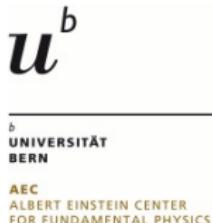


# Hamiltonian Formulation of Gauge Theories and its Use for Quantum Simulation

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# Outline

Lecture 1a: What are Photons?

Lecture 1b: Kogut-Susskind Hamiltonian for  $U(1)$  Gauge Theory

Lecture 2a:  $U(1)$  Quantum Link Models

Lecture 2b: The Sign Problem and Quantum Simulation

Lecture 3a: Kogut-Susskind Hamiltonian for  $SU(N)$  Gauge Theory

Lecture 3b: Non-Abelian Quantum Link Models

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## Different descriptions of dynamical Abelian gauge fields: Maxwell's classical electromagnetic gauge fields

$$\vec{\nabla} \cdot \vec{E}(\vec{x}, t) = \rho(\vec{x}, t), \quad \vec{\nabla} \cdot \vec{B}(\vec{x}, t) = 0, \quad \vec{B}(\vec{x}, t) = \vec{\nabla} \times \vec{A}(\vec{x}, t)$$

## Quantum Electrodynamics (QED) for perturbative treatment

$$E_i = -i \frac{\partial}{\partial A_i}, \quad [E_i(\vec{x}), A_j(\vec{x}')] = i\delta_{ij}\delta(\vec{x}-\vec{x}'), \quad [\vec{\nabla} \cdot \vec{E} - \rho] |\Psi[A]\rangle = 0$$

## Wilson's $U(1)$ lattice gauge theory for classical simulation

$$U_{xy} = \exp\left(i e \int_x^y d\vec{l} \cdot \vec{A}\right) = \exp(i\varphi_{xy}) \in U(1), \quad E_{xy} = -i \frac{\partial}{\partial \varphi_{xy}}$$

$$[E_{xy}, U_{xy}] = U_{xy}, \quad \left[ \sum_i (E_{x,x+\hat{i}} - E_{x-\hat{i},x}) - \rho \right] |\Psi[U]\rangle = 0$$

## $U(1)$ quantum link models for quantum simulation

$$U_{xy} = S_{xy}^+, \quad U_{xy}^\dagger = S_{xy}^-, \quad E_{xy} = S_{xy}^3,$$

$$[E_{xy}, U_{xy}] = U_{xy}, \quad [E_{xy}, U_{xy}^\dagger] = -U_{xy}^\dagger, \quad [U_{xy}, U_{xy}^\dagger] = 2E_{xy}$$

## Canonical Quantization of the Electromagnetic Field

The homogeneous Maxwell equations

$$\vec{\nabla} \times \vec{E}(\vec{x}, t) + \frac{1}{c} \partial_t \vec{B}(\vec{x}, t) = 0 , \quad \vec{\nabla} \cdot \vec{B}(\vec{x}) = 0$$

are satisfied when we introduce scalar and vector potentials

$$\vec{E}(\vec{x}, t) = -\vec{\nabla}\phi(\vec{x}, t) - \frac{1}{c} \partial_t \vec{A}(\vec{x}, t) , \quad \vec{B}(\vec{x}, t) = \vec{\nabla} \times \vec{A}(\vec{x}, t)$$

Under a gauge transformation

$$\phi'(\vec{x}, t) = \phi(\vec{x}, t) - \frac{1}{c} \partial_t \alpha(\vec{x}, t) , \quad \vec{A}'(\vec{x}, t) = \vec{A}(\vec{x}, t) - \vec{\nabla} \alpha(\vec{x}, t)$$

the electromagnetic fields are invariant

$$\vec{E}'(\vec{x}, t) = -\vec{\nabla}\phi'(\vec{x}, t) - \frac{1}{c} \partial_t \vec{A}'(\vec{x}, t) = -\vec{\nabla}\phi'(\vec{x}, t) - \frac{1}{c} \vec{\nabla} \partial_t \alpha(\vec{x}, t)$$

$$= -\frac{1}{c} \partial_t \vec{A}(\vec{x}, t) + \frac{1}{c} \partial_t \vec{\nabla} \alpha(\vec{x}, t) = \vec{E}(\vec{x}, t)$$

$$\vec{B}'(\vec{x}, t) = \vec{\nabla} \times \vec{A}'(\vec{x}, t) = \vec{\nabla} \times \vec{A}(\vec{x}, t) - \vec{\nabla} \times \vec{\nabla} \alpha(\vec{x}, t) = \vec{B}(\vec{x}, t)$$

