

Lattice QCD thermodynamics

Gergely Endrődi

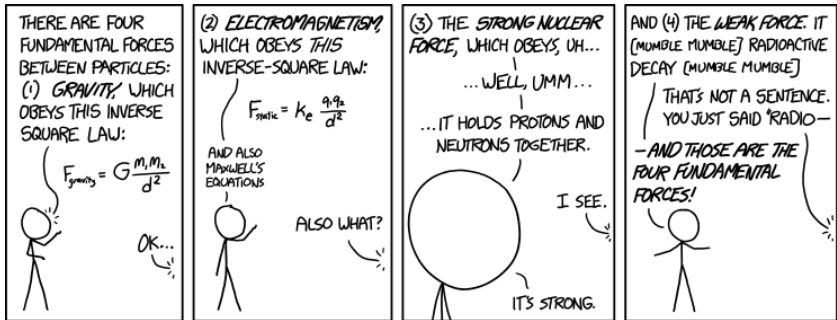
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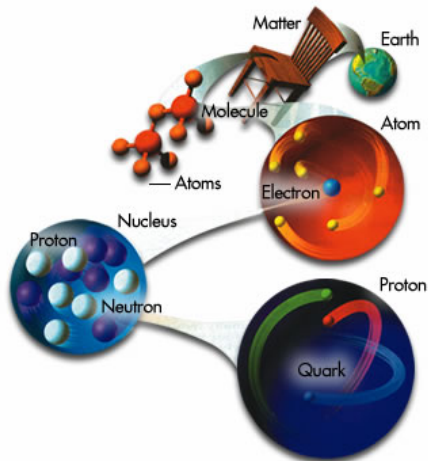
**UNIVERSITÄT
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August 31 - September 03, 2021

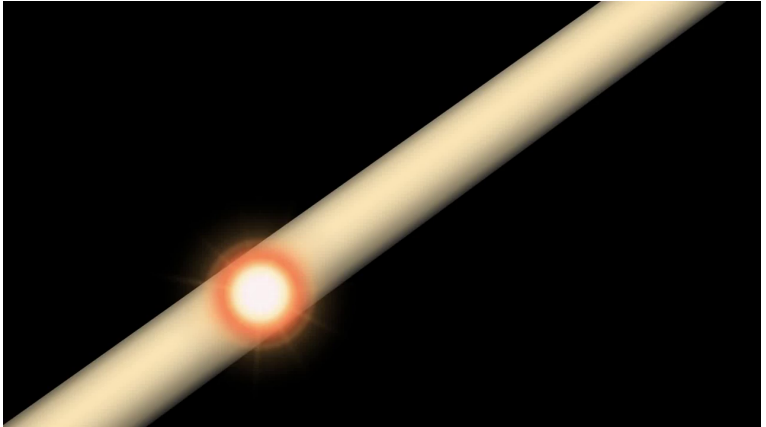


Strong force



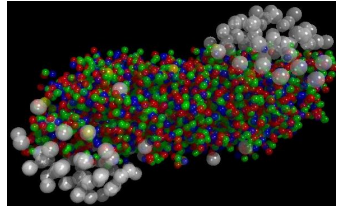
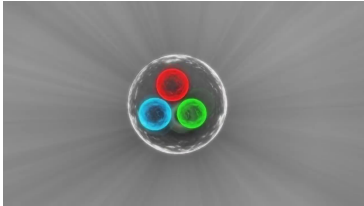
- ▶ quarks and gluons “confined” in the proton

Collision experiments [CERN outreach]



Cold versus hot

- ▶ heavy ion collisions



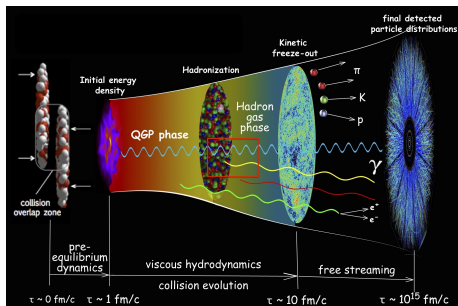
- ▶ two distinct phases of matter
 - cold, confined vs. hot, deconfined
 - hadronic vs. quark-gluon plasma
- ▶ phase transition in between
- ▶ theory: QCD

what is the nature of these phases?
what is the reason behind confinement and deconfinement?

