

S-matrix Bootstrap and Non-invertible Symmetries

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UNIVERSITY OF AMSTERDAM

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Symmetries and Amplitudes

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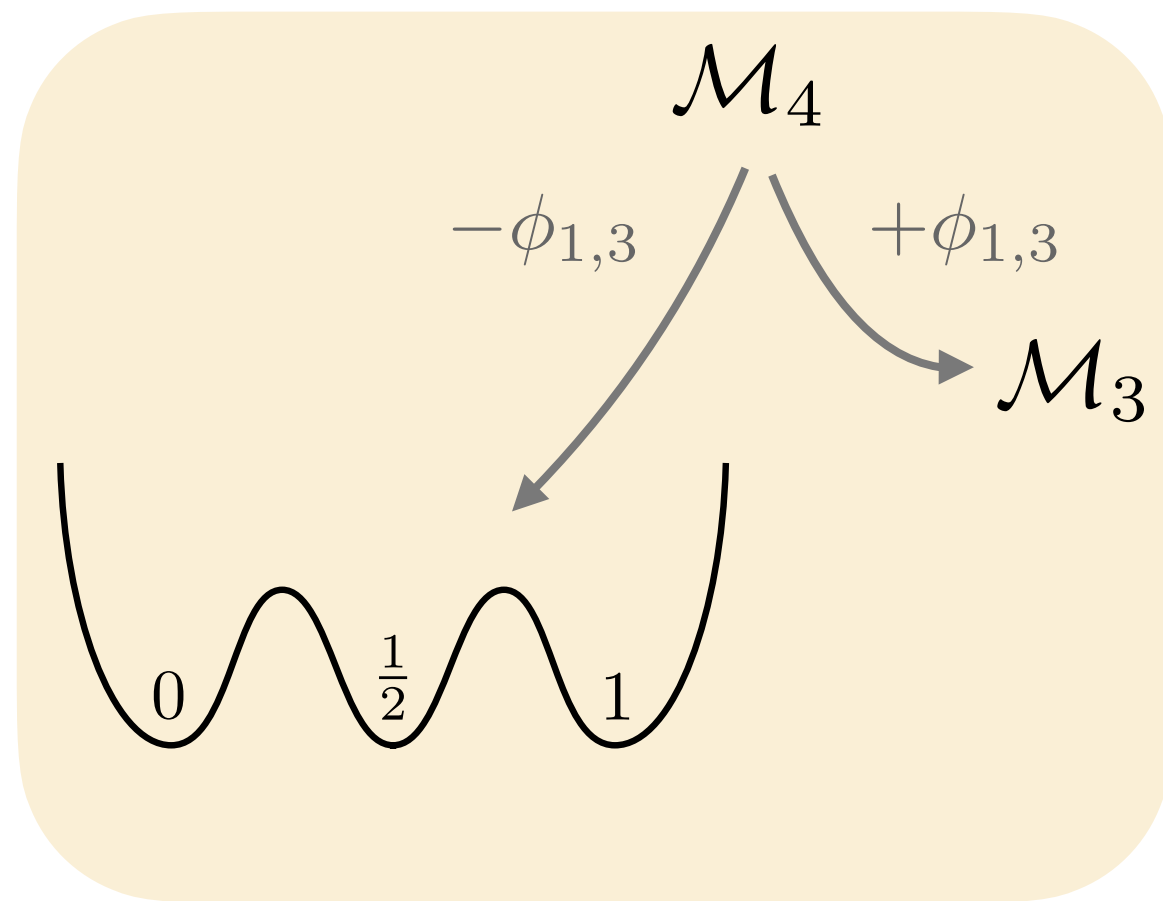
Laboratory: 2D QFTs

→ *simpler kinematics, connection to 2D CFTs and integrable models, exact non-perturbative results!*

Appetizer: Tricritical Ising \rightarrow gapped₃

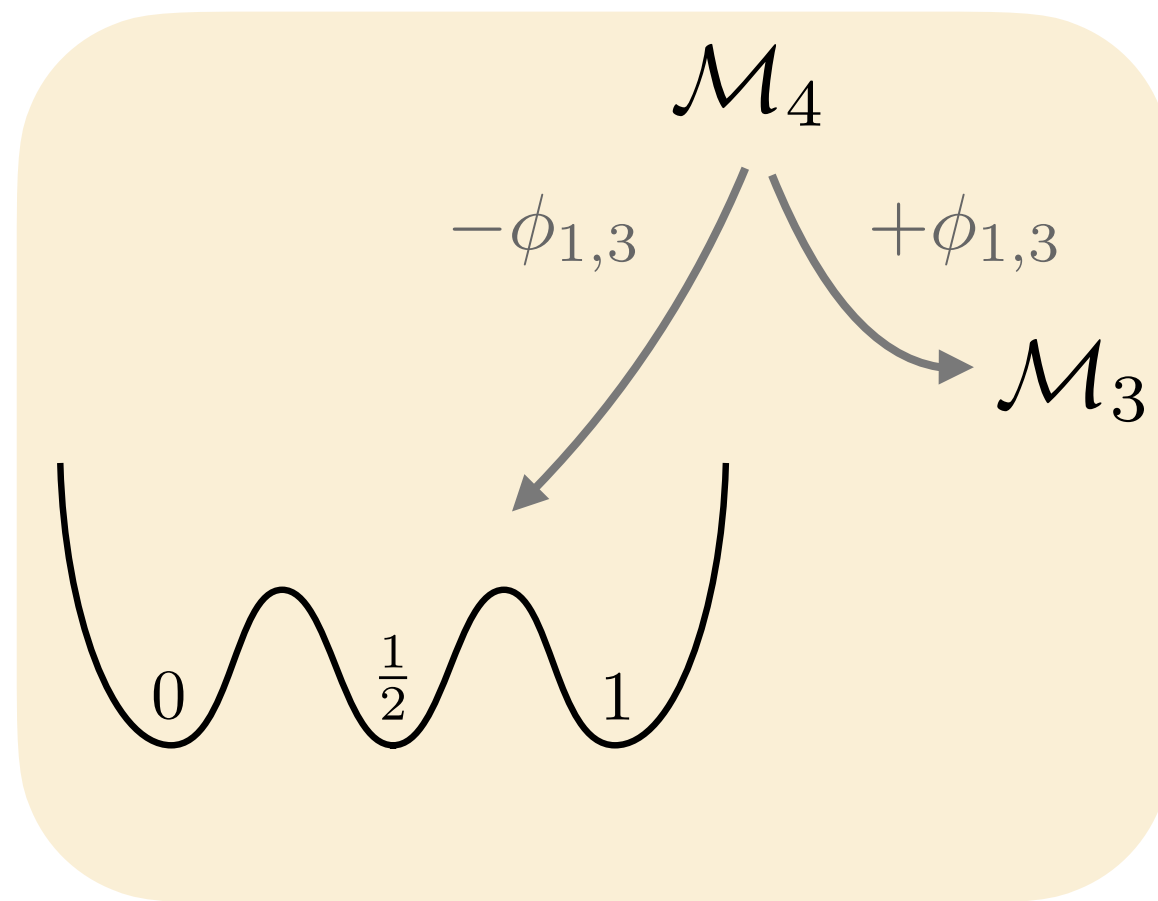
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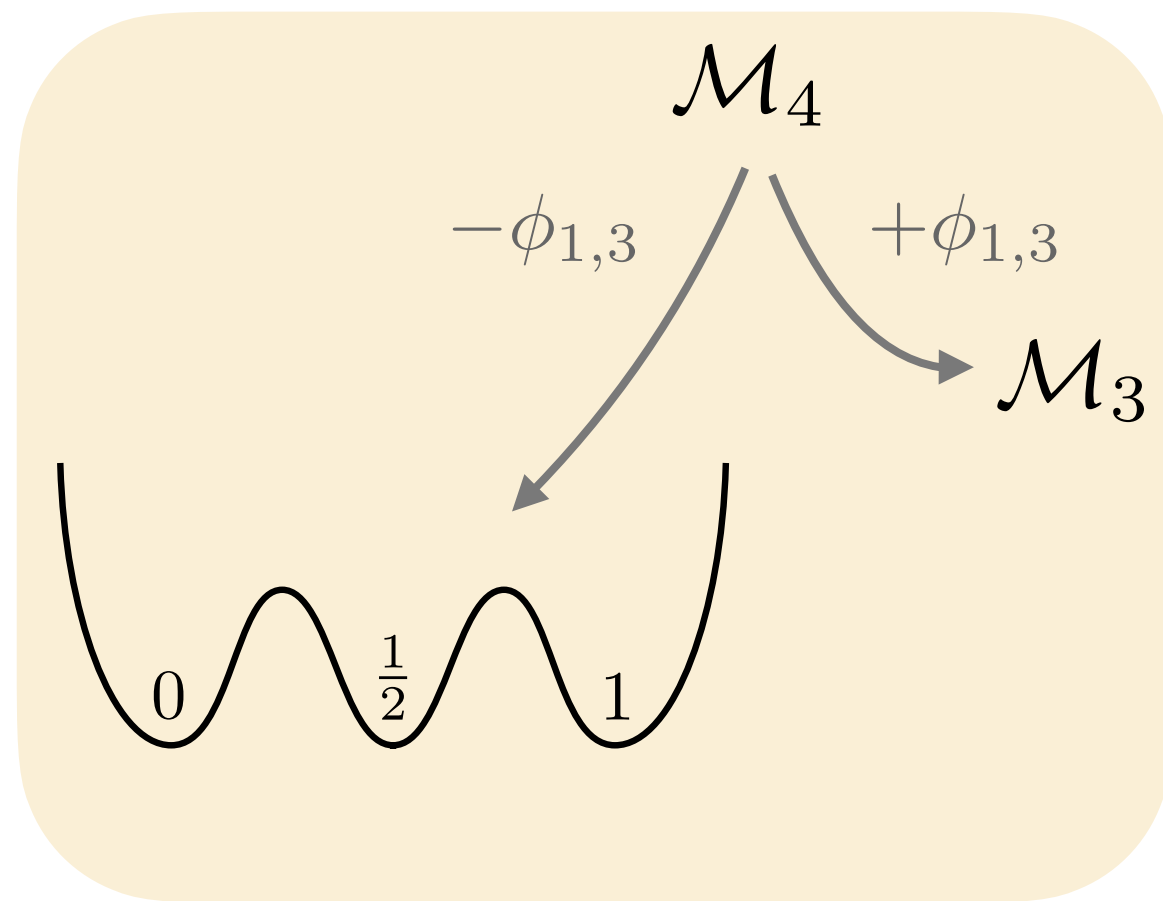
Scattering kinks interpolating between vacua K_{ab}

A scattering diagram showing a central grey circle labeled S . Four arrows radiate from the circle: a (top), b (right), c (bottom), and d (left). The arrows a and b have arrows pointing away from the circle, while c and d have arrows pointing towards the circle. The angle between a and b is θ_1 , and the angle between b and c is θ_2 .

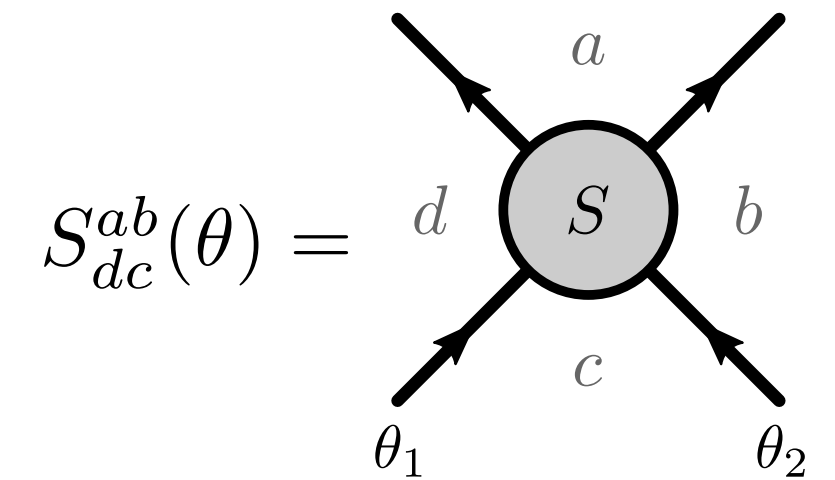
$$S_{dc}^{ab}(\theta) =$$
$$a = 0, \frac{1}{2}, 1$$
$$s = (p_1 + p_2)^2 = 4m^2 \cosh^2(\theta/2)$$

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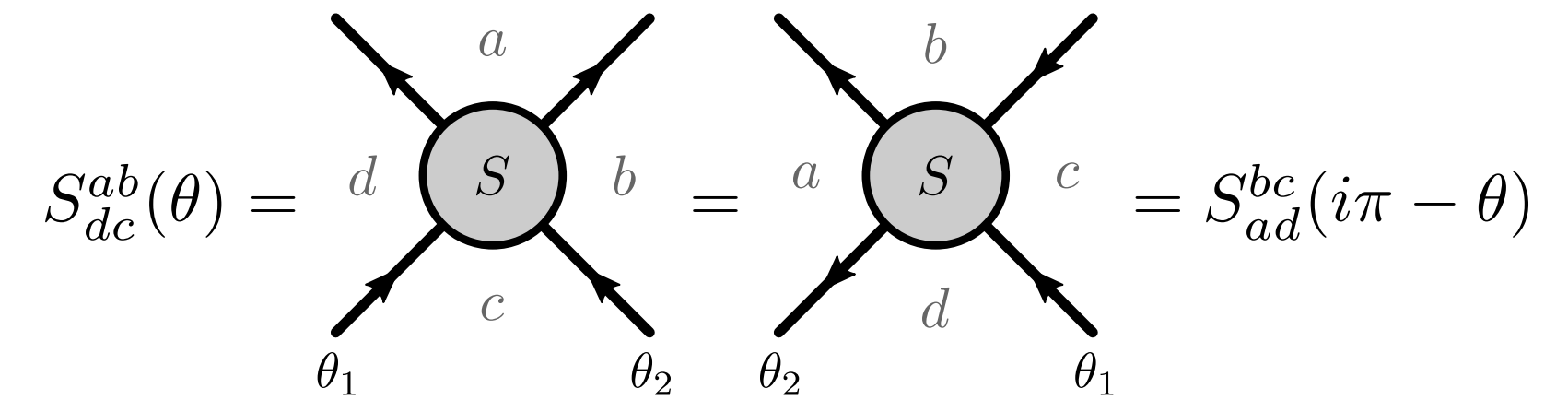


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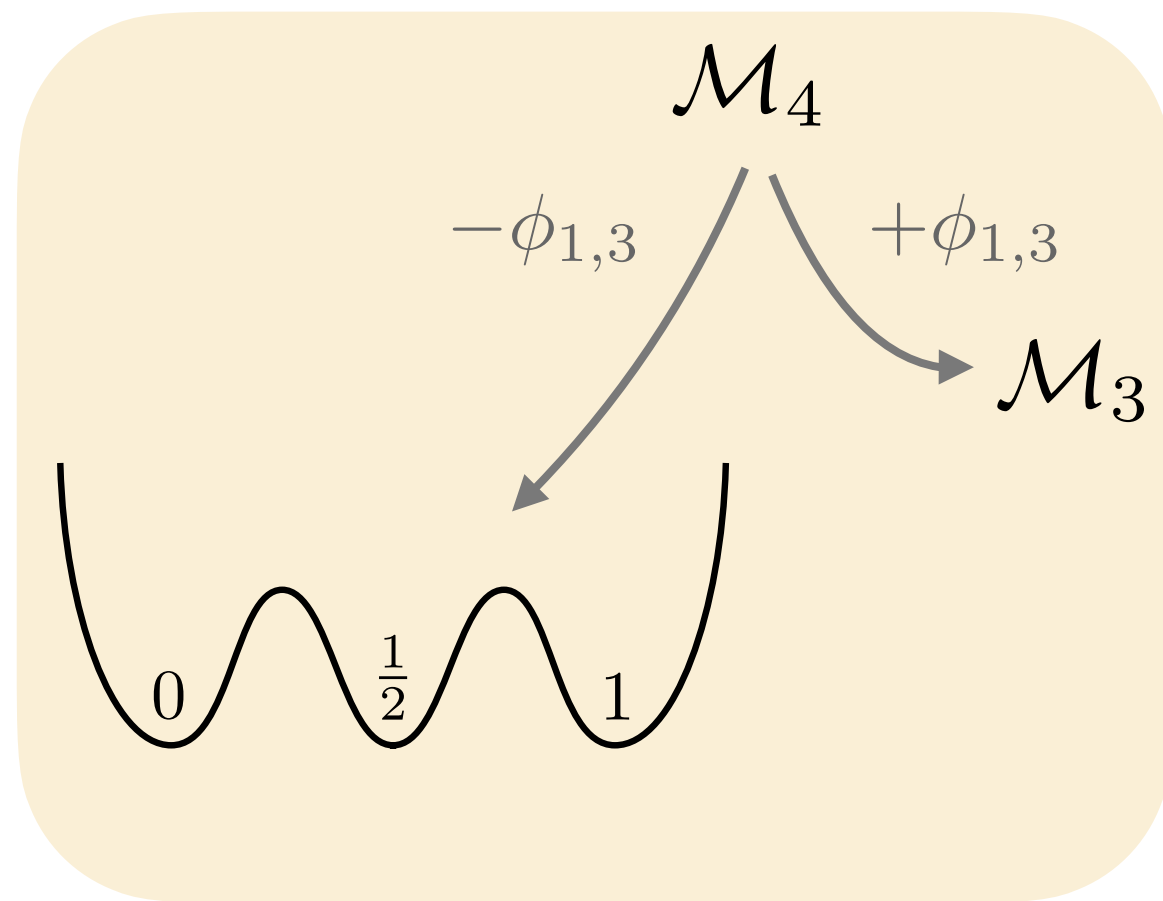
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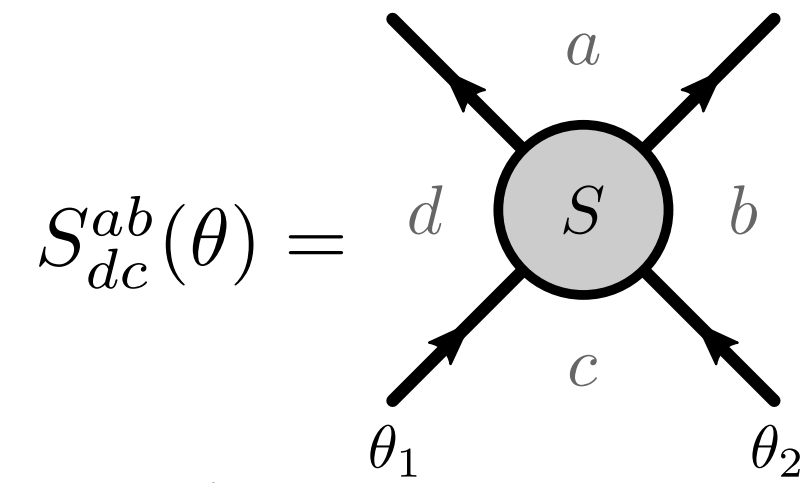
Crossing ($s \leftrightarrow t$)

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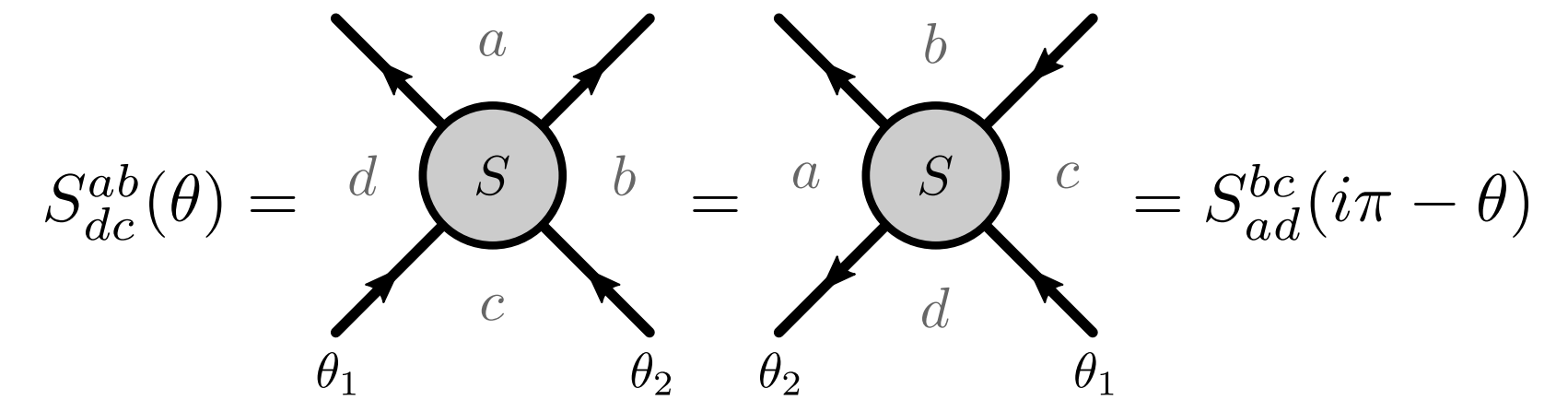


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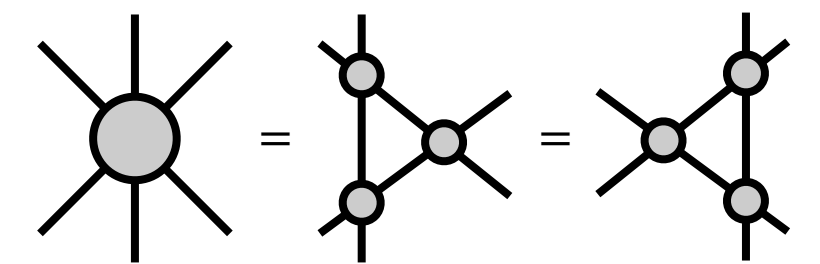
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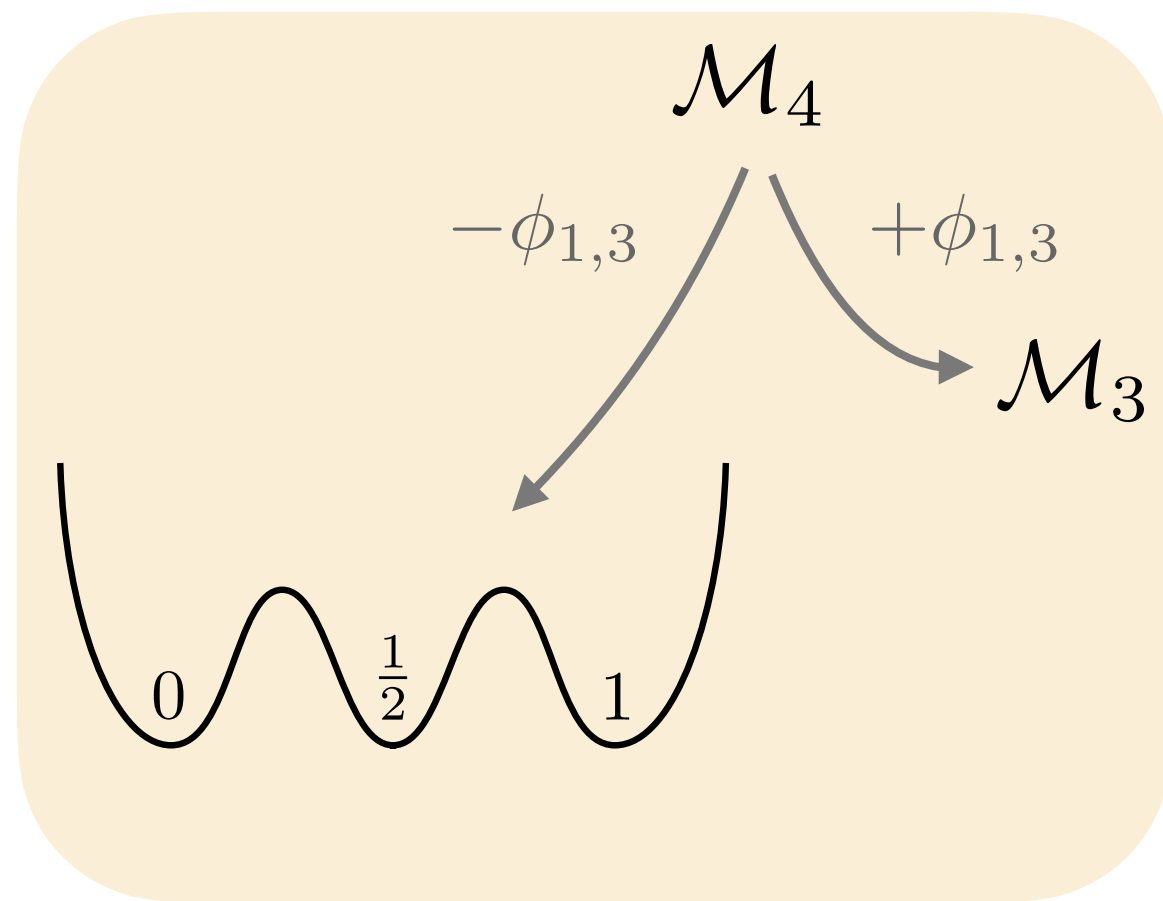
- S-matrix bootstrapped from unitarity+crossing+integrability

[Zamolodchikov '89]

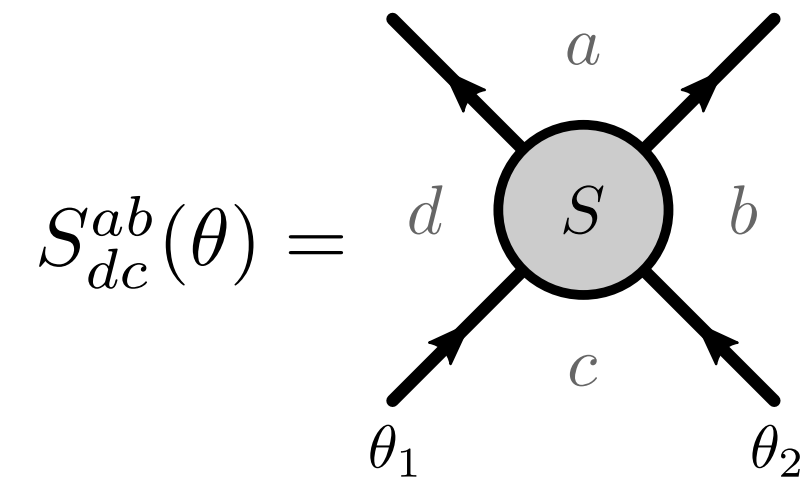


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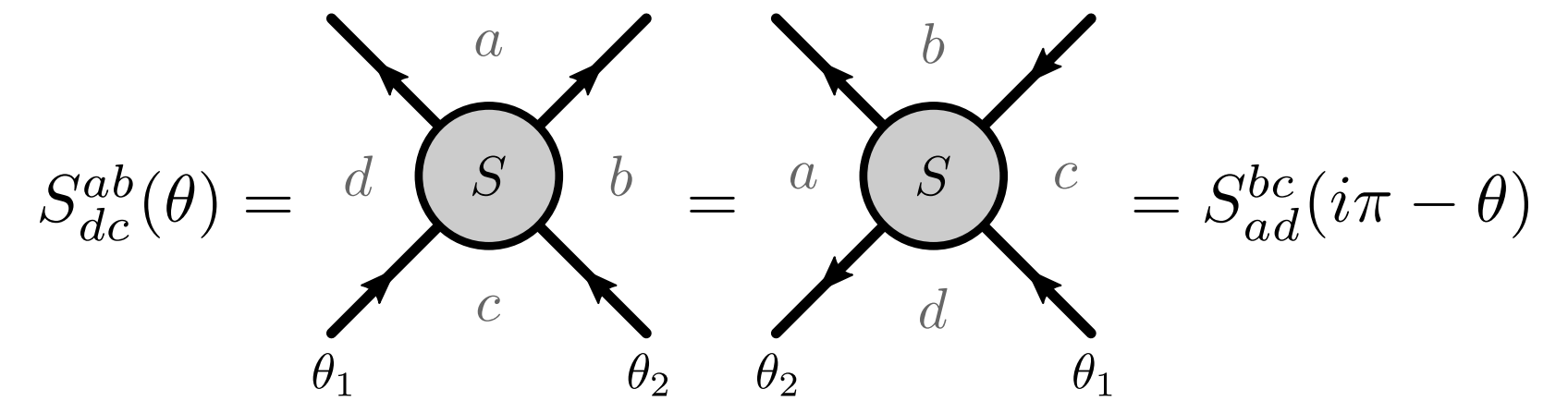


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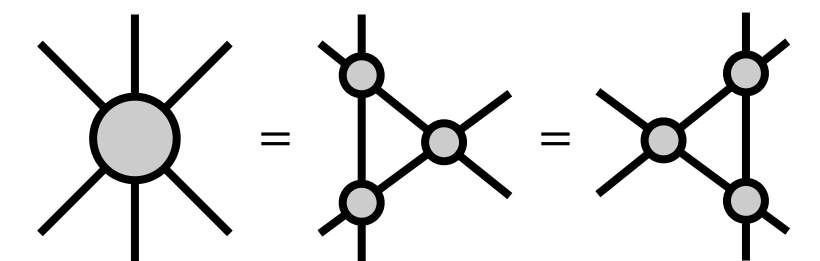
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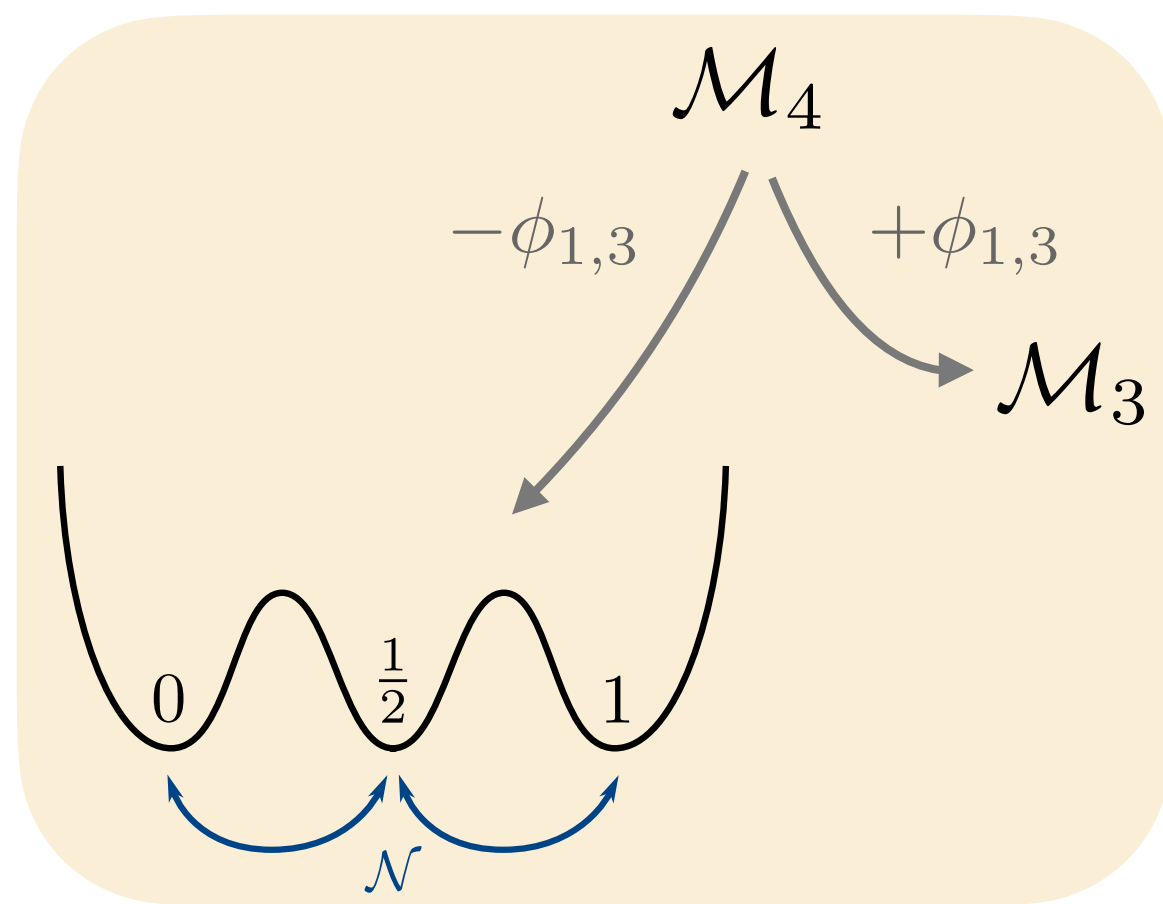


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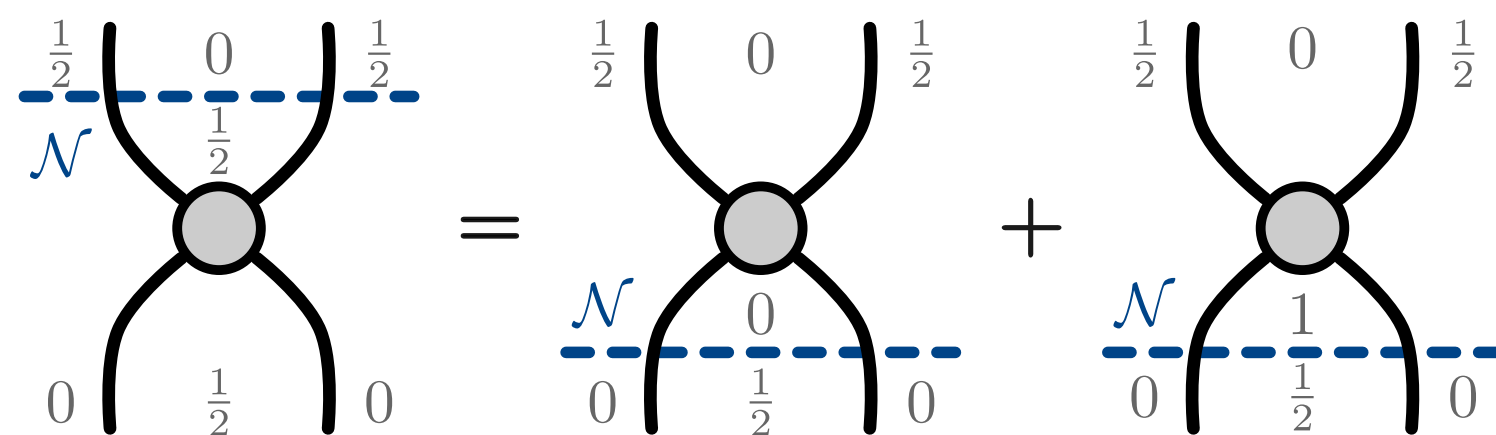
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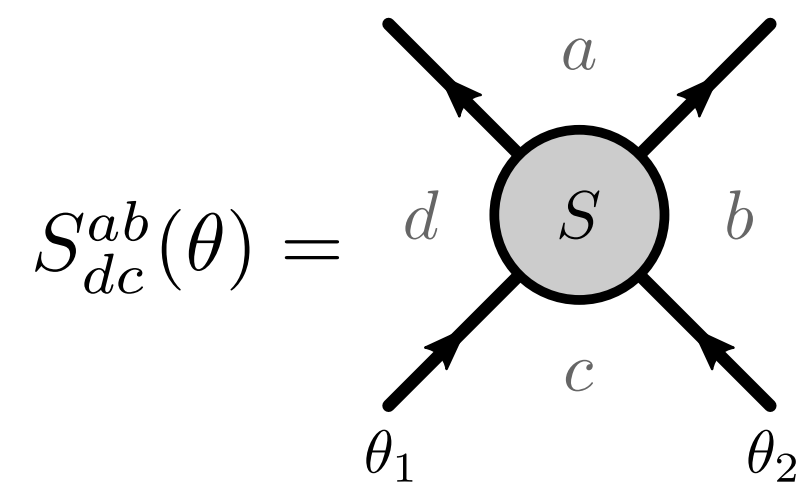
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Non-invertible symmetry \mathcal{N}