## Relativistic Formulation of Electrodynamics with 4-Vectors

$$x^0 = ct, \quad x^\mu = (x^0, \vec{x}), \quad \partial_\mu = \left( \frac{1}{c} \partial_t, \vec{\nabla} \right), \quad A^\mu(x) = (\phi(\vec{x}, t), \vec{A}(\vec{x}, t))$$

The field strength tensor

$$\begin{aligned} F^{\mu\nu}(x) &= \partial^\mu A^\nu(x) - \partial^\nu A^\mu(x) \\ &= \begin{pmatrix} 0 & -E_x(\vec{x}, t) & -E_y(\vec{x}, t) & -E_z(\vec{x}, t) \\ E_x(\vec{x}, t) & 0 & -B_z(\vec{x}, t) & B_y(\vec{x}, t) \\ E_y(\vec{x}, t) & B_z(\vec{x}, t) & 0 & -B_x(\vec{x}, t) \\ E_z(\vec{x}, t) & -B_y(\vec{x}, t) & B_z(\vec{x}, t) & 0 \end{pmatrix} \end{aligned}$$

is invariant under gauge transformations

$$A'^\mu(x) = A^\mu(x) - \partial^\mu \alpha(x),$$

$$F'^{\mu\nu}(x) = \partial^\mu A'^\nu(x) - \partial^\nu A'^\mu(x)$$

$$= \partial^\mu A^\nu(x) - \partial^\nu A^\mu(x) - \partial^\mu \partial^\nu \alpha(x) + \partial^\nu \partial^\mu \alpha(x) = F^{\mu\nu}(x)$$

## From the Lagrangian to the Hamilton Density

$$\mathcal{L}(\partial^\mu A^\nu) = -\frac{1}{4} F_{\mu\nu}(x) F^{\mu\nu}(x) = \frac{1}{2} (\vec{E}(x)^2 - \vec{B}(x)^2)$$

Temporal gauge fixing

$$A^0(x) = \phi(\vec{x}, t) = 0$$

Canonically conjugate momenta

$$E_i(x) = -\partial^0 A^i(x), \quad \Pi_i(x) = \frac{\delta \mathcal{L}}{\delta \partial^0 A^i(x)} = \partial^0 A^i(x) = -E_i(x)$$

Classical Hamilton density

$$\begin{aligned} \mathcal{H}(A^i, \Pi_i) &= \Pi_i(x) \partial^0 A^i(x) - \mathcal{L} = \frac{1}{2} (\Pi_i(x) \Pi_i(x) + B_i(x) B_i(x)) \\ &= \frac{1}{2} (E_i(x) E_i(x) + B_i(x) B_i(x)) \end{aligned}$$

Classical Hamilton function

$$H = \int d^3x \mathcal{H} = \int d^3x \frac{1}{2} [\Pi_i(\vec{x}) \Pi_i(\vec{x}) + \epsilon_{ijk} \partial_j A^k(\vec{x}) \epsilon_{ilm} \partial_l A^m(\vec{x})]$$

# From classical to quantum electrodynamics

## Canonical commutation relations

$$[\hat{A}^i(\vec{x}), \hat{\Pi}_j(\vec{y})] = i \delta_{ij} \delta(\vec{x} - \vec{y}), \quad [\hat{A}^i(\vec{x}), \hat{A}^j(\vec{y})] = [\hat{\Pi}_i(\vec{x}), \hat{\Pi}_j(\vec{y})] = 0$$