Strongly interacting matter in extreme conditions

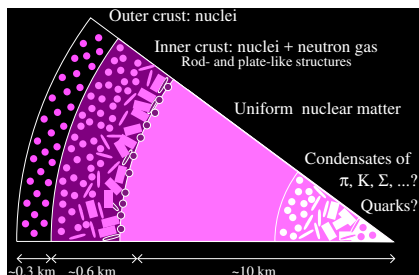
► heavy ion collisions

$$T \lesssim 200 \text{ MeV}, n \lesssim 0.12 \text{ fm}^{-3}, Z/A \approx 0.4$$



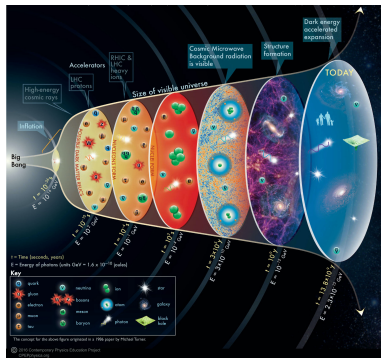
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 $T \lesssim 1 \text{ keV}$ ($\lesssim 50 \text{ MeV}$), $n \lesssim 2 \text{ fm}^{-3}$, $Z/A \gtrsim 0.025$



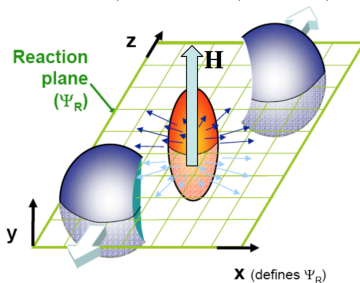
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- ▶ early universe, QCD epoch
 $T \lesssim 200 \text{ MeV}$, $n_B/s \approx 10^{-11}$, $n_Q = 0$, $n_\ell/s \lesssim 0.01$



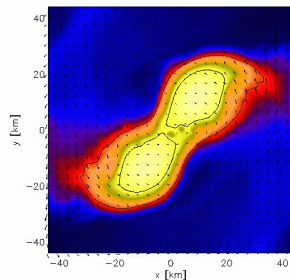
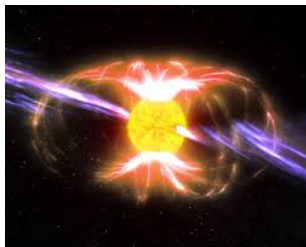
Magnetic fields

- ▶ off-central heavy-ion collisions [Kharzeev, McLerran, Warringa '07](#)
impact: chiral magnetic effect, anisotropies, elliptic flow . . .
[Fukushima '12](#) [Kharzeev, Landsteiner, Schmitt, Yee '14](#)



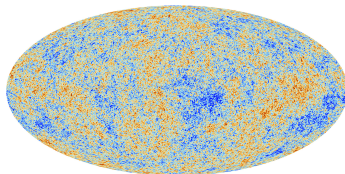
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- ▶ strength: $B \approx 10^{15} \text{ T} \approx 10^{20} B_{\text{earth}} \approx 5m_{\pi}^2$
 $\rightsquigarrow$ competition between strong force and electromagnetism

Outline

Outline overall

- ▶ lecture 1: introduction to QCD and thermodynamics
- ▶ lecture 2: hot Yang-Mills theory on the lattice
- ▶ lecture 3: hot QCD on the lattice
- ▶ lecture 4: QCD in extreme conditions on the lattice

- ▶ introduction to lattice
 - ✍ Gattringer, Lang Lect. Notes Phys. '10
 - ✍ Rothe '05
- ▶ finite temperature field theory
 - ✍ Laine, Vuorinen Lect. Notes Phys. '16
 - ✍ Kapusta, Gale '06
- ▶ numerical methods
 - ✍ DeGrand, DeTar '06
 - ✍ Newman, Barkema '99

Outline lecture 1

- ▶ QCD, path integral and stochastic integration
- ▶ phase transitions and the Ising model
- ▶ finite temperature QFT