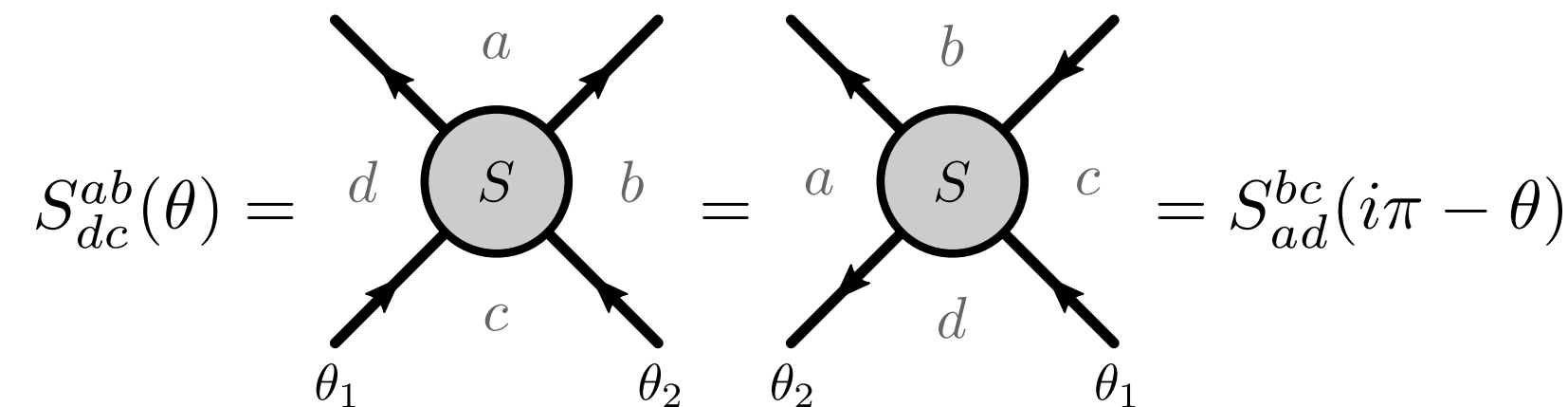


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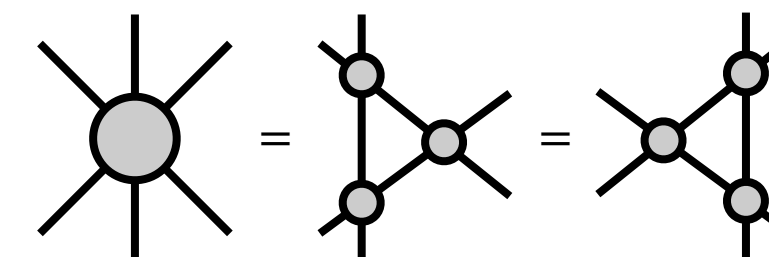
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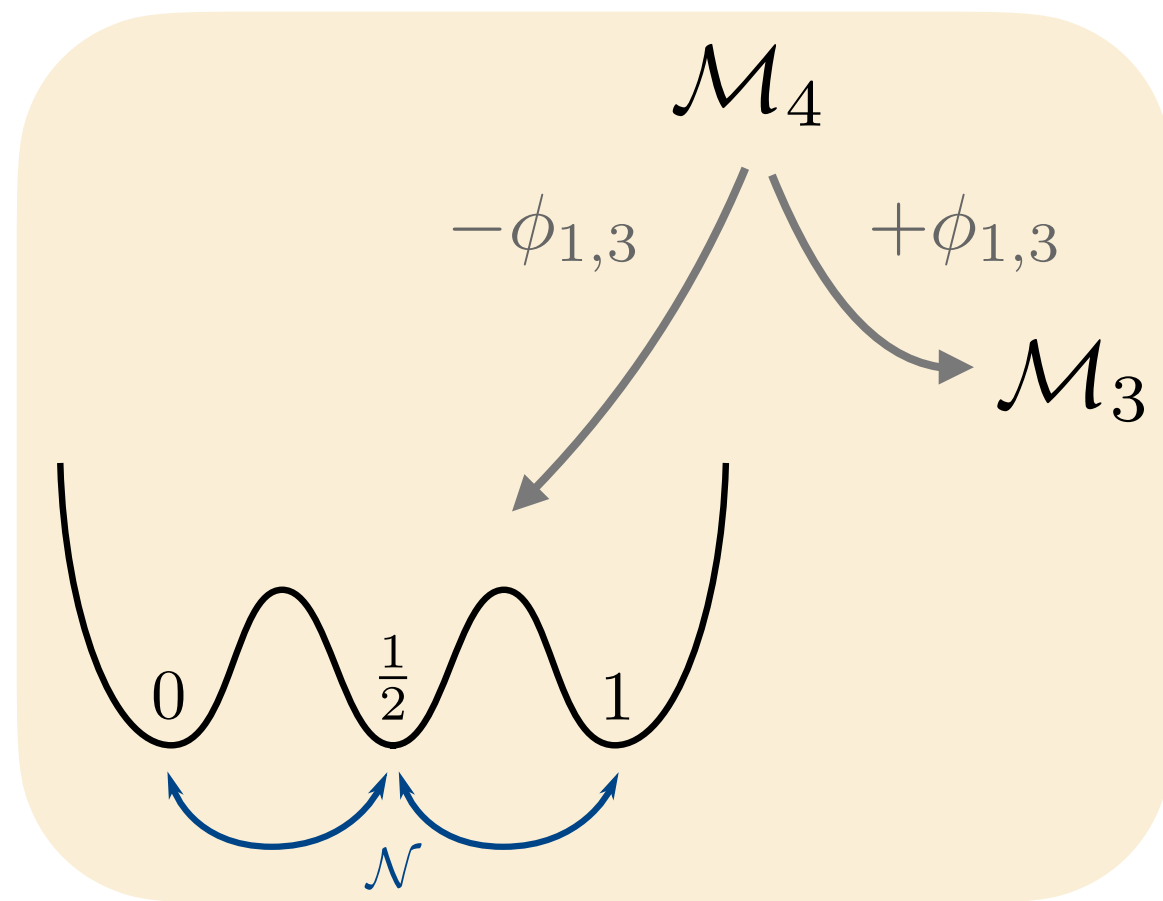


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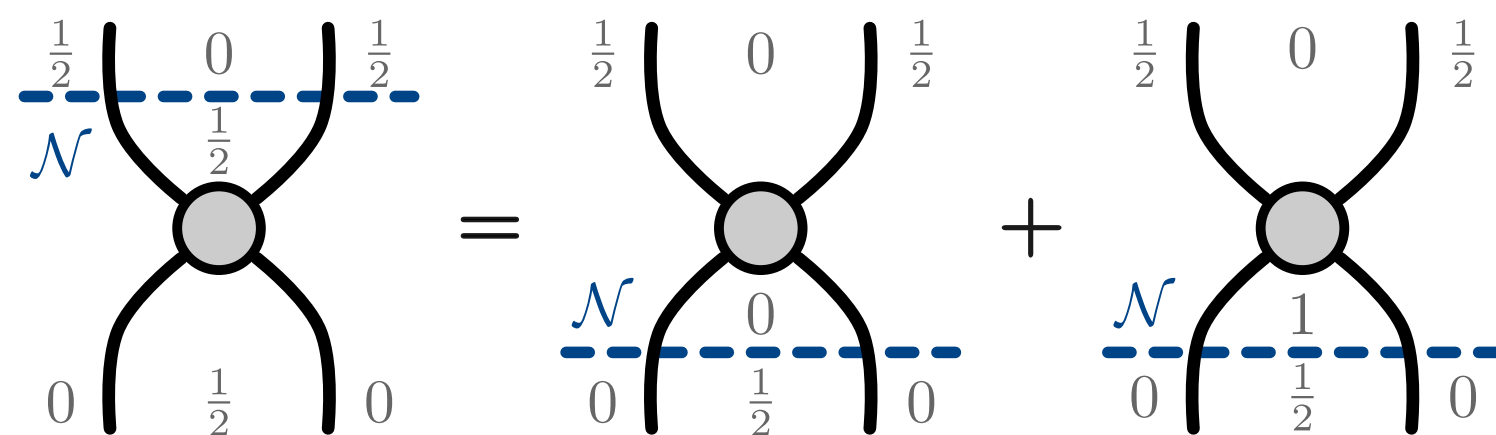
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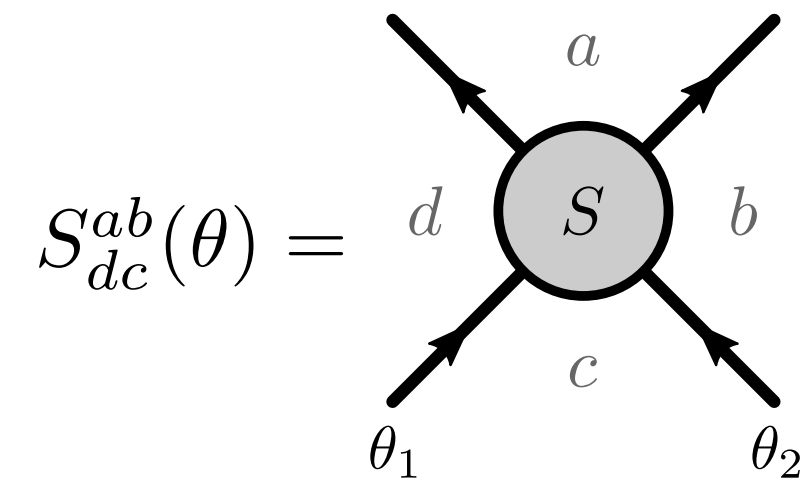
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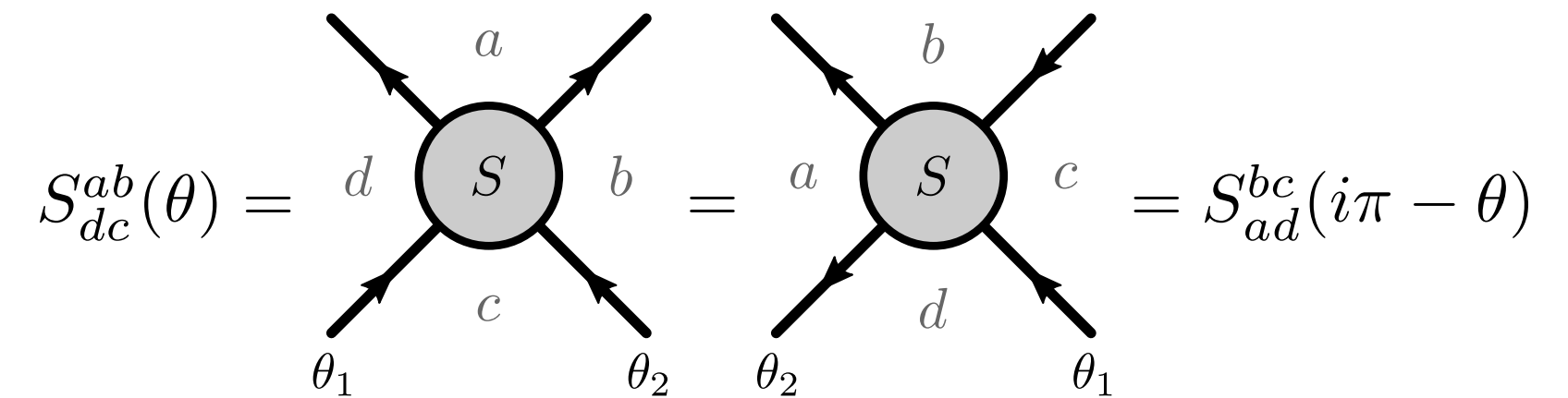


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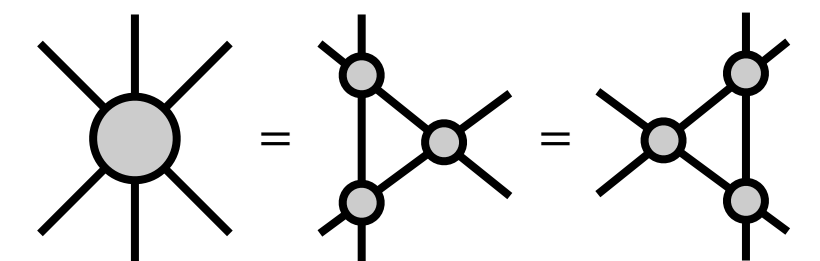
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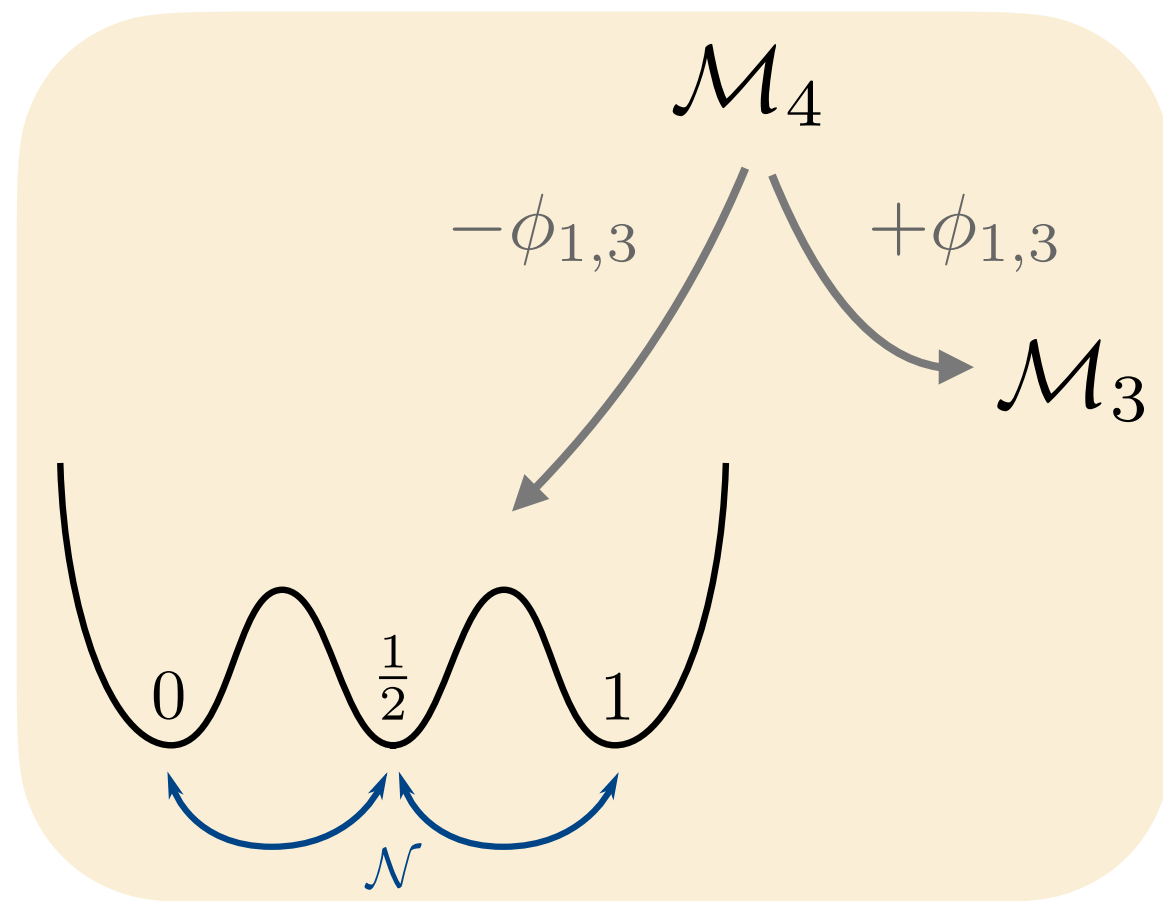
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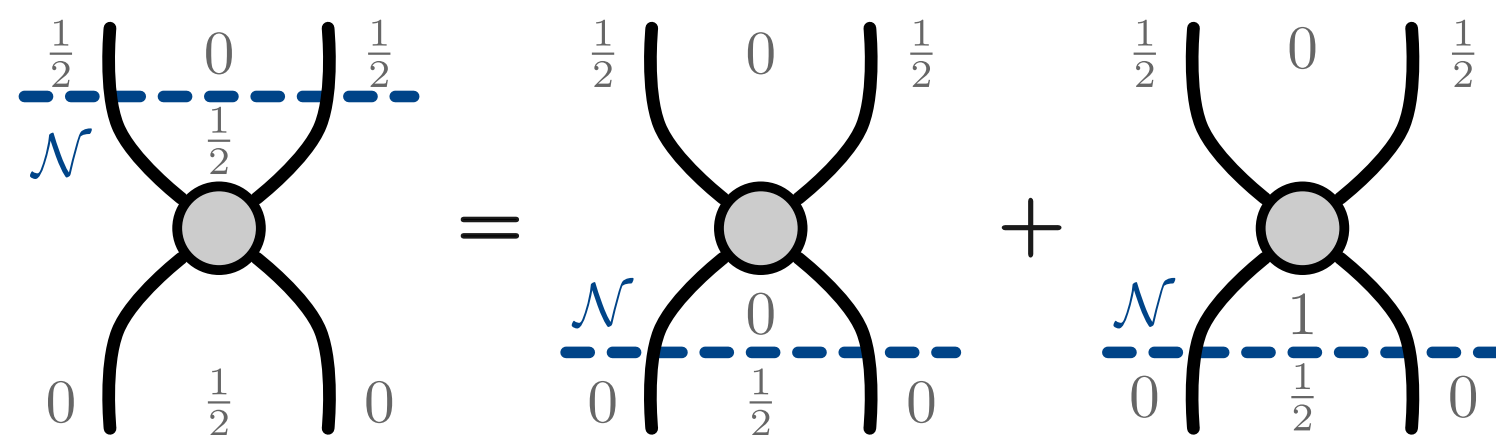
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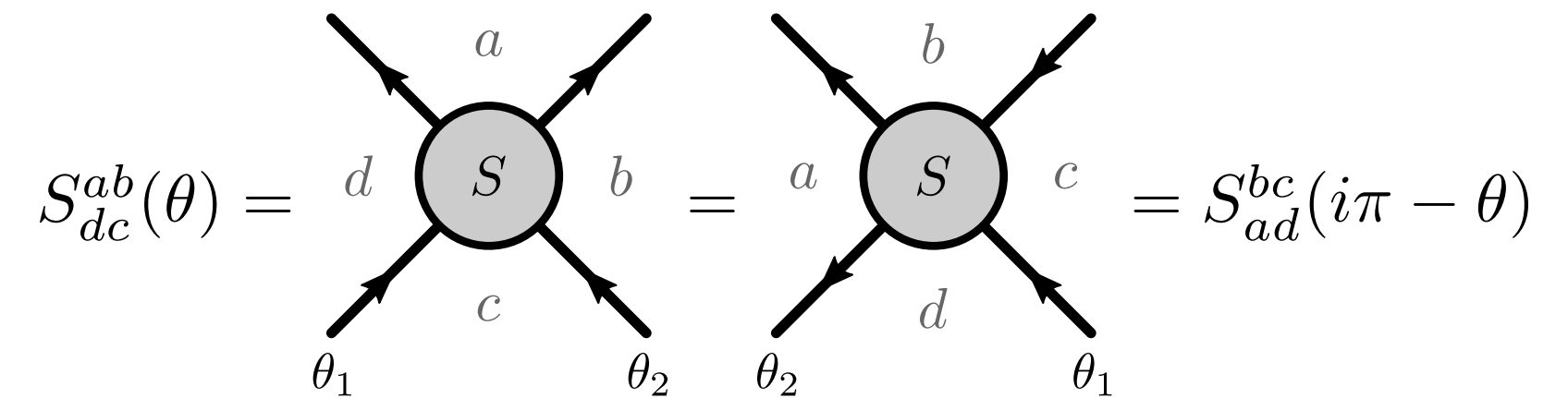
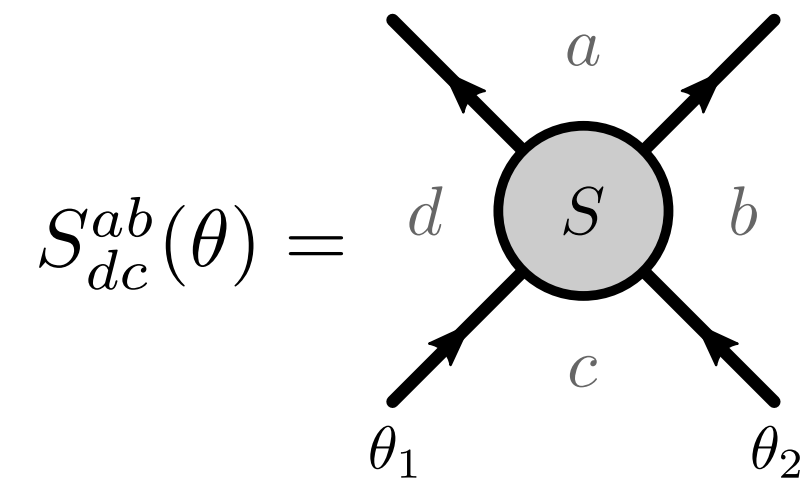
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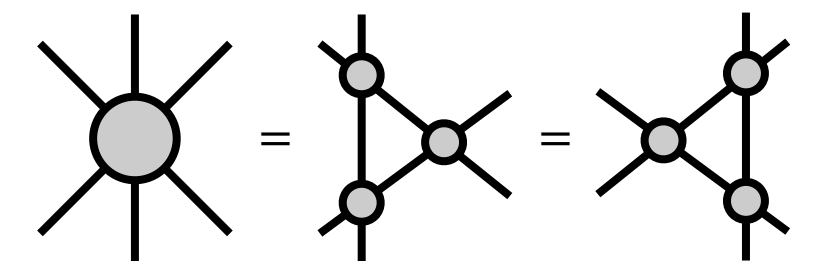


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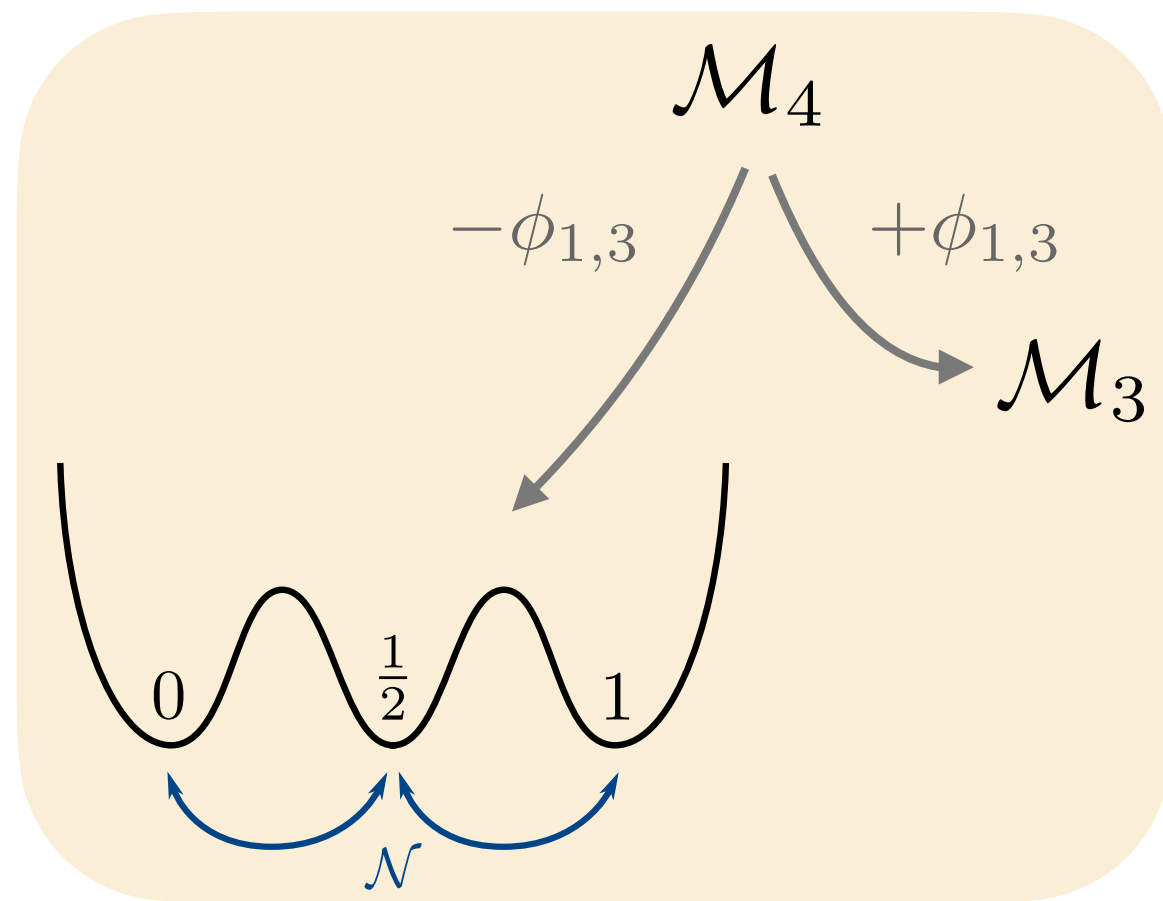
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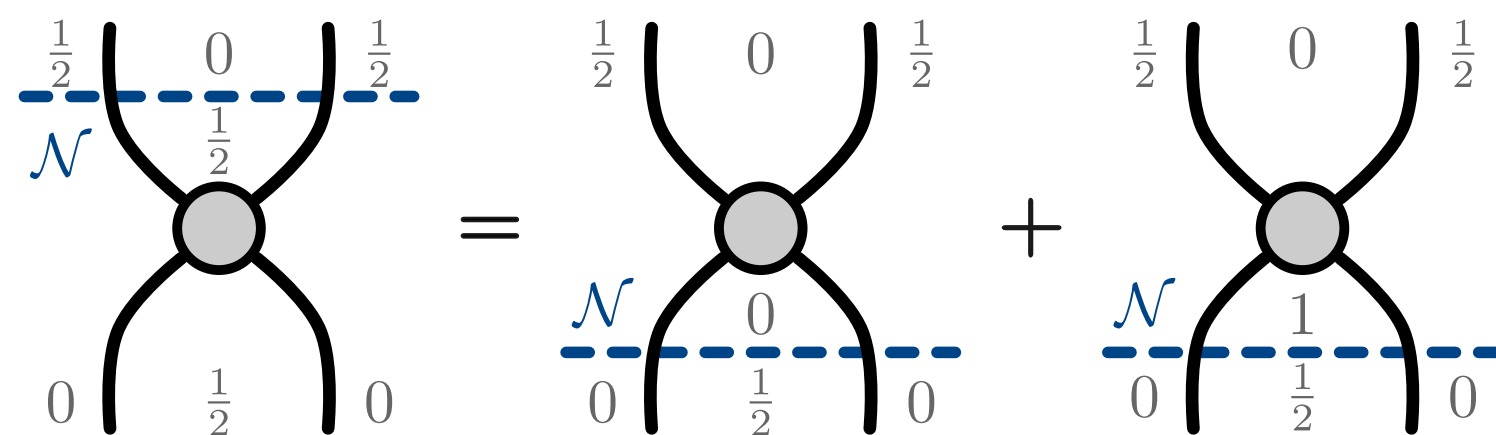
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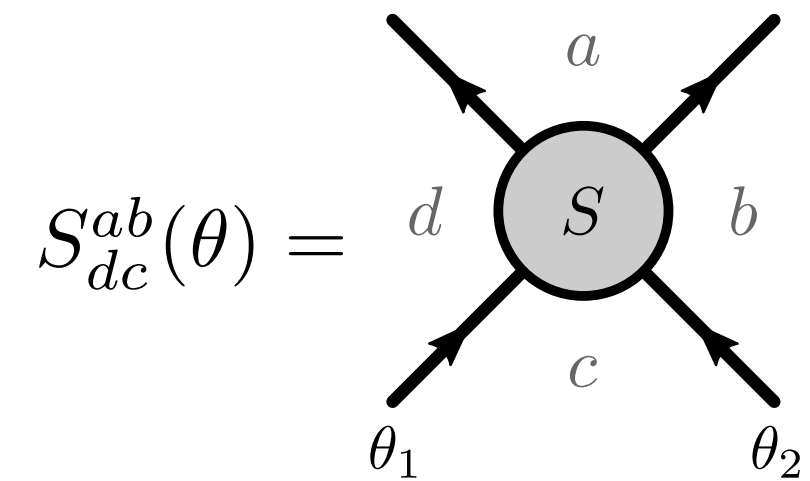
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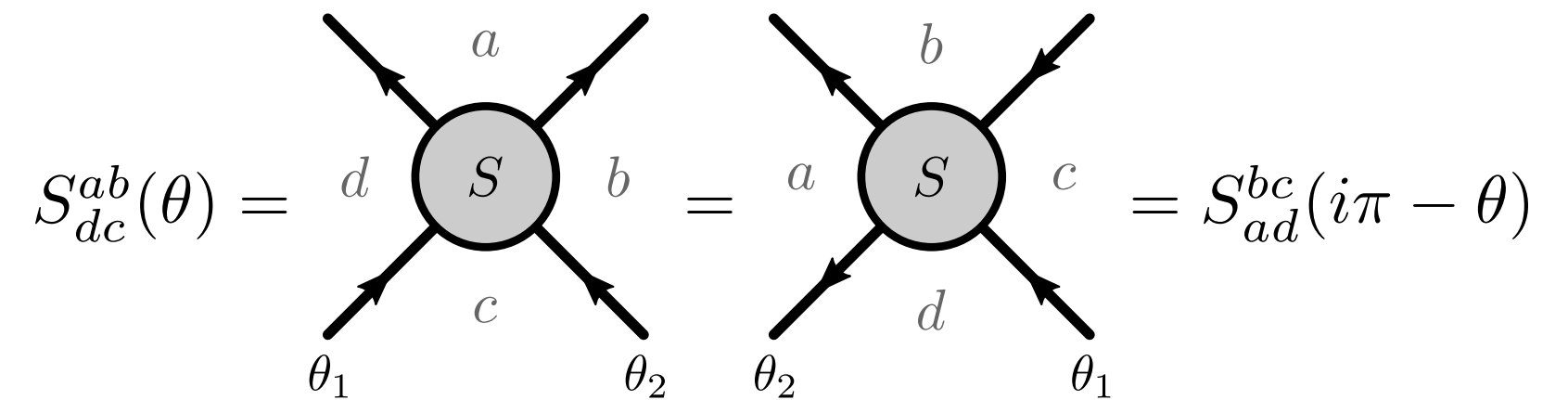


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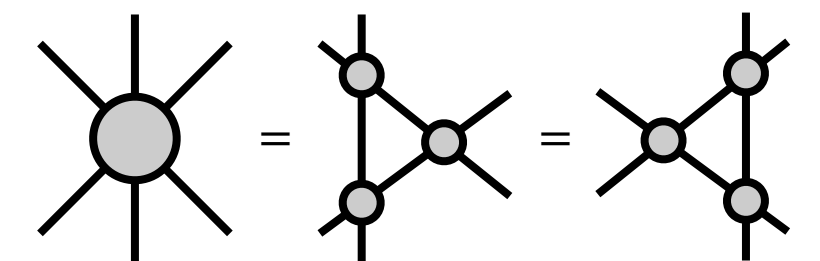
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\rightarrow **Modified crossing!**

$$S_{dc}^{ab}(\theta) = \sqrt{\frac{d_a d_c}{d_b d_d}} S_{ad}^{bc}(i\pi - \theta)$$

Outline

1. Fusion Categories and Minimal Models
2. Scattering Amplitudes and Modified Crossing
3. S-matrix Bootstrap and Non-invertible Syms
4. Final Remarks