## Conjugate momentum operator

$$\hat{\Pi}_i(\vec{x}) = -i \frac{\delta}{\delta A^i(\vec{x})}$$

## Hamilton operator of the electromagnetic field

$$\hat{H} = \int d^3x \frac{1}{2} \left[ \hat{\Pi}_i(\vec{x}) \hat{\Pi}_i(\vec{x}) + \epsilon_{ijk} \partial_j \hat{A}^k(\vec{x}) \epsilon_{ilm} \partial_l \hat{A}^m(\vec{x}) \right]$$

## Fourier transform

$$\hat{A}^i(\vec{p}) = \int d^3x \hat{A}^i(\vec{x}) \exp(-i \vec{p} \cdot \vec{x}), \quad \hat{A}^i(\vec{p})^\dagger = \hat{A}^i(-\vec{p})$$

$$\hat{\Pi}_i(\vec{p}) = \int d^3x \hat{\Pi}_i(\vec{x}) \exp(-i \vec{p} \cdot \vec{x}), \quad \hat{\Pi}_i(\vec{p})^\dagger = \hat{\Pi}_i(-\vec{p})$$

$$[\hat{A}^i(\vec{p}), \hat{\Pi}_j(\vec{q})] = i (2\pi)^3 \delta_{ij} \delta(\vec{p} + \vec{q})$$

$$[\hat{A}^i(\vec{p}), \hat{A}^j(\vec{q})] = [\hat{\Pi}_i(\vec{p}), \hat{\Pi}_j(\vec{q})] = 0$$

## Diagonalization of the Hamiltonian

$$\hat{H} = \frac{1}{(2\pi)^3} \int d^3 p \frac{1}{2} \left[ \hat{\Pi}_i(\vec{p})^\dagger \hat{\Pi}_i(\vec{p}) + \epsilon_{ijk} p_j \hat{A}^k(\vec{p})^\dagger \epsilon_{ilm} p_l \hat{A}^m(\vec{p}) \right]$$

Gauss law

$$\vec{\nabla} \cdot \hat{\vec{E}}(\vec{x}) |\Psi\rangle = 0 \Rightarrow p_i \hat{\Pi}_i(\vec{p}) |\Psi\rangle = 0$$

Quadratic form of the magnetic field term

$$\epsilon_{ijk} p_j \hat{A}^k(\vec{p})^\dagger \epsilon_{ilm} p_l \hat{A}^m(\vec{p}) =$$

$$(\hat{A}^1(\vec{p})^\dagger, \hat{A}^2(\vec{p})^\dagger, \hat{A}^3(\vec{p})^\dagger) \begin{pmatrix} \vec{p}^2 - p_1^2 & -p_1 p_2 & -p_1 p_3 \\ -p_2 p_1 & \vec{p}^2 - p_2^2 & -p_2 p_3 \\ -p_3 p_1 & -p_3 p_2 & \vec{p}^2 - p_3^2 \end{pmatrix} \begin{pmatrix} \hat{A}^1(\vec{p}) \\ \hat{A}^2(\vec{p}) \\ \hat{A}^3(\vec{p}) \end{pmatrix}$$

Symmetric matrix

$$\mathcal{M}(\vec{p})_{ij} = \vec{p}^2 (\delta_{ij} - e_{pi} e_{pj}) , \vec{e}_p = \vec{p}/|\vec{p}|$$

$$\vec{e}_1 \cdot \vec{e}_p = \vec{e}_2 \cdot \vec{e}_p = 0 , \vec{e}_1 \cdot \vec{e}_2 = 0 , \vec{e}_1 \times \vec{e}_2 = \vec{e}_p , \vec{e}_\pm = \frac{1}{\sqrt{2}} (\vec{e}_1 \pm i \vec{e}_2)$$

$$\vec{e}_\pm^* \cdot \vec{e}_\pm = 1 , \vec{e}_\pm \cdot \vec{e}_p = 0 , \vec{e}_-^* \cdot \vec{e}_+ = 0 , \vec{e}_- \times \vec{e}_+ = i \vec{e}_p \quad (1)$$