QCD and the path integral

- ▶ quark field

$$\psi_{f,\alpha,c}$$

- ▶ gluon field

$$A_\mu = A_\mu^a T^a$$

- ▶ Euclidean Lagrangian

$$\mathcal{L}_{\text{QCD}} = \frac{1}{4} \text{Tr} F_{\mu\nu} F_{\mu\nu} + \bar{\psi} [\gamma_\mu (\partial_\mu + ig_s A_\mu) + m] \psi$$

- ▶ field strength

$$F_{\mu\nu} = F_{\mu\nu}^a T^a, \quad F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g_s f^{abc} A_\mu^b A_\nu^c$$

Path integral

- ▶ weak, electrodynamic interactions: $g, g_W \ll 1$:
perturbation theory applicable
- ▶ strong interactions $g_s \sim 1$:
need a *nonperturbative* approach
- ▶ path integral *ℓ* Feynman Rev. Mod. Phys. '48

$$\mathcal{Z} = \int \mathcal{D}\bar{\psi} \mathcal{D}\psi \mathcal{D}A_\mu \exp(-S[\bar{\psi}, \psi, A_\mu])$$

- ▶ with the action

$$S = \int d^4x \mathcal{L}(\bar{\psi}, \psi, A_\mu)$$

- ▶ largest weight $\leftrightarrow$ minimum of action (equations of motion)

Stochastic integration

Numerical integration

- ▶ we want to calculate the integral

$$F = \int_0^1 dx P(x) f(x), \quad \int_0^1 P(x) = 1$$

A GUIDE TO INTEGRATION BY PARTS:

GIVEN A PROBLEM OF THE FORM:

$$\int f(x)g(x)dx=?$$

CHOOSE VARIABLES u AND v SUCH THAT:

$$u = f(x)$$

$$dv = g(x)dx$$

NOW THE ORIGINAL EXPRESSION BECOMES:

$$\int u dv=?$$

WHICH DEFINITELY LOOKS EASIER.

ANYWAY, I GOTTA RUN.

BUT GOOD LUCK!

 xkcd.com/1201

Stochastic integration

- ▶ uniform sampling:
generate $x_n \in [0, 1]$ uniform random variables

$$F = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N P(x_n) f(x_n)$$

```
endrodi@pcend:~$ od -N2 -An < /dev/random  
046620
```

Importance sampling

- ▶ importance sampling:
generate x_n with probability $P(x_n)$

$$F = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f(x_n)$$

→ animation

Markov chains

- ▶ what if we cannot generate x_n according to P ?
- ▶ Markov chain

$$x_0 \rightarrow x_1 \rightarrow x_2 \rightarrow x_3 \rightarrow \dots$$

$$F = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f(x_n)$$

→ animation

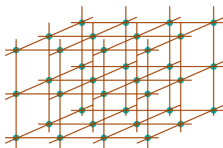
Path integral

- ▶ same kinds of integrals, but in ∞ dimensions:

$$\langle F \rangle = \int \mathcal{D}\phi P[\phi] f[\phi]$$

x point $\leftrightarrow \phi = \phi(x, y, z, t)$ field configuration

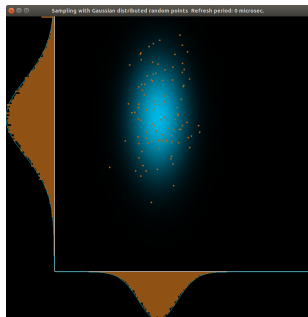
- ▶ $P[\phi] = \exp(-S[\phi])$
- ▶ discretize space and time $\leadsto$ Wilson PRD '74



- ▶ 10^9 -dimensional integrals $\rightsquigarrow$ high-performance computing

QCD vacuum

- ▶ how do the relevant field configurations look like?