Fusion Categories and Minimal Models

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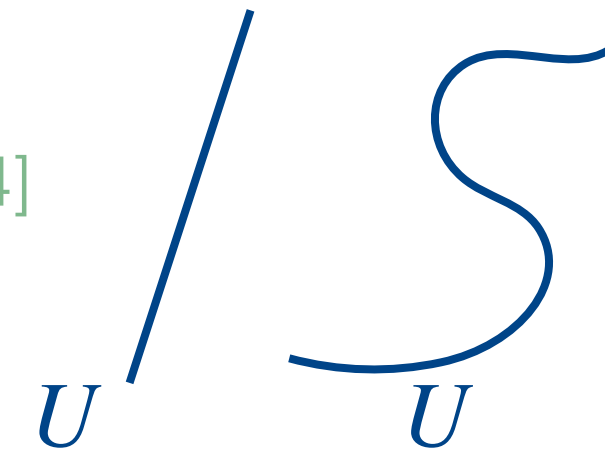
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Move in $t \rightarrow$ charge conservation

e.g. from Noether current j_μ : $U = \exp\left(ia \oint d^{d-1}x j_0(x)\right)$



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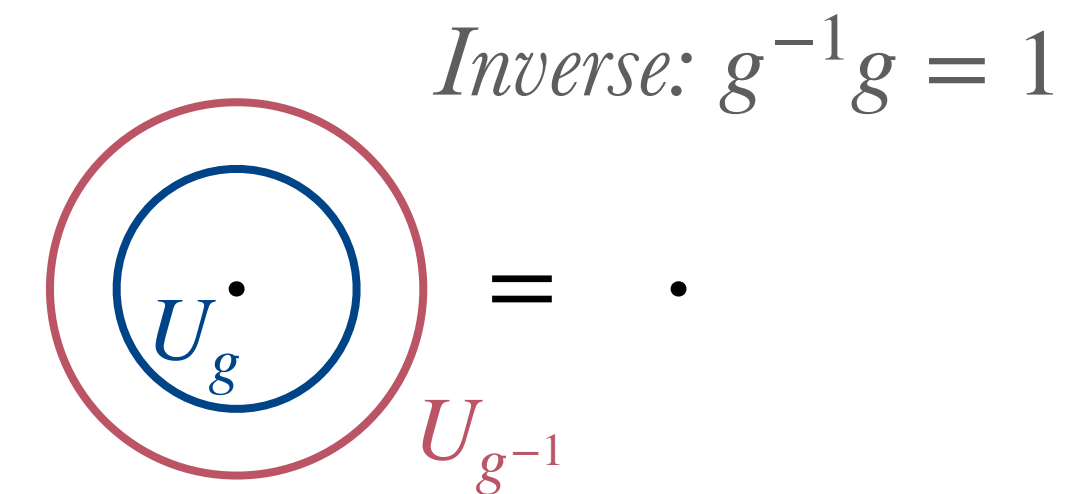
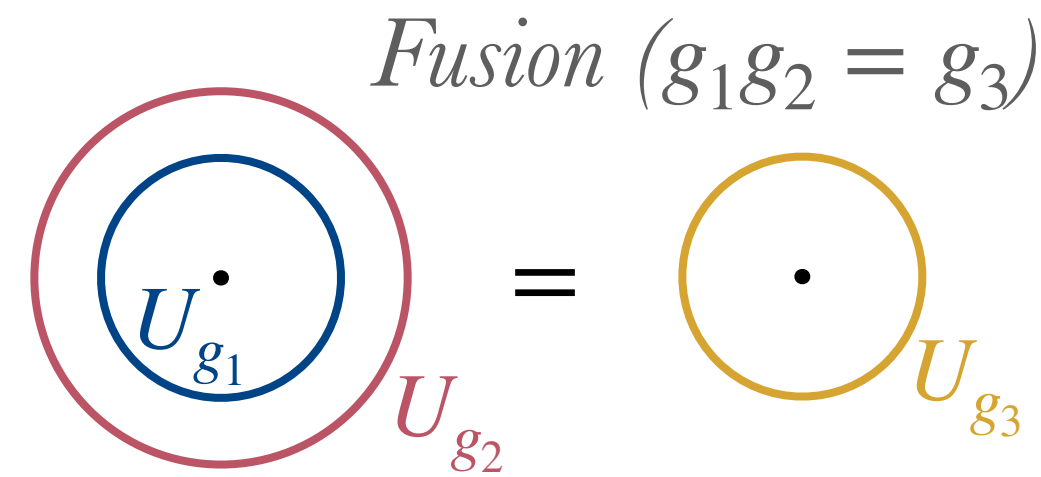
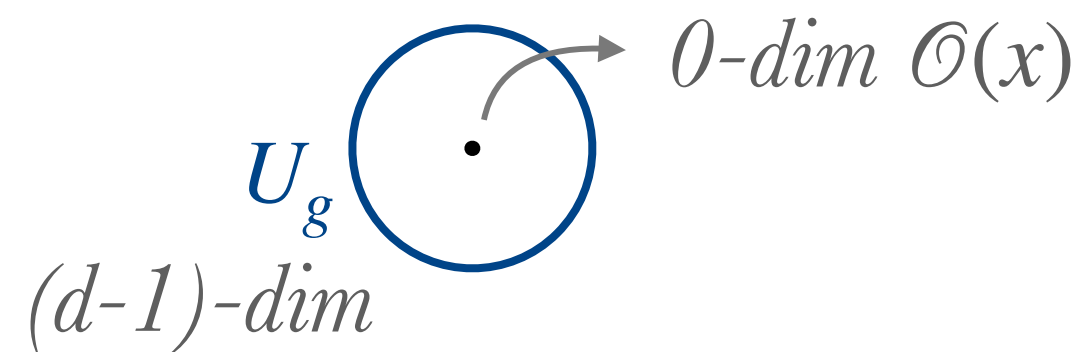
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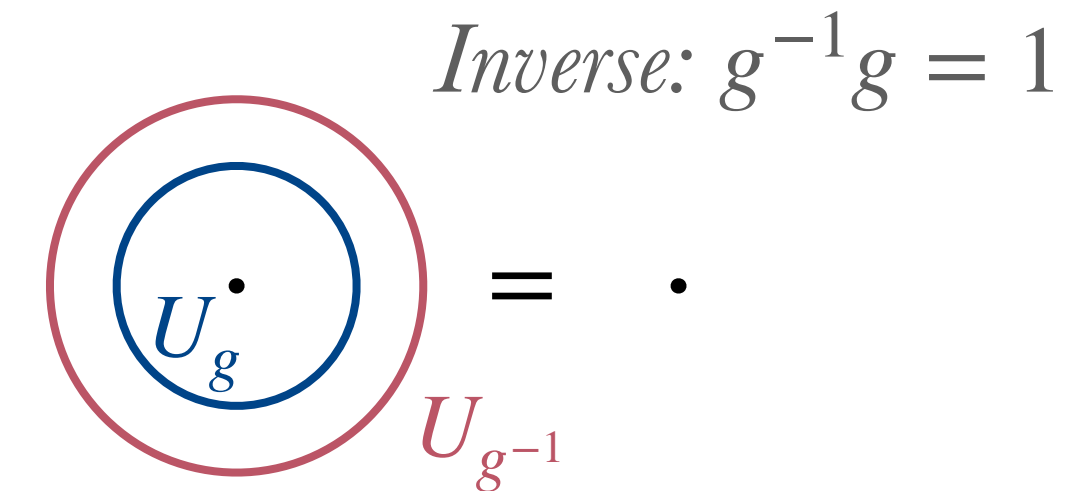
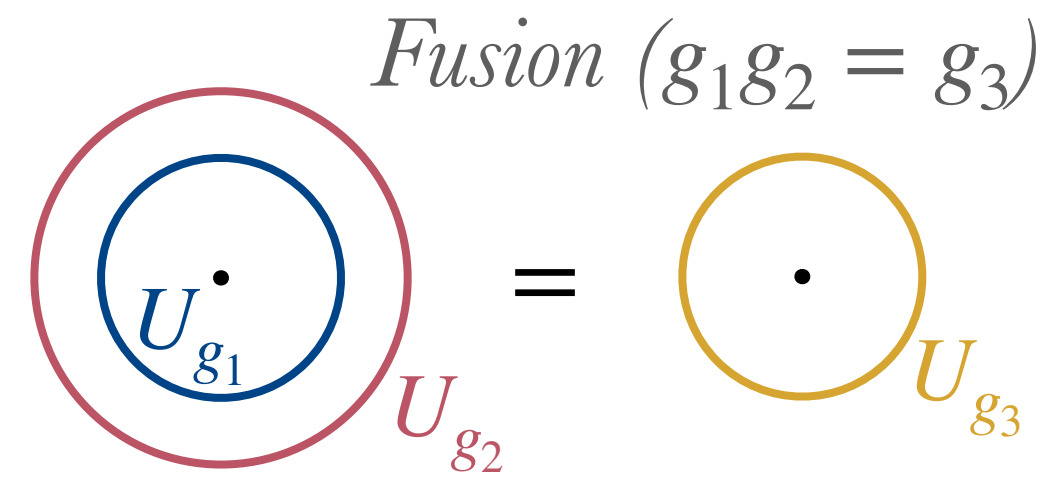
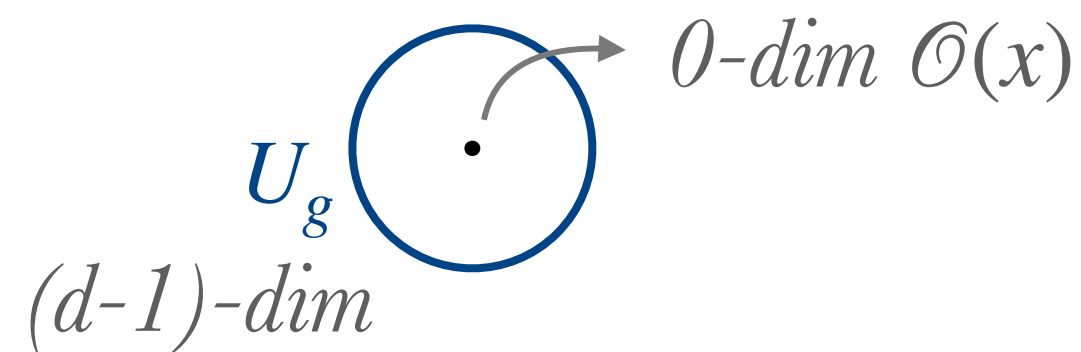
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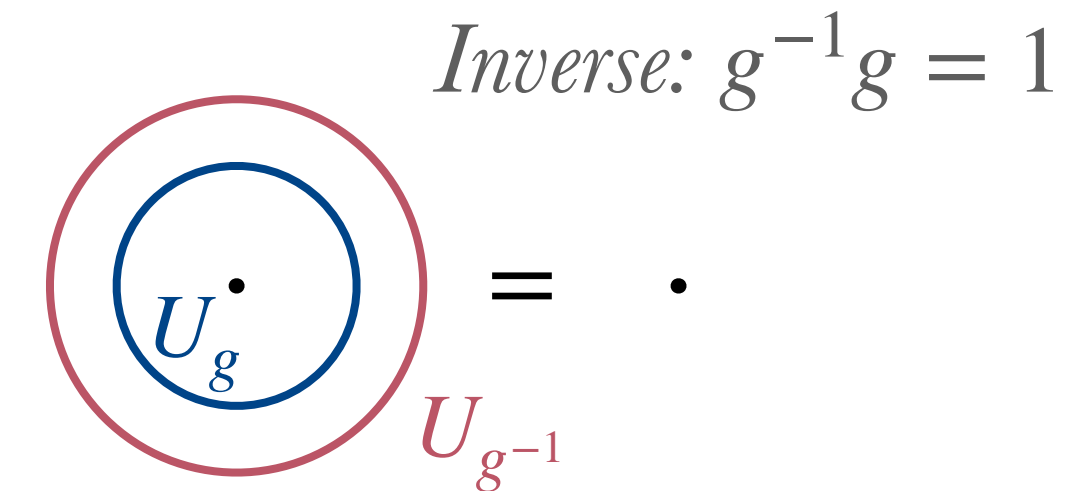
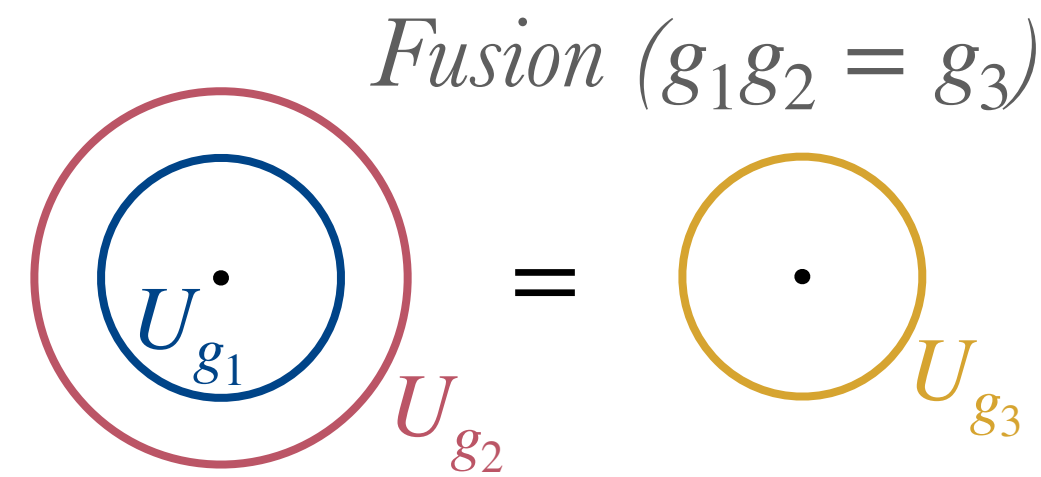
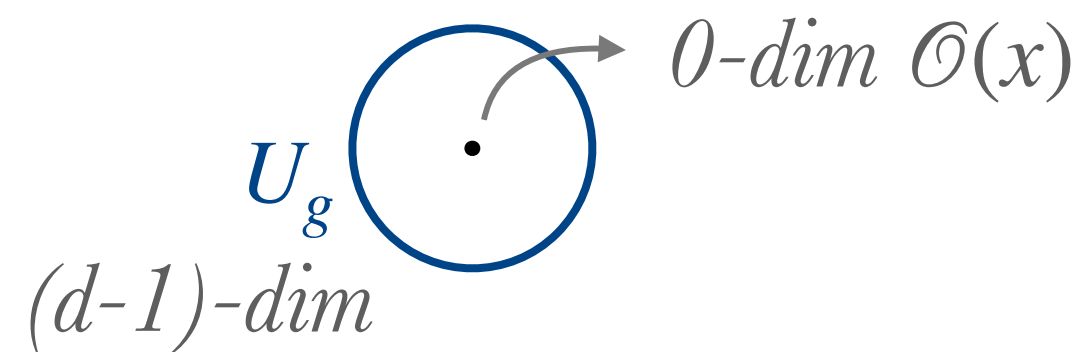
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Here: 0-form symmetries in 1+1d (including non-invertible)

topological lines \mathcal{L}_a

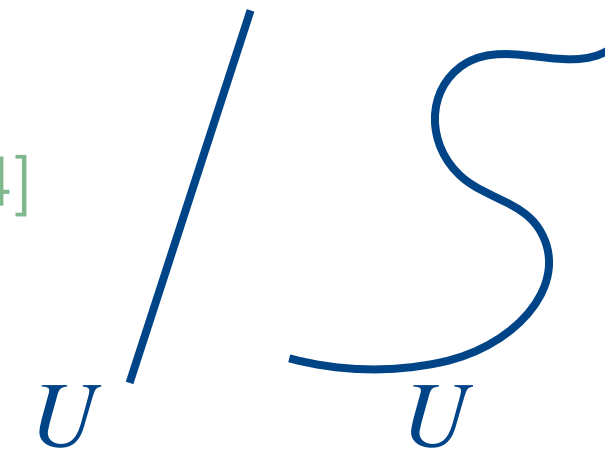
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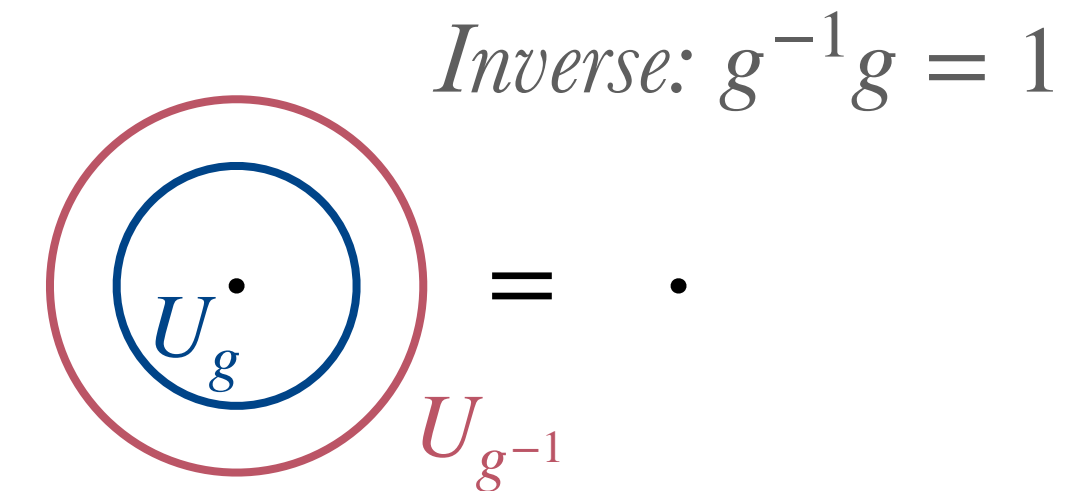
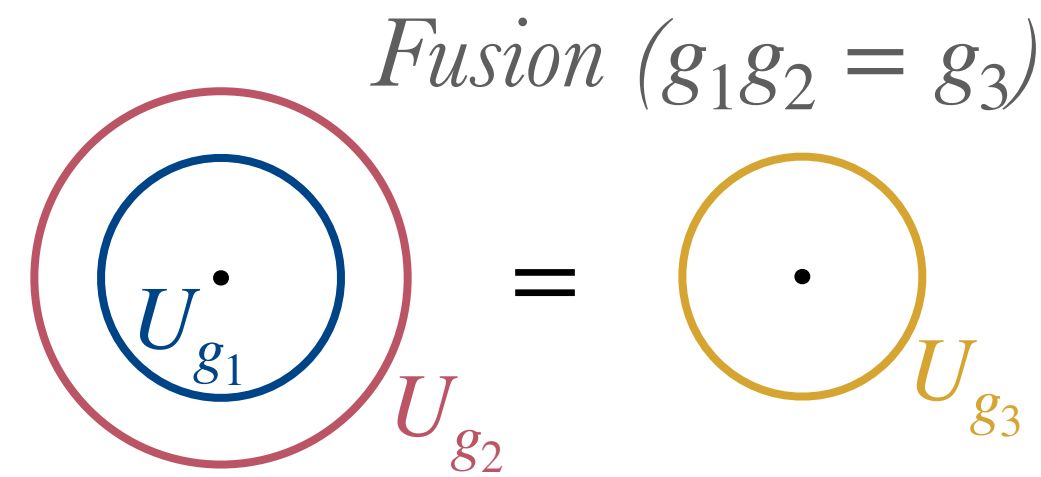
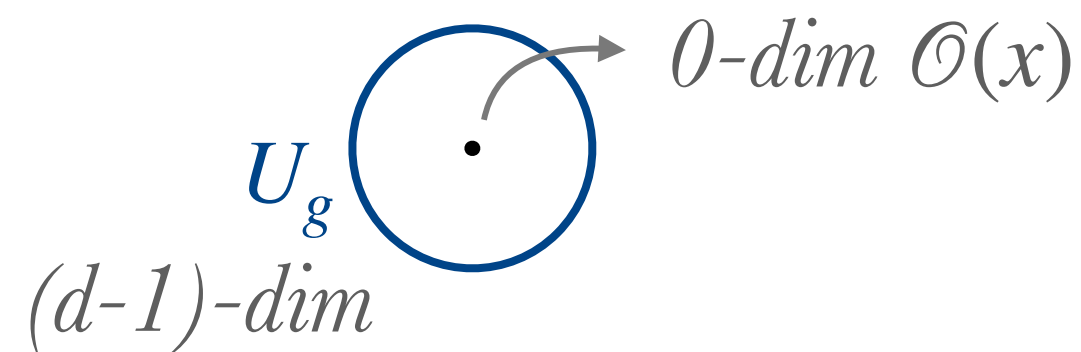
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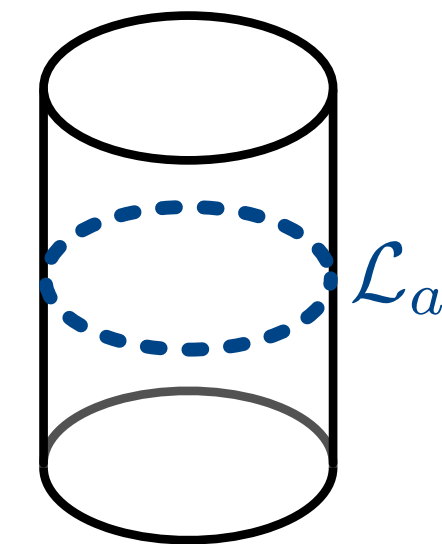


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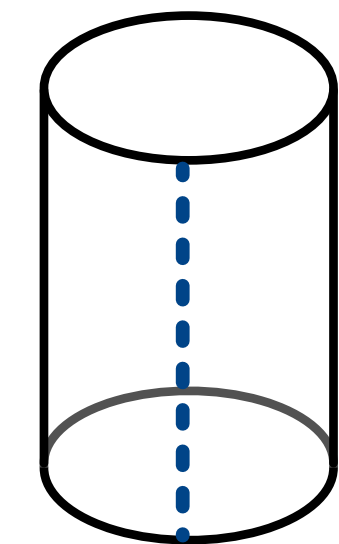
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topological lines \mathcal{L}_a



operator acting on \mathcal{H}



defect twisted $\mathcal{H}_{\mathcal{L}}$

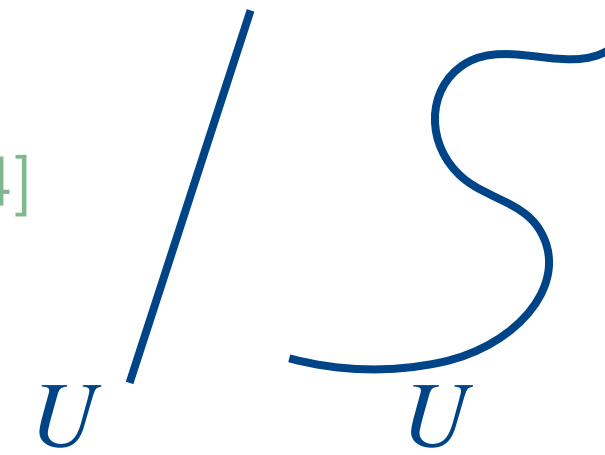
Generalized Symmetries

- (global) Symmetries in QFT \leftrightarrow Topological operators

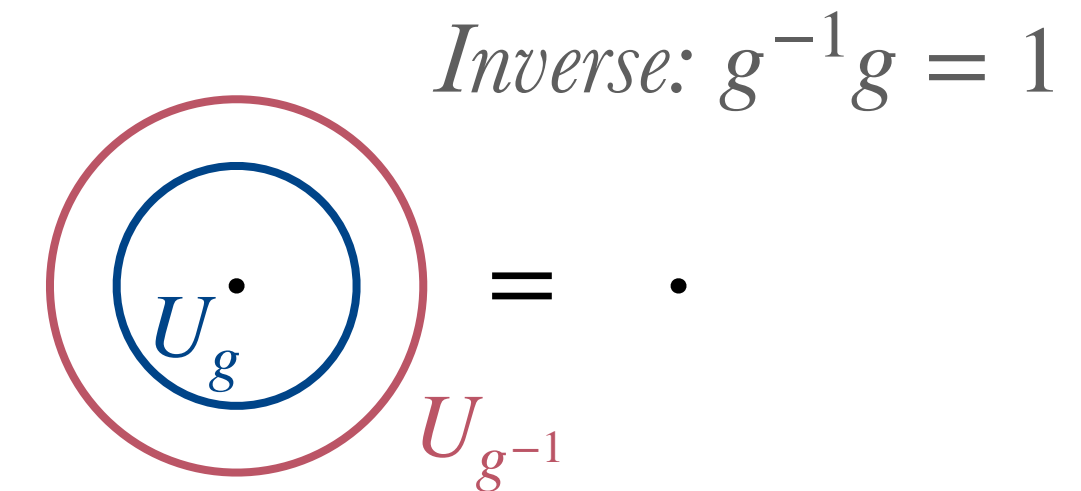
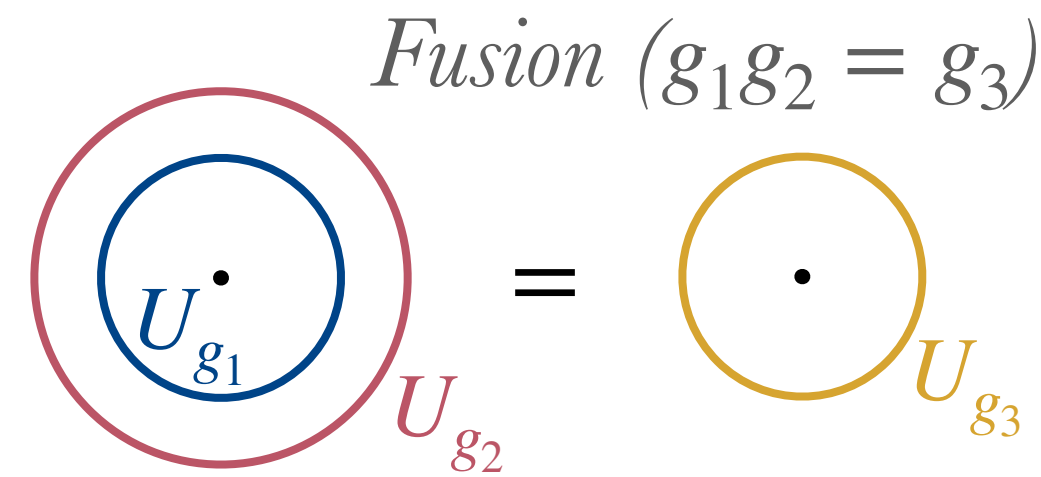
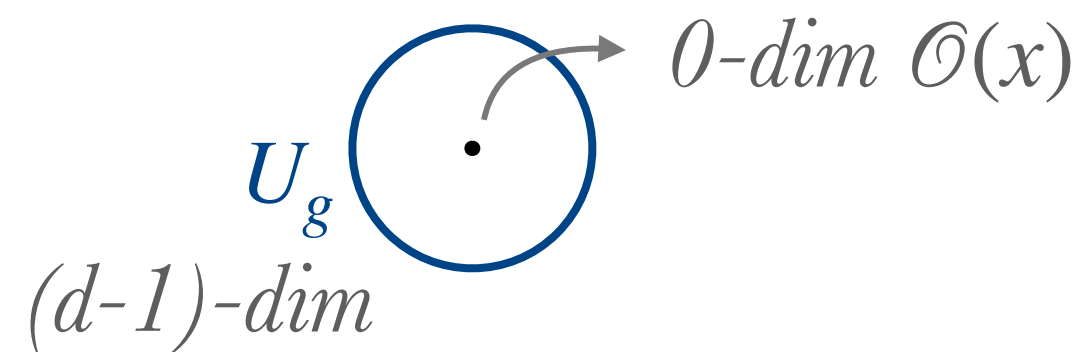
[Gaiotto, Kapustin, Seiberg, Willett '14]

Move in $t \rightarrow$ charge conservation

e.g. from Noether current j_μ : $U = \exp\left(ia \oint d^{d-1}x j_0(x)\right)$



- Usual group symmetry: 0-form, invertible

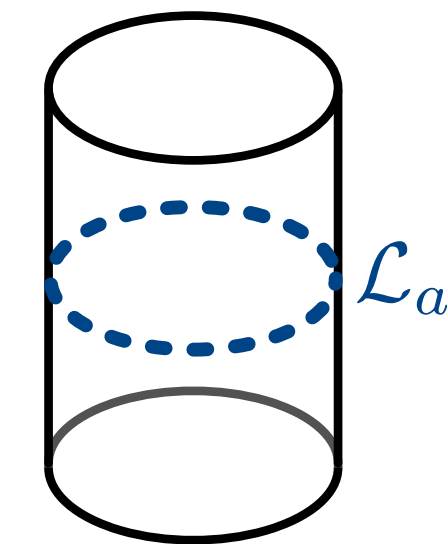


- Generalizations:

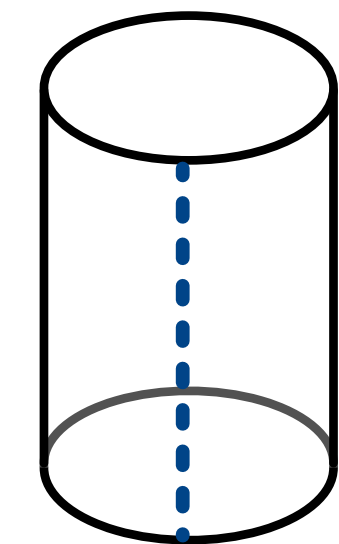
- Higher-form symmetries. q -form sym, $(d-q-1)$ -dim topological ops.
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Here: 0-form symmetries in 1+1d (including non-invertible)

topological lines $\mathcal{L}_a \rightarrow$ **Fusion categories**



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Fusion Categories

Fusion Categories

- **Objects** (1 , finite number)

\mathcal{L}_a

- **F-symbols**

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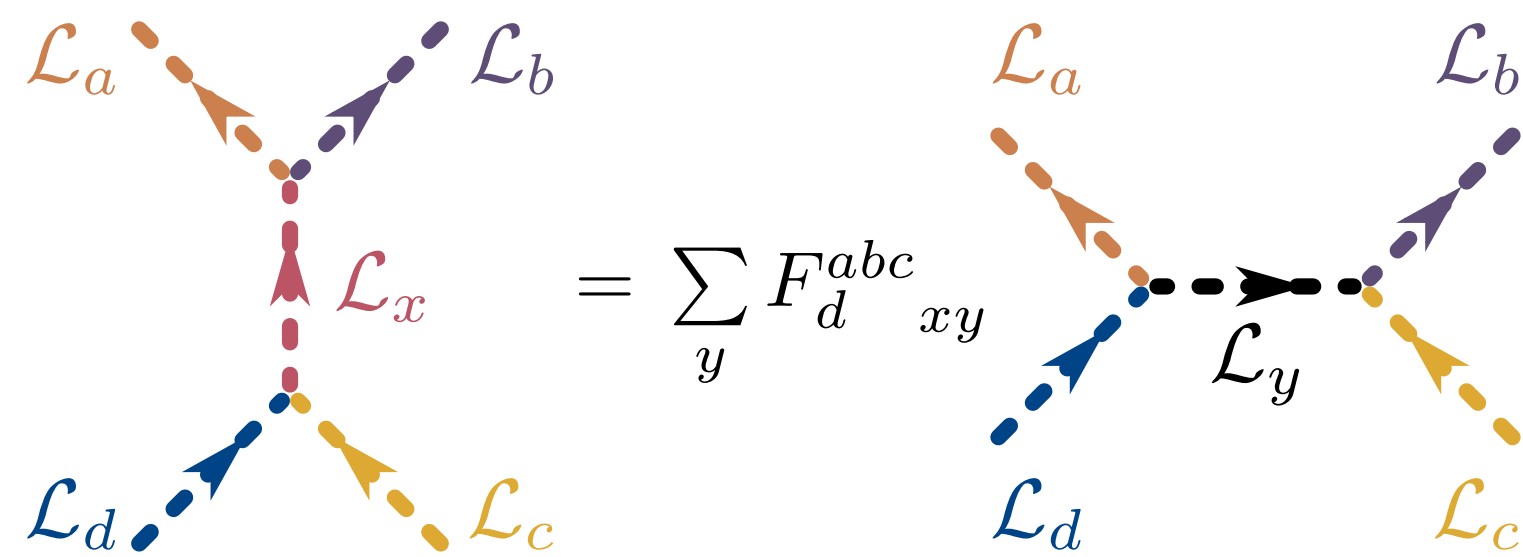
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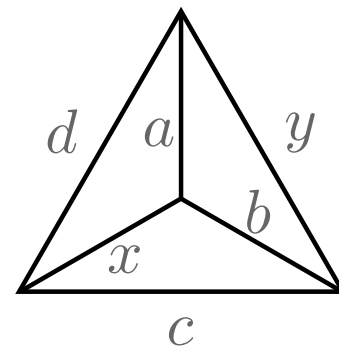
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- **F-symbols**



Tetrahedral

$$\begin{bmatrix} a & b & x \\ c & d & y \end{bmatrix} = \frac{1}{\sqrt{d_x d_y}} F_d^{abc xy}$$

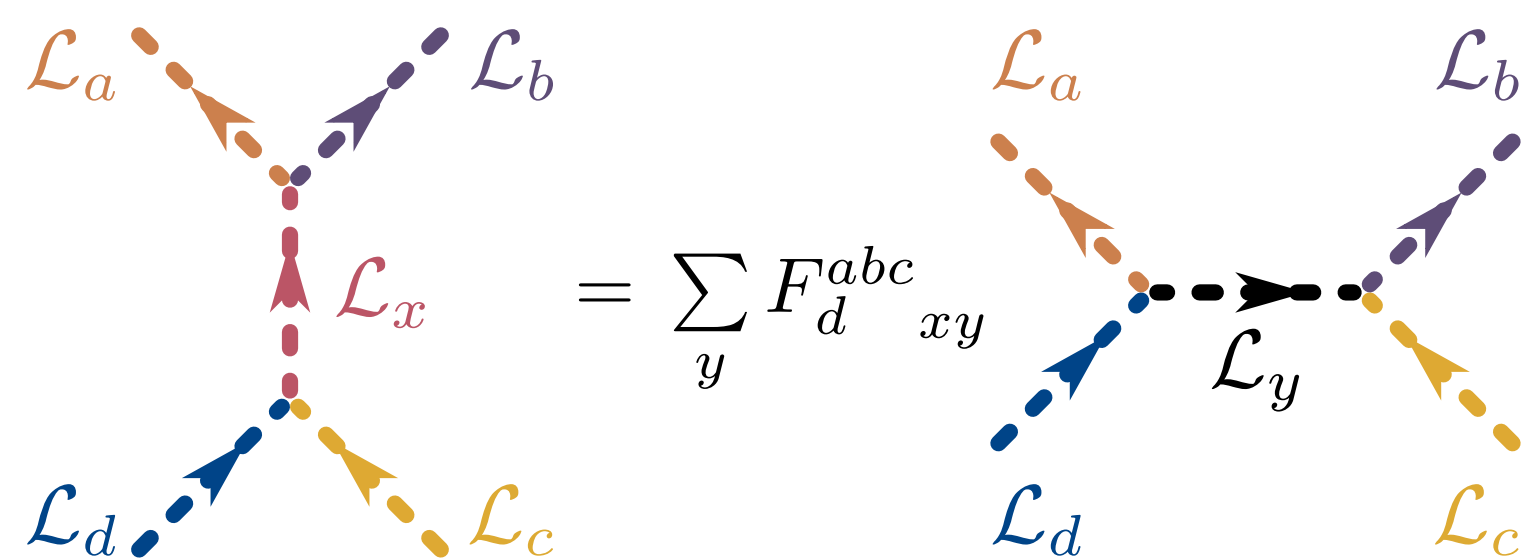


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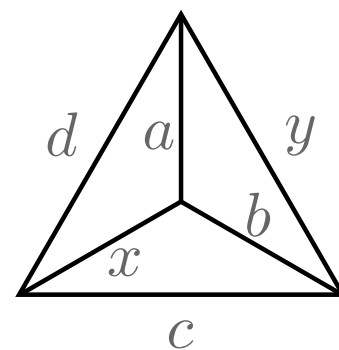
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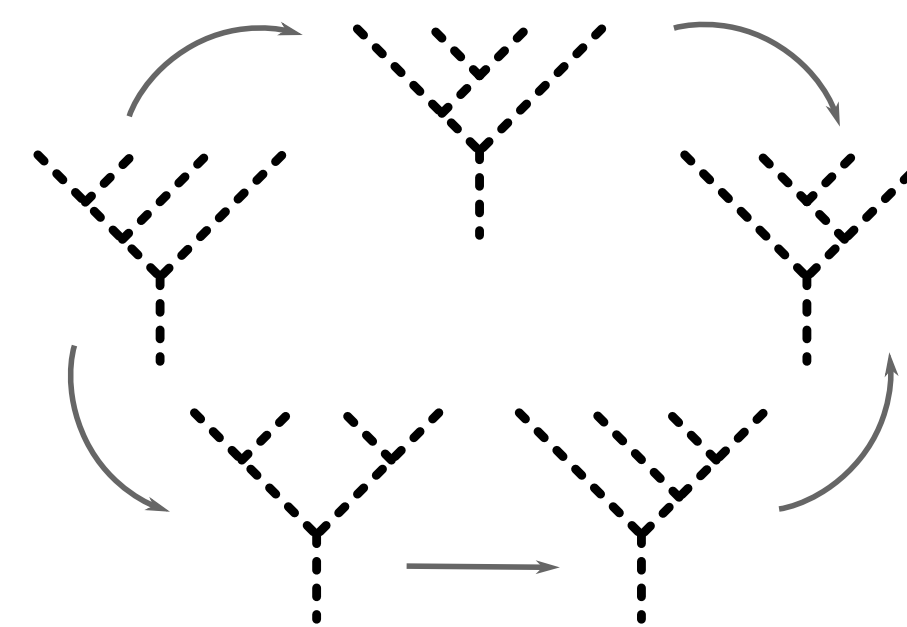
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Solutions to
Pentagon equation



'FF = FFF'

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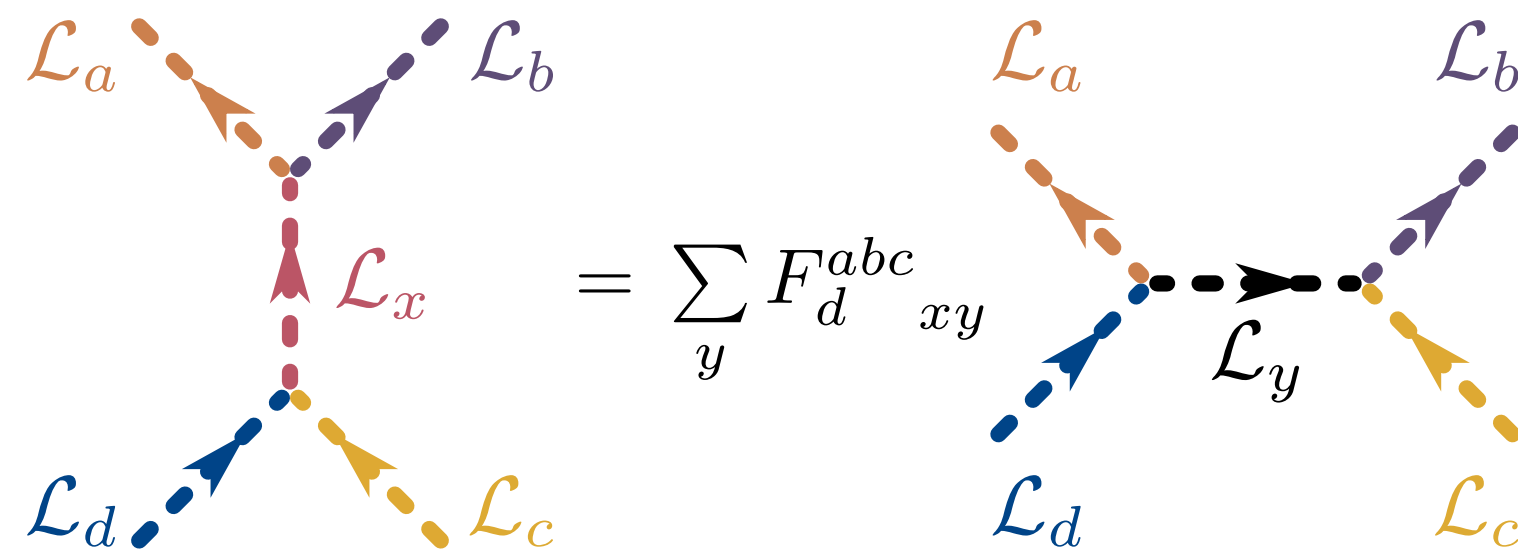
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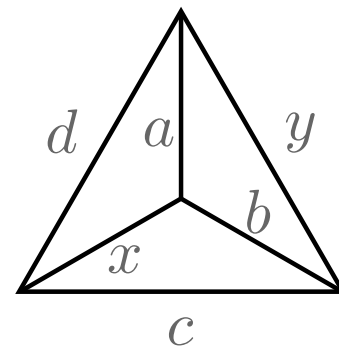
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Examples