Unitary transformation diagonalizes  $\mathcal{M}(\vec{p})$

$$U(\vec{p}) = \begin{pmatrix} e_{+1} & e_{+2} & e_{+3} \\ e_{p1} & e_{p2} & e_{p3} \\ e_{-1} & e_{-2} & e_{-3} \end{pmatrix}, \quad U(\vec{p})\mathcal{M}(\vec{p})U(\vec{p})^\dagger = \vec{p}^2 \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
$$\begin{pmatrix} \hat{A}_+(\vec{p}) \\ \hat{A}_p(\vec{p}) \\ \hat{A}_-(\vec{p}) \end{pmatrix} = U(\vec{p}) \begin{pmatrix} \hat{A}^1(\vec{p}) \\ \hat{A}^2(\vec{p}) \\ \hat{A}^3(\vec{p}) \end{pmatrix}, \quad \begin{pmatrix} \hat{\Pi}_+(\vec{p}) \\ \hat{\Pi}_p(\vec{p}) \\ \hat{\Pi}_-(\vec{p}) \end{pmatrix} = U(\vec{p}) \begin{pmatrix} \hat{\Pi}_1(\vec{p}) \\ \hat{\Pi}_2(\vec{p}) \\ \hat{\Pi}_3(\vec{p}) \end{pmatrix}$$

Diagonalized Hamilton operator

$$\begin{aligned} \hat{H} &= \frac{1}{(2\pi)^3} \int d^3 p \frac{1}{2} \left[ \hat{\Pi}_+(\vec{p})^\dagger \hat{\Pi}_+(\vec{p}) + \vec{p}^2 \hat{A}_+(\vec{p})^\dagger \hat{A}_+(\vec{p}) \right. \\ &\quad \left. + \hat{\Pi}_-(\vec{p})^\dagger \hat{\Pi}_-(\vec{p}) + \vec{p}^2 \hat{A}_-(\vec{p})^\dagger \hat{A}_-(\vec{p}) + \hat{\Pi}_p(\vec{p})^\dagger \hat{\Pi}_p(\vec{p}) \right] \end{aligned}$$

Hamilton operator commutes with Gauss law constraint

$$[\hat{H}, \hat{\Pi}_p(\vec{p})] = 0, \quad \hat{\Pi}_p(\vec{p}) = U(\vec{p})_{pi} \hat{\Pi}_i(\vec{p}) = -e_{pi} \hat{E}_i(\vec{p}) = -\frac{\vec{p}}{|\vec{p}|} \cdot \hat{\vec{E}}(\vec{p})$$

## Creation and annihilation operators for photons

$$\begin{aligned}\hat{a}_{\pm}(\vec{p}) &= \frac{1}{\sqrt{2}} \left[ \sqrt{|\vec{p}|} \hat{A}_{\pm}(\vec{p}) + \frac{i}{\sqrt{|\vec{p}|}} \hat{\Pi}_{\pm}(\vec{p}) \right] \\ \hat{a}_{\pm}(\vec{p})^{\dagger} &= \frac{1}{\sqrt{2}} \left[ \sqrt{|\vec{p}|} \hat{A}_{\pm}(\vec{p})^{\dagger} - \frac{i}{\sqrt{|\vec{p}|}} \hat{\Pi}_{\pm}(\vec{p})^{\dagger} \right]\end{aligned}$$

## Commutation relations

$$\begin{aligned}[\hat{a}_{\pm}(\vec{p}), \hat{a}_{\pm}(\vec{q})^{\dagger}] &= (2\pi)^3 \delta(\vec{p} - \vec{q}) \\ [\hat{a}_+(\vec{p}), \hat{a}_-(\vec{q})^{\dagger}] &= [\hat{a}_-(\vec{p}), \hat{a}_+(\vec{q})^{\dagger}] = 0 \\ [\hat{a}_{\pm}(\vec{p}), \hat{a}_{\pm}(\vec{q})] &= [\hat{a}_{\pm}(\vec{p})^{\dagger}, \hat{a}_{\pm}(\vec{q})^{\dagger}] = 0\end{aligned}\tag{2}$$