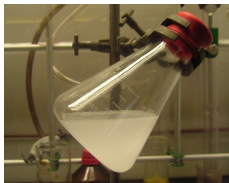
🔗 animation courtesy D. Leinweber

Phase transitions

Types of transitions

- ▶ 2nd order phase transitions: opalescence

 web.mst.edu



- ▶ 1st order phase transitions: bubbles



- ▶ crossover transition: no singularity



Types of phase transitions

- ▶ Ehrenfest classification:

n -th order phase transition

$\Leftrightarrow$

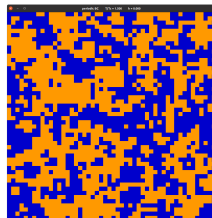
n -th derivative of $\log \mathcal{Z}$ is discontinuous

- ▶ partition function is analytic in finite volume
 $\leadsto$ singularities only arise in $\log \mathcal{Z}$ as $V \rightarrow \infty$
(practically: V macroscopic)

Ising model

2D Ising model

- ▶ two-dimensional lattice $i \in \mathbb{Z}^2$
degrees of freedom $s_i = \pm 1$
exact solution ✍ Onsager Phys. Rev. '44
numerical analysis ✍ Newman, Barkema



- ▶ Hamiltonian with nearest-neighbor $\langle i, j \rangle$ interaction
and magnetic field h

$$H[s] = - \sum_{\langle i, j \rangle} s_i s_j - h \sum_i s_i$$

- ▶ partition function

$$\mathcal{Z} = \text{tr} e^{-H/T} = \sum_{\{s\}} e^{-H[s]/T}$$

- ▶ expectation values

$$\langle A \rangle = \frac{1}{V} \frac{1}{\mathcal{Z}} \sum_{\{s\}} A[s] e^{-H[s]/T}$$

Spontaneous symmetry breaking

- ▶ Hamiltonian

$$H[s] = - \overbrace{\sum_{\langle i,j \rangle} s_i s_j}^{H_0[s]} - h \overbrace{\sum_i s_i}^{M[s]}$$

- ▶ at $h = 0$, system is invariant under parity

$$\mathcal{P}s_i = -s_i \quad H_0[\mathcal{P}s] = H_0[s]$$

- ▶ but dominant configurations are not invariant at low T

$$M[\mathcal{P}s] = -M[s]$$

- ▶ parity symmetry restored at high T
- ▶ phase transition at $T = T_c$

→ animation

Explicit symmetry breaking

- ▶ Hamiltonian

$$H[s] = - \overbrace{\sum_{\langle i,j \rangle} s_i s_j}^{H_0[s]} - h \overbrace{\sum_i s_i}^{M[s]}$$

- ▶ at $h \neq 0$ parity invariance is lost

$$\mathcal{P}s_i = -s_i \quad H[\mathcal{P}s] \neq H[s]$$

- ▶ magnetization always aligned with h

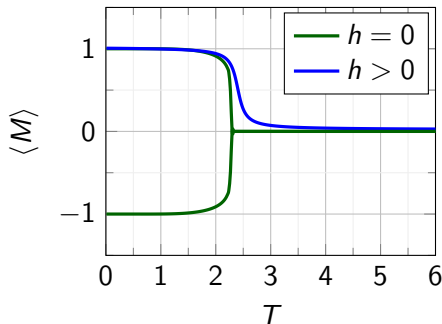
$$hM[s] > 0$$

- ▶ transition smoothed out

→ [animation](#)

Magnetization

- ▶ sketch of results in infinite volume

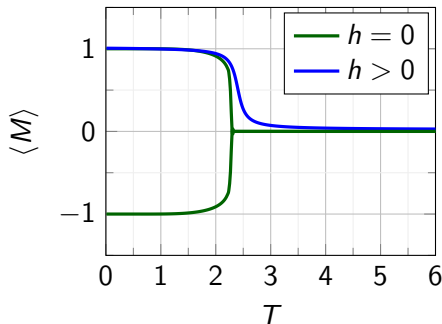


- ▶ magnetization as derivative

$$\langle M \rangle = \frac{1}{V} \frac{1}{\mathcal{Z}} \sum_{\{s\}} M[s] e^{-H[s]/T} = \frac{1}{V} \frac{\partial \log \mathcal{Z}}{\partial h}$$