- \mathbb{Z}_2
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 $\{\mathcal{L}\} = 1, \eta, \mathcal{N} \quad \mathcal{N}^2 = 1 + \eta \quad d_{\mathcal{N}} = \sqrt{2}$
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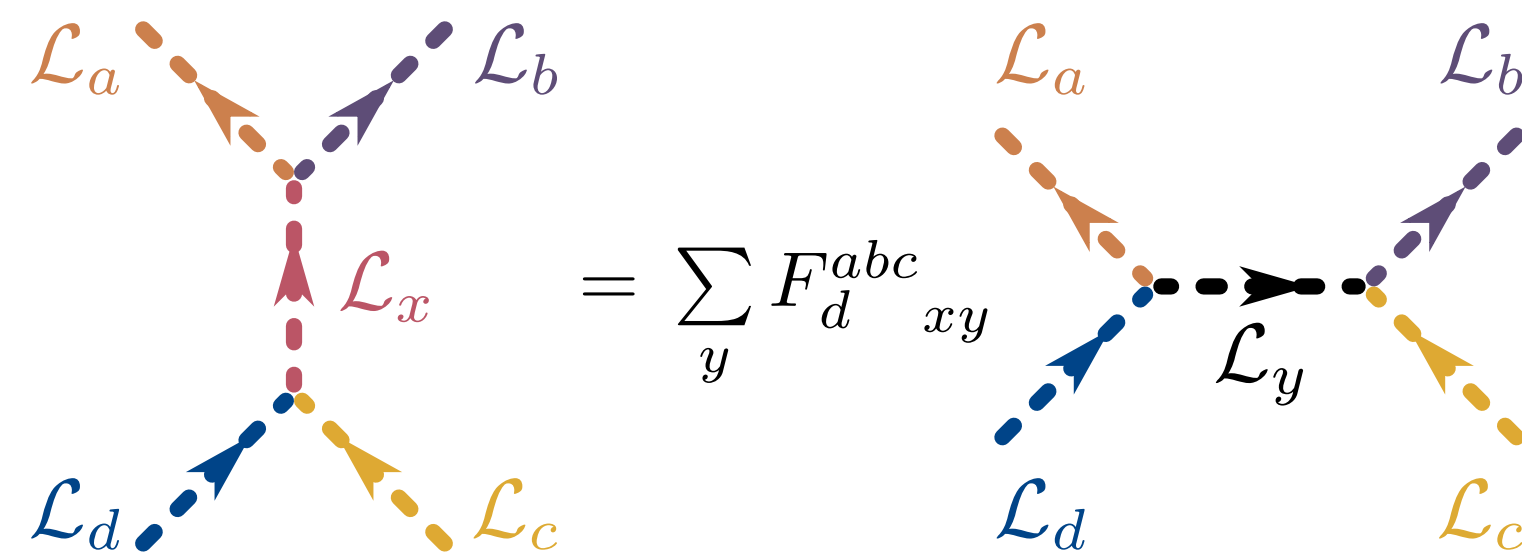
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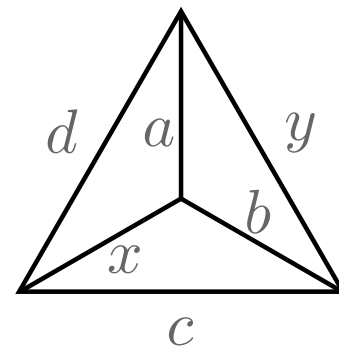
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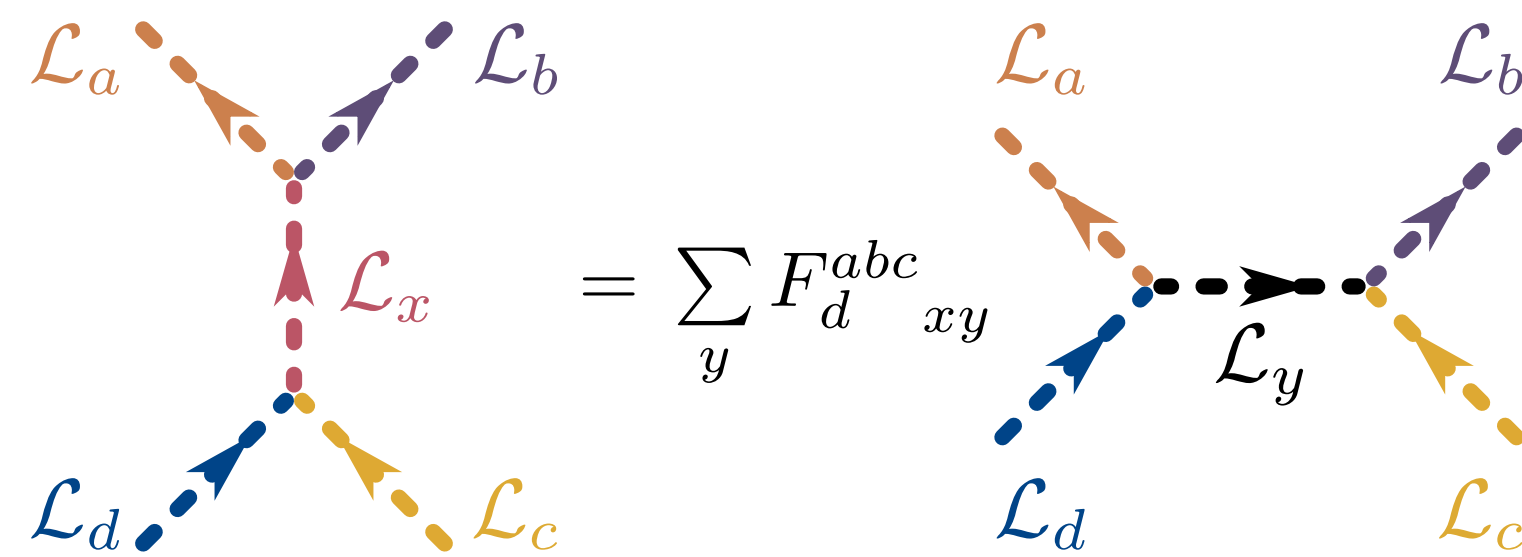
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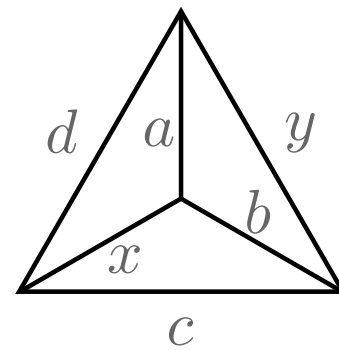
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Here: RG flows with anomalous symmetries leading to **degenerate vacua** in IR

Minimal Models and deformations

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- **Verlinde lines** $\mathcal{L}_{r,s}$: Topological lines with same fusion algebra as primaries $\phi_{r,s}$

[Petkova, Zuber '00; Chang, Lin, Shao, Wang, Yin '18]

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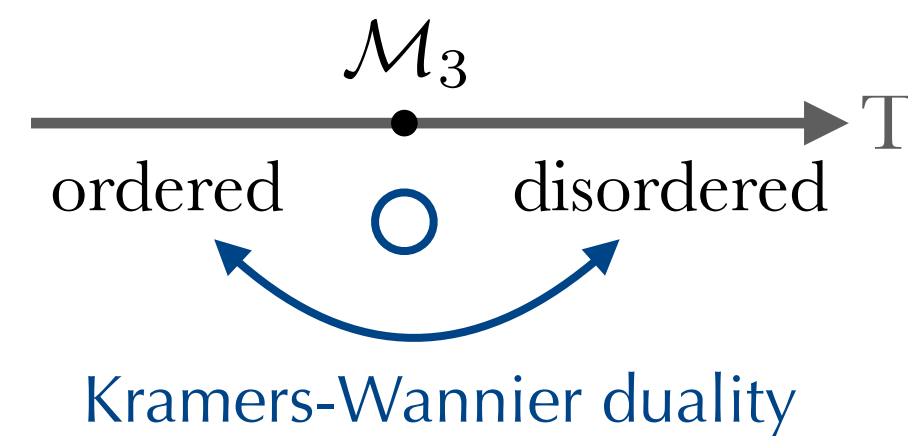
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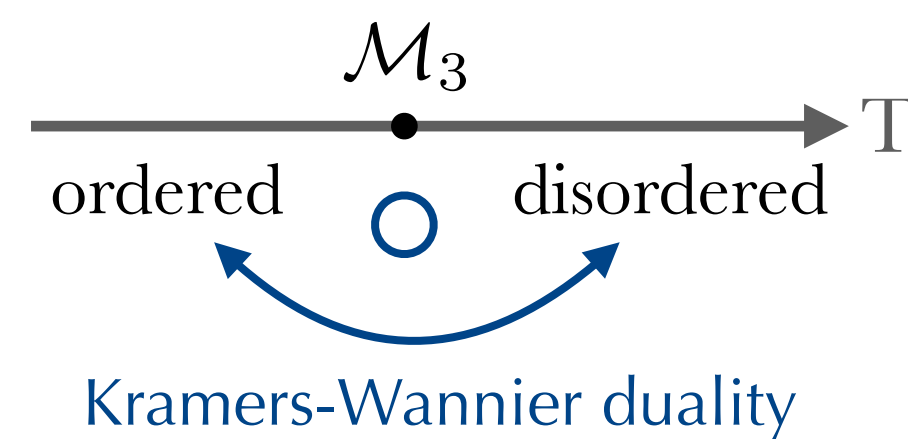
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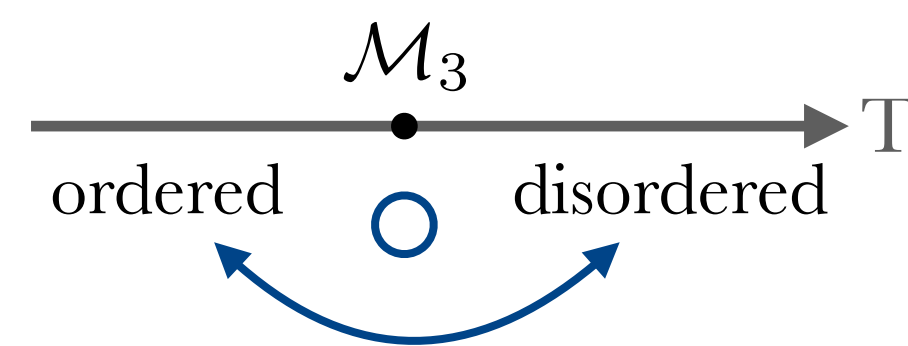
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Kramers-Wannier duality

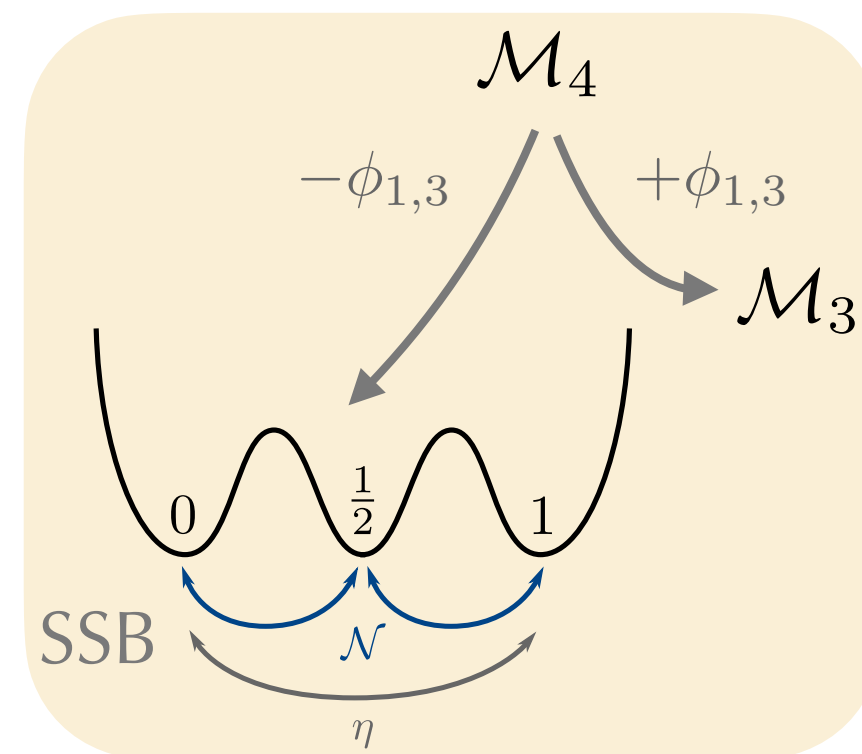
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\mathbb{Z}_2 TY ($\phi_{1,3} = \epsilon'$ def.)

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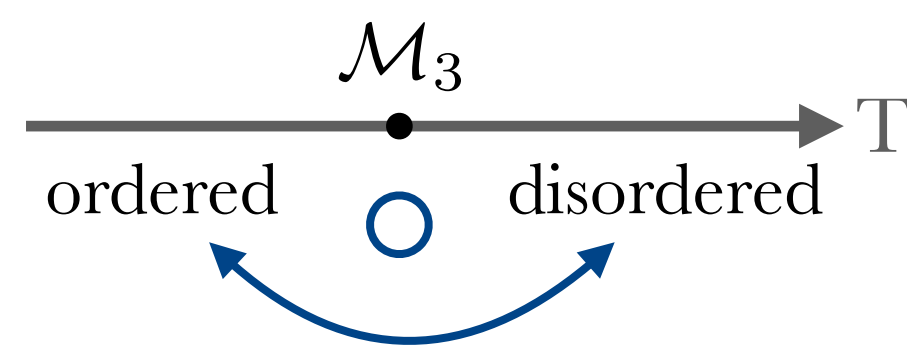
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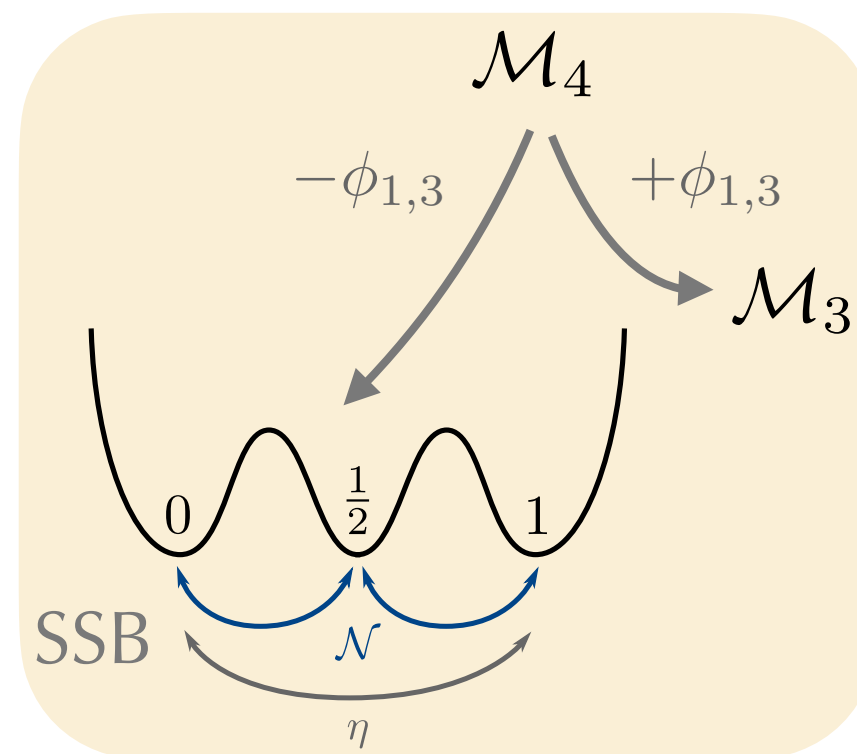
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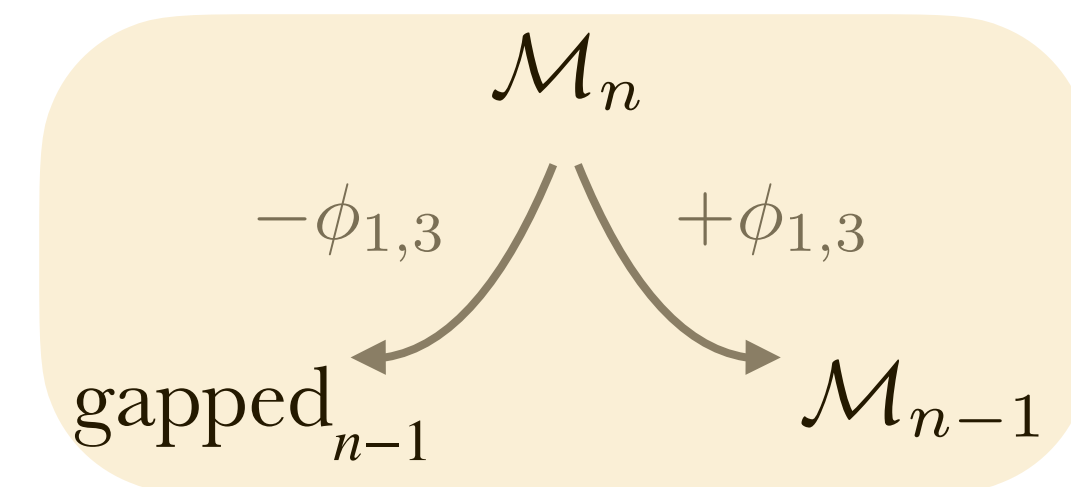
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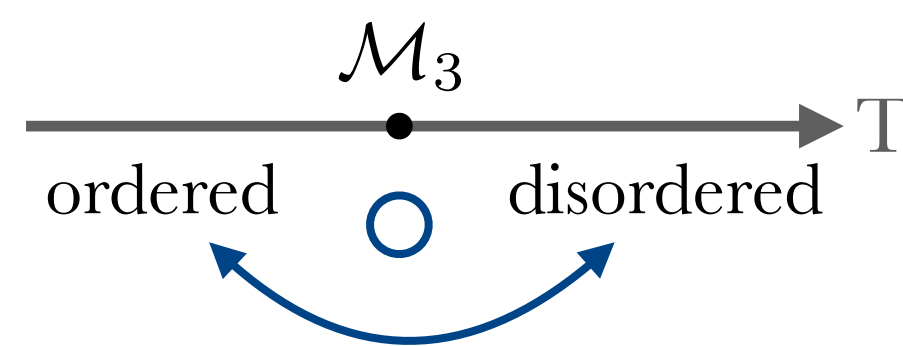
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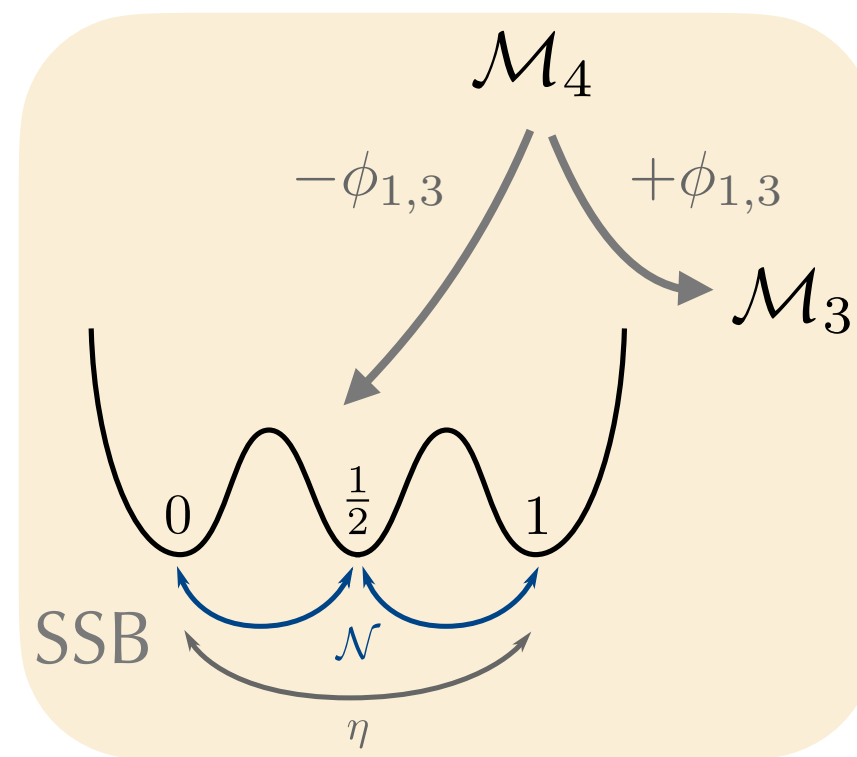
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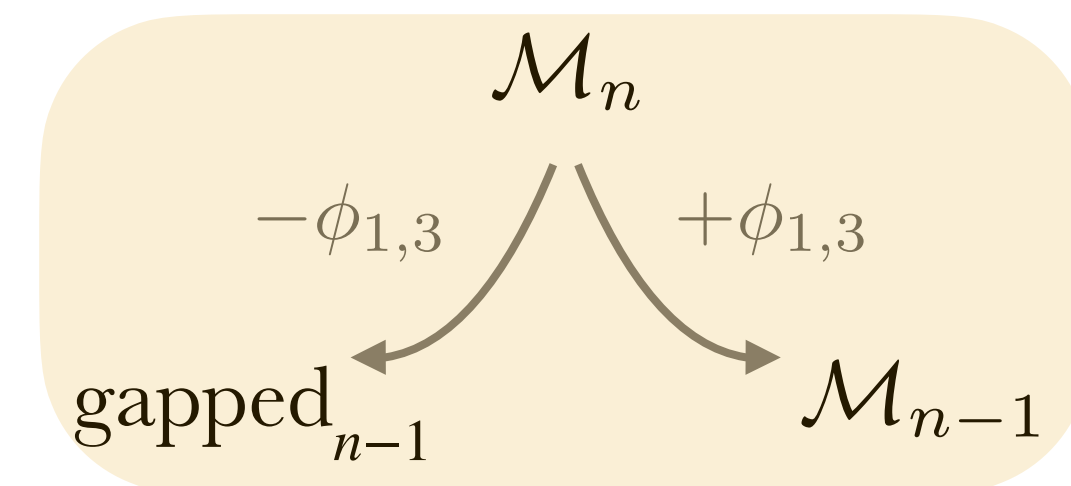
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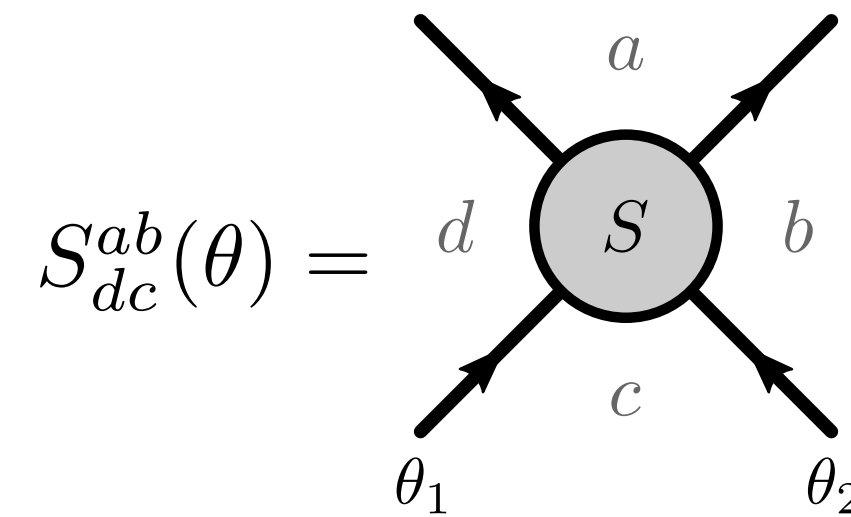
- $\phi_{1,3}, \phi_{2,1}, \phi_{1,2}$ deformations are **integrable**. Exact S-matrix

$$\text{circle with 6 lines} = \text{triangle with 6 lines} = \text{triangle with 6 lines}$$

Scattering Amplitudes and Modified Crossing

Scattering amplitudes in 1+1d

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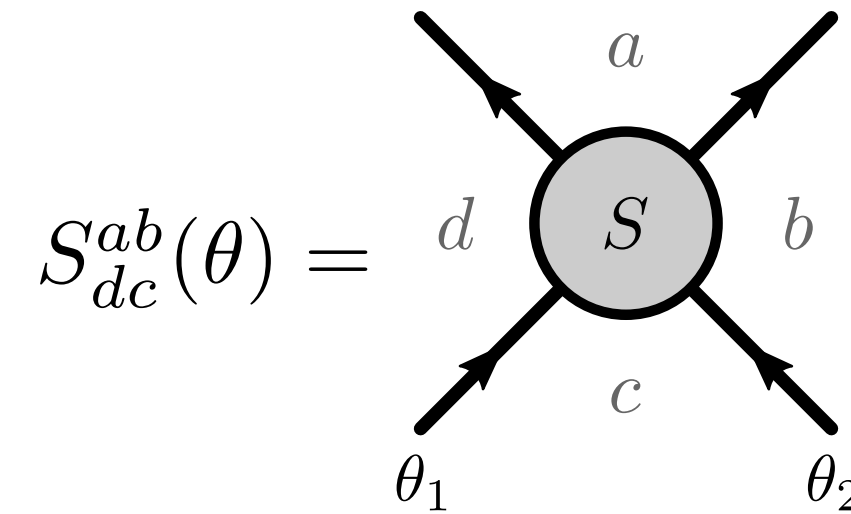


Massive kinks interpolating
between neighbouring vacua

$$\begin{aligned}\theta &= \theta_1 - \theta_2 \\ s &= 4m^2 \cosh^2(\theta/2) \\ t &= 4m^2 - s\end{aligned}$$

Scattering amplitudes in 1+1d

(standard) **A X I O M S**



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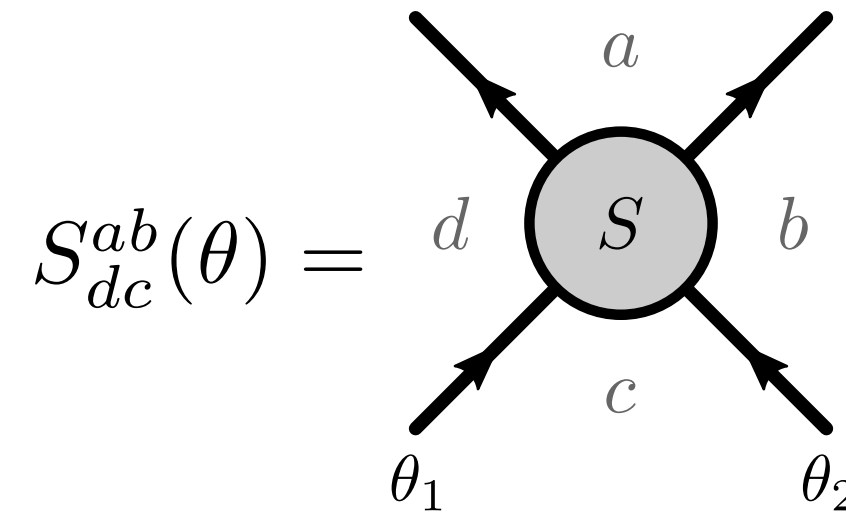
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Crossing (C)

$$S_{dc}^{ab}(\theta) = \begin{array}{c} a \\ \nearrow \quad \searrow \\ S \\ \nwarrow \quad \nearrow \\ c \end{array} \begin{array}{c} d \\ \nearrow \quad \searrow \\ \theta_1 \quad \theta_2 \end{array} = \begin{array}{c} b \\ \nearrow \quad \searrow \\ S \\ \nwarrow \quad \nearrow \\ d \end{array} \begin{array}{c} a \\ \nearrow \quad \searrow \\ \theta_2 \quad \theta_1 \end{array} = S_{ad}^{bc}(i\pi - \theta)$$

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⇒ **Modified crossing (mC)**

[Copetti, LC, Komatsu '24]

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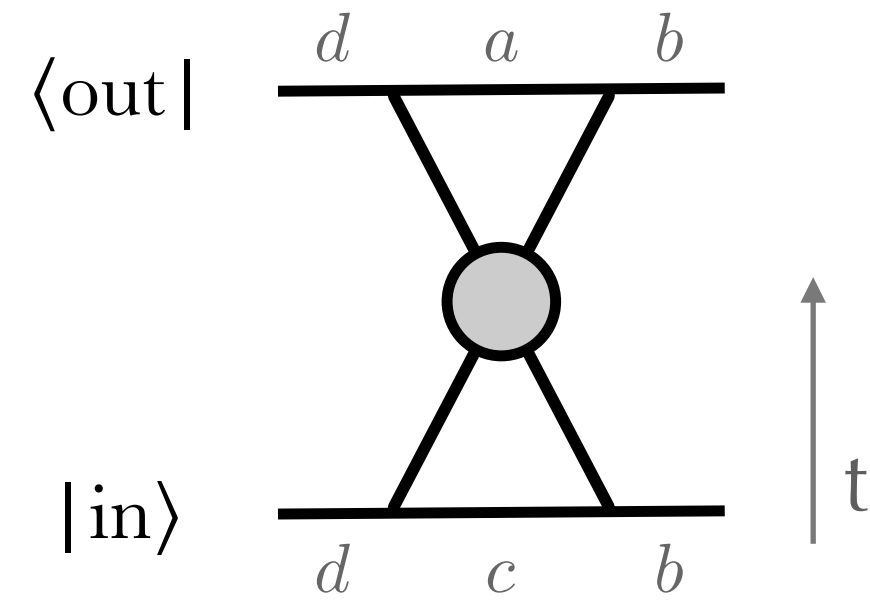
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- New crossing rules can be understood from proper normalization of $|in\rangle$ and $|out\rangle$ states, taking into account topological degrees of freedom.