## Hamilton operator in the physical sector

$$\hat{H} = \frac{1}{(2\pi)^3} \int d^3 p |\vec{p}| \left( \hat{a}_+(\vec{p})^{\dagger} \hat{a}_+(\vec{p}) + \hat{a}_-(\vec{p})^{\dagger} \hat{a}_-(\vec{p}) + V \right)\tag{3}$$

## Vacuum and photon states

$$\hat{a}_{\pm}(\vec{p})|0\rangle = 0, \quad \hat{H}|0\rangle = E_0|0\rangle$$

$$|\vec{p}, \pm\rangle = \hat{a}_{\pm}(\vec{p})^\dagger|0\rangle, \quad \hat{H}|\vec{p}, \pm\rangle = E(\vec{p})|\vec{p}, \pm\rangle, \quad E(\vec{p}) - E_0 = |\vec{p}|$$

## Momentum operator and momentum of photons

$$\begin{aligned}\hat{\vec{P}} &= \int d^3x \frac{1}{2} \left( \hat{\vec{E}}(\vec{x}) \times \hat{\vec{B}}(\vec{x}) - \hat{\vec{B}}(\vec{x}) \times \hat{\vec{E}}(\vec{x}) \right) \\ &= \frac{1}{(2\pi)^3} \int d^3p \vec{p} \left( \hat{a}_+(\vec{p})^\dagger \hat{a}_+(\vec{p}) + \hat{a}_-(\vec{p})^\dagger \hat{a}_-(\vec{p}) \right) \\ \left[ \hat{\vec{P}}, \hat{a}_{\pm}(\vec{p})^\dagger \right] &= \vec{p} \hat{a}_{\pm}(\vec{p})^\dagger \Rightarrow \hat{\vec{P}}|\vec{p}, \pm\rangle = \vec{p}|\vec{p}, \pm\rangle \quad (4)\end{aligned}$$

## Angular momentum operator and helicity of photons

$$\begin{aligned}\hat{\vec{J}} &= \int d^3x \vec{x} \times \frac{1}{2} \left( \hat{\vec{E}}(\vec{x}) \times \hat{\vec{B}}(\vec{x}) - \hat{\vec{B}}(\vec{x}) \times \hat{\vec{E}}(\vec{x}) \right) \\ [\hat{P}_i, \hat{J}_j] &= i\epsilon_{ijk} \hat{P}_k \Rightarrow \left[ \hat{\vec{P}}, \hat{\vec{P}} \cdot \hat{\vec{J}} \right] = 0 \\ \left[ \hat{\vec{J}} \cdot \vec{e}_p, \hat{a}_{\pm}(\vec{p})^\dagger \right] &= \pm \hat{a}_{\pm}(\vec{p})^\dagger \Rightarrow \hat{\vec{J}} \cdot \vec{e}_p |\vec{p}, \pm\rangle = \pm |\vec{p}, \pm\rangle \quad (5)\end{aligned}$$

Homework: Recapitulate Lecture 1a and verify eqs.(1-5)

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## Wilson's concept of a parallel transporter

$$U_{xy} = \exp \left[ ie \int_{x_k}^{x_k+a} dx_k A_k(x) \right] \in U(1)$$

Behavior under gauge transformations

$$A_k(x)' = A_k(x) - \partial_k \alpha(x) \Rightarrow$$

$$U'_{xy} = \exp \left[ ie \int_{x_k}^{x_k+a} dx_k A'_k(x) \right]$$

$$= \exp \left[ ie \int_{x_k}^{x_k+a} dx_k \{ A_k(x) - \partial_k \alpha(x) \} \right]$$

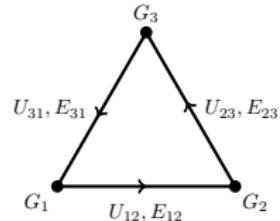
$$= \exp \left[ ie \left\{ \int_{x_k}^{x_k+a} dx_k A_k(x) + \alpha(x) - \alpha(y) \right\} \right]$$

$$= \Omega_x U_{xy} \Omega_y^\dagger, \quad \Omega_x = \exp [i\alpha(x)] \in U(1)$$