Magnetization

- ▶ sketch of results in infinite volume



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$$\langle M \rangle = \frac{1}{V} \frac{1}{\mathcal{Z}} \sum_{\{s\}} M[s] e^{-H[s]/T} = \frac{1}{V} \frac{\partial \log \mathcal{Z}}{\partial h}$$

- ▶ Ehrenfest: $\langle M \rangle$ continuous, $\partial \langle M \rangle / \partial T$ discontinuous
 $\rightsquigarrow$ second order phase transition

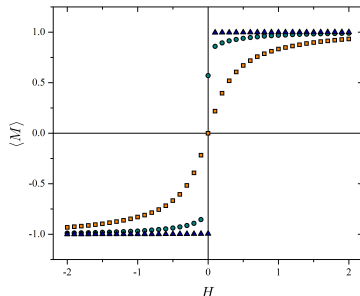
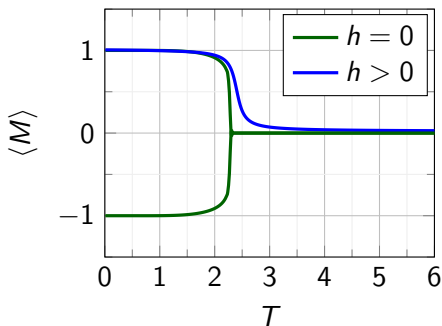
Order parameter

- ▶ how to define spontaneous symmetry breaking in terms of an expectation value?

$$h = 0 \quad V < \infty : \quad \langle M \rangle = 0 \quad \forall T$$

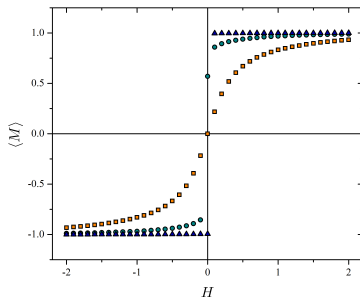
- ▶ spontaneous breaking by explicit breaking

$$\lim_{h \rightarrow 0^\pm} \lim_{V \rightarrow \infty} \langle M \rangle \gtrless 0 \quad T < T_c$$



Order parameter

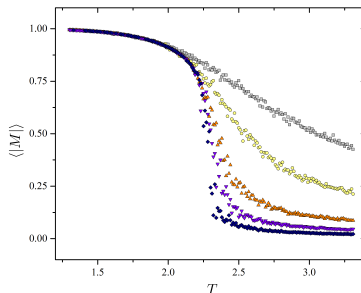
- ▶ a little cheating: instead of $\lim_{h \rightarrow 0^+} \lim_{V \rightarrow \infty} \langle M \rangle$
use $\lim_{V \rightarrow \infty} \langle |M| \rangle$ at $h = 0$



🔗 Ibarra-García-Padilla et al. EJP '16

- ▶ critical behavior is the same for both observables

🔗 Newman, Barkema



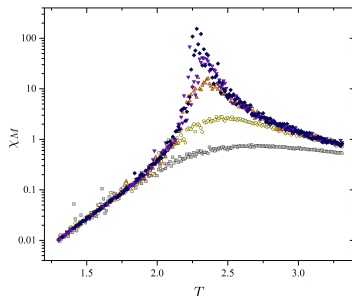
Susceptibility

- ▶ magnetization

$$\langle M \rangle = \frac{1}{V} \frac{1}{\mathcal{Z}} \sum_{\{s\}} M[s] e^{-H[s]/T} = \frac{1}{V} \frac{\partial \log \mathcal{Z}}{\partial h}$$

- ▶ susceptibility

$$\chi_M = \frac{\partial \langle M \rangle}{\partial h} = V \left[\langle M^2 \rangle - \langle M \rangle^2 \right]$$



🔗 Ibarra-García-Padilla et al. EJP '16

Critical behavior in the thermodynamic limit

- ▶ second order phase transition: correlation length ξ diverges
- ▶ critical exponents (valid at $V \rightarrow \infty$)

$$\xi \propto |T - T_c|^{-\nu}$$

$$\langle |M| \rangle \propto |T - T_c|^\beta \quad \chi_M \propto |T - T_c|^{-\gamma} \quad \langle |M| \rangle_{T=T_c} \propto h^{1/\delta}$$