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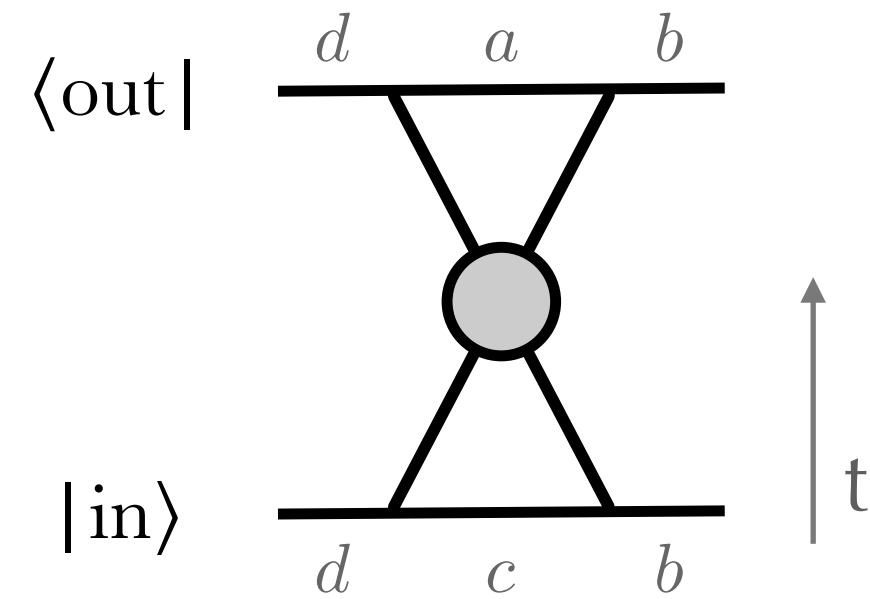
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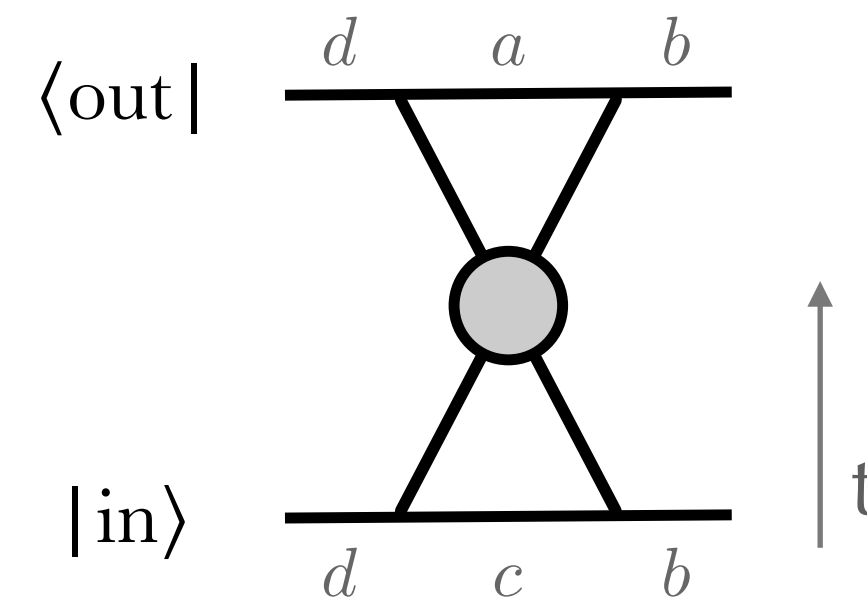
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naively crossing symmetric

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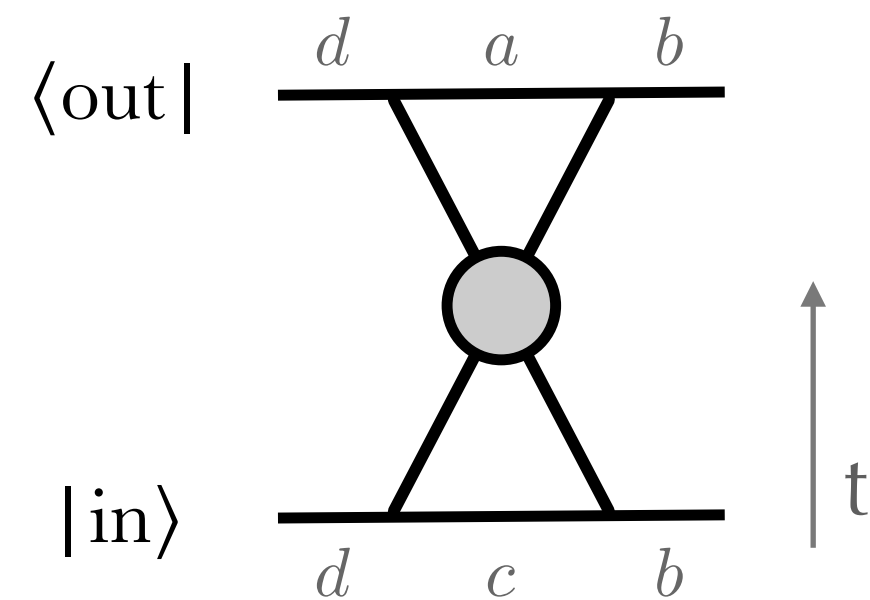
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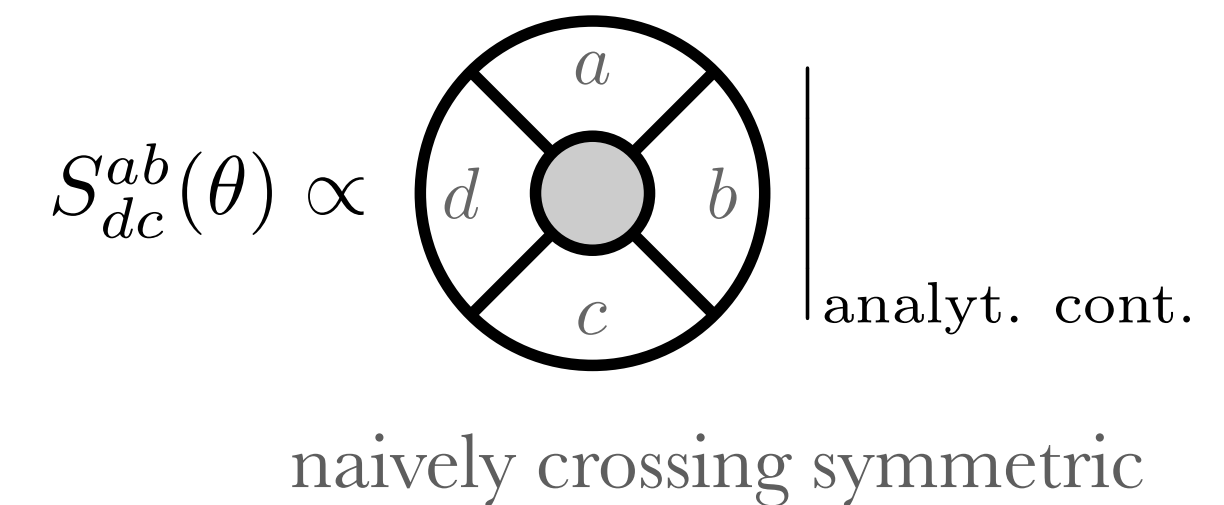
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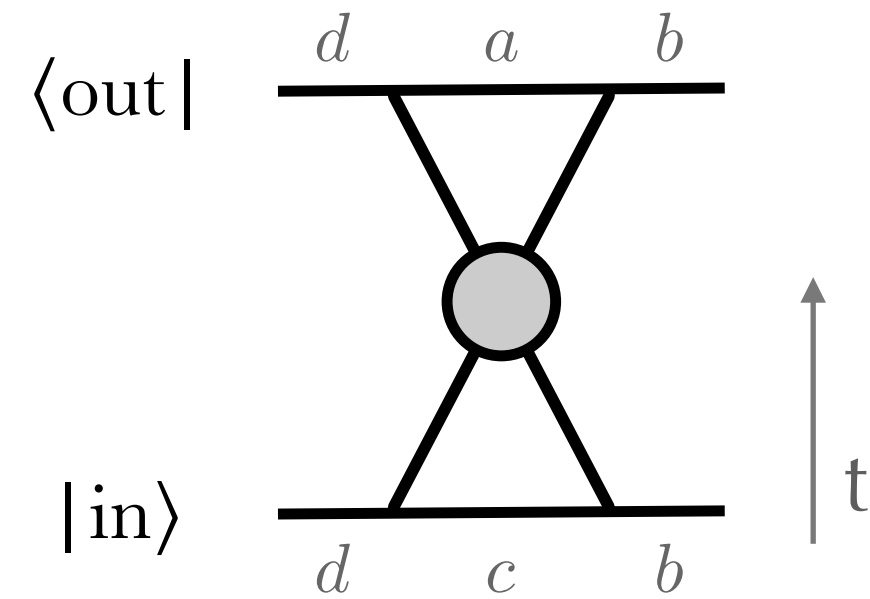
s-channel

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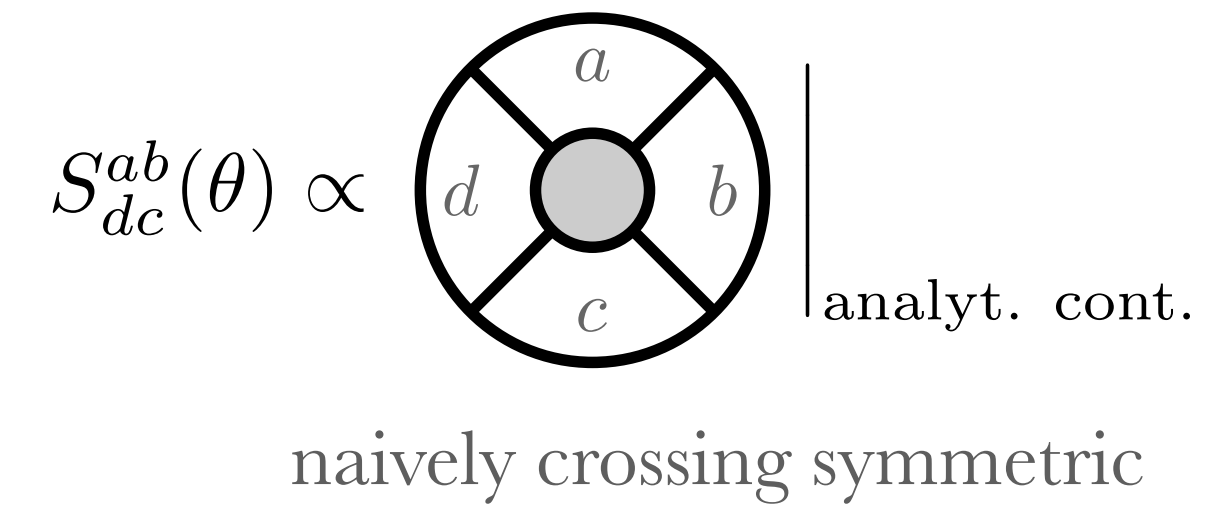
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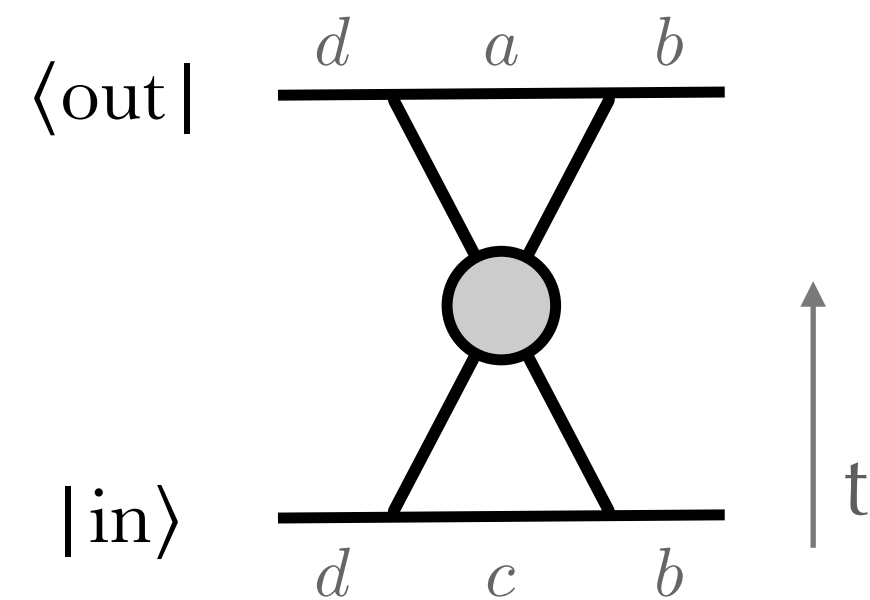
$$\langle in|in\rangle_s = d \left(\begin{array}{c} c \\ v \\ c \end{array} \right) \left(\begin{array}{c} c \\ v \\ c \end{array} \right) b \times \delta^2(\cdot)$$

$$\langle out|out\rangle_s = d \left(\begin{array}{c} a \\ v \\ a \end{array} \right) \left(\begin{array}{c} a \\ v \\ a \end{array} \right) b \times \delta^2(\cdot)$$

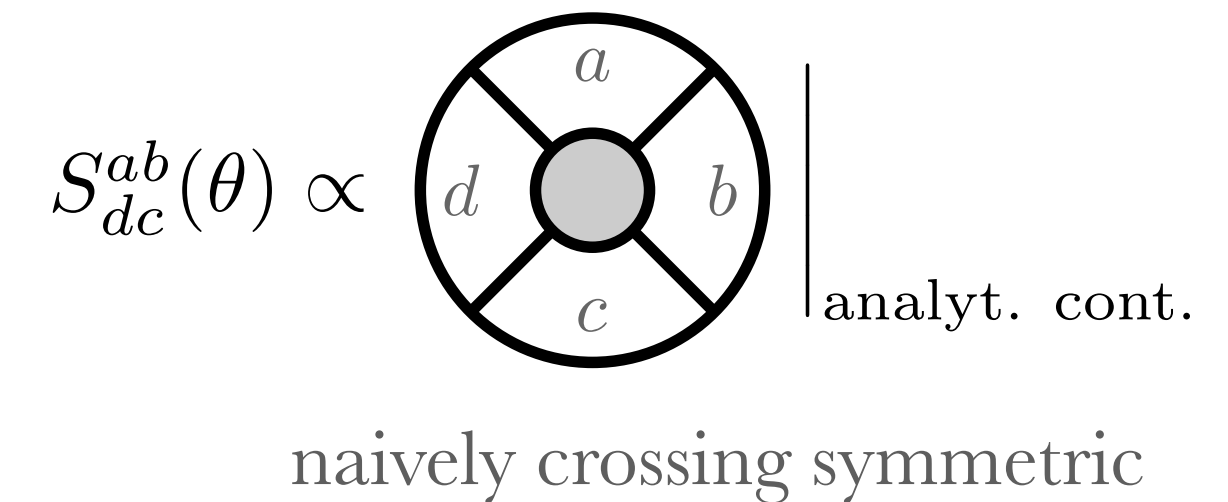
$$S_{dc}^{ab}(\theta) = \frac{\left(\begin{array}{c} a \\ d \\ b \\ c \end{array} \right)}{\sqrt{\left(\begin{array}{c} c \\ d \\ v \\ c \end{array} \right) \left(\begin{array}{c} a \\ d \\ v \\ a \end{array} \right)}}$$

Modified Crossing

- New crossing rules can be understood from proper normalization of $|in\rangle$ and $|out\rangle$ states, taking into account topological degrees of freedom.



$$\langle out|in\rangle = S_{dc}^{ab}(\theta) \underbrace{(2\pi)^2 2\sqrt{s}\sqrt{s-4m^2} \delta^2(p_1 + p_2 - p'_1 - p'_2)}_{\delta^2(\cdot)}$$



- In order to have unitarity $S(\theta)S(-\theta) \leq 1$, normalize states using TQFT data: vacua identified with symmetry lines (*regular module category*), kink acts as symmetry line v (e.g. \mathcal{N} for $\mathcal{M}_4 \rightarrow gapped_3$).

s-channel

$$\langle in|in\rangle_s = d \left[\begin{array}{c} \text{c} \\ v \\ \text{c} \end{array} \right] b \times \delta^2(\cdot)$$

$d_v \sqrt{d_b d_d}$

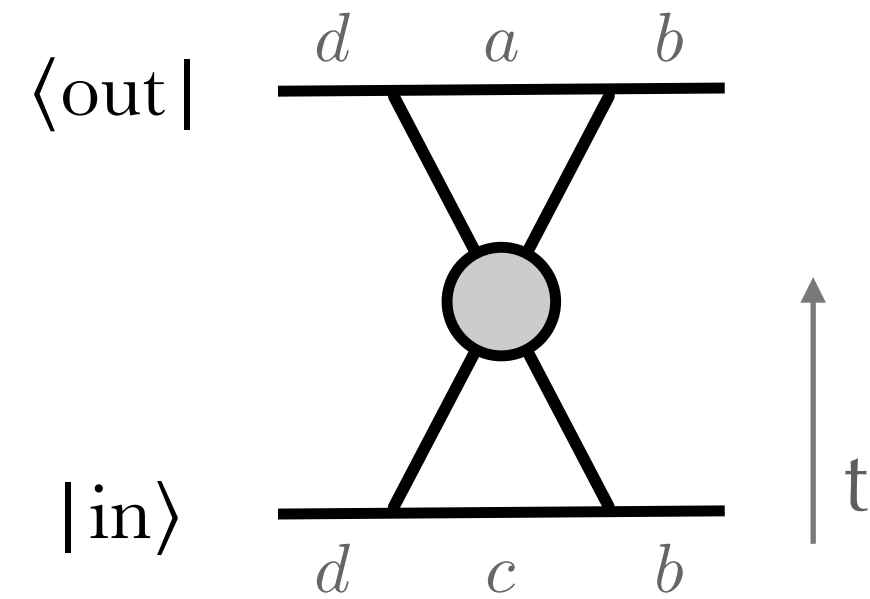
$$\langle out|out\rangle_s = d \left[\begin{array}{c} a \\ v \\ a \end{array} \right] b \times \delta^2(\cdot)$$

$$S_{dc}^{ab}(\theta) = \frac{\left[\begin{array}{c} a \\ d \\ b \\ c \end{array} \right] \Big|_{\text{analyt. cont.}}}{\sqrt{\left[\begin{array}{c} \text{c} \\ v \\ \text{c} \end{array} \right] b \left[\begin{array}{c} a \\ v \\ a \end{array} \right] b}}$$

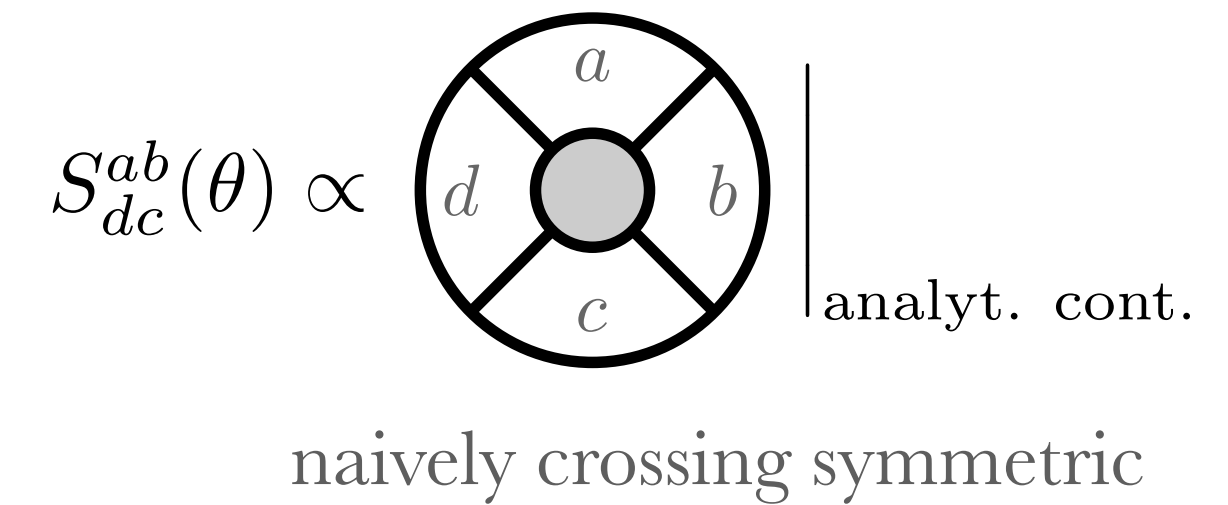
different in t-channel

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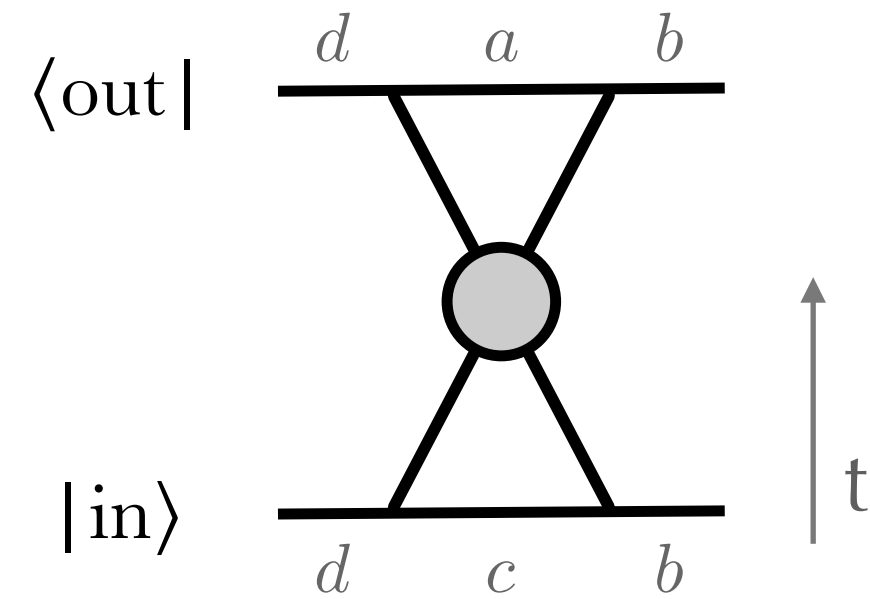
$$S_{dc}^{ab}(\theta) = \frac{\text{analyt. cont.}}{\sqrt{\text{different in t-channel}}}$$

→ **Modified crossing** [Copetti, LC, Komatsu '24]

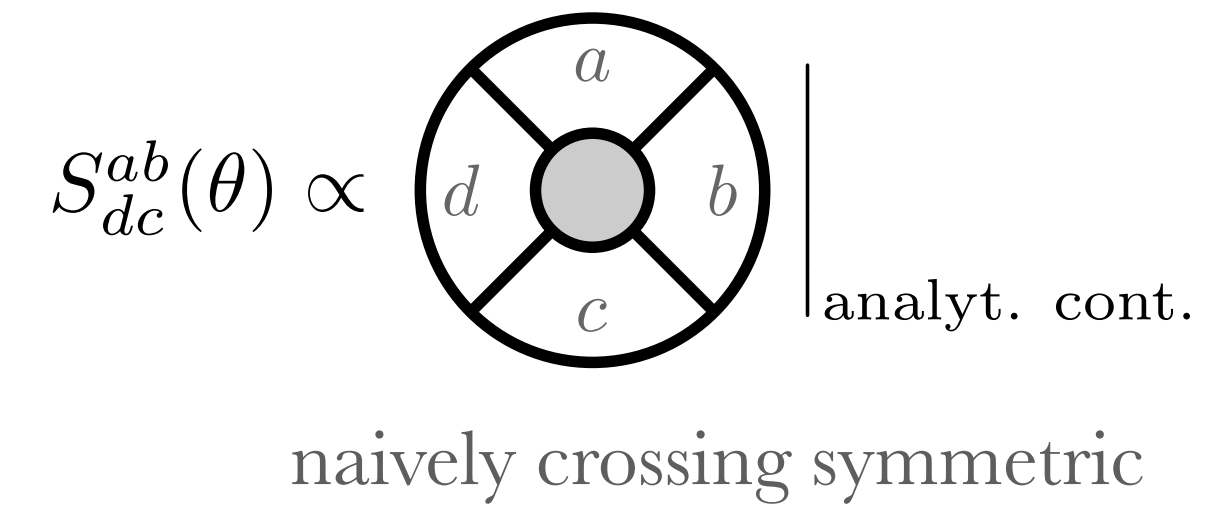
$$S_{dc}^{ab}(\theta) = \sqrt{\frac{S_{bc}^{ad}(i\pi - \theta)}{\sqrt{\frac{d_a d_c}{d_b d_d}}}}$$

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- Modification from: non-trivial IR TQFT + scattering non-local objects (“*dressing*” with symmetry line v).

S-matrix Bootstrap & Non-invertible Symmetries

S-matrix Bootstrap

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Find the space of consistent $2 \rightarrow 2$ kink scattering amplitudes $S_{dc}^{ab}(s)$ with a given fusion category

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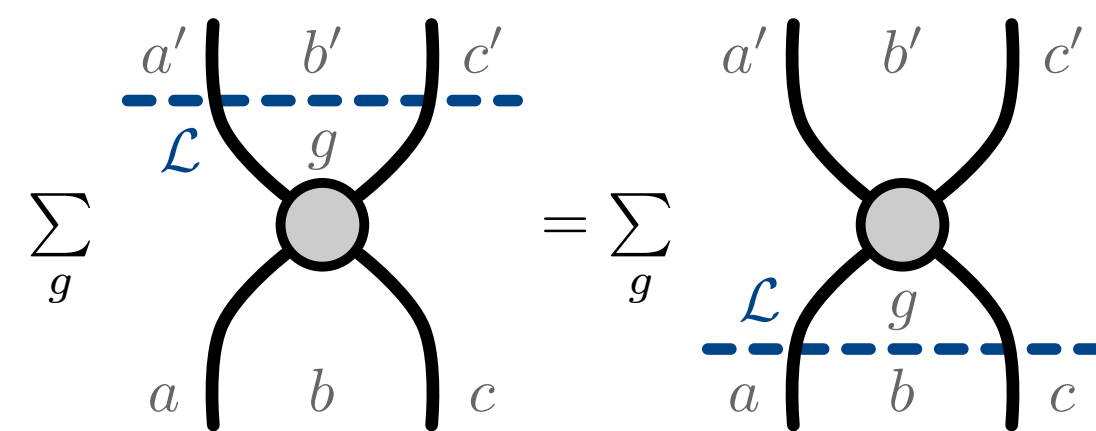
$$\sum_g \begin{array}{c} a' \quad b' \quad c' \\ \text{---} \mathcal{L} \text{---} \\ g \\ \bullet \\ a \quad b \quad c \end{array} = \sum_g \begin{array}{c} a' \quad b' \quad c' \\ g \\ \bullet \\ \text{---} \mathcal{L} \text{---} \\ a \quad b \quad c \end{array}$$

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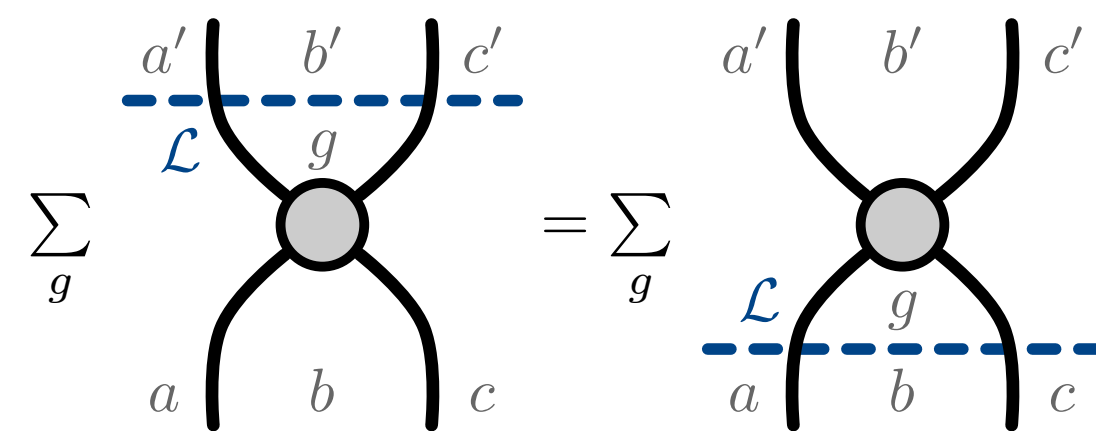
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[see also Aasen, Fendley, Mong '20]

e.g. \mathcal{A}_n category in $\mathcal{M}_n - \phi_{1,3}$

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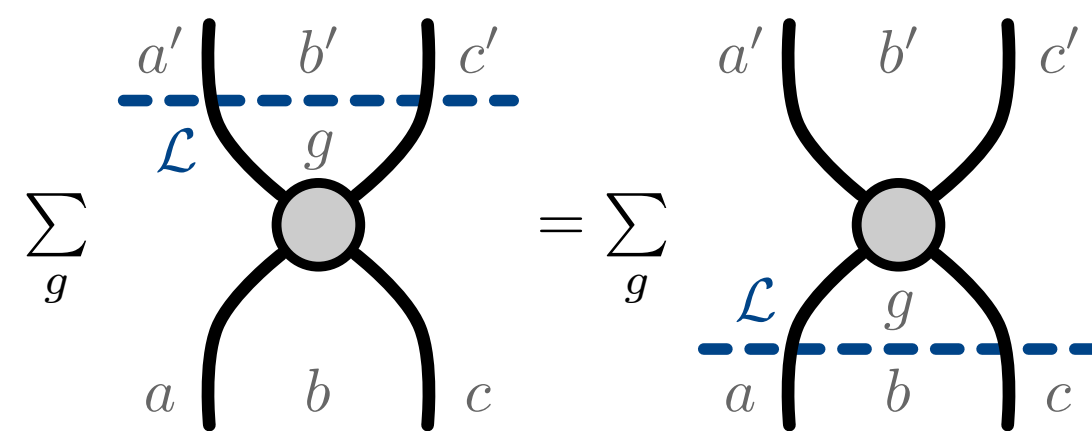
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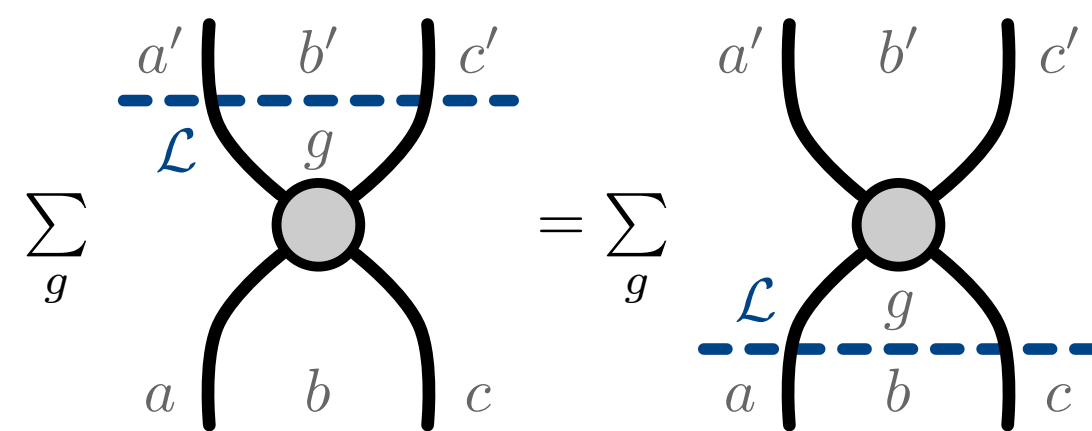
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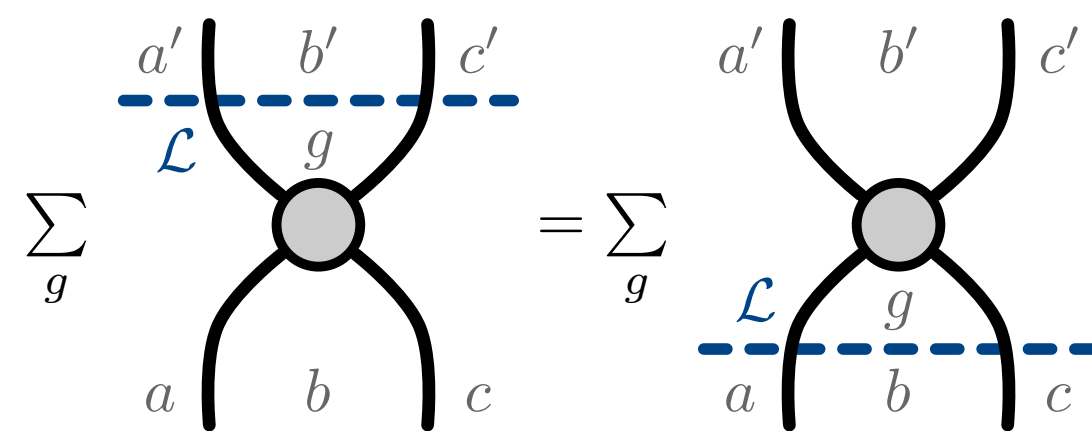
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Possible singularities are bound state poles, multi-particle cuts...

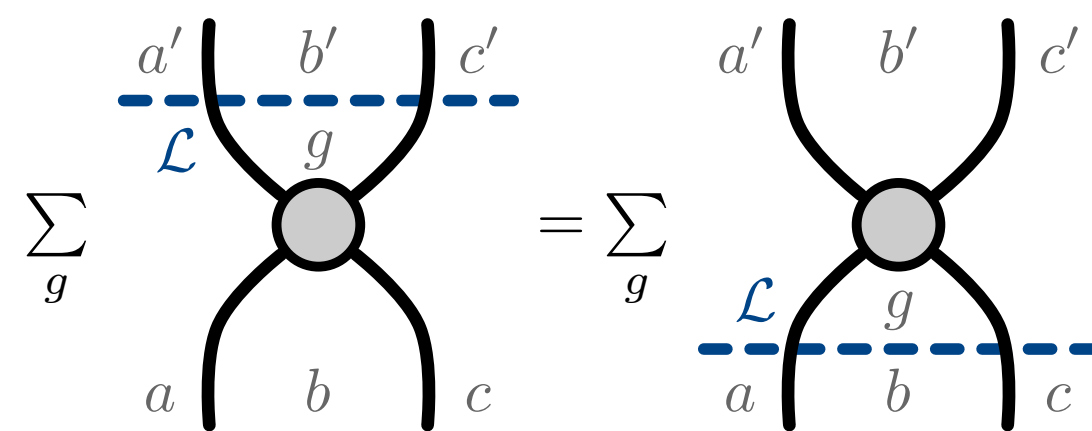
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Given a fusion category,

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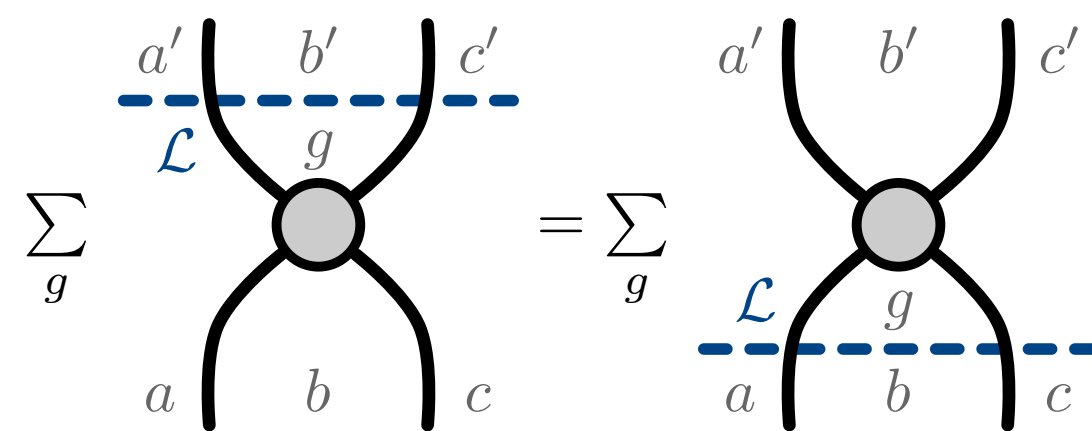
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1. Write ansatz that trivializes **A+mC+S**
2. Impose **U** numerically for $s_j \geq 4m^2$
3. Bound parameters, i.e. max functionals

$$\text{e.g. } \mathcal{F}[A_{\chi}] = \sum_{\chi} n_{\chi} A_{\chi}(s_*)$$

$$\text{or dual } \mathcal{F}[A_{\chi}] \leq \mathcal{F}_d[K_{\chi}] = \int \sum_{\chi} |K_{\chi}(s)|$$

Examples: \mathcal{A}_n and Fibonacci

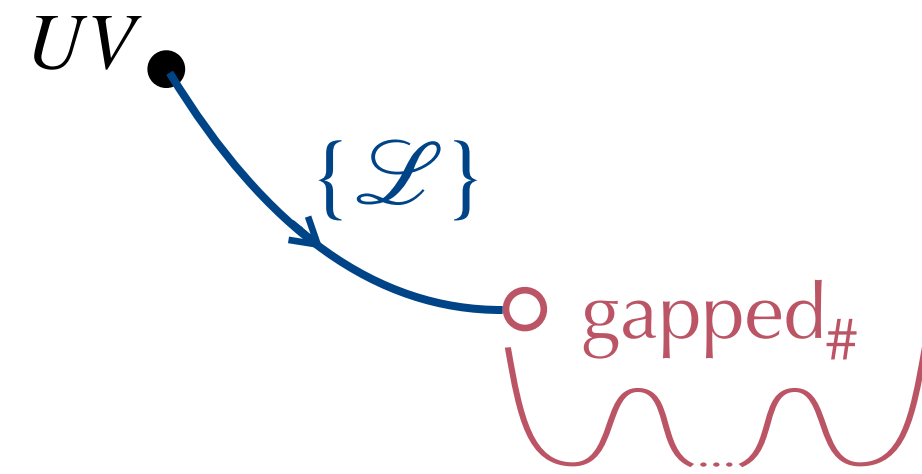
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Examples: \mathcal{A}_n and Fibonacci

Set-up:

QFT with
categorical sym.