Quantum mechanical analog "particle" on a circle  $S^1 = U(1)$

$$U = \exp(i\varphi), \quad U^\dagger = \exp(-i\varphi), \quad E = -i\partial_\varphi$$

$$[E, U] = U, \quad [E, U^\dagger] = -U^\dagger, \quad [U, U^\dagger] = 0$$



Three analog “particles” on a plaquette

$$E_{12} = -i\partial_{\varphi_{12}}, \quad E_{23} = -i\partial_{\varphi_{23}}, \quad E_{31} = -i\partial_{\varphi_{31}}$$

Three-“particle” Hamiltonian

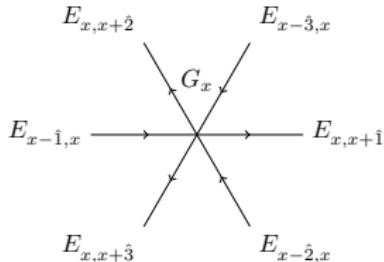
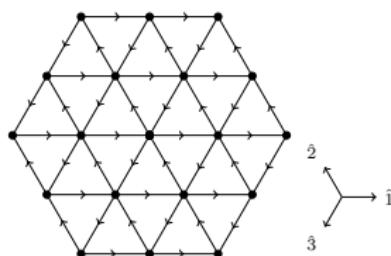
$$\begin{aligned} H &= T_{12} + T_{23} + T_{31} + V_{123} \\ &= \frac{E_{12}^2}{2I} + \frac{E_{23}^2}{2I} + \frac{E_{31}^2}{2I} - \frac{1}{e^2} \cos(\varphi_1 + \varphi_2 + \varphi_3) \\ &= \frac{E_{12}^2}{2I} + \frac{E_{23}^2}{2I} + \frac{E_{31}^2}{2I} - \frac{1}{2e^2} (U_{12} U_{23} U_{31} + U_{31}^\dagger U_{23}^\dagger U_{12}^\dagger) \end{aligned}$$

Invariance against relative rotations

$$G_1 = E_{12} - E_{31}, \quad G_2 = E_{23} - E_{12}, \quad G_3 = E_{31} - E_{23}$$

$$[H, G_1] = [H, G_2] = [H, G_3] = 0 \quad (6)$$

# Many “particles” in $S^1$ forming a $U(1)$ lattice gauge theory



$$H = \frac{e^2}{2} \sum_{\langle xy \rangle} E_{xy}^2 - \frac{1}{2e^2} \sum_{\langle xyz \rangle} (U_{xy} U_{yz} U_{zx} + U_{zx}^\dagger U_{yz}^\dagger U_{xy}^\dagger), \quad I = \frac{1}{e^2}$$

## Link-based operator algebra

$$[E_I, E_{I'}] = 0, \quad [E_I, U_{I'}] = i\delta_{II'} U_I, \quad [E_I, U_{I'}^\dagger] = -i\delta_{II'} U_I^\dagger \\ [U_I, U_{I'}] = [U_I^\dagger, U_{I'}^\dagger] = [U_I, U_{I'}^\dagger] = 0$$

## Invariance against gauge transformations

$$G_x = \sum_k (E_{x,x+\hat{k}} - E_{x-\hat{k},x}), \quad [G_x, G_y] = 0, \quad [H, G_x] = 0 \quad (7)$$

## General gauge transformations and Gauss law

$$V = \prod_x \exp(i\alpha_x G_x), \quad VU_{xy}V^\dagger = \Omega_x U_{xy} \Omega_y^\dagger \quad (8)$$

States with external charges  $Q_x \in \mathbb{Z}$

$$G_x |\Psi, Q\rangle = Q_x |\Psi, Q\rangle, \quad Q = \{Q_x\}$$

Standard Gauss law

$$G_x |\Psi\rangle = 0$$

Canonical quantum statistical partition function

$$Z_Q = \text{Tr}[\exp(-\beta H) P_Q]$$

Potential between external charges  $Q_x = 1, Q_y = -1$

$$\frac{Z_Q}{Z} = \exp(-\beta V(x-y)), \quad V(x-y) \sim \sigma |x-y|$$

Homework: Recapitulate Lecture 1b and verify eqs.(6-8).

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