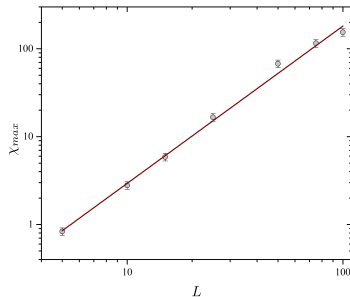
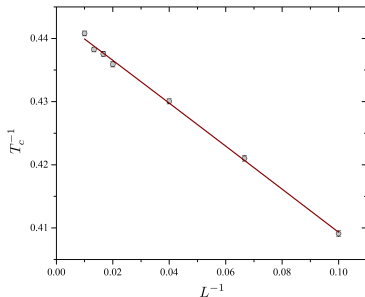
- ▶ universality: symmetries and system dimension set the exponents

Critical behavior towards the thermodynamic limit

- ▶ how to measure these in finite volume?
- ▶ in finite volume, system becomes ordered already when $\xi \approx L$ (Fisher scaling hypothesis)

$$L \propto |T_c(L) - T_c|^{-\nu} \quad T_c(L) - T_c \propto L^{-1/\nu}$$

$$\chi_M(L, T_c(L)) \propto L^{\gamma/\nu}$$



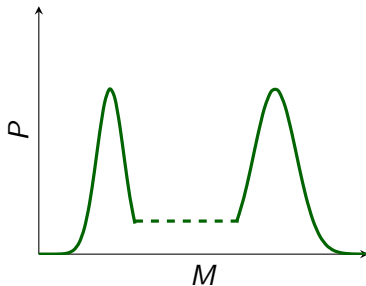
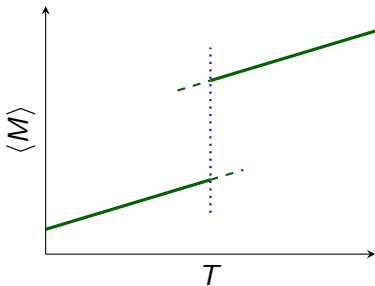
✍ Ibarra-García-Padilla et al. EJP '16

- ▶ slope gives critical exponents $\gamma/\nu = 7/4$

First-order phase transitions

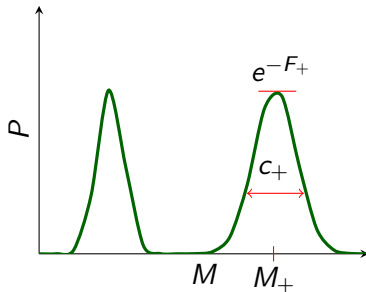
First-order phase transitions

- ▶ latent heat and metastability
- ▶ distribution at $T = T_c$ and bubbles



First-order phase transitions

► for large volumes



$$P(M) \approx \exp \left[-F_+ - \frac{(M - M_+)^2}{2c_+^2} \right] + \exp \left[-F_- - \frac{(M - M_-)^2}{2c_-^2} \right]$$

✍ Ukawa '93

Finite size scaling

- ▶ partition function

$$\mathcal{Z} = \int dM P(M)$$

- ▶ susceptibility

$$\chi_M = V \left[\langle M^2 \rangle - \langle M \rangle^2 \right]$$

- ▶ close to T_c

$$F_{\pm} = F_0 \mp f \cdot (T - T_c)$$

- ▶ susceptibility for large volumes

$$\chi_M = V \frac{c_+ c_-}{(e^{f(T-T_c)} c_+ + e^{-f(T-T_c)} c_-)^2} (M_+ - M_-)^2$$

peak at $T_c + \mathcal{O}(1/V)$, height $\mathcal{O}(V)$ and width $\mathcal{O}(1/V)$