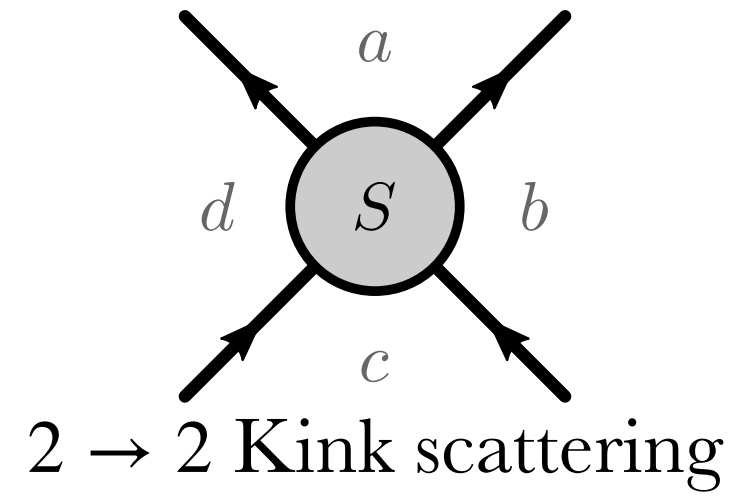
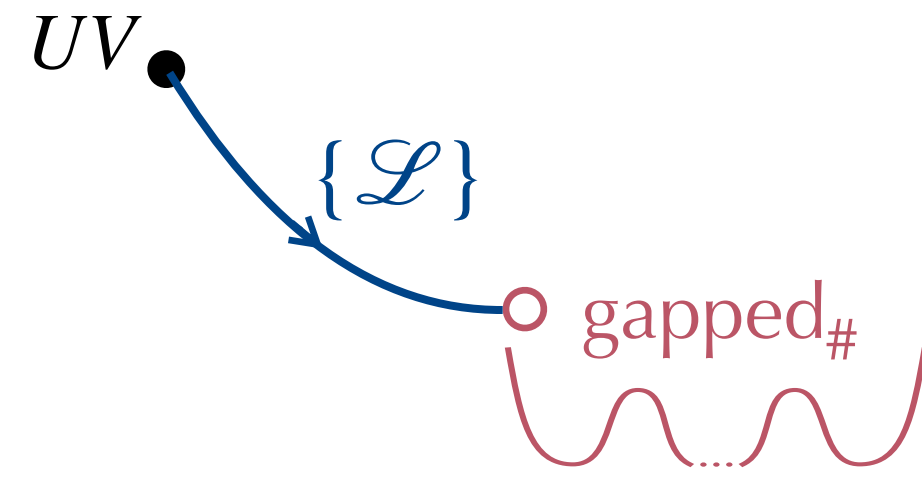
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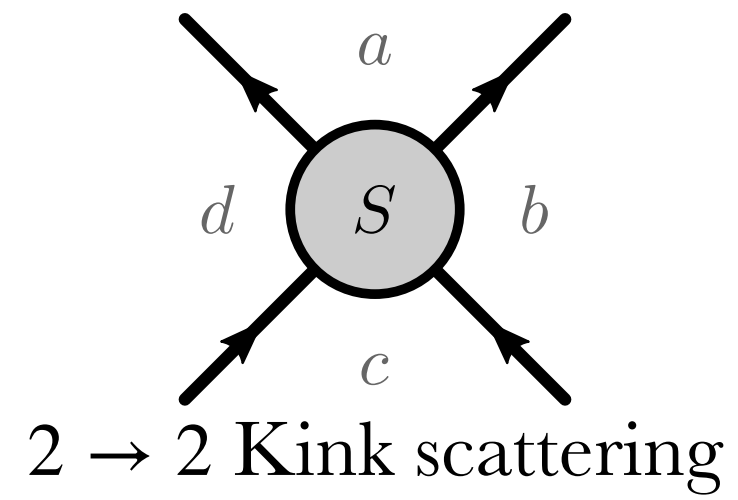
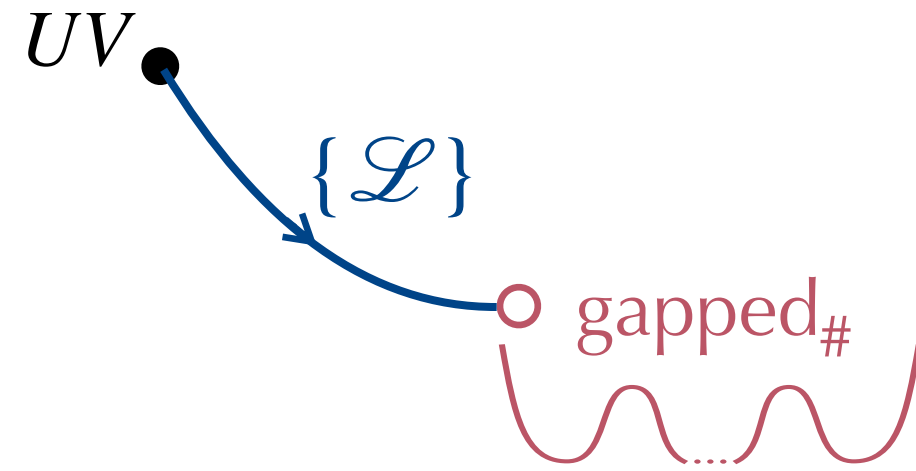
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[Copetti, LC, Komatsu '24]

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- (n-1) vacua
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 $\mathcal{L}_{1/2}^2 = \mathcal{L}_0 + \mathcal{L}_1$

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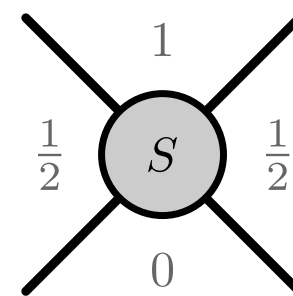
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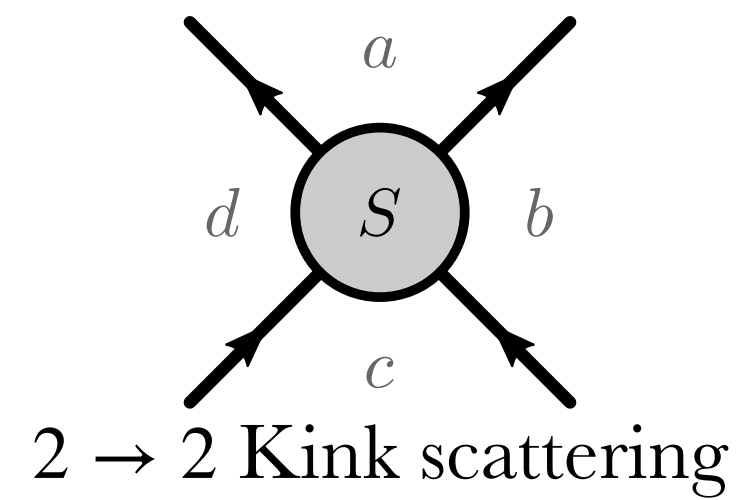
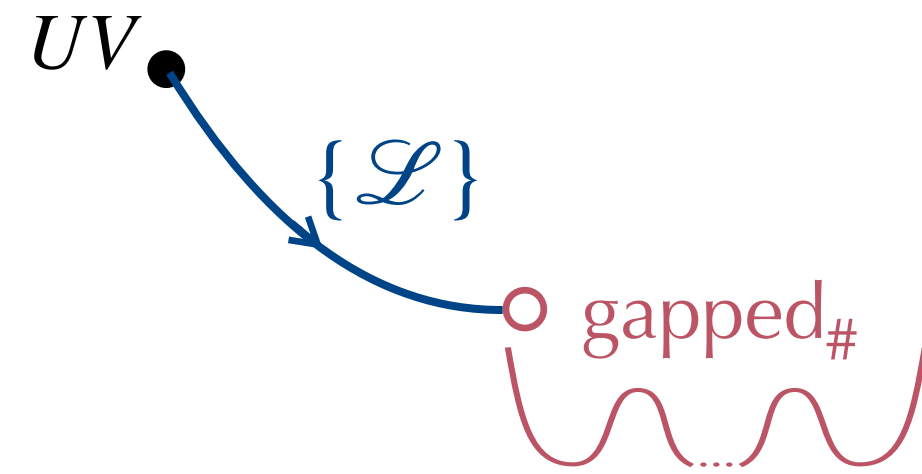
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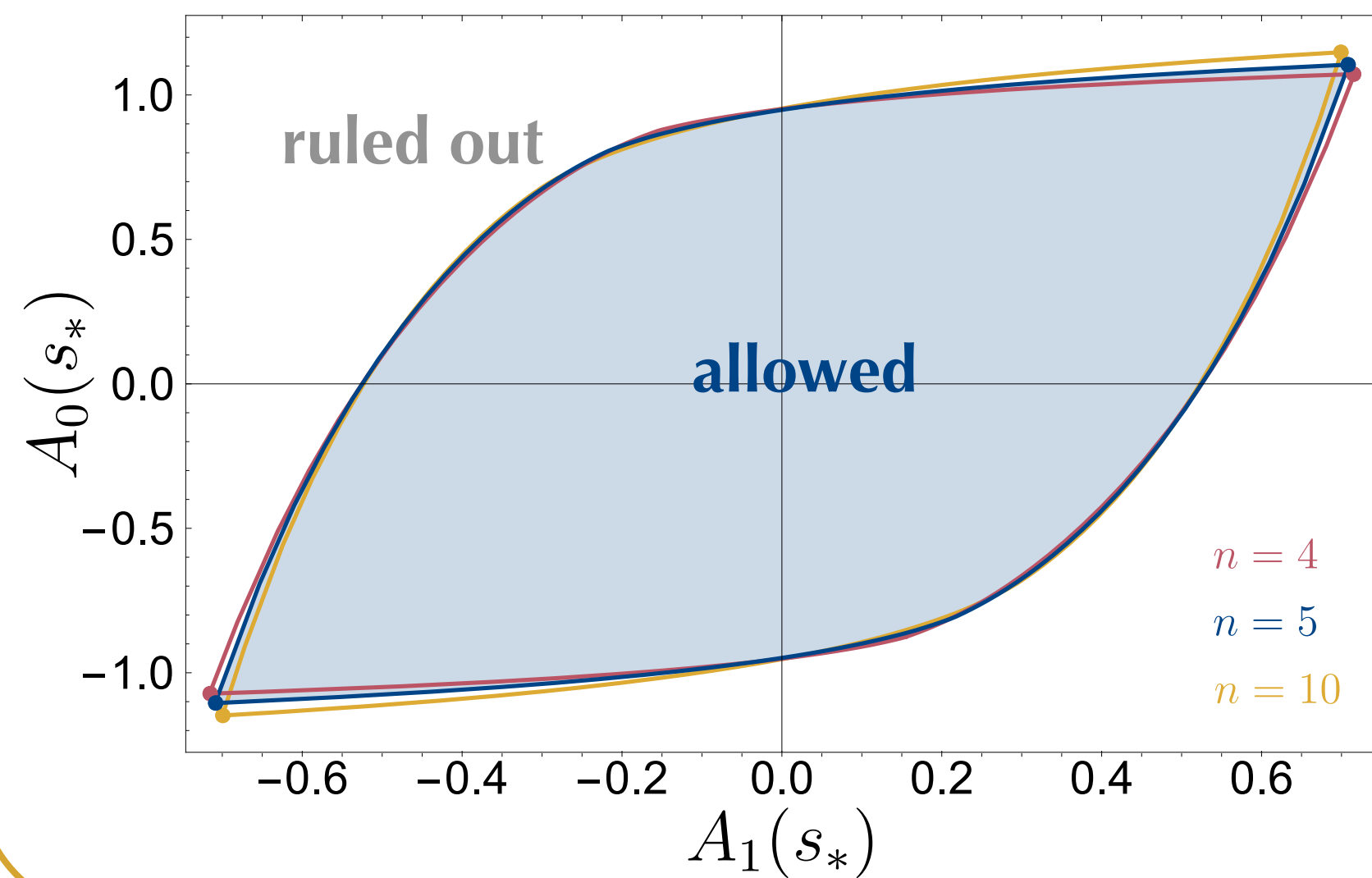
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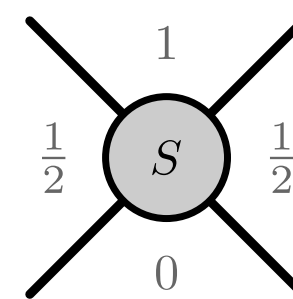
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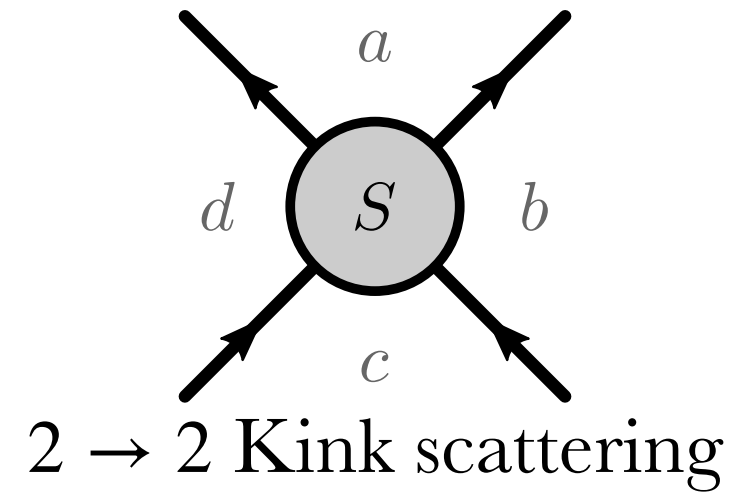
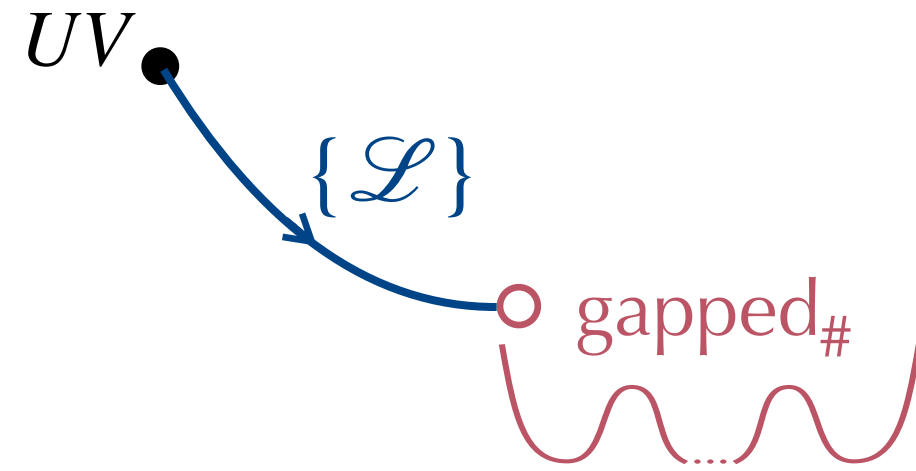
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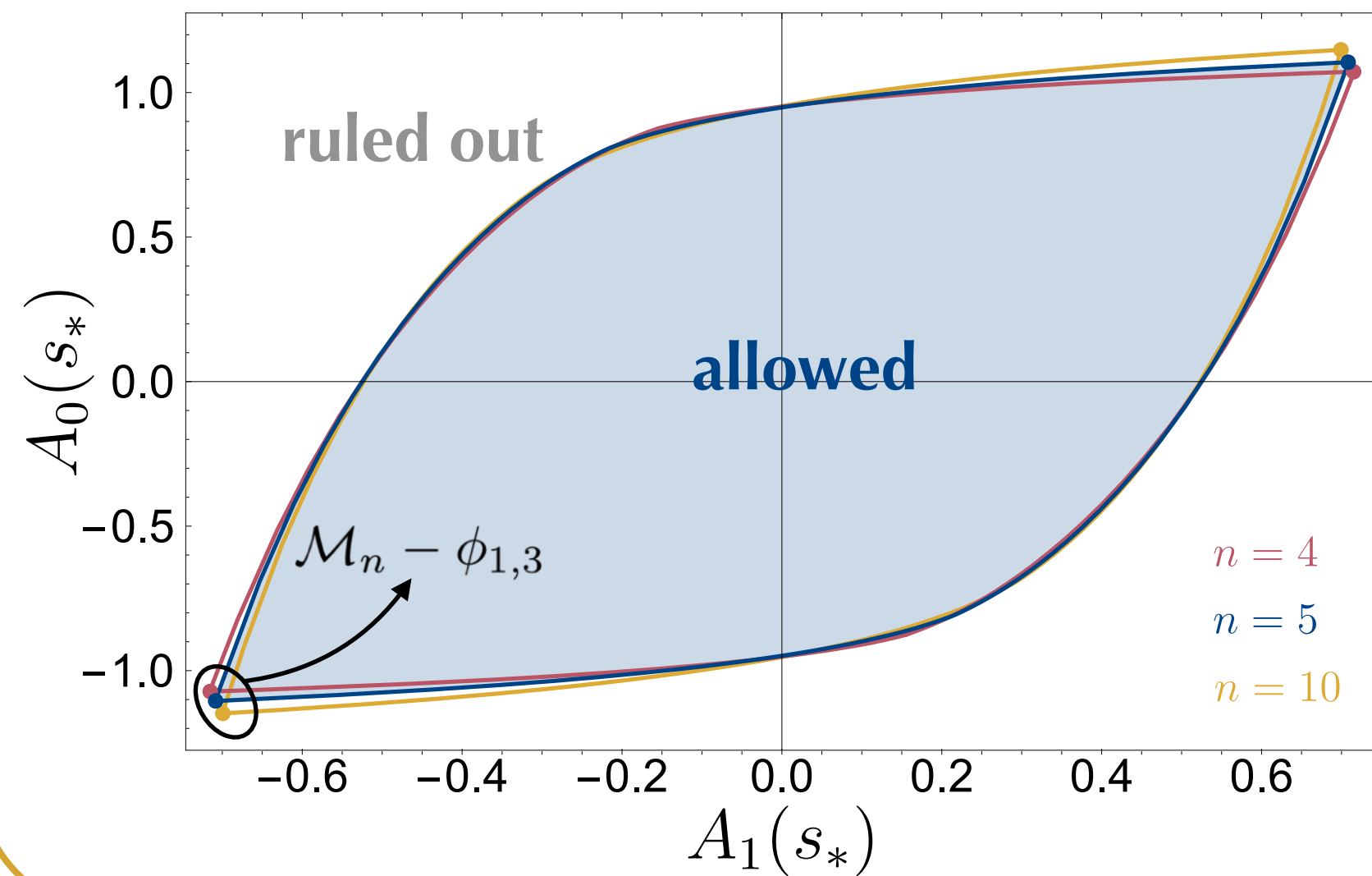
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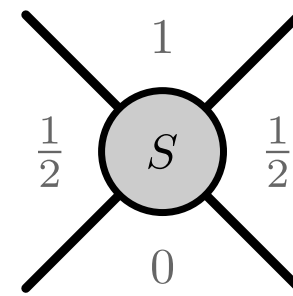
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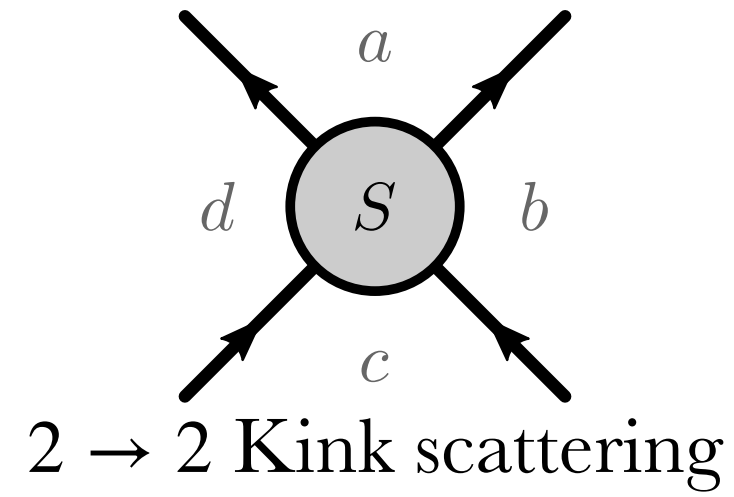
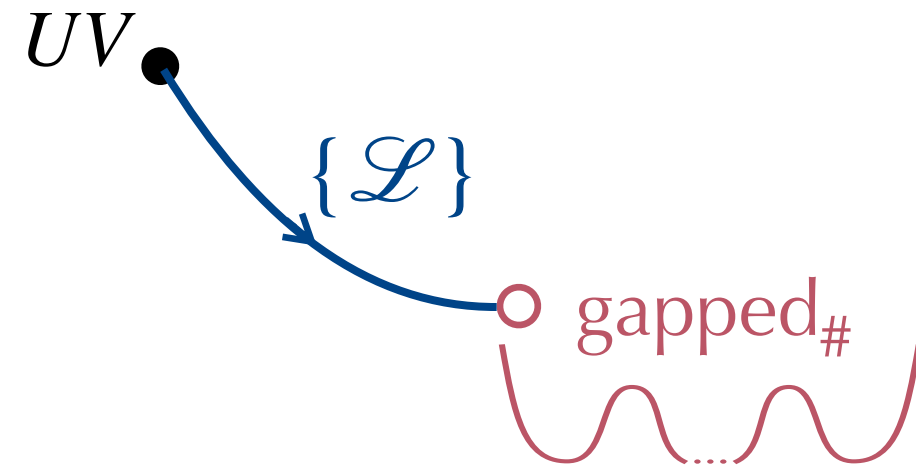
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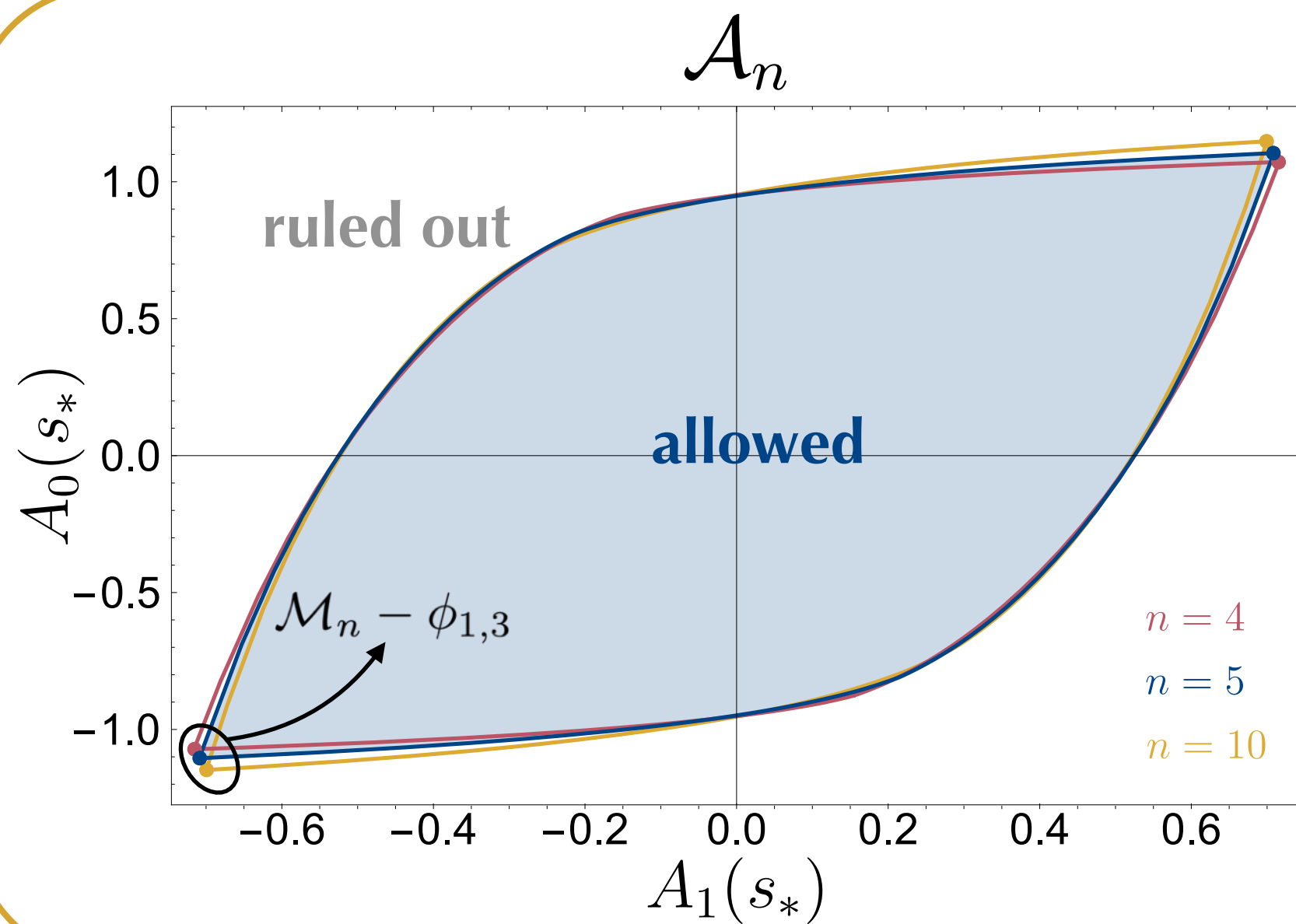


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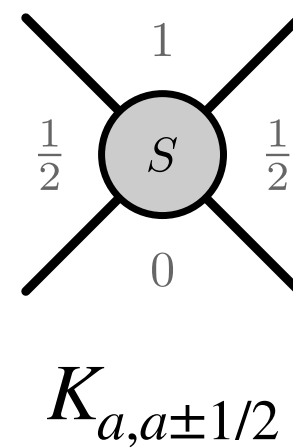
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[Copetti, LC, Komatsu '24]



- (n-1) vacua
 $a = 0, 1/2, \dots$
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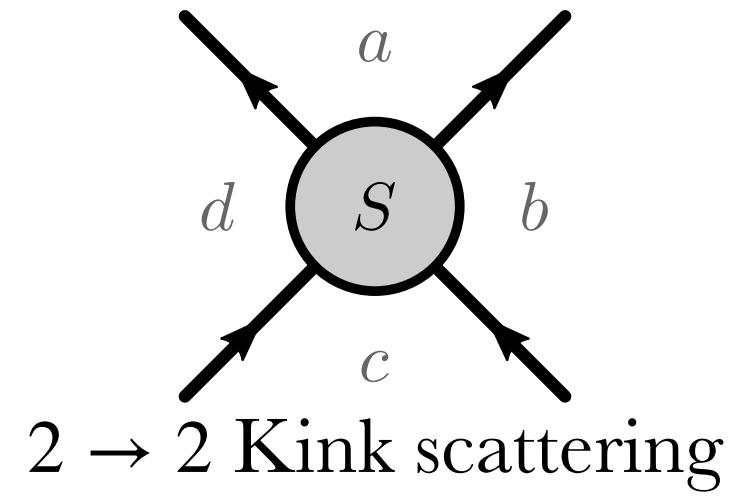
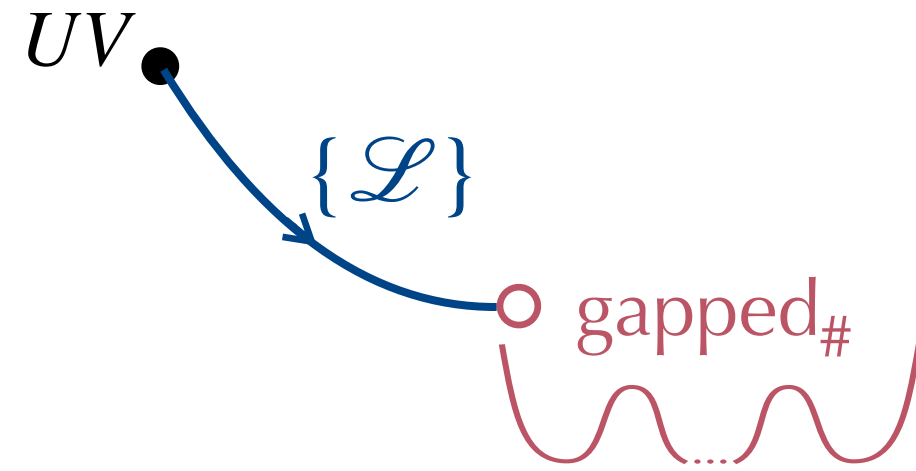
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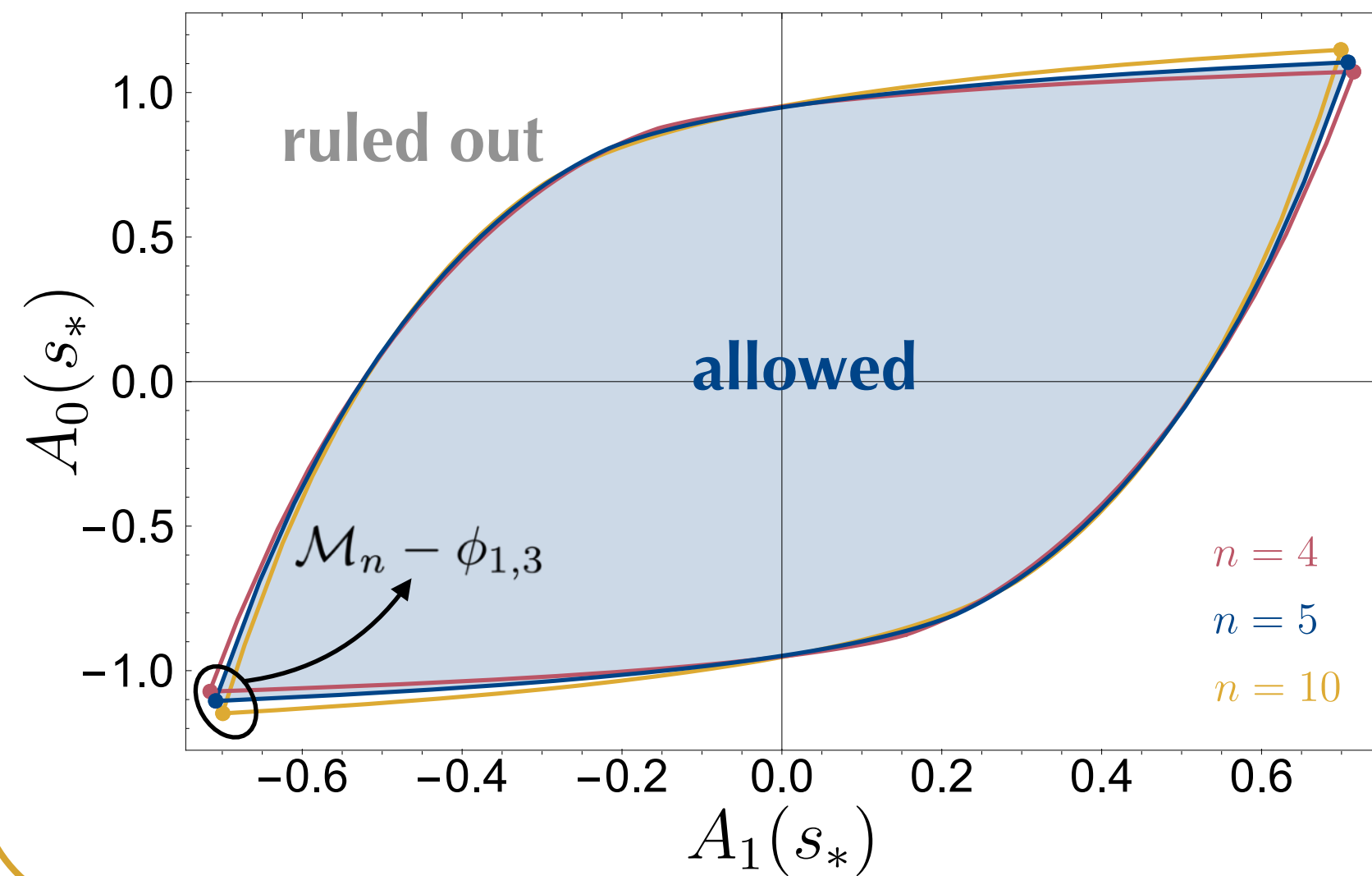
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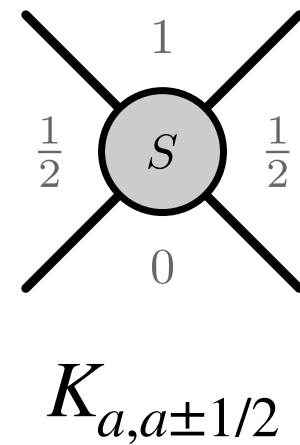
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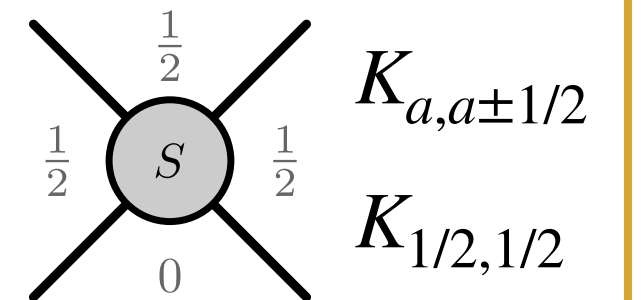


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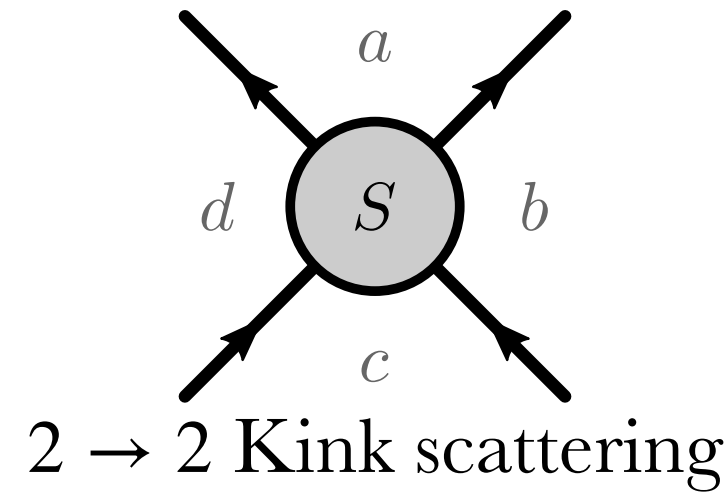
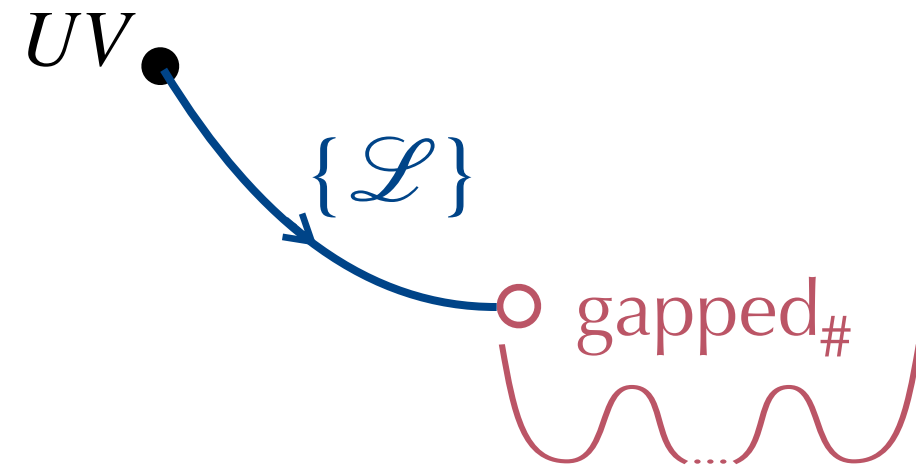
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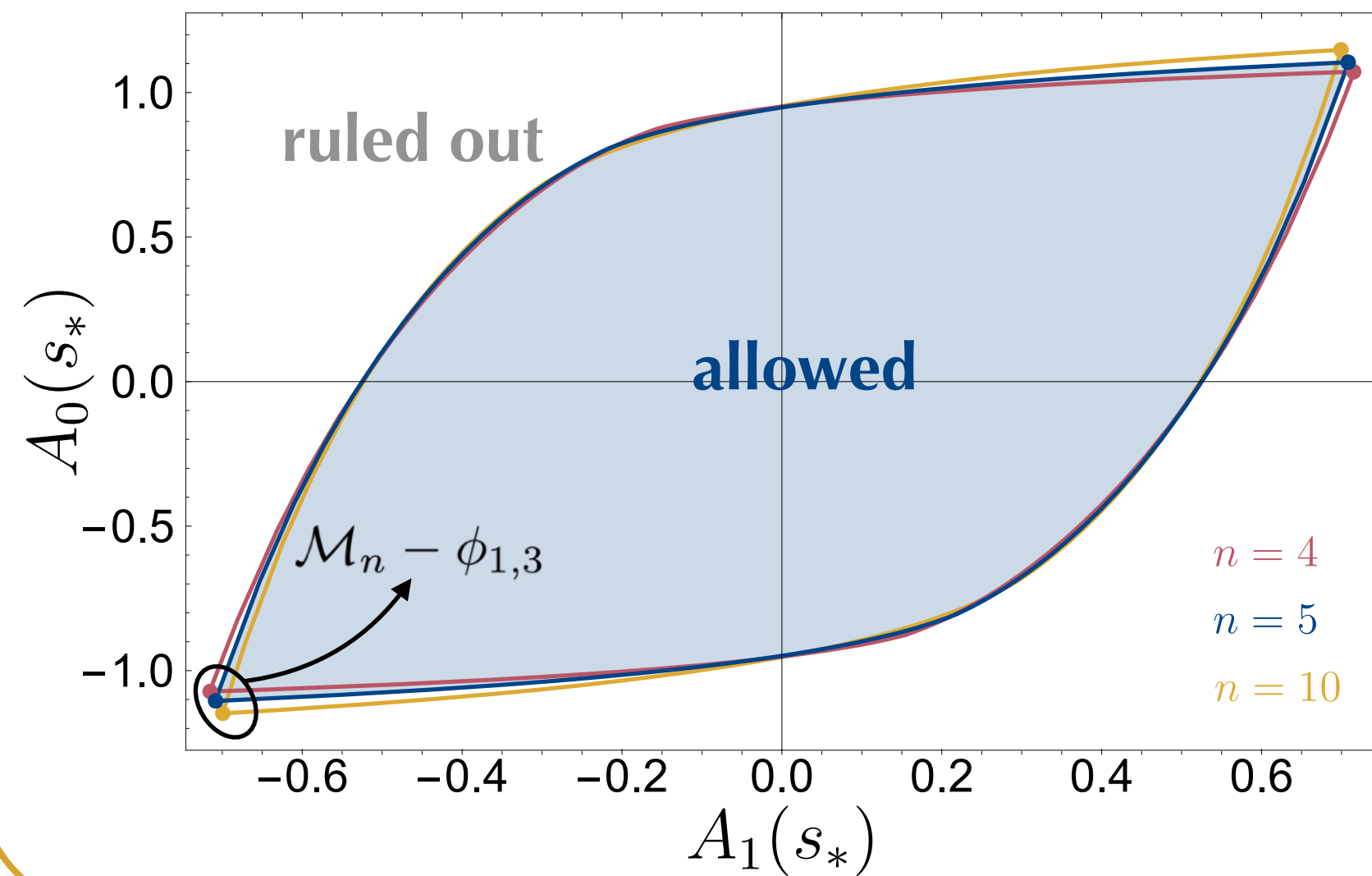
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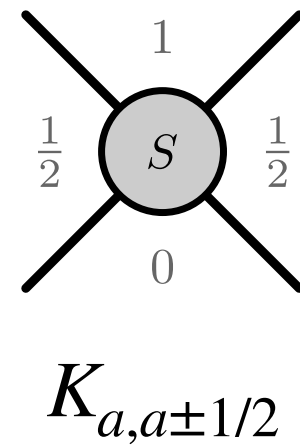
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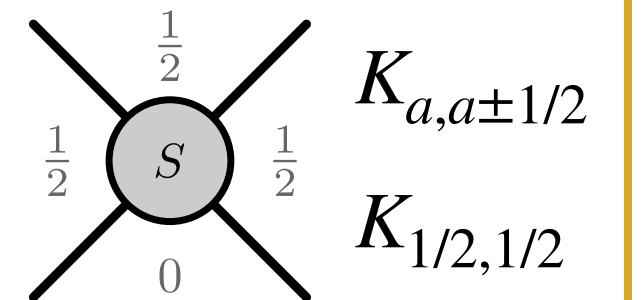


