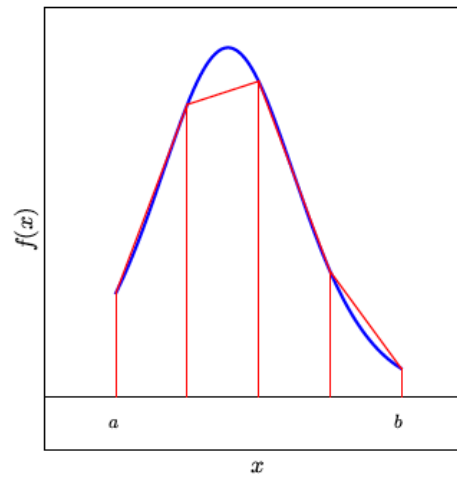
$$\begin{aligned}\Psi(\tau \rightarrow \infty) &= \lim_{\tau \rightarrow \infty} e^{-(\mathcal{H} - E_T)\tau} \Psi_V \\ &= \lim_{\tau \rightarrow \infty} \sum_i \alpha_i e^{-(E_i - E_T)\tau} \Psi_i \\ &\rightarrow \alpha_0 e^{-(E_0 - E_T)\tau} \Psi_0\end{aligned}$$

Probabilistic foundations

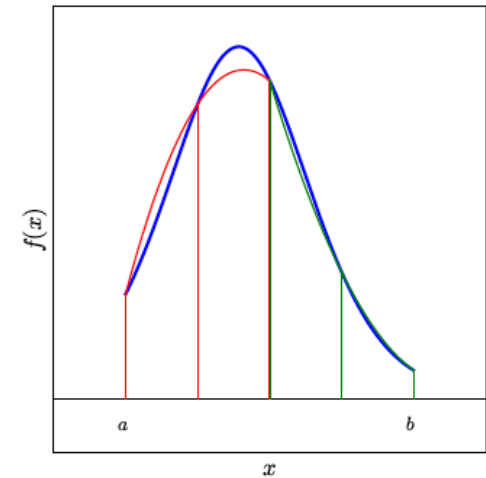
Midpoint rule



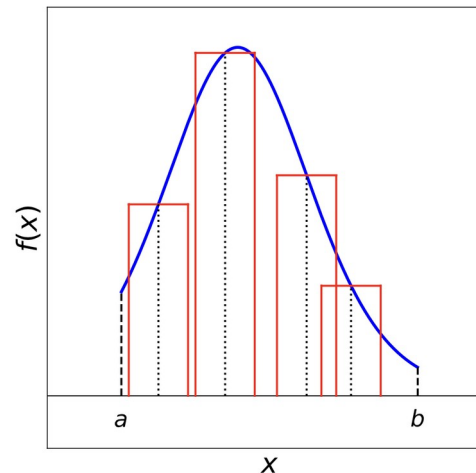
Trapezoid rule



Simpson's rule



Monte Carlo quadrature



Probabilistic foundations

Midpoint rule

Trapezoid rule

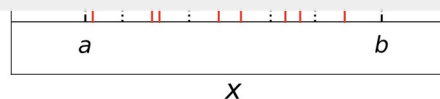
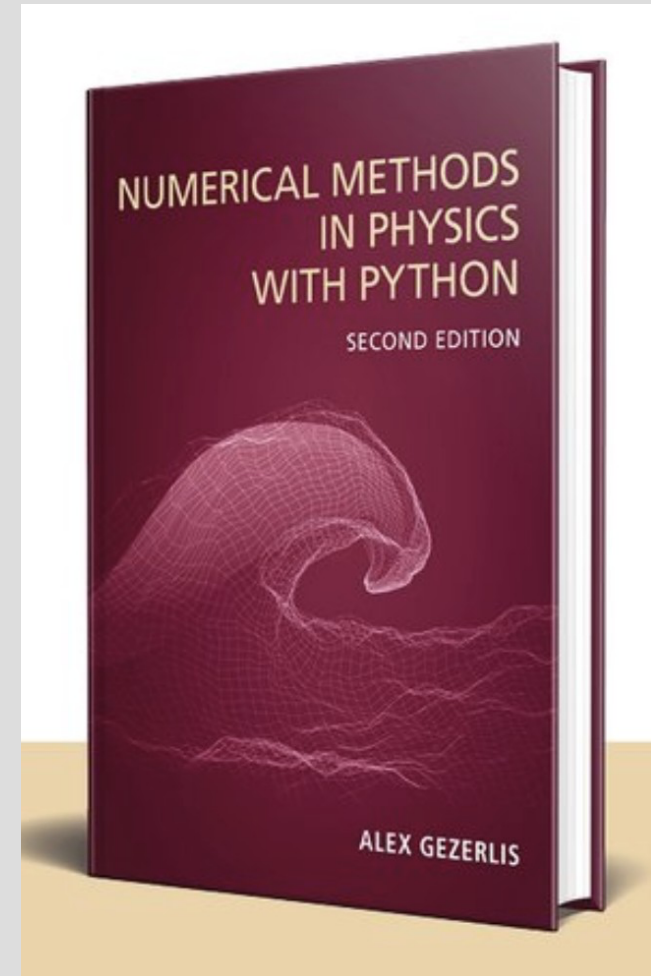
Simpson's rule

For more on foundational techniques, see:

Alex Gezerlis

**Numerical Methods
in Physics with Python**

**(2nd ed, Cambridge University
Press, 2023)**



Quantum Monte Carlo

Big picture

stochastically solve the many-body Schrödinger equation in a fully non-perturbative manner

Rudiments of Diffusion Monte Carlo

$$\begin{aligned}\Psi(\tau \rightarrow \infty) &= \lim_{\tau \rightarrow \infty} e^{-(\mathcal{H} - E_T)\tau} \Psi_V \\ &= \lim_{\tau \rightarrow \infty} \sum_i \alpha_i e^{-(E_i - E_T)\tau} \Psi_i \\ &\rightarrow \alpha_0 e^{-(E_0 - E_T)\tau} \Psi_0\end{aligned}$$

Conclusions

- Rich connections between physics of nuclei and that of compact stars
- Exciting time in terms of interplay between nuclear interactions, QCD, and many-body approaches
- Ab initio and phenomenology are mutually beneficial

Acknowledgments

Funding