$$\boxed{\chi_M(L, T_c(L)) \propto L^d}$$

Crossovers

Crossover

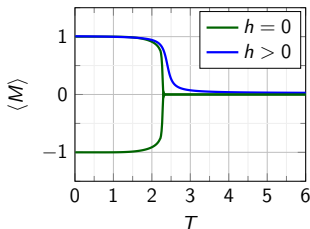
- ▶ distribution changes smoothly

$$P(M) \approx \exp \left[-F_1 - \frac{(M - M_1)^2}{2c_1^2} \right]$$

as $M_1(T)$ passes from one value to another

$$\chi_M(L, T_c(L)) \propto L^0$$

- ▶ example for crossover: Ising model at $h \neq 0$



Susceptibility at a phase transition: summary

- ▶ susceptibility χ of order parameter
- ▶ finite size scaling

$$\chi(L, T_c(L)) \propto L^\rho$$

ρ	transition type
0	crossover
γ/ν	second order
d	first order

- ▶ transition strength

$$d > \gamma/\nu > 0 \quad 1^{\text{st}} > 2^{\text{nd}} > \text{crossover}$$

Finite temperature field theory

Equation of state

- ▶ free energy (density)

$$F = -T \log \mathcal{Z} \quad f = \frac{F}{V}$$

- ▶ entropy density

$$s = -\frac{1}{V} \frac{\partial F}{\partial T}$$

- ▶ pressure

$$p = -\frac{\partial F}{\partial V} \xrightarrow{V \rightarrow \infty} -f$$

- ▶ energy density

$$\epsilon = -\frac{1}{V} \frac{\partial \log \mathcal{Z}}{\partial (1/T)} = f + Ts$$

- ▶ interaction measure

$$I = \text{tr } T_{\mu\nu} = \epsilon - 3p$$

- ▶ all we need is $\log \mathcal{Z}$

Quantum mechanics

- ▶ reminder: QM path integral for transition amplitude $x(t)$

$$\langle x_f | e^{-i\hat{H}t} | x_i \rangle = \int_{\substack{x(0)=x_i \\ x(t)=x_f}} \mathcal{D}x \, e^{iS_M[x]}$$

implying (usually used for $t \rightarrow \infty$)

$$\int dx \langle x | e^{-i\hat{H}t} | x \rangle = \int_{x(0)=x(t)} \mathcal{D}x \, e^{iS_M[x]}$$

- ▶ similarly, finite T partition function $x(\tau)$

$$\mathcal{Z} = \text{tr} e^{-\hat{H}/T} = \int_{x(0)=x(1/T)} \mathcal{D}x \, e^{-S[x]}$$

- ▶ differences

- ▶ argument of x : imaginary time τ
- ▶ over compact interval $0 \leq \tau \leq 1/T$
- ▶ Euclidean action $S = -S_M(t \rightarrow \tau = it)$ all terms in it positive!

Scalar quantum field theory

- ▶ partition function for real scalars

$$\mathcal{Z} = \int_{\phi(x,0)=\phi(x,1/T)} \mathcal{D}\phi e^{-S[\phi]}$$

over commuting numbers $\phi(x, \tau)$

- ▶ partition function for complex scalars

$$\mathcal{Z} = \int_{\substack{\phi(x,0)=\phi(x,1/T) \\ \phi^*(x,0)=\phi^*(x,1/T)}} \mathcal{D}\phi^* \mathcal{D}\phi e^{-S[\phi^*, \phi]}$$

- ▶ for quadratic actions (free case)

$$\begin{aligned} \int \mathcal{D}\phi e^{-\frac{1}{2}\phi M \phi} &= C \cdot [\det(M)]^{-1/2} \\ \int \mathcal{D}\phi^* \mathcal{D}\phi e^{-\phi^* M \phi} &= C' \cdot [\det(M)]^{-1} \end{aligned}$$

Fermionic quantum field theory

- ▶ partition function for fermions

$$\mathcal{Z} = \int_{\psi(x,0)=-\psi(x,1/T)} \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-S[\bar{\psi},\psi]}$$

over Grassmann numbers $\psi(x, \tau)$

- ▶ for bilinear actions (not just free case!)

$$\int \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-\bar{\psi} M \psi} = C'' \cdot \det(M)$$

- ▶ Euclidean Dirac operator

$$M = \not{\partial} + m = \gamma_{\mu} \partial_{\mu} + m \quad \{\gamma_{\mu}, \gamma_{\nu}\} = 2\delta_{\mu\nu}$$