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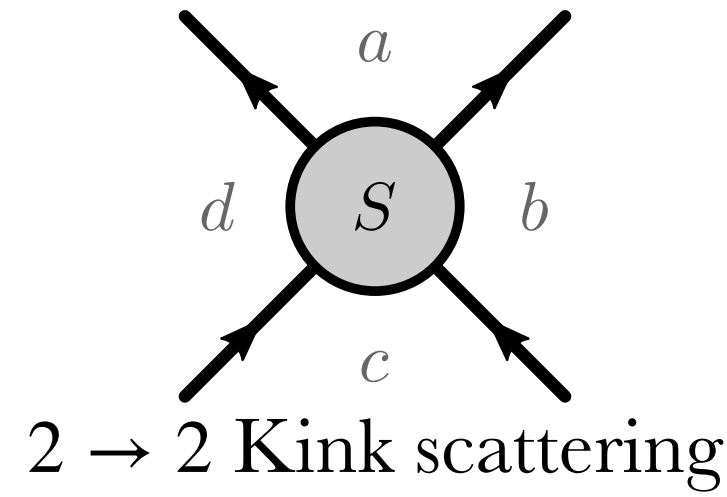
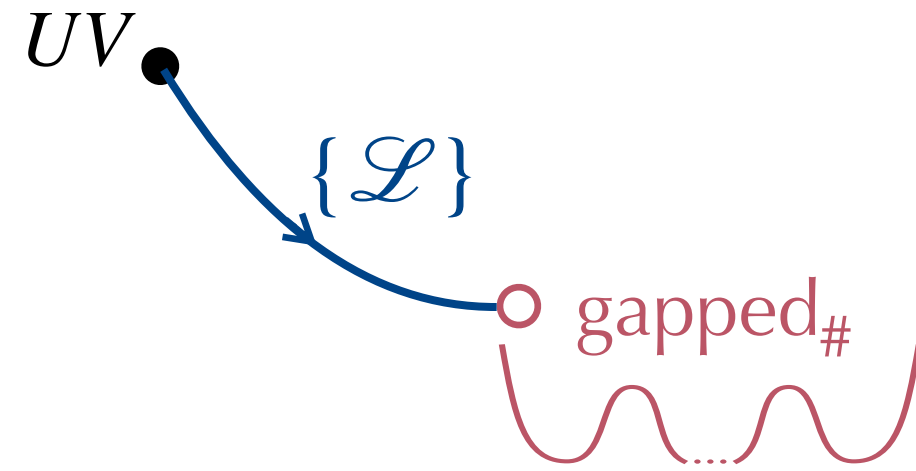


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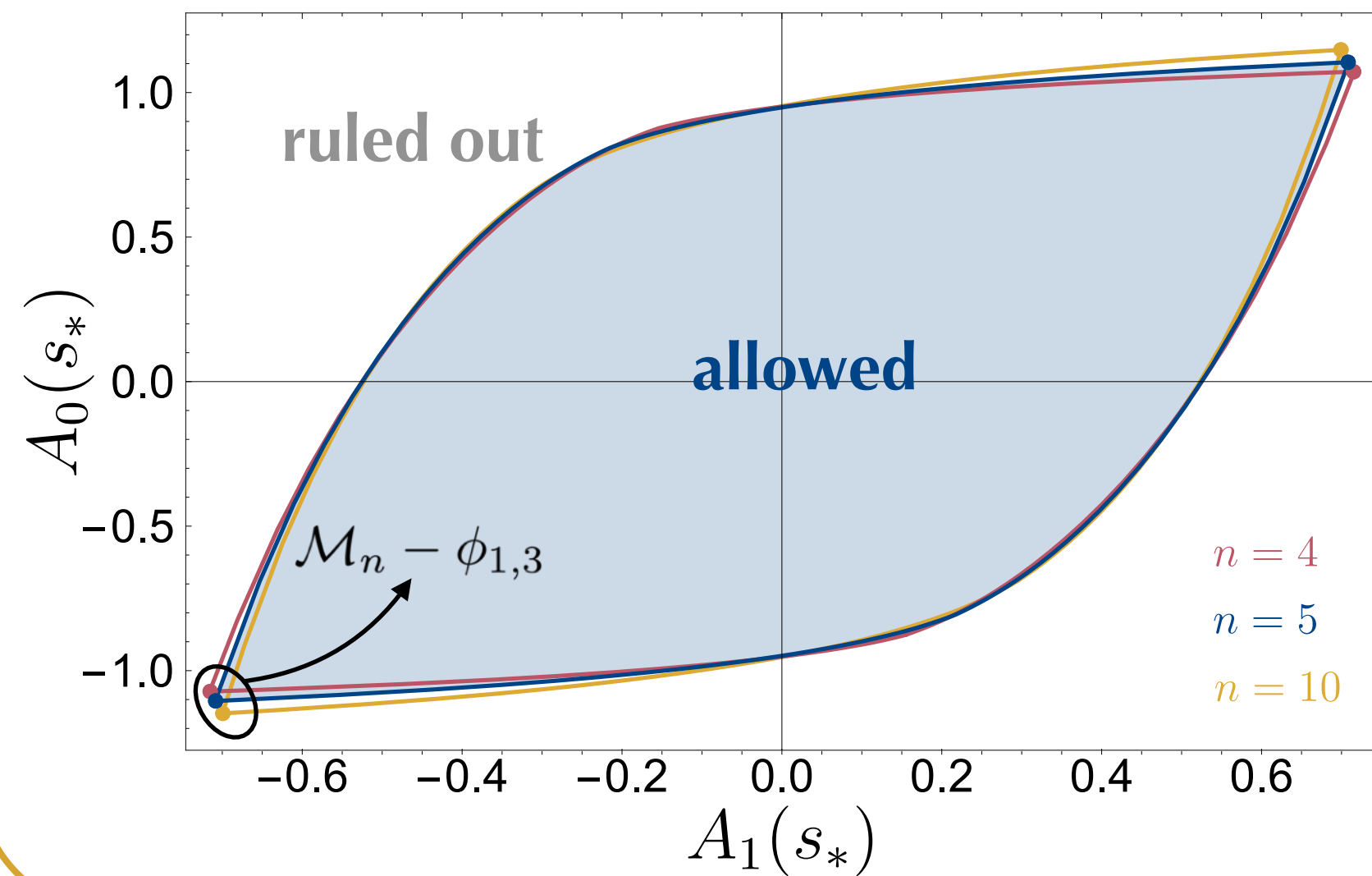
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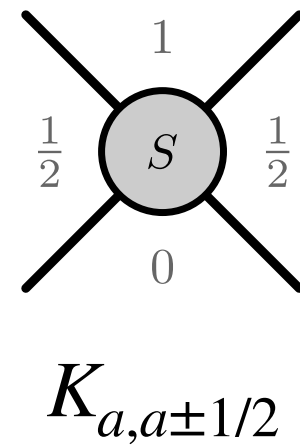
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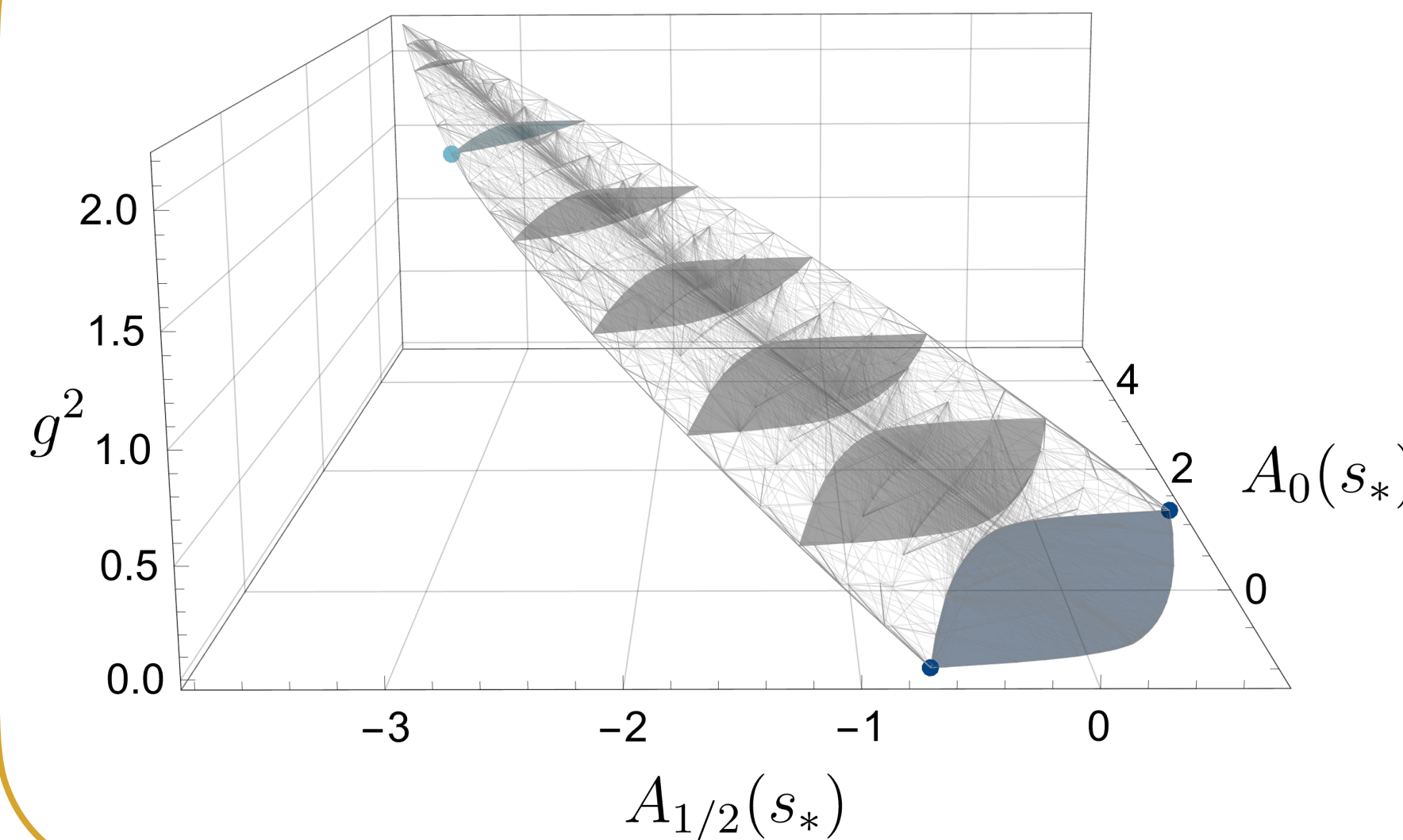
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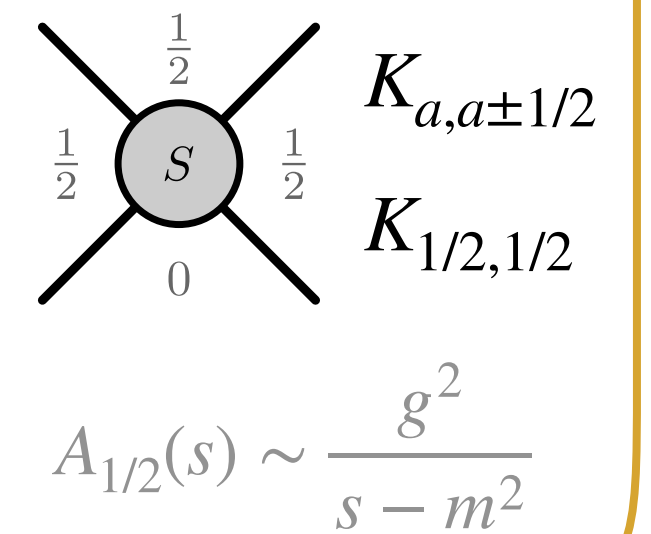
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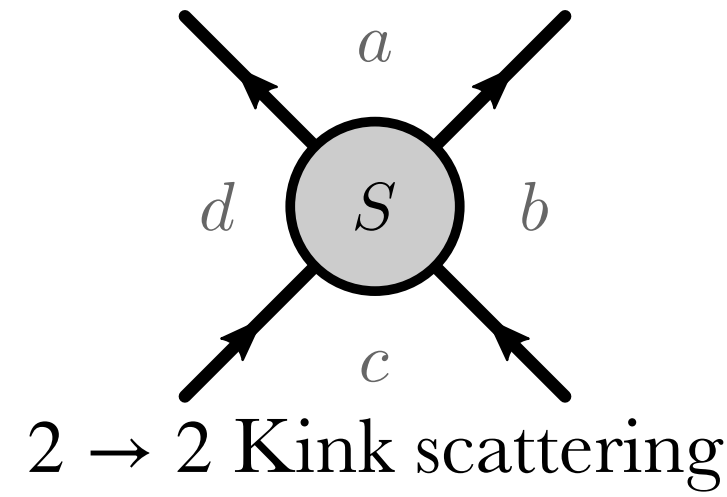
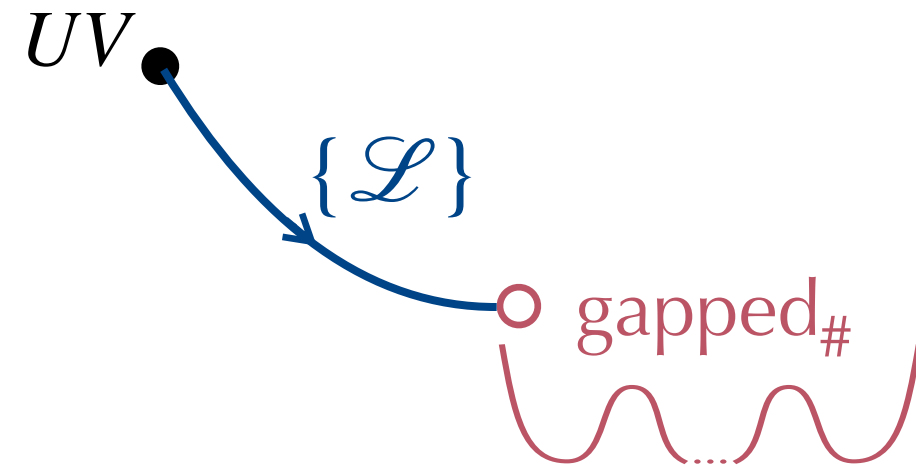
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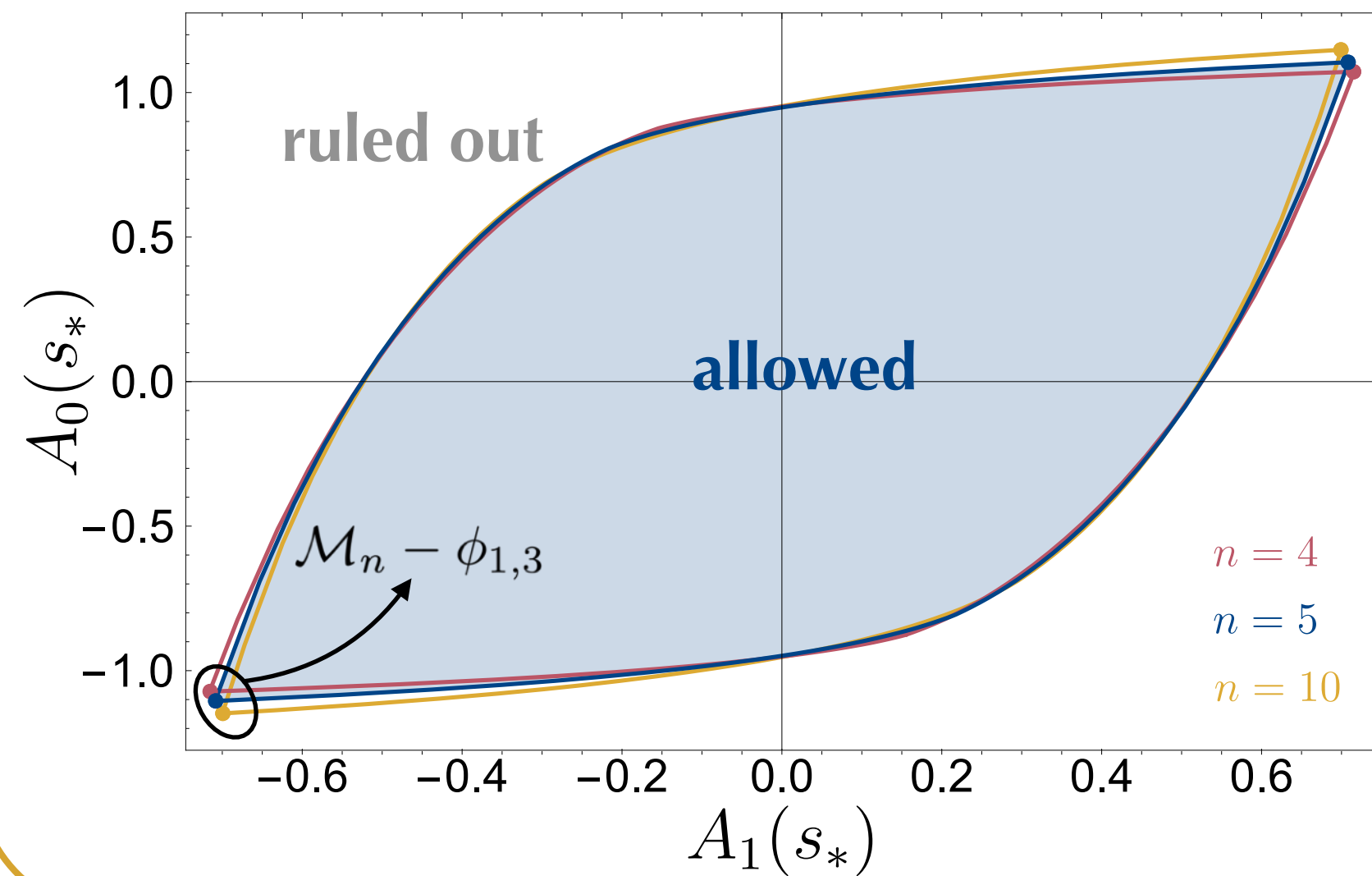
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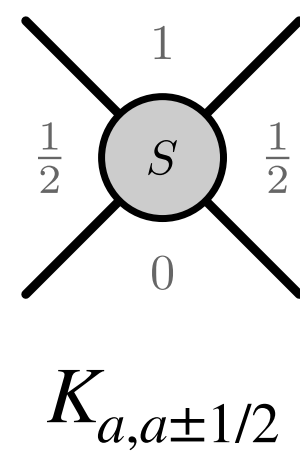
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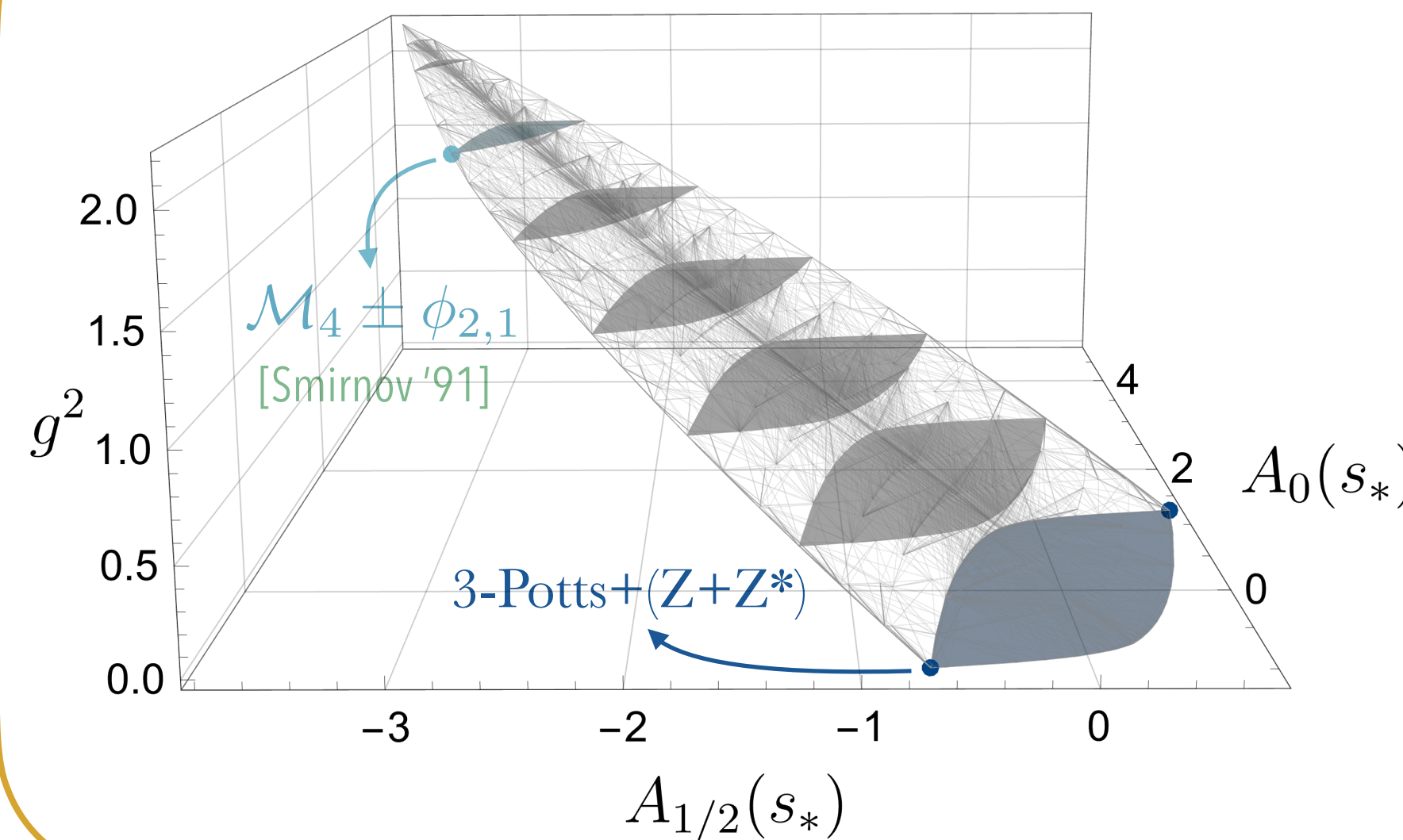
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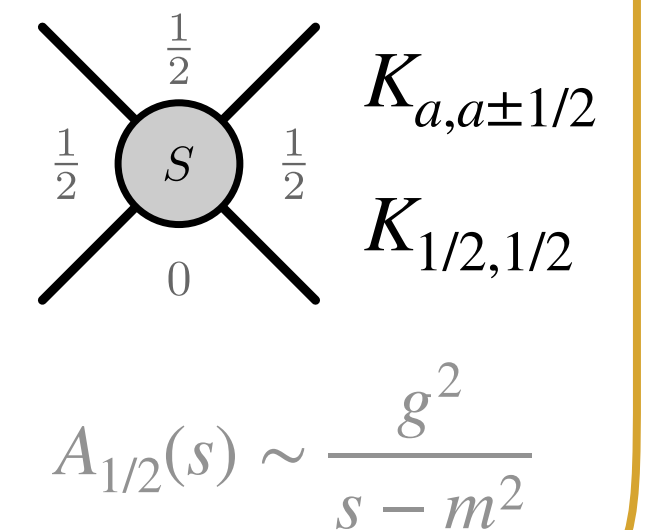
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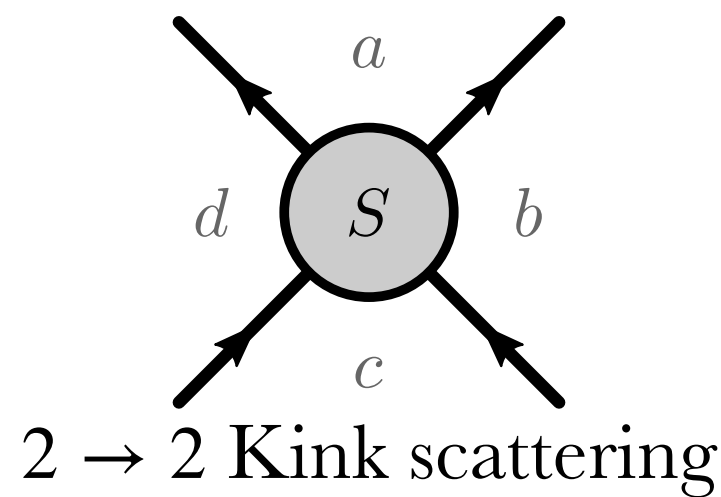
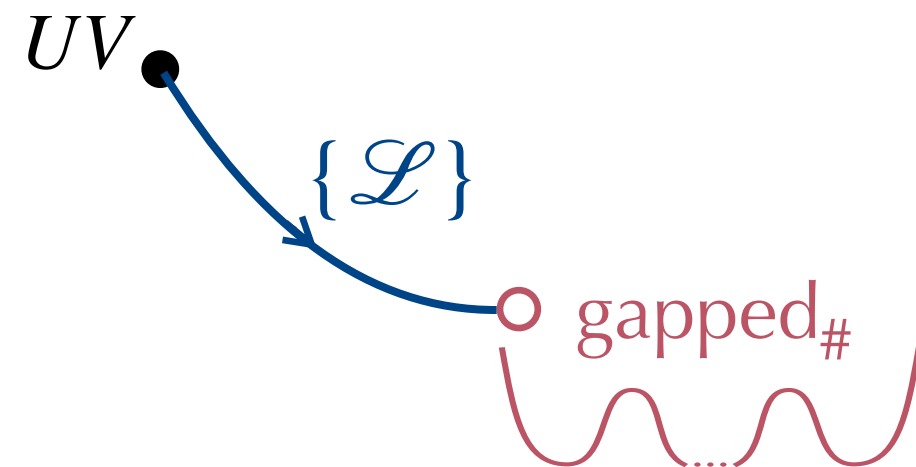
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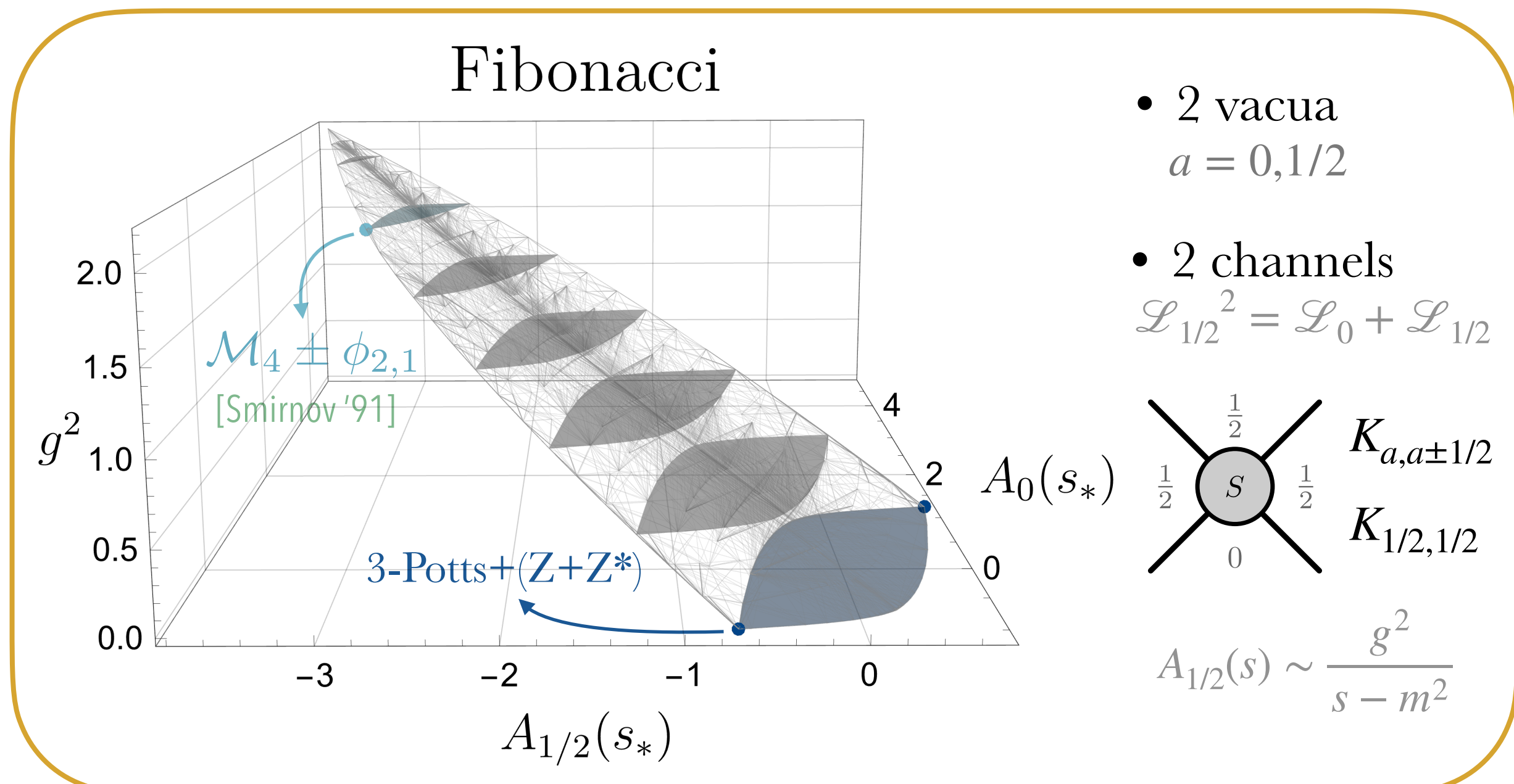
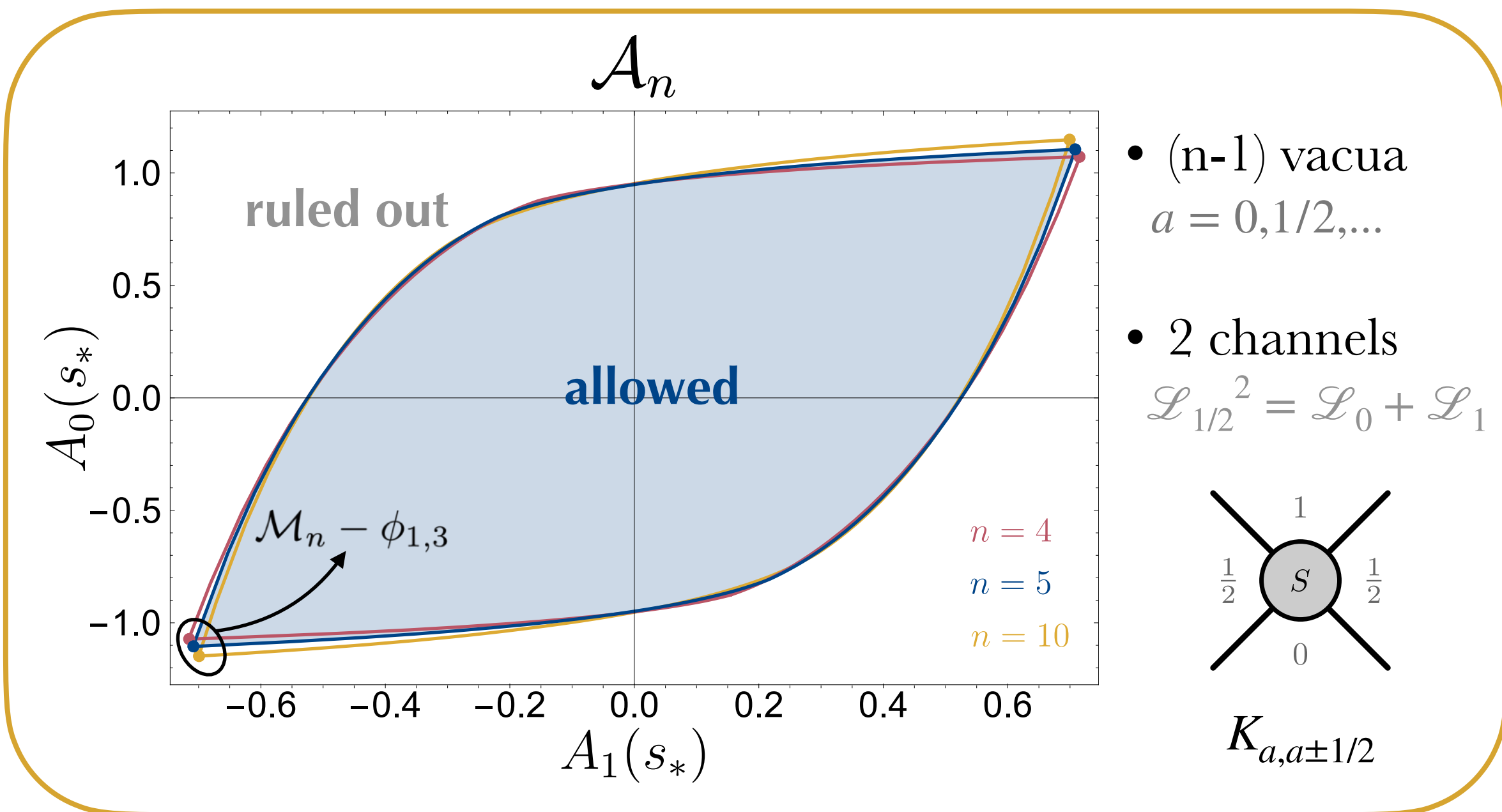


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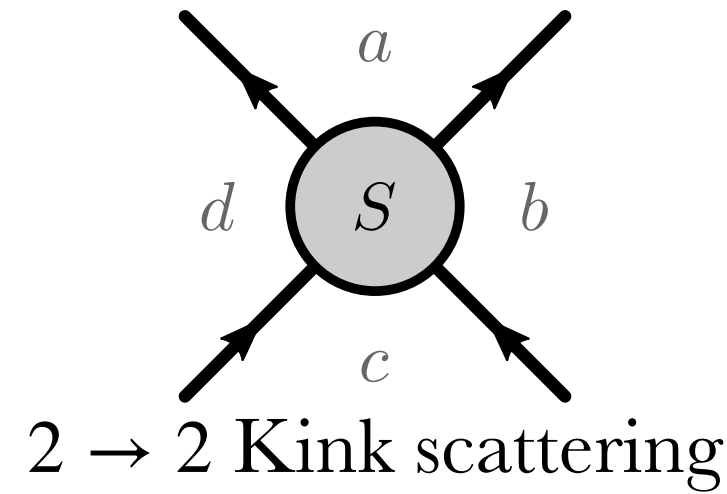
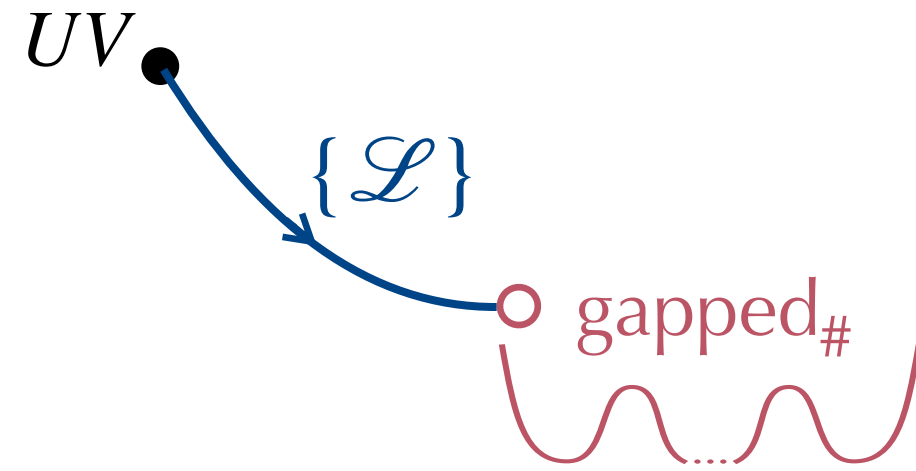


► Integrability at vertices, some known models.

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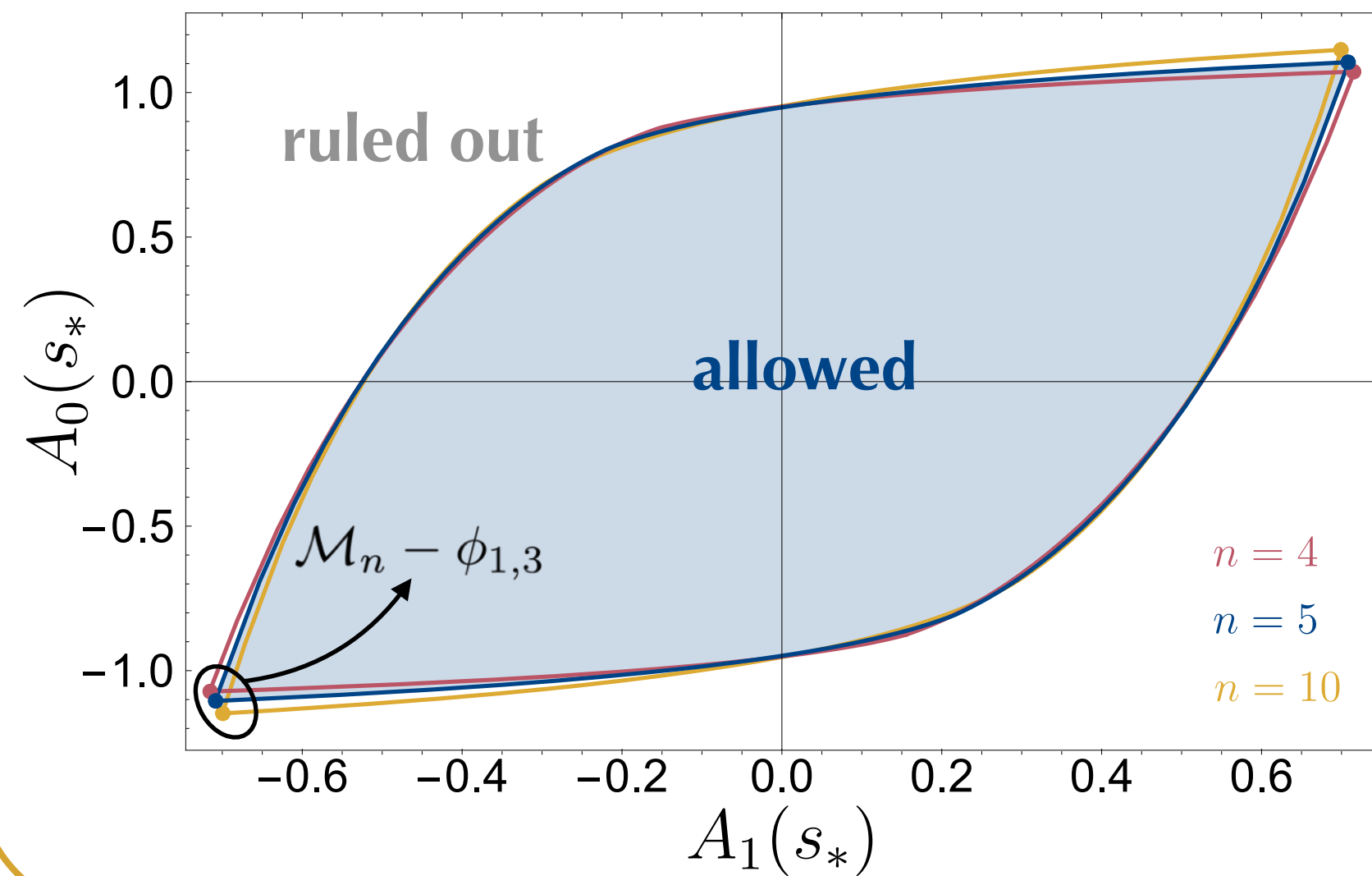
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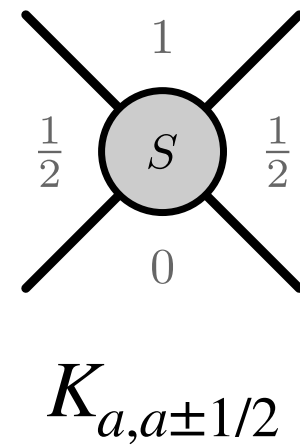
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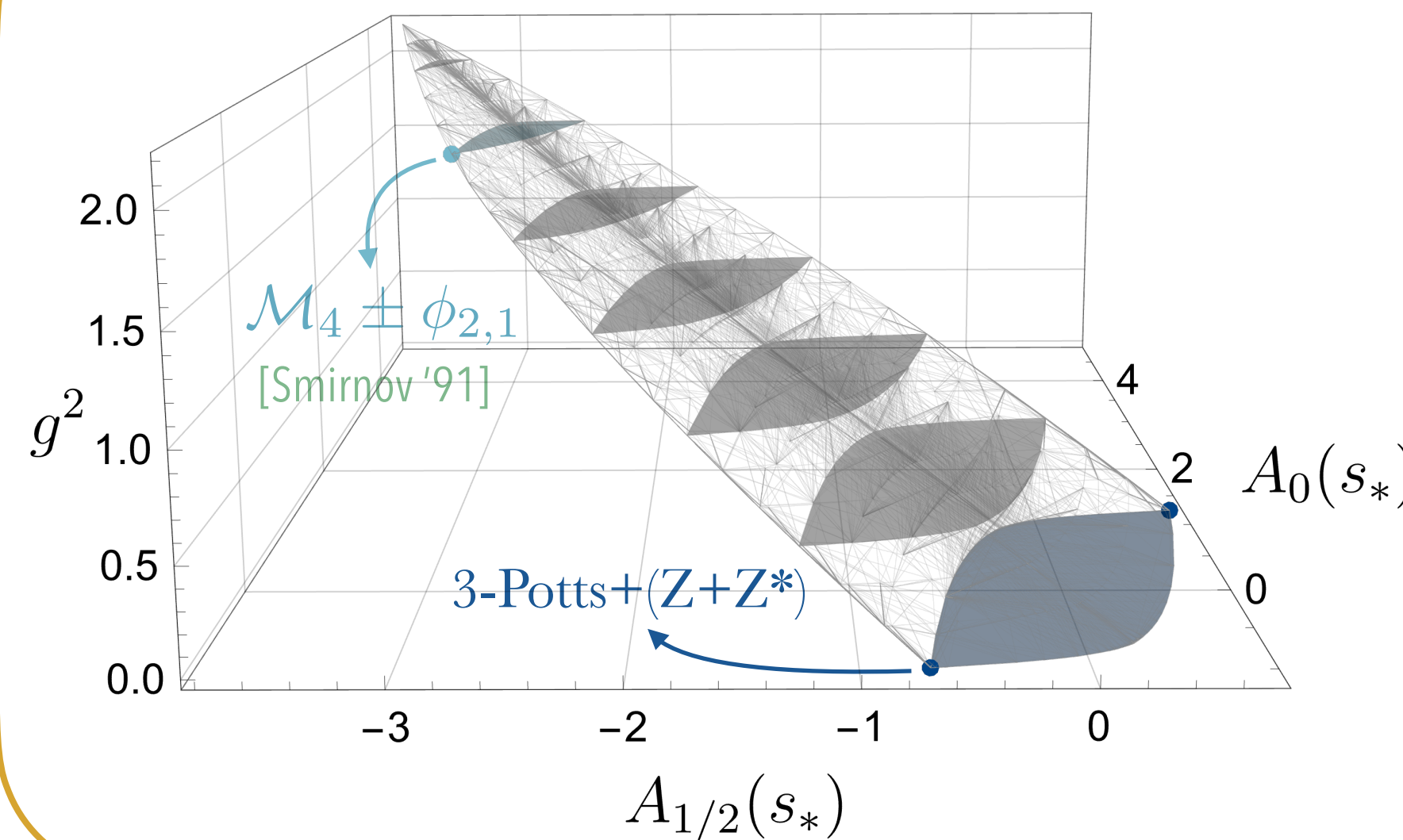
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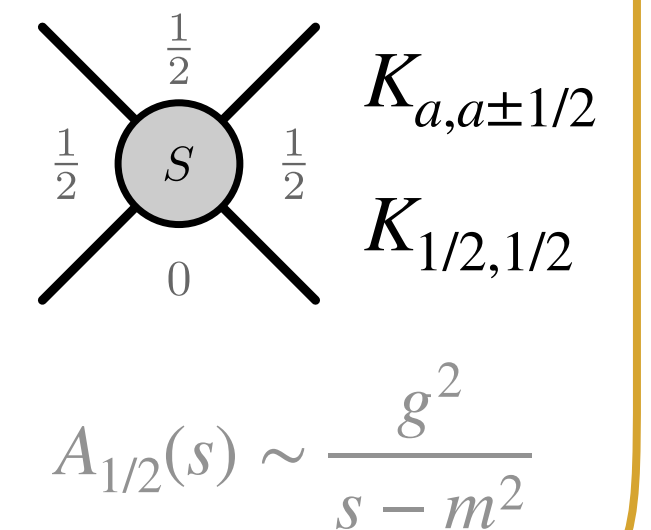
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[Vanhove, Lootens, Van Damme, Wolf, Osborne, Haegeman, Verstraete '21;
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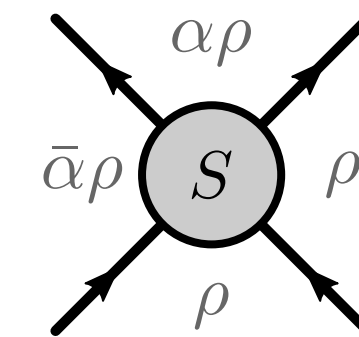
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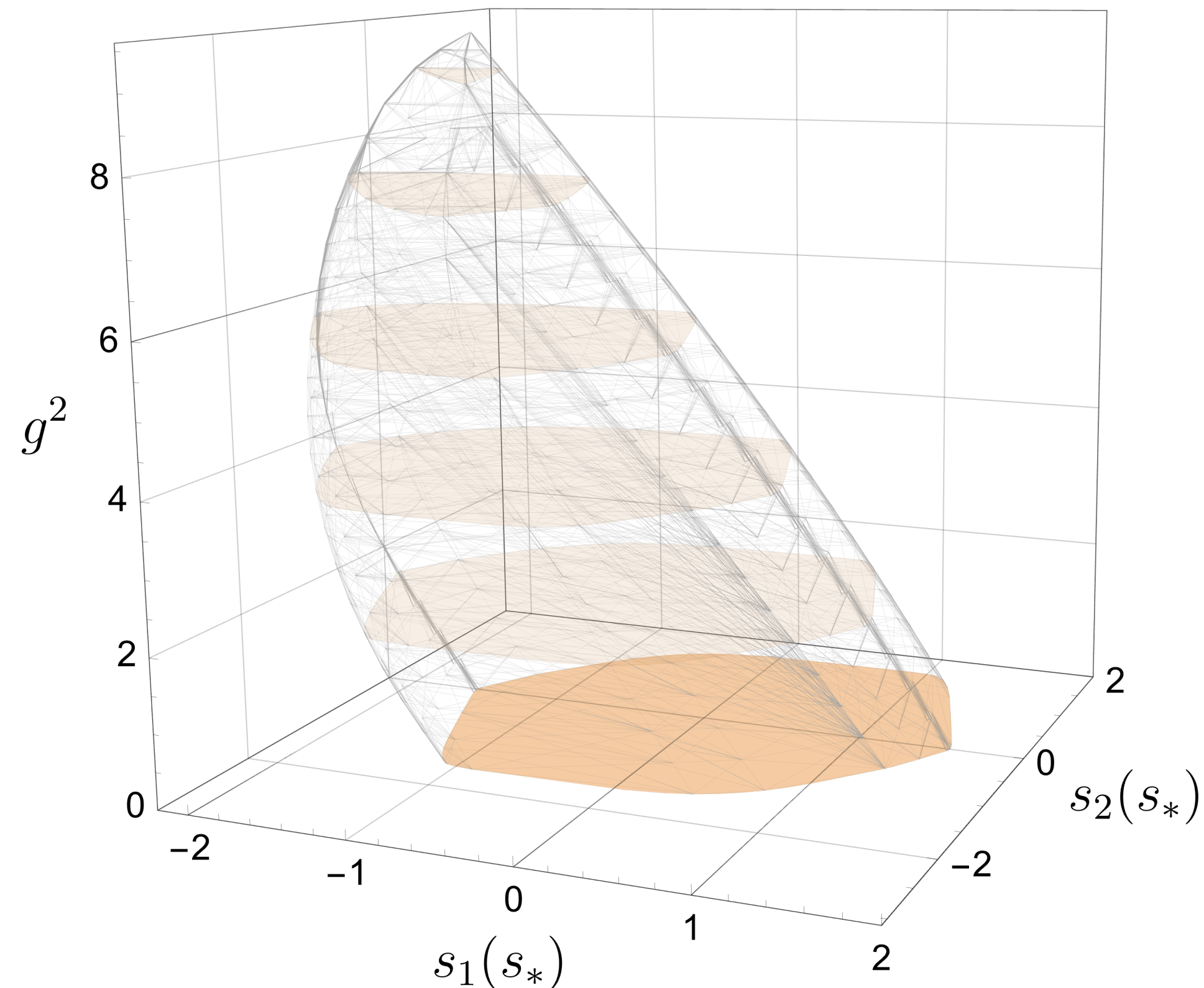
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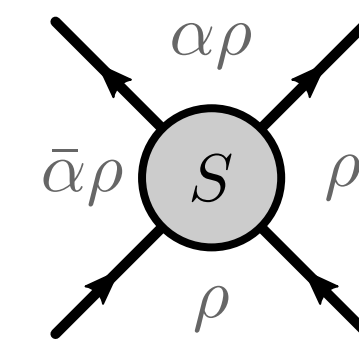


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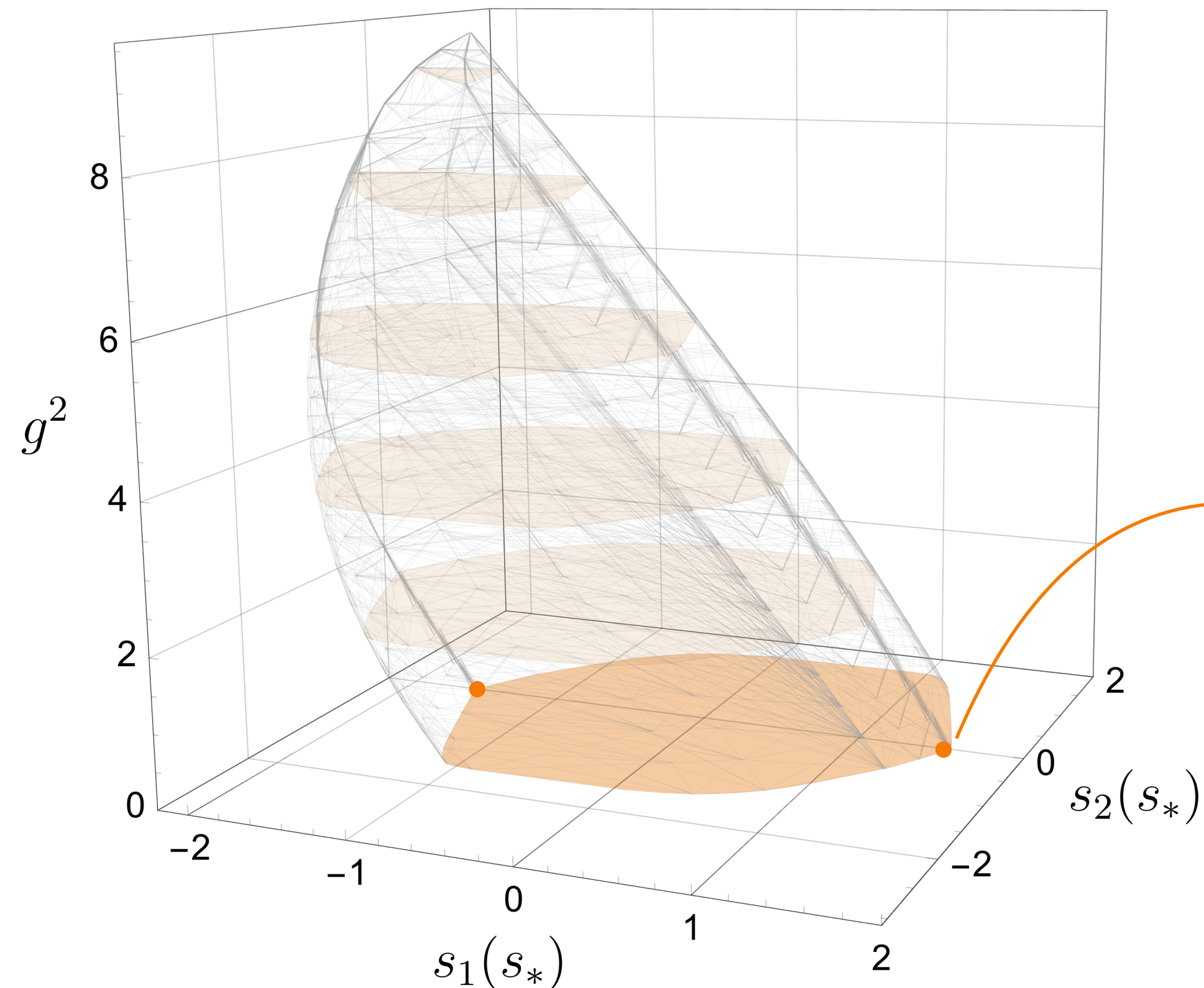
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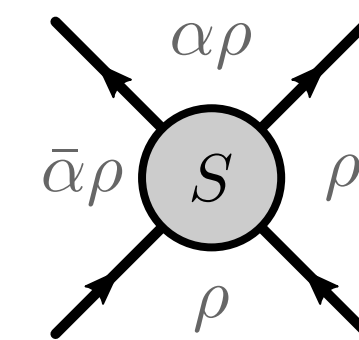


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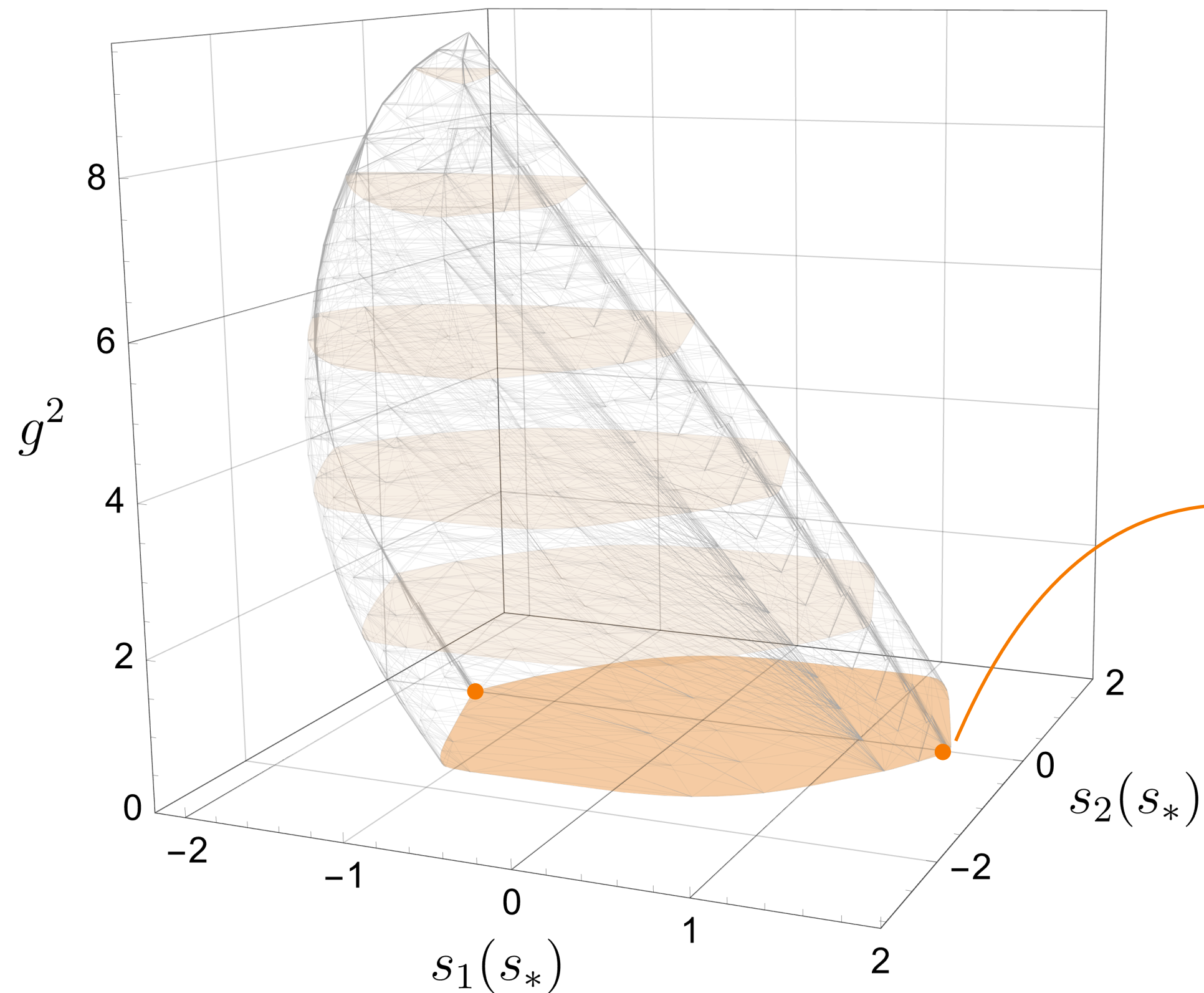
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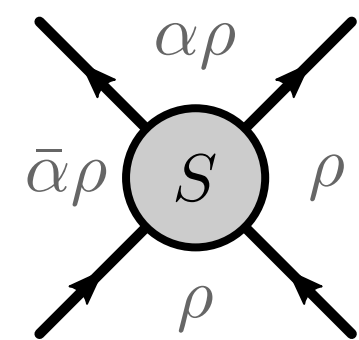


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Analytic solution: $\frac{A_\rho(\theta)}{A_1(\theta)} = \frac{\alpha + i \tanh(\mu \theta/\pi)}{\alpha - i \tanh(\mu \theta/\pi)}$

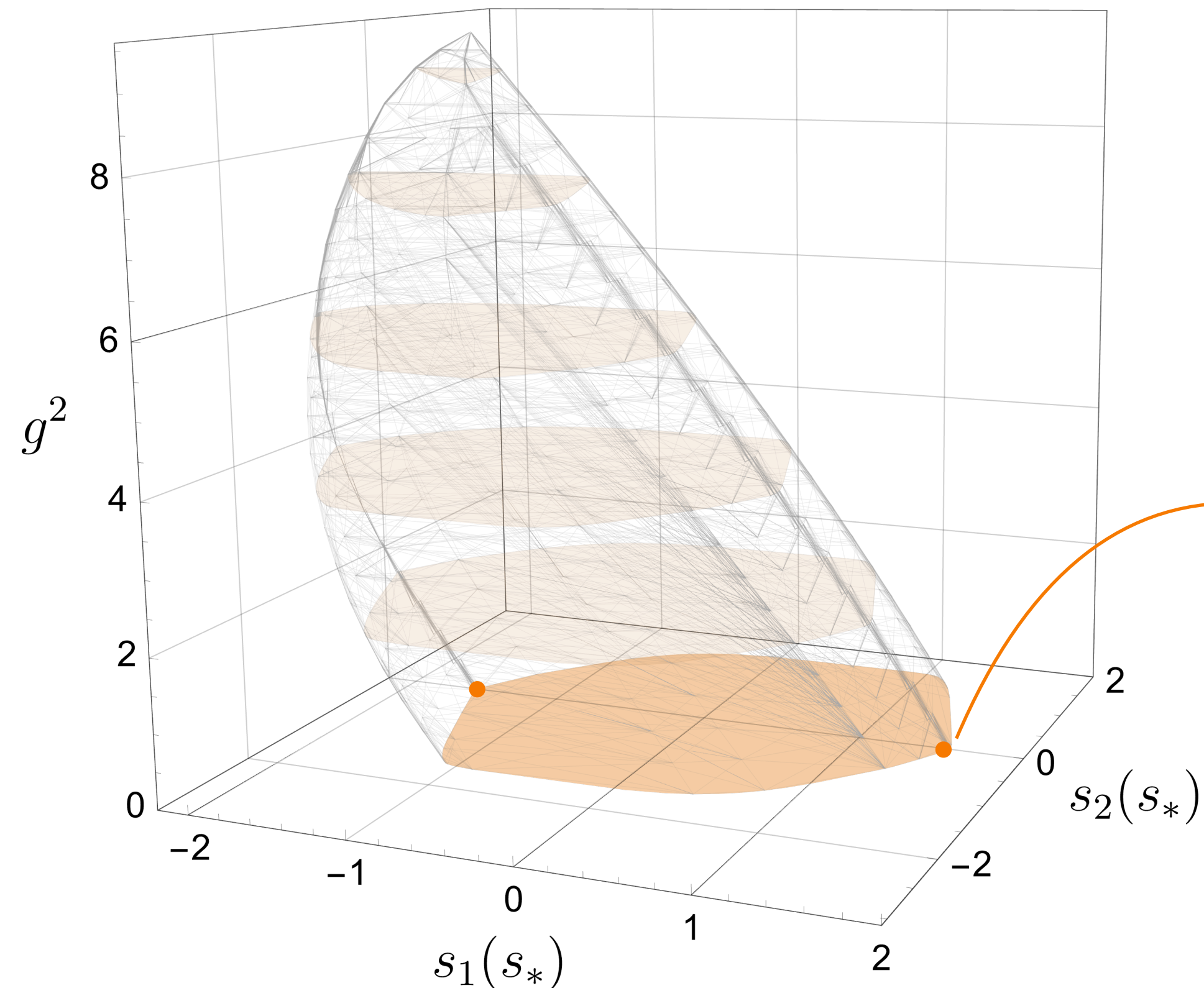
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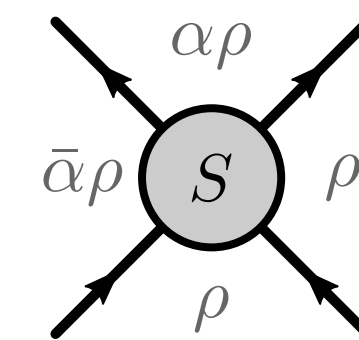


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$\{s_1, s_2\}$: crossing sym. combinations of $A_\chi(s)$



- Vertices have $A_\rho = A_{\alpha\rho} = A_{\bar{\alpha}\rho}$

Analytic solution: $\frac{A_\rho(\theta)}{A_1(\theta)} = \frac{\alpha + i \tanh(\mu \theta/\pi)}{\alpha - i \tanh(\mu \theta/\pi)} \rightarrow$ not integrable!

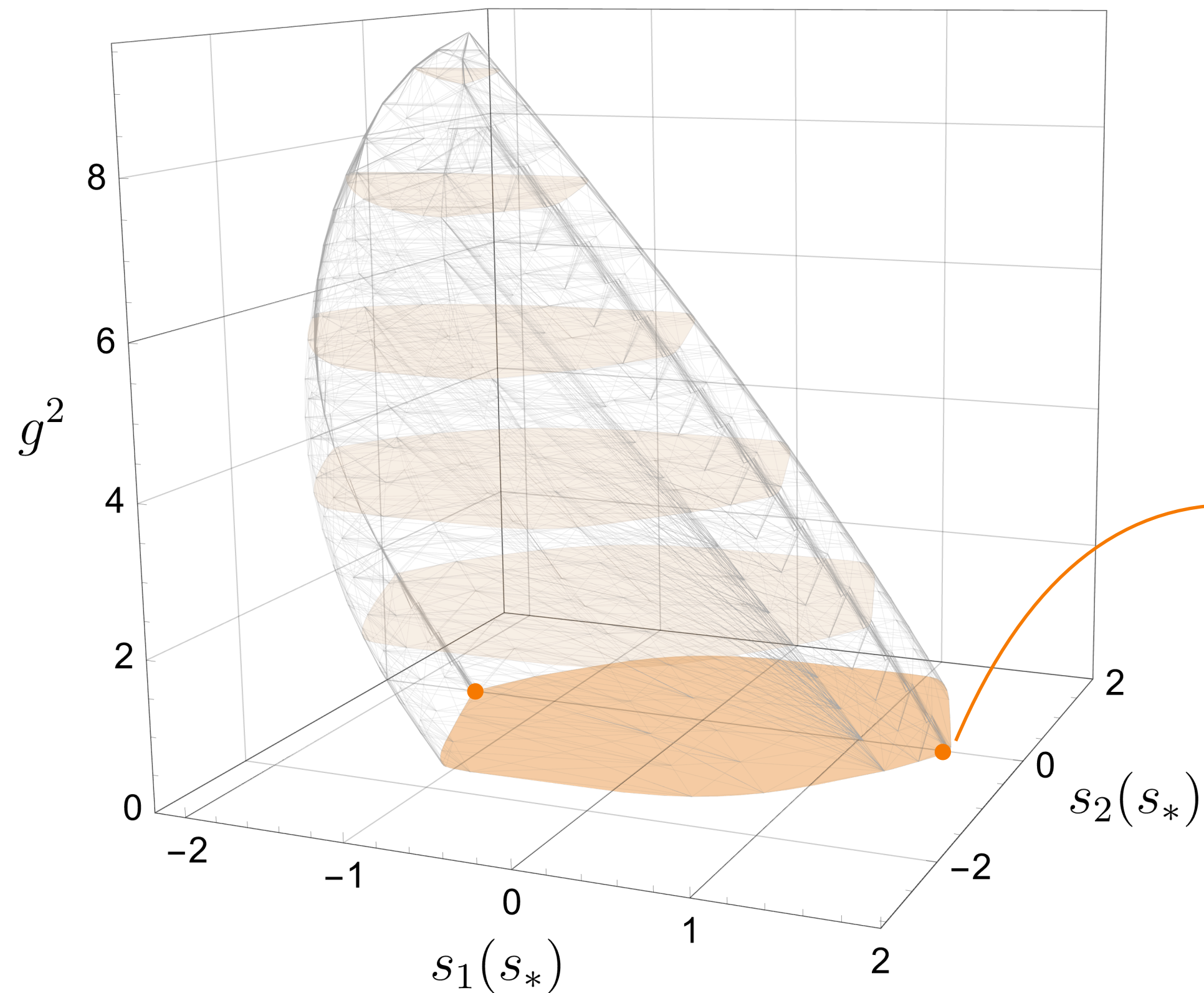
Haagerup \mathcal{H}_3 : uncharted territory

- 6 topological lines: $\{1, \alpha, \bar{\alpha}, \rho, \alpha\rho, \bar{\alpha}\rho\}$. Fusion rules: $\rho \times \bar{\alpha} = \alpha\rho$, $\rho \times \rho = 1 + \rho + \alpha\rho + \bar{\alpha}\rho$
 $d_{\alpha_i} = 1$ $d_{\rho_i} = \frac{1}{2}(3 + \sqrt{13}) = \zeta$

[Vanhove, Lootens, Van Damme, Wolf, Osborne, Haegeman, Verstraete '21;
 Huang, Lin, Ohmori, Tachikawa, Tezuka '21; Corcoran, de Leeuw '24;
 Bottini, Schäfer-Nameki '24; Albert, Honda, Kaidi, Zheng '25]

- No known field theory realization! *Hints for CFTs with $c \sim 2, 3/2$*

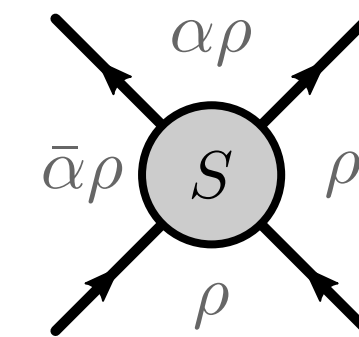
Haagerup \mathcal{H}_3 [LC, to appear]



- 6 vacua; 4 fusion channels $A_1(s)$, $A_\rho(s)$, $A_{\alpha\rho}(s)$, $A_{\bar{\alpha}\rho}(s)$

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- Conjecture: no integrable solutions in this space
 Extremal amplitudes do not satisfy YBE

Final Remarks

Summary

- Non-invertible symmetries and anomalies in 1+1d gapped RG flows lead to **modified crossing**.

$$S_{dc}^{ab}(s) = \sqrt{\frac{\text{Diagram 1} \otimes \text{Diagram 2}}{\text{Diagram 3} \otimes \text{Diagram 4}}} S_{ad}^{bc}(t)$$

- Generic for when IR described by non-trivial TQFT
 - Modification from corrections to norms of $|\text{in}\rangle, |\text{out}\rangle$ states

- **S-matrix Bootstrap** Categorical symmetry \mathcal{C} can be used to explore the space of consistent \mathcal{C} -sym QFTs.

- \mathcal{A}_n and Fibonacci: known integrable models appear at vertices
 - Haagerup \mathcal{H}_3 : new models expected, no sign of integrability

- Other symmetry breaking patterns: *Non-regular representation*. *Module category* \mathcal{M} , $d_a \rightarrow g_a$ *relative Euler terms*,
 $F \rightarrow \varphi^*$ *dual boundary F-symbol*

Future directions

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- Understand how and when integrability arises [Fendley '20]
- Form Factors and their inclusion in Non-invertible Bootstrap [Karateev, Kuhn, Penedones '19]
- Higher d? Chern-Simons+matter [...,Mehta, Patel, Prakash, Minwalla, Sharma '22; Lam et al, *unpublished*]
 - Monopole scattering [Csaki, Hong, Shirman, Telem, Terning, Waterbury '20]
[van Beest, Boyle Smith, Delmastro, Komargodski || Mouland, Tong '23]
- Toy model for understanding soft dynamics in gravity and gauge theory?

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Thank you!